

UK National Screening Committee

# **Screening for Prostate Cancer**

# External review against programme appraisal criteria for the UK National Screening Committee

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The UK National Screening Committee secretariat is hosted by Public Health England.

# About the UK National Screening Committee (UK NSC)

The UK NSC advises ministers and the NHS in the 4 UK countries about all aspects of <u>population screening</u> and supports implementation of screening programmes. Conditions are reviewed against <u>evidence review criteria</u> according to the UK NSC's evidence review process.

Read a complete list of UK NSC recommendations.

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# Plain English summary

There is currently no population screening programme for prostate cancer in the UK. Now in the UK, healthy men over 50 can ask their general practitioner (GP) for a test to measure their prostate-specific antigen (PSA) levels. Before they have the test, they receive all relevant information they need about it and what happens if the test is positive. This review looked to see if screening for prostate cancer in men who do not have symptoms should be recommended in the UK. Prostate cancer is the most common cancer in men in the UK. Of all male cancer diagnoses, 26% are prostate cancer. The risk of getting prostate cancer is higher in older men and in men of black ethnicity. The treatment for prostate cancer can be surgery, radiotherapy or hormone therapy. But some patients are only monitored without receiving treatment.

The most common way to screen for prostate cancer is to measure the levels of the protein called PSA in the blood. But this method has many problems. For example, it can incorrectly diagnose prostate cancer in men who do not actually have it. It could also detect prostate cancer that is benign and would never cause any problems. These men may end up undergoing testing and treatment that they did not need. There is also a chance that the PSA test might miss some cancers. These men might not get the treatment that they need.

This review aimed to find evidence on:

- how PSA screening can reduce prostate cancer becoming more severe and prevent deaths from prostate cancer
- what harms PSA screening can cause
- if there are other tests that are better than PSA to screen for prostate cancer
- how effective treatments for early-stage prostate cancer are (considering balance of harms and benefits).

Based on what the review found, a screening programme for prostate cancer in the UK is not recommended. This is because:

- it is unclear how PSA screening impacts prostate cancer outcomes, specifically death due to prostate cancer
- there are many harms of PSA screening, such as incorrect diagnosis and complications from further testing and treating
- there is not enough evidence at present to show that there are better tests than PSA
- there is no single treatment that is definitely better for patients with early-stage prostate cancer. So, finding these patients by screening would not be worthwhile.

This topic will be reviewed again in 3 years time.

# Executive summary

### Purpose of the review

This review was conducted to assess whether there is sufficient evidence to consider introducing a population screening programme for prostate cancer in asymptomatic men.

### Background

The prostate is a walnut-sized gland located in the pelvis, which forms part of the male reproductive system. Its function is to secrete prostatic fluid, one of the principal components of semen, together with spermatozoa and seminal vesicle fluid. Common disorders of the prostate gland are enlargement (benign prostatic hyperplasia [BPH]), inflammation (prostatitis) and cancer.<sup>1</sup>

Prostate cancer is the most common cancer amongst men in the UK, where it accounts for 26% of all male cancer diagnoses, 14% of male cancer deaths, 13% of total cancer diagnoses and 7% of total cancer deaths in the UK.<sup>2</sup> Localised and locally advanced prostate cancer are more frequently diagnosed than metastatic cancer, which accounted for 16% of new diagnoses in England and Wales in 2016–17.<sup>3</sup> In most cases, prostate cancer progresses slowly and will not cause morbidity or mortality during a man's natural lifetime.<sup>4</sup>

The most commonly used screening tool for prostate cancer is the determination of prostate-specific antigen (PSA) concentration in the blood. PSA, also known as human kallikrein 3 (hK3), is a serine protease enzyme secreted by the epithelial cells in the prostate gland.<sup>5</sup> Elevated serum PSA levels are thought to be indicative of prostate disease, including benign enlargement, prostate infection, and prostate cancer, with between 3 and 4 ng/mL the traditional threshold for the definition of elevated PSA in a screening context.<sup>5, 6</sup>

The incidence of prostate cancer in the UK increased by 41% between 1993–95 and 2014–16;<sup>2</sup> this likely reflects increased detection due to the widespread use of PSA testing and the increased use of surgery to treat benign prostate diseases, which can lead to incidental detection of prostate cancer through examination of tissue samples that are routinely sent for pathological evaluation.<sup>6</sup> However, despite its common use, there are several limitations to using the PSA test for screening. For example, elevated PSA levels are not exclusively indicative of prostate cancer, particularly clinically significant prostate cancer. Therefore, there is the risk of false positive results, along with the adverse implications of identifying clinically insignificant, indolent cancer (slow-progressing disease that will not cause morbidity or mortality during the man's natural lifetime). It has been suggested that overall, the harms of diagnosing and treating

clinically insignificant cancer may outweigh the benefits of screening.<sup>6-8</sup> In addition, paradoxically, the PSA test may not identify a subset of low-PSA (below the cut-off threshold), high-grade prostate cancers with a high risk for prostate cancer specific mortality.<sup>9</sup> There is now growing interest in the use of other tools for screening and identifying those at risk, such as multiparametric magnetic resonance imaging (mpMRI), novel blood or urine-based biomarkers, or risk calculators. Risk calculators incorporate a range of clinical variables such as age; family history; PSA; other biomarkers, including genetic; digital rectal examination (DRE); and imaging results.

Once diagnosed, there are multiple possible treatments for prostate cancer, including radical prostatectomy, radiotherapy, and/or androgen deprivation therapy, which may have adverse side-effects including toxicity, urinary and erectile dysfunction and psychological impacts. Some patients may receive monitoring instead of active treatment, such as active surveillance (regular monitoring of disease in a hospital setting) or watchful waiting (less frequent monitoring, usually in primary care). The most suitable options are dependent on multiple patient-specific factors, including disease stage, Gleason score, general health, age and life expectancy, and a man's personal preferences and choices about treatment.

### Focus of the review

This review aimed to identify studies published since the most recent UK NSC review (2015) in order to provide evidence on screening and interventions for prostate cancer. Specifically, new evidence was collected to answer the following 4 questions:

- 1. Does screening based on PSA reduce short- or long-term prostate cancer morbidity and mortality and all-cause mortality?
- 2. What are the harms of PSA-based screening for prostate cancer and diagnostic follow-up, with particular reference to overdiagnosis?
- 3. Is there evidence that screening using risk algorithms or inclusion of markers other than PSA alone can better identify men with clinically significant prostate cancer, or improve screening efficiency?
- 4. What are the harms and benefits of currently available treatment approaches for early-stage prostate cancer to reduce morbidity and mortality?

#### Recommendation under review

Based on the 2015 UK NSC review of the evidence, PSA-based screening for prostate cancer in asymptomatic men is not currently recommended in the UK.

#### Findings and gaps in the evidence of this review

Within the scope of the review, 76 articles were included. Summaries of the question level results are presented below.

**Question 1:** Does screening based on PSA reduce short- or long-term prostate cancer morbidity and mortality and all-cause mortality?

Thirty-one articles reporting on the European Randomized Study of Screening for Prostate Cancer (ERSPC), USA Prostate, Lung, Colorectal and Ovarian (PLCO) Cancer Screening Trial and the UK-specific Cluster Randomised Trial of PSA Testing for Prostate Cancer (CAP) randomised controlled trials (RCTs) were identified for question 1.

All studies reported a significantly higher incidence rate of prostate cancer diagnosis with PSA-based screening compared with no screening or usual care. Nevertheless, due to limited conflicting evidence, it is not possible to conclusively evaluate the impact of PSA-based screening on the diagnosis of prostate cancer stratified by clinical staging. The evidence also remains inconsistent on the effect of PSA-based screening on prostate cancer mortality, compared with no screening or usual care. The ERSPC trial saw a significant reduction in prostate cancer deaths in the screening arm,<sup>10</sup> which was increased to as high as 51% when adjusted for control arm contamination and non-attendance at a 13 year median follow-up,<sup>11</sup> but no such finding was seen in the USA PLCO or UK CAP trials.<sup>12, 13</sup> In both the PLCO and CAP trials, which reported on 15–17 and 10-year median follow-ups, respectively, there was little evidence of a reduction in prostate cancer specific mortality.

It should be considered that the direct comparison of mortality rates between trials is complicated by different screening intervals and PSA thresholds, along with different lengths of follow-up in the different trials. Furthermore, control arm contamination was a significant issue for the PLCO and ERSPC trials, reported to be as high as 62.7% in ERSPC and 54.8% in a study identified in the rapid review for PLCO.<sup>14, 15</sup> Furthermore, a subsequent re-evaluation of control arm contamination of the PLCO concluded that the value was closer to 90%.<sup>16</sup> It is likely that the large number of men assigned to the control arm who nevertheless attended screening appointments (opportunistic screening) diluted the perceived effectiveness of screening in preventing prostate cancer-related deaths. Control arm contamination varied between the PLCO and ERSPC trials, which may also be a contributing factor to the difference in the conclusions drawn about prostate-cancer-specific mortality in these 2 studies.

# **Question 2:** What are the harms of PSA-based screening for prostate cancer and diagnostic follow-up, with particular reference to overdiagnosis?

Nine articles reporting on the ERSPC and PLCO trials reported outcomes relevant to Q2.

Harms of screening that were evaluated were overdiagnosis,<sup>17-19</sup> complications associated with biopsy,<sup>20, 21</sup> and quality of life (QoL).<sup>22</sup> Based on the findings of this review, there was evidence to suggest that PSA-based screening may be associated

with overdiagnosis and biopsy-related complications. However, there was no clear effect of PSA-based screening on quality of life. The small number of studies that reported on harms and the wide ranges reported for overdiagnosis (10% to 42%)<sup>18, 19</sup> and biopsy complications (20.2 complications per 1000 biopsies to 67.9%)<sup>20, 23</sup> make it difficult to draw robust conclusions.

# **Question 3:** Is there evidence that screening using risk algorithms or inclusion of markers other than PSA alone can better identify men with clinically significant prostate cancer, or improve screening efficiency?

A total of 19 publications reporting on 11 unique studies were initially included in the review for this question. Twelve included studies were deprioritised for extraction as they did not compare a relevant screening test to PSA-based screening or lacked a comparator altogether. Ultimately, 7 primary publications reporting on 6 unique studies were extracted, which compared screening tests for prostate cancer with standard PSA testing. Evidence on the following screening tests was identified: percent free PSA test, digital rectal examination (DRE), PSA test with DRE and prostate cancer antigen 3 (PCA3) test, MRI, PSA test with MRI, and the Stockholm 3 (STHLM3) model.

Two studies evaluated sequential screening methods.<sup>24, 25</sup> The addition of PCA3 was found to significantly improve the area under the curve (AUC) compared with PSA/DRE alone (0.601 vs 0.748; p=0.008), although specificity was low (57.1% at a cut-off of PCA3 ≥35), resulting in a high number of unnecessary biopsies. In the Göteborg pilot study, the PSA ≥1.8 ng/mL followed by MRI screening strategy appeared superior at detecting prostate cancer to PSA ≥3.0 ng/mL followed by MRI, with a sensitivity of 0.73 (95% CI 0.56–0.90) vs 0.46 (95% CI 0.27–0.65) (p=0.08), but had a lower specificity (0.79 [95% CI 0.70–0.87] vs 0.92 [95% CI 0.86–0.97], p<0.001).<sup>24</sup> These findings are yet to be validated in independent populations. MRI alone may be more accurate than PSA testing; however, confidence in these findings is limited by a small sample size of 50 participants.<sup>26</sup> The STHLM3 predictive model represents a promising screening tool that should be subjected to further validation. The AUC for the STHLM3 model was superior to that for the PSA test for the prediction of high-grade (Gleason score ≥7) cancers (AUC 0.75 [95% CI 0.73–0.77] vs 0.58 [95% CI 0.57–0.60]).<sup>27</sup>

In summary, evidence gathered in the current review suggests that MRI (either added to PSA-based screening or alone) and the STHLM3 predictive model may offer greater diagnostic accuracy relative to prostate cancer screening with the PSA test only.

# **Question 4:** What are the harms and benefits of currently available treatment approaches for early-stage prostate cancer to reduce morbidity and mortality?

Five systematic literature reviews (SLRs) and 19 publications on 17 RCTs were initially included. The SLRs (2 of which were from the most recent National Institute for Health and Care Excellence (NICE) guidance for managing prostate cancer [NG131]) included 13 unique RCTs. Due to the high volume of evidence identified, a prioritisation strategy

was applied; data was extracted, and studies were included in the evidence synthesis if they compared one relevant intervention to a different relevant intervention or to 'no treatment'. Studies that compared different iterations of the same intervention (e.g. different approaches to performing prostatectomy) were deprioritised from evidence synthesis. Ultimately, 5 SLRs including 13 RCTs and 12 publications on 6 unique RCTs were selected for extraction, resulting in a total of 19 RCTs.

High quality evidence was found for the following treatment comparisons: observation (watchful waiting or active surveillance) vs radiotherapy (RT); observation vs prostatectomy; RT vs prostatectomy; androgen deprivation therapy (ADT) plus RT vs RT and different types of RT. Prostatectomy and RT had some benefit at treating prostate cancer in terms of improving disease progression compared to observation (active surveillance or watchful waiting). However, this was at the consequence of worse adverse events, including urinary and erectile dysfunction and gastrointestinal (GI) and genitourinary (GU) toxicity. There was a lack of evidence distinguishing the effects of treatment for low-, intermediate- and high-risk disease. It is therefore unclear whether the potential benefits of radical treatments on disease progression in comparison to observation can offset the increased rate of adverse events, particularly for men who may never have clinically important disease.

# Recommendations on screening

Based on the overall synthesis of evidence against the UK NSC criteria, PSA-based screening of asymptomatic men is still not recommended.

For question 1, overall, the direction of evidence would suggest that whilst PSA-based screening increases the incidence of prostate cancer, the effect on prostate cancer-specific mortality in comparison with no screening or usual care is unclear. Therefore, it is deemed that criterion 11 is not met.

For question 2, overall, it is unclear whether benefit gained from PSA-based screening programmes outweighs harms, particularly overdiagnosis and the complications that could subsequently arise from unnecessary biopsy; thus, criterion 13 is not met.

For question 3, although the evidence is promising, the lack of consistency precludes drawing robust conclusions on the appropriateness of any test as a screening measure to detect prostate cancer. Further studies could confirm the superiority of MRI over PSA-based screening, especially because PSA-based screening also does not meet criteria 11 and 13, investigated in the first part of this review. As such, criteria 4 and 5 are also not met.

For question 4, results for prostatectomy vs RT and comparisons between different RT types were inconclusive. A possible benefit was seen in the addition of ADT to RT compared with RT alone, however, this is incremental and does not inform on how ADT would perform alone or in comparison to other treatments. Overall, of the treatments

that are currently recommended by NICE (those constituting 'usual care'), no intervention could be identified as conclusively superior. Better disease progression offered with RT or prostatectomy vs observation has to be balanced against increased adverse events, particularly in men who may not go on to develop clinically significant disease. It is thus unclear whether early identification of men with prostate cancer would provide them with a therapeutic advantage, and criterion 9 is also not met.

#### Limitations

Methodological limitations included limiting the searches to only including peerreviewed, English-language journal articles. The titles, abstracts and full texts were screened by one reviewer, with a second reviewer verifying all included, 10% of excluded decisions and any articles where there was uncertainty about their inclusion.

### **Evidence uncertainties**

# Q1 and Q2

The direct comparison of outcomes between trials is complicated by different screening intervals and PSA thresholds, different durations of follow-up which may not be long enough to capture the effects of screening on mortality, and the issue of control arm contamination, particularly in the ERPSC and PLCO trials. It is likely that the relatively large number of men from control arms attending screening appointments (opportunistic screening) has diluted the perceived effectiveness of screening in preventing prostate cancer-related deaths. While contamination in the CAP trial was estimated to be lower at approximately 15%, this still may have influenced mortality.<sup>28</sup> Longer follow-up of the CAP trial may also demonstrate a greater effect of screening.

The majority of screening protocols used a threshold of PSA >3 ng/mL to classify results as positive. Thresholds of 4 ng/mL and 2.5 ng/mL were used in the PLCO and Swedish ERSPC cohorts, respectively. The screening interval varied between trials, from annual screening (PLCO) to once every 7 years (Belgian ERSPC cohort).<sup>10, 12</sup> By contrast, the CAP trial involved a single screening invitation at the start of the study.<sup>12</sup> The comparability between the different thresholds or the influence of the screening interval or biopsy protocol on prostate cancer incidence or mortality is unclear.

The inconsistency in the harm outcomes reported for question 2 makes it difficult to draw robust conclusions on the harms and benefits of screening, as findings are not supported by multiple sources. Further analyses, where possible, are required to further explore harms and benefits such as false-negative results, psychological harms and overtreatment associated with PSA-based screening, in order to confirm the findings of the PLCO and ERSPC trials thus far.

The comparison of results between the studies is complicated by the use of varying thresholds for the PSA test comparator (3 studies used a PSA threshold of 3 ng/mL, whilst the other 3 studies used 4 ng/mL). The previous UK NSC review found that the use of a 3 ng/mL threshold increased sensitivity for the detection of prostate cancer, but also increased false positive cases and overdiagnosis vs a higher threshold. Furthermore, none of the identified studies characterised the distribution of index test values in the target population. Only one study reported relevant outcomes for more than 2 index test thresholds in an effort to determine the most appropriate threshold. Most notably, none of the studies evaluated the ability of the screening tests to distinguish between insignificant and clinically significant prostate cancer. All but one study applied the reference standard (biopsy) only to the screen-positives, thereby making it impossible to determine the true sensitivity of the test.

Ultimately, as no 2 studies evaluated the same index test(s) and comparator(s), no screening approach was validated by a second, independent study.

# Q4

For the majority of treatment comparisons for early prostate cancer (observation vs RT; observation vs prostatectomy; RT vs prostatectomy), conclusions about the consistency of the evidence could not be drawn because the comparison was only reported in one RCT. Whilst RT and prostatectomy were found to be superior to observation (watchful waiting or active surveillance) in terms of disease progression outcomes, this was with the consequence of worse adverse events, including GI and GU toxicity.

There was also very little evidence which stratified by prostate cancer risk group (low-, intermediate- or high-risk; only reported in 2 studies), which adds to the uncertainty of choosing radical treatment over observation treatment in men who may not suffer from clinically significant disease.

# Introduction and approach

# Background

The prostate is a walnut-sized gland located in the pelvis, which forms part of the male reproductive system. Its function is to secrete prostatic fluid, one of the principal components of semen, together with spermatozoa and seminal vesicle fluid. Common disorders of the prostate gland are enlargement (benign prostatic hyperplasia [BPH]), inflammation (prostatitis) and cancer.<sup>1</sup>

In clinical practice, prostate cancer is typically evaluated according to the Tumour, Node, Metastasis (TNM) staging system, whereby cancer is classified according to the size of the tumour, whether it has metastasised to a different part of the body, and whether it has spread to the lymph nodes.<sup>29</sup> Prostate cancer can also be labelled as localised, denoting cancer that remains fully contained within the prostate gland; locally advanced, reflecting cancer that has broken through the capsule (outer layer) of the prostate gland; or advanced, representing cancer that has spread to other parts of the body. The latter might entail direct growth into the nearby bladder or rectum, and/or metastasis to the lymph nodes, bones, or other body tissues.<sup>29</sup> In its early stages, prostate cancer is often asymptomatic, with symptoms emerging as the disease progresses. If symptoms do occur, these typically affect urinary function due to the proximity of the prostate gland to the urethra, and include frequent urination, increased urination at night (nocturia), difficulty starting or maintaining a urine stream (urinary hesitancy), blood in the urine (haematuria), and painful urination (dysuria). However, these are also common consequences of the normal enlargement of the prostate gland that occurs with ageing, and therefore do not necessarily indicate the presence of prostate cancer.<sup>30, 31</sup> Symptoms that often accompany locally advanced or metastatic prostate cancer are erectile dysfunction, as well as back, hip and pelvic pain, blood in the urine or semen, and unexplained weight loss.<sup>30</sup>

### Burden

Prostate cancer is the most common cancer amongst men in the UK, where it accounts for 26% of all male cancer diagnoses and 14% of male cancer deaths, and 13% of total cancer diagnoses and 7% of total cancer deaths in the UK.<sup>2</sup> There were 47,740 new diagnoses and 11,714 deaths from prostate cancer in 2016 in the UK and approximately 400,000 men in the UK have a current or previous diagnosis of the disease.<sup>1 2, 31</sup> Localised and locally advanced prostate cancer are more frequently diagnosed than metastatic cancer, which accounted for 16% of new diagnoses in England and Wales in 2016–17.<sup>3</sup>

The burden is particularly high in men with risk factors. In England, the lifetime risk of prostate cancer diagnosis is 29% for black men, in comparison with 13% for white men and 8% for Asian men.<sup>32</sup> Prostate cancer burden also increases with age, becoming the most common cancer in men aged >45,<sup>33</sup> and with mortality rates in the UK highest in men aged >90.<sup>2</sup>

Internationally, there were approximately 1.3 million new prostate cancer diagnoses and 350,000 prostate cancer deaths in 2018, representing 7.1% and 3.8% of total cancer diagnoses and deaths respectively.<sup>34</sup> Age-standardised prostate cancer incidence was highest in Australia, New Zealand, Northern Europe, Western Europe and North America, and lowest in South-Central Asia, whereas age-standardised prostate cancer mortality was highest in South America and the Caribbean, and lowest in South-Central Asia.<sup>34</sup>

The incidence of prostate cancer in the UK increased by 41% between 1993–95 and 2014-16;<sup>2</sup> this likely reflects increased detection due to the increasing and widespread use of PSA testing and the increased use of surgery to treat benign prostate diseases, which can lead to incidental diagnoses of prostate cancer.<sup>6</sup>

# Natural history and aetiology

Around 95% of prostate cancers are adenocarcinomas,<sup>35</sup> with approximately 70% of these originating in the largest part of the prostate, the peripheral zone.<sup>36</sup> Prostatic intraepithelial neoplasia (PIN) has been identified as a possible precursor to prostate cancer, as it is most commonly found in the peripheral zone and possesses a phenotype that is intermediate between benign and cancerous epithelial tissue.<sup>37</sup> Following detection of high-grade PIN, more than 30% of patients are diagnosed with prostate cancer during the subsequent year.<sup>38</sup> Additionally, the androgen receptor signalling pathway, including 2 major androgens, testosterone and dihydrotestosterone (DHT), may be implicated in prostate cancer progression and the survival of prostate cancer cells. This is based on the observations that 90–95% of testosterone is produced in the testicles and prostate cancer is rarely observed in eunuchs, and similarly, that prostate cancer is not seen in men deficient in 5- $\alpha$ -reductase, an enzyme that converts testosterone to DHT.<sup>37, 39</sup> Furthermore, androgen administration triggers prostate enlargement, whereas removal of the testicles (orchidectomy) and androgen deprivation therapy cause prostate cancer to regress.<sup>37, 39, 40</sup>

Prostate cancer is associated with both modifiable (body mass index [BMI], diet) and unmodifiable risk factors (age, ethnicity and genetics).<sup>6, 41</sup> For example, the disease becomes increasingly common with advancing age, with just 1% of diagnoses occurring in men <50 years<sup>6</sup> and only 25% of cases affecting men <65 years,<sup>42</sup> meanwhile, black men are at roughly twofold increased risk relative to white men.<sup>32</sup>

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Multiple genes have been implicated in prostate cancer risk, including rare mutations in *BRCA1* and *BRCA2*, which are also risk factors for breast and ovarian cancer in women. Men with a mutation in *BRCA2* have a significantly increased risk of prostate cancer and a greater likelihood of diagnosis with clinically significant disease.<sup>43, 44</sup> There are many more (upwards of 100) mutations that are more common (allele frequencies of  $\geq$ 5%). Individually, these more common alleles confer a low-to-moderate risk (per-allele odds ratios [ORs] in the region of 1.06 to 1.14),<sup>45</sup> but cumulatively contribute to a higher risk of prostate cancer development in a log-additive or multiplicative fashion.<sup>46, 47</sup> Some variants may also be predictive of more aggressive disease.<sup>47</sup> Prostate cancer risk is also increased in individuals with family history of the disease, further highlighting the contribution of genetic factors to the disease risk.<sup>48-50</sup> Among modifiable risk factors, a higher BMI is associated with an increased risk of diagnosis with advanced disease over earlier-stage disease,<sup>51, 52</sup> and high exposure to pesticides has been shown to increase overall prostate cancer risk.<sup>53</sup>

In most cases, prostate cancer progresses slowly and will not cause morbidity or mortality during the patient's natural lifetime. This is known as indolent or clinically insignificant prostate cancer.<sup>54</sup> By contrast, a minority of cancers are aggressive and progress rapidly to metastasis and death; these are known as clinically significant cancers.<sup>6</sup> In clinical practice, men are regularly stratified into groups at low, medium or high risk of progression, based on multiple clinical parameters using risk assessment tools such as the D'Amico classification system or the Cancer of the Prostate Risk Assessment (CAPRA) score.<sup>41</sup> The D'Amico classification system assesses the 5-year risk of treatment failure based on clinical stage, Gleason score (histopathological analysis of prostate tissue with higher scores indicative of a worse prognosis)<sup>55</sup> and levels of prostate-specific antigen (PSA) in the blood.<sup>56, 57</sup> Nonetheless, the likelihood of disease progression remains difficult to predict, and existing risk stratification tools are relatively inaccurate at differentiating clinically significant and insignificant prostate cancer.<sup>58</sup> Consequences of this may include the unnecessary treatment of patients with clinically insignificant cancer or conversely, the delayed initiation of necessary treatment for significant disease, as described in further detail below.

# Prostate-specific antigen (PSA) test

The most commonly used screening tool for prostate cancer is the determination of PSA concentration in the blood. PSA, also known as human kallikrein 3 (hK3), is a serine protease enzyme secreted by the epithelial cells in the prostate gland.<sup>5</sup> In prostate cancer, the architecture of these epithelial cells is disrupted, allowing PSA to leak into the extracellular space and escape into the circulation,<sup>5</sup> hence elevated serum PSA levels are thought to be indicative of prostate cancer, with 3–4 ng/mL the traditional threshold for the definition of elevated PSA.<sup>5, 6</sup>

#### Limitations

Despite its common use, there are several limitations to PSA screening. Firstly, elevated PSA levels are not exclusively indicative of prostate cancer; they are also associated with benign conditions such as BPH and prostatitis,<sup>6, 41, 59</sup> and other factors/exposures including urinary infection, vigorous exercise, recent ejaculation, bladder or prostate gland surgery, digital rectal examination (DRE) and previous prostate biopsy.<sup>60</sup> Conversely, prostate cancer (including aggressive disease) can be present in the absence of elevated PSA<sup>9</sup> which may be due to the PSA-lowering effects of comorbid conditions such as obesity,<sup>61</sup> or of 5- $\alpha$ -reductase inhibiting drugs such as finasteride and dutasteride,<sup>60</sup> which are commonly prescribed to treat BPH in elderly men. Consequently, the PSA test is vulnerable to both false positive and false negative results; in the US-based Prostate Cancer Prevention Trial (PCPT), PSA had a sensitivity of 21% (79% false negative rate), a specificity of 91% (9% false positive rate), a positive predictive value (PPV) of 30%, and a negative predictive value (NPV) of 85% using a 4 ng/mL cut-off. The lower threshold of 3 ng/mL PSA had a higher sensitivity of 32% (68% false negative rate) but at the cost of a lower specificity of 85% (15% false positive rate.<sup>62-64</sup> High rates of false positives and false negatives have multiple adverse implications for patients. For example, in the event of a false positive, the patient is unnecessarily exposed to the physical and psychological side-effects of the highly invasive prostate needle biopsy procedure that is typically used to confirm diagnosis,<sup>7,8</sup> whereas in the event of a false negative, the patient is given false assurances which can delay detection of the disease and may worsen their prognosis. Indeed, it has been suggested that the delayed detection of prostate cancer in obese men may contribute to the worse clinical outcomes observed in this subpopulation.<sup>61, 65</sup> In addition, the PSA test cannot reliably distinguish between patients with clinically significant and insignificant prostate cancer.<sup>6</sup> Use of the PSA test may therefore lead to overdiagnosis and subsequent overtreatment; that is, the diagnosis of prostate cancer that would be unlikely to cause overt symptoms during a patient's lifetime or to shorten their life expectancy that is then unnecessarily treated, exposing men to the adverse effects of radical treatment for no benefit. Multiple investigations have suggested that overall, the harms of diagnosing and treating clinically insignificant cancer may outweigh the benefits of screening.<sup>6-8</sup>

#### Current recommendations on the use of PSA-based screening

Major ongoing RCTs of PSA-based screening, the ERSPC, the US-based PLCO Cancer Screening Trial and the UK-based CAP trial, have so far produced conflicting results in terms of the impact of screening on prostate cancer mortality.<sup>12, 68-70</sup> Faced with inconclusive data regarding the effectiveness of PSA-based screening, many major health organisations recommend against systematic population screening for prostate cancer with the PSA test. The majority suggest that an individualised discussion-based approach is more appropriate, although the exact recommendations vary between organisations, as detailed in Table 1.<sup>59</sup>

For those men who do opt to undergo screening, there is also some heterogeneity in the recommendations around PSA-based testing practice. For example, some organisations advocate the use of age-specific PSA thresholds, while race-specific PSA thresholds have also been proposed in the USA.<sup>41</sup> There is also disagreement regarding the optimal interval for PSA screening. Recommendations from different organisations range from one to 4 years, but there is limited evidence regarding the harms and benefits of different screening intervals.<sup>66</sup> Risk-adapted screening, whereby the regularity of screening is individually determined based on an initial midlife PSA test, is currently under investigation in PROBASE, a randomised controlled trial (RCT) in Germany.<sup>67</sup>

The existing recommendation from the UK NSC is that systematic population screening for prostate cancer should not be offered, due to the inaccuracy of the PSA test as a screening tool, its inability to distinguish clinically significant from insignificant prostate cancer, and the possibility that the harms of PSA screening (including adverse effects of biopsy and treatment) may outweigh its benefits.<sup>71</sup> This recommendation was based on a 2015 UK NSC review, which concluded that the evidence base regarding population screening for prostate cancer had not altered significantly since the preceding UK NSC review, published in 2010. The 2010 and 2015 UK NSC reviews cite the findings of a University of Sheffield School of Health and Related Research (ScHARR) model, first published in 2009 and updated in 2013, which estimated that the harms of the adverse effects of treatment outweigh the potential survival benefits of systematic prostate cancer screening.<sup>72</sup>

Even though population screening is not recommended in the UK, the Prostate Cancer Risk Management Programme (PCRMP) recommends that any asymptomatic man aged 50 or over should be able to request a PSA test after careful discussion with his general practitioner (GP) and consideration of the implications.<sup>73</sup> The PCRMP provides GPs and primary care professionals with information to counsel asymptomatic men aged 50 and over that enquire about PSA testing, although GPs are advised against proactively encouraging PSA testing in asymptomatic men.<sup>73</sup>

| Organisation  | Country | Year | Age   | Recommendation(s)                                       | Notes  |
|---|---------|------|---|---|--|
| UK National Screening<br>Committee <sup>6, 71</sup>           | UK      | 2016 | All ages  | Recommend against<br>systematic population<br>screening |  |
| Prostate Cancer Risk<br>Management<br>Programme <sup>73</sup> | UK      | 2016 | ≥50   | Screening discussions                                   | Asymptomatic men aged 50 or over should be able to request a PSA test after careful discussion with their GP and consideration of the implications   |
| Canadian Task Force on Preventive Health Care <sup>74</sup>   | Canada  | 2014 | 55–69   | Recommend against routine screening                     | Weak recommendation with moderate quality evidence   |
|   |         |      | <55 or ≥70  | Recommend against<br>routine screening                  | Strong recommendation with low quality evidence  |
| European Association of<br>Urology <sup>75</sup>              | Europe  | 2018 | All ages  | Screening discussions                                   | Recommend against PSA screening without prior counselling on<br>potential risks and benefits, but offer an individualised, risk-adapted<br>strategy for early detection to well-informed men with good<br>performance status and life expectancy ≥10–15 years<br>Recommend against routine screening in all men with life expectancy<br>of <15 years |
|   |         |      | >50   | Offer early PSA testing                                 | In well-informed men   |
|   |         |      | >45 and African-<br>American ethnicity<br>or positive family<br>history | Offer early PSA testing                                 | In well-informed men   |
| European Society for<br>Medical Oncology <sup>76</sup>        | Europe  | 2015 | All ages  | Recommend against<br>population-based<br>screening      | Also specify that testing for prostate cancer in asymptomatic men<br>should not be done in men over 70 years old   |
| American Academy of<br>Family Physicians <sup>77</sup>        | USA     | 2019 | <55 or ≥70  | Recommend against routine screening                     | Strong recommendation with low quality evidence  |
|   |         |      | ≥70   | Recommend against screening                             | Rationale: lower benefit of screening due to risk of mortality from other<br>non-prostate cancer causes and increased risk of harms from<br>screening in older men   |
| American Cancer<br>Society <sup>78</sup>                      | USA     | 2016 | 50 at average risk  | Screening discussions                                   | Average risk and expected to live at least 10 more years   |
| outely  |         |      | 45 at high risk   | Screening discussions                                   | High risk: African-American ethnicity; first degree relative with prostate cancer diagnosed at <65 years old   |
|   |         |      | 40 at very high<br>risk   | Screening discussions                                   | Very high risk: >1 first degree relative with prostate cancer diagnosed at <65 years old   |
|   | USA     | 2015 | 50–69   | Shared decision making                                  |  |

# Table 1: Current recommendations for PSA screening

| Organisation   | Country | Year | Age        | Recommendation(s)   | Notes  |
|--|---------|------|------------|---|--|
| American College of<br>Physicians <sup>79</sup>              |         |      | <50 or ≥70 | Recommend against routine screening   | This recommendation also applies to those men with a life expectancy of <10 years and those who had not had an informed discussion   |
| American Urological<br>Association <sup>80</sup>             | USA     | 2013 | 55–69      | Shared decision making  |  |
| Association  |         |      | 40–54      | Recommend against<br>routine screening for men<br>at average risk                 | However, recommends that decisions regarding prostate cancer<br>screening should be individualised for men at higher risk e.g. positive<br>family history or African-American race |
|  |         |      | <40        | Recommend against routine screening   | This recommendation also applies to those men with a life expectancy of <10–15 years   |
| US National<br>Comprehensive Cancer<br>Network <sup>81</sup> | USA     | 2018 | 45–75      | Offer screening   | Begin discussing PSA screening with African-American men several years earlier than white men  |
| Network  |         |      | >75        | Continue screening with caution in healthy patients with little or no comorbidity |  |
| US Preventive Services<br>Task Force <sup>8</sup>            | USA     | 2018 | 55–69      | Screening discussions   | Provide information about the benefits and harms of screening  |
| I ASK FUICE  |         |      | ≥70        | Recommend against screening   |  |

**Source**: Updated and adapted from Tikkinen 2018<sup>59</sup>

#### Novel biomarkers, diagnostic and risk stratification tools

Given the inherent limitations of the PSA test, there is growing interest in its use in combination with other risk stratification tools prior to definitive diagnostic evaluation with prostate biopsy.<sup>82-84</sup> The 2019 National Institute for Health and Care Excellence (NICE) guidance document (NG131) recommends that a multiparametric magnetic resonance imaging (mpMRI) scan is performed prior to biopsy in patients with suspected localised prostate cancer that are eligible for radical treatment.<sup>85</sup> Two RCTs (Prostate MRI Imaging Study [PROMIS] and PRECISION) concluded that mpMRI scanning could allow more than 25% of suspected prostate cancer patients to avoid an unnecessary biopsy.<sup>86, 87</sup> MpMRI offers high resolution visualisation of the prostate gland, enabling clinicians to detect suspicious lesions and, following standardised guidelines known as the Prostate Imaging Reporting and Data System (PI-RADS), to assess the likelihood of clinically significant prostate cancer on a 5-point scale.<sup>88</sup> It also aids the staging of prostate cancer through the evaluation of locoregional extension, lymph node involvement and bone metastases in the pelvic region.<sup>88</sup> However, there is little evidence on whether a strategy using mpMRI reduces prostate cancer metastases or mortality.

In addition, considerable research effort has been invested in the identification of novel biomarkers for prostate cancer. Like mpMRI scanning, these have largely been used to triage patients with elevated PSA levels, with the aim of avoiding unnecessary biopsies, rather than as a direct replacement for the PSA test.<sup>82, 83</sup> Firstly, it has been argued that alternative PSA indices could improve the accuracy of PSA testing; these include PSA velocity, PSA density, and free PSA (fPSA) and its many subtypes and derivatives, such as the ratio of free-to-total PSA (%fPSA), intact PSA (iPSA), precursor PSA (proPSA), p2PSA, and the ratio of p2PSA-to-fPSA (%p2PSA). There is some evidence that these indices offer greater diagnostic accuracy than total PSA alone.<sup>82, 83, 89, 90</sup> Secondly, the Prostate Health Index (PHI) is an algorithm that combines 3 PSA measures (total PSA, free PSA and p2PSA) into a single score (Carlsson and Roobol 2017), and it has been found that calculating PHI after a positive PSA test might avoid 36-41% of unnecessary biopsies.<sup>91</sup> Thirdly, urinary biomarkers such as PCA3 and TMPRSS2:ERG are prostate-enriched genes whose mRNA can be detected in urine after DRE, and whose expression levels are associated with prostate cancer.<sup>82, 83</sup> Fourthly, the Mi-Prostate Score (MiPs) tool measures the urinary mRNA levels of both genes, together with serum PSA. It has shown early diagnostic promise, but has yet to be tested in large prospective studies.<sup>82, 83</sup> Finally, a 2015 systematic review by NICE in collaboration with the National Institute for Health Research (NIHR) found that the clinical benefit of using the PCA3 assay or the PHI in combination with existing screening tests had yet to be confirmed.<sup>92</sup>

Alternatives to the use of the PSA test alone are nomograms or risk calculators, which incorporate a range of clinical variables, such as age, family history of prostate cancer, PSA indices, DRE findings, germline genotyping, proteomics, and imaging results such as prostate volume. Examples include the ERSPC Risk Calculator (ERSPC-RC) and the Prostate Cancer Prevention Trial Risk Calculator (PCPT-RC).<sup>64, 82, 83, 93</sup> Recent data show that the diagnostic accuracy of risk calculators can be improved through their amalgamation with more modern tools such as the PHI. More contemporary risk calculators have also been introduced, such as 4Kscore, the SelectMDx<sup>®</sup> tool, and the Stockholm-3 (STHLM3) risk calculator or Stockholm-3 model (S3M).<sup>82, 83</sup> Full details of common risk calculators and their clinical parameters can be found in Table 2.

Meta-analyses have shown that most risk calculators offer better diagnostic accuracy than total PSA alone,<sup>94</sup> and studies have shown that they are able to achieve a significant reduction in unnecessary biopsies.<sup>82, 95-97</sup> Furthermore, risk calculators are extremely accessible since they involve readily available clinical parameters and can be calculated simply using mobile apps or web-based tools,<sup>83</sup> although Western risk calculators show limited diagnostic efficacy when applied to Asian populations.<sup>98</sup> In the UK, the use of prostate cancer nomograms by clinicians is currently recommended by NICE to help patients make treatment decisions, and to predict biopsy results, pathological stage and risk of treatment failure.<sup>85</sup>

| Risk calculator/<br>nomogram | Details  | Source |
|------------------------------|--|--------|
| ERSPC-RC                     | PSA, ultrasound prostate volume, clinical stage, prostate biopsy<br>Gleason grade, total length of cancer and noncancer tissue in<br>biopsy cores                    | 93     |
| PCPT-RC                      | Age at biopsy, race, family history of prostate cancer, PSA level, PSA velocity, DRE result, and previous prostate biopsy  | 64     |
| PHI                          | Total PSA, fPSA and p2PSA  | 99     |
| MiPS                         | Urinary mRNA levels of PCA3 and <i>TMPRSS2:ERG</i> , together with serum PSA   | 100    |
| 4Kscore                      | Combined measurement of age, DRE, prior biopsy results and total PSA, fPSA, iPSA and hK2   | 101    |
| SelectMDx <sup>®</sup>       | Urinary mRNA levels of <i>HOXC6</i> and <i>DLX1</i> normalised to levels of <i>KLK3</i> , alongside PSA, DRE, prostate volume and family history                     | 96     |
| STHLM3 or S3M                | Clinical parameters (age, family history, previous prostate biopsy,<br>prostate exam), plasma protein biomarkers (PSA, fPSA, iPSA, hK2,<br>MSMB, MIC1) and >200 SNPs | 97     |

| Table 2: Risk o | calculators/nomograms | for prostate | cancer detection |
|-----------------|-----------------------|--------------|------------------|
|                 |                       |              |                  |

Abbreviations: *DLX1, distal-less homeobox 1*; DRE, digital rectal examination; ERSPC-RC, European Randomised Study of Screening for Prostate Cancer Risk Calculator; fPSA, free prostate-specific antigen; hK2, human glandular kallikrein; *HOXC6, homeobox C6*; iPSA, intact prostate-specific antigen; *KLK3, kallikrein-3*; MIC1, macrophage inhibitory cytokine; MiPS, Michigan Prostate Score; mRNA, messenger ribonucleic acid; MSMB, microseminoprotein Beta; p2PSA, [-2]pro prostate-specific antigen; PCA3, prostate cancer antigen 3; PCPT-RC, Prostate Cancer Prevention Trial

Risk Calculator; PHI, Prostate Health Index; PSA, prostate-specific antigen; S3M, Stockholm 3 Model; SNP, single nucleotide polymorphism; STHLM3, Stockholm 3; *TMPRSS2:ERG, transmembrane protease serine 2:v-ets erythroblastosis virus E26 oncogene homolog* 

Finally, standalone single nucleotide polymorphism (SNP) panels have also been the subject of recent interest, but have yet to yield conclusive results.<sup>102</sup> Other nascent diagnostic approaches include the epigenetic profiling of prostate biopsy tissue,<sup>103</sup> the genetic profiling of microRNAs released by cancer cells in the blood and other biofluids<sup>104</sup> and the genetic profiling of mitochondrial DNA (mtDNA) isolated from blood samples.<sup>105</sup>

#### Treatment for prostate cancer

There are multiple possible treatments for prostate cancer, but the available options are dependent on multiple patient-specific factors, including disease stage, Gleason score, general health, age and life expectancy. In many cases, there is no indicated 'best option' for a particular stage, so as with PSA screening, patients can be educated about the strengths and limitations of each option, and encouraged to make an informed decision.<sup>85, 106</sup>

Some patients may receive conservative monitoring instead of radical treatment. This conservative treatment could involve active surveillance, which entails regular monitoring of the disease in a hospital outpatient setting, with the intention of initiating curative treatment in the event of disease progression,<sup>8, 106</sup> or watchful waiting that involves less regular monitoring, usually in a primary care setting, with the intention of starting palliative treatment in the event of disease progression.<sup>106</sup> Other patients with primary prostate cancer may receive an active interventional treatment, which could include radical prostatectomy (the surgical removal of the entire prostate gland) and/or radiotherapy (with several different modalities in terms of dosage, method of delivery) with or without adjunctive androgen deprivation therapy.<sup>85, 106</sup> External beam radiotherapy involves the delivery of high-energy X-rays to the prostate from outside the body, whereas brachytherapy entails the internal application of a radiation source, via permanent implantation (seed brachytherapy) or temporary delivery through a tube (high dose-rate brachytherapy).<sup>106</sup> Androgen deprivation therapy by itself is not curative; instead, it aims to delay or manage symptoms, and is often used in combination with other interventions to improve their effectiveness.<sup>106</sup> For metastatic prostate cancer, possible treatments include the chemotherapy drug docetaxel, orchidectomy and androgen deprivation therapy.<sup>85</sup> Current treatment recommendations for the UK by specific cancer stage are summarised in Table 3.

| Stage of prostate cancer    | Recommended treatment options notes  |
|-----------------------------|--|
| Low-risk localised          | Active surveillance  |
|                             | Radical prostatectomy  |
|                             | Radical radiotherapy   |
| Intermediate-risk localised | <ul> <li>Active surveillance (considered in those who do not choose immediate radical treatment)</li> </ul>  |
|                             | Radical prostatectomy  |
|                             | Radical radiotherapy   |
| High-risk localised         | When likely that disease can be controlled in the long-term:   |
|                             | Radical prostatectomy  |
|                             | Radical radiotherapy   |
| Locally advanced            | <ul> <li>Radical radiotherapy, including considering pelvic radiotherapy for men with &gt;15%<br/>risk of pelvic lymph node involvement</li> </ul> |
|                             | Hormonal therapy   |
| Metastatic                  | Docetaxel chemotherapy   |
|                             | <ul> <li>Bilateral orchidectomy, offered as an alternative to continuous luteinising</li> </ul>  |
|                             | hormone-releasing hormone agonist therapy  |
|                             | <ul> <li>Anti-androgen monotherapy with bicalutamide</li> </ul>  |
|                             | Androgen deprivation therapy   |

#### Table 3: NICE treatment recommendations for prostate cancer

Source: NICE guideline NG13185

More recently-developed treatments for localised prostate cancer include focal ablative therapy, which involves the targeted destruction of a prostate lesion with a laser, electromagnetic energy (radiofrequency ablation), electrical currents (irreversible electroporation), rapid cooling (cryoablation), light-activated generation of reactive oxygen species (photodynamic therapy) or high-intensity focused ultrasound (HIFU).<sup>107</sup> These techniques are relatively novel, and with the exception of HIFU, are not yet widely available in the UK.<sup>106</sup>

Existing prostate cancer treatments are associated with a range of adverse effects.<sup>6-8, 41, 85</sup> Radiotherapy and radical prostatectomy can lead to urinary, bowel and sexual disorders; for example, 1 in 6 men receiving radiotherapy suffer from bowel urgency and faecal incontinence, while more than half develop erectile dysfunction. In radical prostatectomy, 3 in 1,000 suffer perioperative death, 50 in 1,000 have serious surgical complications, 1 in 5 develop urinary incontinence and 2 in 3 develop erectile dysfunction.<sup>8</sup> Furthermore, postoperative infections are experienced by 1–5 in 100 men following radical prostatectomy.<sup>106</sup> The side effects of androgen deprivation therapy include hot flushes, gynecomastia, sexual dysfunction, osteoporosis and fatigue.<sup>85</sup> The treatment of prostate cancer is also associated with psychological side-effects, such as anxiety and depression; the risk of depression is particularly high with post-surgery androgen deprivation therapy.<sup>108,</sup> <sup>109</sup> This array of adverse effects underscores why overtreatment is such a serious issue in prostate cancer.

#### Current policy context and previous reviews

The existing recommendation from the UK NSC is that systematic population screening for prostate cancer should not be offered, based on the inaccuracy of the PSA test as a diagnostic tool, its inability to distinguish clinically significant from insignificant prostate cancer, and the possibility that the harms of PSA screening may outweigh its benefits.<sup>71</sup> Nonetheless, prostate cancer remains a substantial public health burden, and significant research effort is being invested in the disease throughout the world, particularly towards the development of novel diagnostic tools.

This rapid review aims to identify evidence published since the most recent UK NSC review,<sup>6</sup> including the most recent results of trials that were not captured by the previous review (ERSPC, PLCO and CAP), to provide an overview of the current landscape of screening and interventions for prostate cancer. Specifically, new evidence will be collected to answer the following four questions:

- 1. Does screening based on PSA reduce short- or long-term prostate cancer morbidity and mortality and all-cause mortality?
- 2. What are the harms of PSA-based screening for prostate cancer and diagnostic follow-up, with particular reference to overdiagnosis?
- 3. Is there evidence that screening using risk algorithms or inclusion of markers other than PSA alone can better identify men with clinically significant prostate cancer, or improve screening efficiency?
- 4. What are the harms and benefits of currently available treatment approaches for early-stage prostate cancer to reduce morbidity and mortality?

A key focus will be on the differentiation of clinically significant and insignificant disease, as the current inability to predict which cases of prostate cancer will experience disease progression poses a considerable challenge for disease management.

### Objectives

This review aims to assess whether there is sufficient evidence to consider introducing a screening programme for prostate cancer in asymptomatic men. The review will appraise evidence on the questions in Table 4, which each relate to the criteria set out by the UK NSC for assessing the suitability of a screening programme.

# Table 4. Key questions for the evidence summary, and relationship to UK NSC screening criteria

|    | Criterion  | Key questions  | Studies included                         |
|----|--|--|--|
|    | THE TEST   | •  |  |
| 4  | There should be a simple, safe, precise<br>and validated screening test.   | Is there evidence that<br>screening using risk<br>algorithms or inclusion of<br>markers other than PSA<br>alone can better identify<br>men with clinically<br>significant prostate<br>cancer, or improve<br>screening efficiency? (Q3) | 19 publications on 11 unique             |
| 5  | The distribution of test values in the target population should be known and a suitable cut-off level defined and agreed.  | Is there evidence that<br>screening using risk<br>algorithms or inclusion of<br>markers other than PSA<br>alone can better identify<br>men with clinically<br>significant prostate<br>cancer, or improve<br>screening efficiency? (Q3) | cohorts                                  |
| 0  | THE INTERVENTION   | \Albert and the beams and  | C CI De and 40 nublications on 47        |
| 9  | There should be an effective<br>intervention for patients identified<br>through screening, with evidence that<br>intervention at a pre-symptomatic<br>phase leads to better outcomes for the<br>screened individual compared with<br>usual care. Evidence relating to wider<br>benefits of screening, for example<br>those relating to family members,<br>should be taken into account where<br>available. However, where there is no<br>prospect of benefit for the individual<br>screened then the screening<br>programme shouldn't be further<br>considered.                        | What are the harms and<br>benefits of currently<br>available treatment<br>approaches for early-<br>stage prostate cancer to<br>reduce morbidity and<br>mortality? (Q4)   | 5 SLRs and 19 publications on 17<br>RCTs |
| 11 | THE SCREENING PROGRAMME<br>There should be evidence from high<br>quality randomised controlled trials that<br>the screening programme is effective in<br>reducing mortality or morbidity. Where<br>screening is aimed solely at providing<br>information to allow the person being<br>screened to make an "informed choice"<br>(such as Down's syndrome or cystic<br>fibrosis carrier screening), there must<br>be evidence from high quality trials that<br>the test accurately measures risk. The<br>information that is provided about the<br>test and its outcome must be of value | Does screening based on<br>PSA reduce short- or<br>long-term prostate cancer<br>morbidity and mortality<br>and all-cause mortality?<br>(Q1)  | 31 publications on 3 unique RCTs         |

|    | Criterion  | Key questions   | Studies included                   |
|----|--|---|------------------------------------|
|    | and readily understood by the individual being screened.   |   |                                    |
| 13 | The benefit gained by individuals from<br>the screening programme should<br>outweigh any harms, for example from<br>overdiagnosis, overtreatment, false<br>positives, false reassurance, uncertain<br>findings and complications | What are the harms of<br>PSA-based screening for<br>prostate cancer and<br>diagnostic follow-up, with<br>particular reference to<br>overdiagnosis? (Q2) | 9 publications on 2 unique studies |

# Methods

The current review was conducted by Costello Medical, in keeping with the UK National Screening Committee <u>evidence review process</u>. Database searches were conducted on 2 September 2019 to identify studies relevant to the questions detailed in Table 4.

#### Eligibility for inclusion in the review

The following review process was followed:

- Each abstract was reviewed against the inclusion/exclusion criteria by one reviewer. Where the applicability of the inclusion criteria was unclear, the article was included at this stage in order to ensure that all potentially relevant studies are captured. A second independent reviewer provided input in cases of uncertainty and validated all included and 10% of excluded articles. Any disagreements were resolved by discussion until a consensus was met.
- 2. Full-text articles required for the full-text review stage were acquired if freely available online or through the Cambridge University Library. Any paywalled articles unavailable at the Cambridge University Library were purchased.
- 3. Each full-text article was then reviewed against the inclusion/exclusion criteria by one reviewer, who determined whether the article was relevant to one or more of the review questions. A second independent reviewer provided input in cases of uncertainty and validated all included and 10% of excluded articles. Any disagreements were resolved by discussion until a consensus was met.

Eligibility criteria for each question are presented in Table 5,

Table 6 and Table 7 below. For all questions, systematic literature reviews (SLRs) and meta-analyses (MAs) were considered for inclusion. If the scope of an SLR or MA was very closely aligned to one of the topics of this review, it was included in its own right. However, where the scope was not closely aligned to one of the topics of this review but some of the included articles may have been of interest, the reference list of the SLR or MA was hand-searched. Any relevant primary research articles identified were included, but the SLR itself was excluded.

#### Table 5. Inclusion and exclusion criteria for question 1 and 2

| Domain                | Population   | Intervention  | Comparator                       | Outcome  | Study type  | Setting        | Other considerations  |
|-----------------------|--|---|----------------------------------|--|---|----------------|---|
| Inclusion<br>criteria | Asymptomatic<br>unselected adult men in<br>primary care settings   | PSA-based<br>screening<br>programme,<br>including but<br>not limited to<br>Single-<br>threshold<br>PSA test<br>Age-specific<br>thresholds<br>Variable<br>screening<br>intervals | No<br>screening or<br>usual care | Q1: Short- or long-term morbidity/mortality<br>outcomes, including but not limited to:Prostate cancer mortalityProstate cancer-specific morbidity,<br>including but not limited to:Bone pain from metastasesUrinary dysfunctionIncidence of advanced-stage cancerAll-cause mortalityQ2: Harms of PSA-based screening for<br>prostate cancer, including but not limited<br>to:False-positive resultsFalse-negative resultsPhysical harms of screening or biopsy<br>Psychological harmsOverdiagnosis, particularly in terms of<br>over-detection of clinically insignificant<br>disease (i.e. those that need not even be<br>followed by active surveillance)Overtreatment of clinically relevant but low-<br>risk disease (i.e. treatment where active<br>surveillance would be suitable) | RCTs, meta-analyses,<br>systematic reviews (of<br>included study designs)   | Any<br>country | Articles<br>published in the<br>English language<br>and since<br>January 2014                 |
| Exclusion<br>criteria | Men with symptoms that<br>are highly suspicious for<br>prostate cancer or not in<br>primary care settings<br>Men specifically selected<br>for the presence of<br>another condition or risk<br>factor, e.g. other types of<br>cancer, men working<br>with chemicals known to<br>be carcinogenic, men<br>with known genetic risk<br>of prostate cancer | Any other<br>type of<br>screening<br>programme  | Any other<br>comparator          | Any other outcomes   | Any other study design,<br>including non-<br>randomised trials or<br>interventional studies,<br>cohort studies, case-<br>control studies, case<br>reports, case series,<br>narrative reviews,<br>editorials,<br>commentaries, letters,<br>conference abstracts or<br>other publication types<br>that have not been<br>peer-reviewed | N/A            | Studies with full<br>text not in the<br>English language<br>Studies<br>published pre-<br>2014 |

**Abbreviations:** N/A, not applicable; RCT, randomised controlled trial.

# Table 6. Inclusion and exclusion criteria for question 3

| Domain                | Population  | Intervention   | Comparator  | Outcome   | Study type   | Setting     | Other considerations   |
|-----------------------|---|--|---|---|--|-------------|--|
| Inclusion<br>criteria | Asymptomatic<br>unselected adult<br>men in primary care<br>settings | Index test<br>Tests used alone,<br>sequentially or in<br>combination to predict<br>prostate cancer, including<br>but not limited to:<br>• Clinical variables<br>(e.g. age, family<br>history of prostate<br>cancer, a previous<br>biopsy)<br>• Ratio of free to total<br>PSA<br>• Blood biomarkers<br>(PSA, MIC1 etc.) or<br>biomarker panels<br>(4K panel,<br>STHLM3 panel)<br>• Urine biomarkers<br>• Genetic markers<br>• DRE<br>• Prostate volume<br>• Imaging<br>markers/techniques<br>(e.g. mp-MRI)<br>• Nomograms<br>combining one or<br>more of the above<br>variables or tests<br><b>Reference standard</b><br>Confirmed prostate cancer<br>diagnosis via:<br>• TPM biopsy or<br>TRUS-guided<br>biopsy<br>• National cancer | Tier 1:<br>• PSA-based<br>screening only<br>(including single-<br>threshold PSA<br>test, age-specific<br>thresholds,<br>variable<br>screening<br>intervals)<br>• Usual care<br>Tier 2:<br>• No comparator<br>• Another relevant<br>screening test | Measures of<br>screening<br>accuracy:<br>• Test<br>performance<br>(e.g. AUC,<br>sensitivity,<br>specificity,<br>PPV, NPV)<br>Disease-related<br>outcomes:<br>• Prostate<br>cancer<br>mortality<br>• Cancer stage<br>shift e.g.<br>reduction in<br>stage IV<br>prostate<br>cancers | RCTs, meta-<br>analyses and<br>systematic<br>reviews,<br>observational<br>studies with<br>consecutively<br>enrolled<br>populations | Any country | Articles published in<br>the English language<br>and since January<br>2014 |

| Domain                | Population  | Intervention            | Comparator               | Outcome               | Study type  | Setting | Other considerations   |
|-----------------------|---|-------------------------|--------------------------|-----------------------|---|---------|--|
|                       |   | registry reported cases |                          |                       |   |         |  |
| Exclusion<br>criteria | Men with symptoms<br>that are highly<br>suspicious for<br>prostate cancer or<br>not in primary care<br>settings<br>Men specifically<br>selected for the<br>presence of another<br>condition or risk<br>factor, e.g. other<br>types of cancer, men<br>working with<br>chemicals known to<br>be carcinogenic,<br>men with known<br>genetic risk of<br>prostate cancer | Irrelevant index tests  | Any other<br>comparators | Any other<br>outcomes | Any other<br>study design,<br>including case<br>reports, case<br>series,<br>narrative<br>reviews,<br>editorials,<br>commentaries,<br>letters,<br>conference<br>abstracts or<br>other<br>publication<br>types that<br>have not been<br>peer-reviewed | N/A     | Studies with full text<br>not in the English<br>language<br>Studies published pre-<br>2014 |

**Abbreviations:** AUC, area under the curve; DRE, digital rectal examination; MIC1, macrophage inhibitory cytokine; mp-MRI, multi-parametric magnetic resonance imaging; N/A, not applicable; NPV, negative predictive value; PPV, positive predictive value; PSA, prostate specific antigen; RCT, randomised controlled trial; STHLM3, Stockholm3; 4K, 4-kallikrein; TPM biopsy, template prostate mapping biopsy; TRUS-guided biopsy, transrectal ultrasound-guided prostate.

| Domain                | Population   | Intervention  | Comparator   | Outcome  | Study type                                    | Setting        | Other considerations   |
|-----------------------|--|---|--|--|---|----------------|--|
| Inclusion<br>criteria | Adult men with<br>early-stage<br>(stage I or stage<br>II or 'localised'a)<br>prostate cancer,<br>including those<br>for which the<br>definition of<br>'early' or | Any of the following<br>interventions, alone or in<br>combination<br>Curative interventions:<br>• Surgery (radical<br>prostatectomy,<br>including different<br>surgical techniques)<br>• High-intensity focused | Tier 1:<br>No treatment<br>Any eligible<br>intervention<br>used as a<br>comparator<br>Tier 2:<br>The same<br>intervention with | <ul> <li>Effects of treatment approaches:</li> <li>Mortality (overall and disease-specific)</li> <li>Metastasis-free survival (or rate of metastasis development)</li> <li>Quality of life (overall and disease-specific)</li> <li>Functioning (overall and disease-specific)</li> </ul> | RCTs, meta-analyses<br>and systematic reviews | Any<br>country | Articles<br>published in the<br>English<br>language and<br>since January<br>2018 for the<br>interventions of<br>interest that<br>were included in<br>the NICE SLRs,<br>and since |

| Domain                | Population   | Intervention  | Comparator  | Outcome   | Study type  | Setting | Other considerations  |
|-----------------------|--|---|---|---|---|---------|---|
|                       | 'localised' is<br>unclear  | <ul> <li>ultrasonography</li> <li>Radiation therapy<br/>(external-beam<br/>radiation therapy,<br/>proton beam therapy,<br/>brachytherapy,<br/>combination<br/>therapies)</li> <li>Ablative therapy</li> <li>Ablative therapy:         <ul> <li>Ablative therapy:</li> <li>Hormone therapy<br/>(androgen<br/>deprivation<br/>therapy)</li> </ul> </li> <li>Monitoring:         <ul> <li>Watchful waiting</li> <li>Active surveillance</li> </ul> </li> </ul> | a minor<br>difference e.g.<br>in dose,<br>schedule,<br>modality | <ul> <li>Bowel, urinary and<br/>sexual dysfunction</li> <li>Psychological effects<br/>(e.g. depression)</li> <li>Endocrinological effects<br/>(e.g. bone health, hot<br/>flashes, gynaecomastia)</li> <li>Surgical complications</li> <li>Rate of disease<br/>recurrence – after<br/>successful initial<br/>treatment</li> <li>Radiotherapy<br/>complications</li> <li>Complications from<br/>active surveillance (e.g.<br/>infections and other side<br/>effects due to more<br/>frequent biopsies)</li> </ul> |   |         | January 2014<br>(date of the<br>searches for the<br>previous UK<br>NSC review) for<br>the interventions<br>of interest that<br>were not<br>included in the<br>NICE SLRs<br>(high-intensity<br>focused<br>ultrasonography,<br>ablative therapy,<br>hormone<br>therapy) |
| Exclusion<br>criteria | Men without<br>prostate cancer<br>or men with<br>advanced or<br>later-stage<br>prostate cancer | Any other interventions   | Any other<br>comparators  | Any other outcomes  | Any other study design,<br>including case reports,<br>case series, narrative<br>reviews, editorials,<br>commentaries, letters,<br>conference abstracts or<br>other publication types<br>that have not been<br>peer-reviewed | N/A     | Studies will full<br>text no in the<br>English<br>language<br>Studies<br>published pre-<br>2018 or pre-<br>2014 for the<br>specific<br>interventions not<br>included in the<br>NICE SLRs  |

<sup>a</sup>Localised prostate cancer included stage T3a in the authors' definition in 2 SLRs and 2 primary RCTs.<sup>110-113</sup> **Abbreviations:** N/A, not applicable; NICE, National Institue for Health and Care Excellence; RCT, randomised controlled trial; SLR, systematic literature review; UK NSC, UK National Screening Committee

### Appraisal for quality/risk of bias tool

The following tools were used to assess the quality and risk of bias of each study included in the review:

- RCTs: adapted Cochrane Risk of Bias tool (RoB)<sup>114</sup>
- Diagnostic accuracy studies: adapted Quality Assessment of Diagnostic Accuracy Studies (QUADAS-2) tool<sup>115</sup>
- PROBAST tool<sup>116</sup>

The full guidance used for the quality assessments is available in Table 42 – Table 45 in Appendix 4 – Guidance on quality assessments.

### Databases/sources searched

The following databases were searched:

- MEDLINE, MEDLINE In-Process, MEDLINE Daily, Epub Ahead of Print
- Embase
- The Cochrane Library, including the following:
  - Cochrane Database of Systematic Reviews (CDSR)
  - o Cochrane Central Register of Controlled Trials (CENTRAL)
- Database of Abstracts of Reviews of Effects (DARE)

Searches were run on 2nd September 2019. Full details of the searches, including the search strategy for each database, are presented in Appendix 1 — Search strategy

### **Overall results**

Database searches yielded 9,729 results, of which 74 articles were judged to be relevant to one or more questions. An additional 2 references were identified through hand-searching reference lists, so 76 articles were ultimately included.

Appendix 2 — Included and excluded studies – contains full PRISMA flow diagrams, along with tables of the included publications and details of which questions these publications were identified as being relevant for (Table 34 and Table 35).

# Question level synthesis

Criteria 11 and 13 – Efficacy, harms and benefits of PSA-based screening

Criterion 11 – Effect of PSA-based screening for prostate cancer on mortality and morbidity

11: 'There should be evidence from high quality randomised controlled trials that the screening programme is effective in reducing mortality or morbidity. Where screening is aimed solely at providing information to allow the person being screened to make an "informed choice" (such as Down's syndrome or cystic fibrosis carrier screening), there must be evidence from high quality trials that the test accurately measures risk. The information that is provided about the test and its outcome must be of value and readily understood by the individual being screened.'

In the last external review conducted for the UK NSC in 2015 (with searches in 2014) (Louie 2015), a meta-analysis of 5 trials was identified for Criterion 11: it compared PSA screening (with or without DRE) with usual care.<sup>7</sup> The identified trials were the ERSPC trial, the PLCO trial, and the Stockholm, Norrkoping and Quebec screening trials.<sup>117-121</sup> Of these trials, only results from the ERSPC study detected a significant reduction in mortality rate compared with standard care.<sup>119</sup> The meta-analysis found that PSA-based screening does not reduce prostate-cancer specific or all-cause mortality, although prostate cancer incidence was higher among men in the screened arm than controls.<sup>7</sup>

This review update searched for relevant data published since 2014 relating to the effect of PSA-based screening on mortality or morbidity, answered through the question (Q1):

Question 1: Does screening based on PSA reduce short- or long-term prostate cancer morbidity and mortality and all-cause mortality?

Criterion 13 – Harms of PSA-based screening for prostate cancer and diagnostic follow-up

13: 'The benefit gained by individuals from the screening programme should outweigh any harms, for example from overdiagnosis, overtreatment, false positives, false reassurance, uncertain findings and complications."

The 2015 UK NSC external review also addressed Criterion 13 and considered harms of screening programmes in terms of the test, diagnostic procedures and treatment.<sup>7</sup> Publications on one systematic review and 4 primary trials (ERSPC, PLCO, Prostate Biopsy Effects [ProBE] and ProtecT) were discussed. Regarding harms of screening tests, there was no excess mortality in PSA screen-positive patients who did not

undergo biopsy, compared with controls in the ERSPC trial. In the PLCO trial, PSA testing was associated with a complication rate of 26.2 per 10,000 screenings, primarily including dizziness, bruising, haematoma and fainting, whereas incidence of pain or bleeding resulting from DRE was 0.3 per 10,000 screenings.

Complications related to biopsies were largely minor. Complications, such as haematuria and haematospermia were reported in the ERSPC, ProBE and PLCO trials, at a frequency ranging from upwards of 50% in ERSPC and ProBE to 68 in 10,000 in the PLCO trial. No deaths attributable to biopsy occurred during the ERSPC trial, and major complications were rare. The included systematic review evaluated overdiagnosis and overtreatment of prostate cancer and reported that overdiagnosis can range from 1.7% to 67% as a result of screening.<sup>122</sup> This can be influenced by patient characteristics, the screening protocol and background incidence of prostate cancer,<sup>122</sup> with the ProtecT study finding that the probability of overdiagnosis increases with age.<sup>123</sup>

Limited data on the impact of PSA-based screening on quality of life was identified. A model based on ERSPC data from the Rotterdam and Sweden centres was developed to predict the impact of the presence or absence of annual screening over the lifetime of 1000 men (aged 55–69). The study concluded that the benefits of screening were outweighed by the impact of overdiagnosis and overtreatment on quality of life (estimated through loss of quality-adjusted life years [QALYs] associated with screening).<sup>124</sup>

This review update searched for relevant data published since 2014 relating to the harms and benefits associated with PSA-based screening, answered through the question (Q2):

Question 2 – What are the harms of PSA-based screening for prostate cancer and diagnostic follow-up, with particular reference to overdiagnosis?

### Eligibility for inclusion in the review

This review searched for RCTs and SLRs/MAs of RCTs. Studies were included if the population comprised asymptomatic, unselected men in the primary care setting. Interventions of interest were PSA-based screening, including but not limited to tests evaluating, single-threshold PSA, age-specific thresholds, and variable screening intervals. Outcomes of interest for question 1 were short- or long-term morbidity/mortality outcomes, such as prostate cancer-mortality, all-cause mortality and prostate cancer-specific morbidity. Outcomes of interest for question 2 were any harms of PSA-based screening, including false-positive or false-negative results, overdiagnosis, and physical or psychological harms. Studies were not restricted geographically. Full details of the eligibility criteria are presented in Table 5.

### Description of the evidence

#### Overall

A total of 35 publications on 3 RCTs were included in the review: ERSPC, PLCO and CAP. Within the ERSPC study, 23 publications were included on 5 distinct geographic sections (see further details in Characteristics of included studies (Q1 and Q2)). Ten publications reported on results of the PLCO trial, and one publication on the CAP trial. One publication reported on a separate analysis of the ERSPC and PLCO results.

No systematic reviews that closely aligned with the scope of questions 1 and/or 2 were identified; the main reason for this was that the majority of studies included in the systematic reviews identified as potentially relevant were conducted prior to 2014, and enrolled selective populations. A list of all studies included in the review is available in Table 8.

#### **Question 1**

Ultimately, 31 articles reporting on 3 unique RCTs were selected for extraction for question 1: the ERSPC trial (N=20),<sup>10, 11, 14, 70, 125-140</sup> PLCO (N=9),<sup>13, 18, 20, 141-146</sup> and the Cluster Randomized Trial of PSA Testing for Prostate Cancer (CAP) trial (N=1).<sup>12</sup> One article conducted an analysis of ERSPC and PLCO. <sup>147</sup> All RCTs compared a PSA-based screening programme with 'no screening' or 'usual care'.

#### **Question 2**

Nine articles reporting on 2 RCTs, ERSPC (N=7),<sup>10, 19, 22, 23, 125, 130, 135</sup> and PLCO (N=2),<sup>17, 20</sup> reported outcomes relevant to Q2.

Five records reported outcomes for both question 1 and 2 (4 for ERSPC and 1 for PLCO).  $^{10, 20, 125, 130, 135}$ 

#### Characteristics of included studies (Q1 and Q2)

In total, 11 publications reported on results from the PLCO study (one was an analysis of PLCO and ERSPC results).<sup>13, 17, 18, 20, 141-147</sup>

#### <u>PLCO</u>

Between 1993 and 2001 in the PLCO trial, 76,683 men aged 55 to 74 years old from 10 US health centres were randomised to a screening intervention or usual care. The screening intervention comprised PSA testing at baseline and annually for 5 years thereafter, in addition to DREs performed at baseline and for the following 3 years. Men with positive PSA results (>4 ng/mL) then underwent a diagnostic process managed by the man's healthcare provider, external to the trial setting. At 13 years of follow-up, 4836 out of 10,798 (~45%) men with any positive screens received a follow-up prostate

biopsy.<sup>20</sup> Follow-up is ongoing, with the latest analyses reporting data at approximately 17 years of follow-up.<sup>13</sup>

#### <u>ERSPC</u>

The ERSPC study was conducted across 8 European countries: Belgium, Finland, Italy, The Netherlands, Spain, Sweden, Switzerland and France. The Swedish arm of the ERSPC is also referred to as the Göteborg screening trial, which commenced in 1995 (before the ERSPC was established), with the Göteborg cohort being incorporated into the Swedish ERSPC section since 1996.<sup>131</sup> Seven publications identified by this review reported on results from multiple centres of the ERSPC study.<sup>10, 70, 125-129</sup> with the remaining publications reporting on the Finnish (N=9),<sup>14, 19, 22, 133-138</sup> Swedish (N=2),<sup>130,</sup> <sup>131</sup> Dutch (N=3),<sup>11, 23, 132</sup> and Spanish (N=2) cohorts.<sup>139, 140</sup> No standalone publications that specifically reported on the Belgium, Italy or Switzerland centres were identified, and data on the French cohort was not reported in any publications included in this review; it was excluded from analyses conducted for the multicentre publications due to short follow-up time and failure to achieve >50% screening attendance.<sup>10, 125</sup> Following exclusion of 86,379 men from French ERSPC centres and with 148 deaths occurring during the randomisation process, 162,389 men in the core age group (55 to 69 years) underwent randomisation, with 72,890 men allocated to the screening group and 89,351 to the control group.<sup>10</sup> Men assigned to the screening group were provided with information about PSA screening and were invited to undergo PSA testing every 2 or 4 years (all centres used 4 years except for Sweden and France) until an upper age limit of 69 years. Men with PSA at or above threshold (≥3.0 ng/mL for most centres, excluding Sweden [≥2.5 ng/mL]) were recommended to undergo clinical follow-up including DRE, transrectal ultrasound of the prostate and systematic sextant biopsy (6 cores). Those with PSA results below the threshold or with a benign biopsy were reinvited after 2 or 4 years. Follow-up data is currently published up to 16 years of followup.<sup>69</sup> Up to this point, (across all centres excluding France) there were 16,988 (23%) men with positive tests, of which 15,116 (89%) of men received at least one biopsy.<sup>69</sup>

#### <u>CAP</u>

One publication reported on the CAP study, a primary care-based cluster randomised trial of PSA testing in England and Wales.<sup>12</sup> In total, 911 primary care practices were randomised, including 408,825 men (189,386 in the screening arm; 219,439 in the control arm). At the practices assigned to the screening arm, men aged 50 to 69 years were invited to a nurse-led clinic appointment where they were informed about the potential benefits and harms of PSA testing, and were offered screening. Men who tested positive (PSA  $\geq$ 3.0 ng/mL) were referred for biopsy. Men diagnosed with localised prostate cancer, and who met eligibility crietria, were invited to participate in the ProtecT randomised clinical trial of active monitoring, radical prostatectomy and external-beam radiotherapy, which is reported in further detail in the Characteristics of included studies (Q4) section on the harms and benefits of treatment approaches in early-stage prostate cancer. Controls received standard NHS care (the Prostate Cancer Risk Management

Programme),<sup>148</sup> and information about PSA screening was only provided on request. The median length of follow-up in the first reporting of results was 10 years,<sup>12</sup> with results from a further 15 years median follow-up expected in the future.

| Trial                       | Cohort (if multiple)                        | Number<br>screened | Number of controls | Age for<br>screening<br>(years) | Screening<br>interval                | Screening test  | Outcomes  |
|-----------------------------|---|--------------------|--------------------|---------------------------------|--------------------------------------|---|---|
| CAP <sup>12</sup><br>(UK)   | -   | 189,386            | 219,439            | 50–69                           | Single<br>invitation                 | PSA (positive result >3.0<br>ng/mL)                             | <b>Q1</b> : PCa-related mortality; all-cause mortality  |
|                             | All centres (excluding France) <sup>a</sup> | 72,890             | 89,351             | 55–69                           | 2, 4 (most<br>centres) or 7<br>years | PSA (positive result >3.0<br>ng/mL)                             |   |
|                             | Belgium                                     | 4307               | 4255               | 55–69                           | 7 years                              | PSA (positive result >3.0 ng/mL)                                |   |
|                             | Finland (FinRSPC)                           | 31,970             | 48,409             | 55–69                           | 4 years                              | PSA (positive result >3.0<br>ng/mL)                             | -   |
| ERSPC <sup>10,</sup><br>130 | Italy                                       | 7265               | 7250               | 55–69                           | 4 years                              | PSA (positive result >3.0 ng/mL)                                | <b>Q1</b> : Cumulative PCa-specific incidence, PCa-specific mortality   |
|                             | Sweden (Göteborg screening trial)           | 5901               | 5951               | 50–64                           | 2 years                              | PSA (positive result >2.5<br>ng/ml)                             | <b>Q2:</b> Overdiagnosis  |
|                             | The Netherlands                             | 17,443             | 17,390             | 55–74                           | 4 years                              | PSA (positive result >3.0 ng/mL)                                |   |
|                             | Spain                                       | 1056               | 1141               | 55–69                           | 4 years                              | PSA (positive result >3.0<br>ng/mL)                             | _   |
|                             | Switzerland                                 | 4948               | 4955               | 55–69                           | 4 years                              | PSA (positive result >3.0<br>ng/mL)                             |   |
| PLCO <sup>13</sup><br>(USA) | -   | 38,340             | 38,343             | 55–74                           | Annually for 5<br>years              | PSA (5 years; positive result<br>>4 ng/mL) and DRE (3<br>years) | <ul> <li>Q1: PCa incidence, metastatic PCa incidence, PCa-related mortality, all-cause mortality</li> <li>Q2: Complications in the screening arm, overdiagnosis rate and false-positive results (by ethnicity)</li> </ul> |

#### Table 8. Summary of records included for questions 1 and 2

<sup>a</sup>Data from the French centres was excluded from the analysis due to insufficient follow-up time and a failure to achieve >50% screening attendance.

Abbreviations: CAP, Cluster Randomized Trial of PSA Testing for Prostate Cancer; DRE, digital rectal examination; ERSPC, European Randomised Screening for Prostate Cancer Trial; FinRSPC, Finnish Randomised Screening for Prostate Cancer Trial; PCa, prostate cancer; PSA, prostate-specific antigen

Full study results, including different follow-up periods, and study details are presented in the evidence tables in Appendix 3 — Summary and appraisal of individual studies (Table 39a–h).

# Summary of findings

#### Quality assessment (Q1 and Q2)

The quality of the 3 included unique RCTs was appraised using an adapted Cochrane Risk of Bias 2 checklist,<sup>149</sup> (Table 43). A summary of the risk of bias is presented in Table 9, and the full appraisal is presented in Table 46.

#### Table 9. Summary of Cochrane Risk of Bias assessments for RCTs comparing PSAbased screening to usual care for detection of prostate cancer

| Risk of bias                         | CAP <sup>12</sup> | ERSPC <sup>10</sup> | PLCO <sup>13</sup> |
|--------------------------------------|-------------------|---------------------|--------------------|
| Randomisation process                | Low               | Some concerns       | Low                |
| Effect of assignment to intervention | Some concerns     | High                | High               |
| Missing outcome data                 | Low               | Low                 | Low                |
| Measurement of outcome               | Low               | Low                 | Low                |
| Selection of the reported result     | Low               | Low                 | Some concerns      |
| Overall risk of bias                 | Low               | Some concerns       | Some concerns      |

#### Randomisation process

The risk of bias arising from the randomisation process was judged to be low in the CAP and PLCO trials, as although details of allocation concealment were not provided, the method of randomisation was clearly reported and there were no significant differences between the study arms in baseline characteristics.<sup>12</sup> There were some concerns of bias in the ERSPC trial; no baseline characteristics were provided overall or for individual study centres, so the effectiveness of the randomisation process in the ERPSC could not be assessed.<sup>10</sup> Additionally, computer-randomisation was performed either preconsent (or an effectiveness design; Finland, Italy, Sweden) or post-consent (or an efficacy design; Belgium, the Netherlands, Switzerland and Spain) depending on the study centre, due to the specific national regulations. Post-consent randomisation may give lower coverage of the target population and be at higher risk of bias due to the 'healthy volunteer effect' in that only those who had already indicated willingness to participate were randomised (likely leading to lower non-adherence). Pre-consent randomisation may be better-suited to address the question of the effect of a populationwide screening programme.<sup>128</sup> The use of different designs may also reduce the comparability of the results in each of the study centres. However, a sub-analysis including 6 of the ERSPC centres (3 with pre-consent design, 3 with post-consent design) was conducted that corrected for the randomisation design. This found that the correction did not reduce variation between the individual centres, suggesting that randomisation method did not greatly influence differences between centres.<sup>128</sup>

# Effect of assignment to intervention

Due to the nature of the screening intervention, it was not possible to conceal study arm assignment to participants or carers in any of the trials. All trials analysed outcomes based on the intention-to-screen (ITS) principle. However, the ERSPC and PLCO trials were both judged to be at a high risk of bias for this domain. In both trials, men in the control arm attended screening appointments (opportunistic screening), leading to significant study arm contamination.<sup>10, 13</sup> The degree of contamination in the control arms for each trial was reported to be as high as 62.7% in the Finnish section of ERSPC at 12 years of follow-up,<sup>14</sup> and 54.8% in the PLCO trial,<sup>15</sup> in studies identified in the rapid review. It may be as high as 90% in the PLCO trial over the course of the whole trial.<sup>16</sup> This is recognised to likely dilute the measured effect of the screening intervention on primary study outcomes such as prostate cancer incidence and mortality. One publication associated with the ERSPC trial (Dutch section) demonstrated the impact of this when they conducted a sub-analysis to adjust for biopsy contamination in the control arm (along with nonattendance in the screening arm) and found that the improvement in relative risk (screening vs control) was greater after correction for contamination and nonattendance than in the ITS analysis (RR 0.68 [95% CI 0.51-0.93] vs 0.49 [95% CI 0.27-0.87]; p=0.015), although the confidence intervals (CIs) were wide and overlapping.<sup>11</sup> An additional modelling analysis of the PLCO trial, which was not included in the rapid review, virtually reproduced the PLCO trial and concluded that contamination substantially limited the ability of the trial to identify a clinically significant screening benefit.<sup>150</sup> As the CAP trial recruited participants based on primary care practice clusters, volunteer bias was reduced, and this reportedly reduced PSA testing contamination in the control group. However, the presence of an estimate 10-15% contamination still carries some concerns for risk of bias.12

# Missing outcome data

In the CAP trial, all randomised patients were included in the analyses with minimal missing data reported, obliviating the need for multiple imputation analyses.<sup>12</sup> This study is therefore at low risk of bias for this domain. Similarly, all randomised patients appear to be included in the ERSPC and PLCO analyses.<sup>10, 13</sup>

# Measurement of outcome

All 3 unique trials were at low risk of bias for this domain, due to assessment of objective study outcomes, with sufficient description of data collection methods that was consistent across study arms. Additionally, mortality was assessed by personnel blinded to trial group assignment in all 3 trials. In the ERSPC, the potential bias introduced by misclassification of cause of death was assessed in a sub-analysis. Whilst it was reported that there was some variation in the accuracy of cause of death adjudication, corrections for this had no impact on the estimated mortality reduction effect of screening.<sup>129</sup> Similarly, an analysis of the Finnish section of the ERSPC (FinRSPC) alone found that some attribution bias (where it is more likely that a diagnosed condition

will be judged as cause of death even if it is not part of the chain of events that led to death) was present in both the screening and control arm, but more so in the screening arm (7.4% vs 3.1%) – ascribed by the authors as being due to screened men being more likely to be diagnosed with prostate cancer. However, correcting for this resulted in only a small decrease in the hazard ratio for prostate cancer-related death, from 0.94 to  $0.92.^{135}$ 

## Selection of the reported result

For all trials, there was low concern that multiple outcome measurements were taken or that multiple outcome analyses were conducted. A statistical analysis plan (SAP) was provided for the CAP trial,<sup>151</sup> and the ERSPC trial analysis was protocol-based,<sup>152</sup> allowing for confirmation that the reported results were unselected. However, there were some concerns about bias for the PLCO trial in the selection of the reported result, due to unavailability of a pre-specified SAP.

# Results (Q1)

Key results are presented in Table 10 and Table 11. Full details of the included studies and their results can be found in in Appendix 3 — Summary and appraisal of individual studies (Table 39a–h).

#### Prostate cancer incidence

Eleven publications reporting on the PLCO, ERSPC and CAP screening studies reported on the incidence of prostate cancer for PSA-based screening compared with usual care.<sup>13, 28, 69, 126, 127, 132, 136, 137, 139, 146, 153</sup> While all 3 trials reported on the incidence rate of prostate cancer, the ERSPC analyses also reported on risk of prostate cancer diagnosis (Table 39b; Appendix 3 — Summary and appraisal of individual studies). The rate ratio (RaR) is calculated by dividing the incidence rate in the intervention group by the incidence rate in the control group. No other morbidity outcomes were reported by the identified publications.

At the latest follow-up analyses, all studies found that the incidence rate of prostate cancer was significantly higher in the screening arm than in usual care, although the effect size was generally small (Table 10). The largest effect size of screening on the incidence of prostate cancer was detected in the Netherlands ERSPC cohort, with a RaR of 1.89 per 1000 person-years (95% CI 1.77 to 2.03; p=0.000), over 16-years follow-up. This finding suggests that the rate of prostate cancer diagnosis in the screening arm was 1.89 times the rate of diagnosis in the usual care arm. Arnsrud Godtman 2015 reported that at 18 years follow-up in the Göteborg screening trial, prostate cancer incidence was significantly higher in the screening arm (RaR 1.51, 95% CI 1.39 to 1.64). While still statistically significant, Pinsky 2019 reported a smaller

incidence RaR of 1.05 (95% CI 1.01 to 1.09; p<0.001) per 1000 person-years over 17years follow-up in the PLCO study.<sup>13</sup> In the CAP trial, the between-group difference for incidence rate was 0.65 per 1000 person-years (95% CI 0.52 to 0.78; p<0.001; RaR not reported) over 10 years of follow-up.<sup>28</sup>

Hugossen 2019 (ERSPC) also reported on cumulative incidence over the 16-year followup period (at years 1 to 9, 1 to 11, 1 to 13 and 1 to 16).<sup>10</sup> In the screening arm, the cumulative incidence of prostate cancer was highest in the first 9 years following screening (10.55 per 1000 person-years), decreasing for years 1 to 11, 1 to 13, and 1 to 16 (9.20 per 1000 person-years). By contrast, the incidence rate of prostate cancer in the control group was lowest in the first 9 years following randomisation (5.65 per 1000 person-years; RaR for screening vs control 1.90 per 1000 person-years), eventually rising over the 16 years of study follow-up period (6.65 per 1000 person-years; RaR for screening vs control 1.41 per 1000 person-years). A similar trend was observed in an analysis stratified by age at screening, in the Göteborg ERSPC screening study cohort. The incidence rate ratio decreased with increasing age intervals (50 to 54, to 55 to 59, to 60 to 64 years; Table 39c; Appendix 3 — Summary and appraisal of individual studies) illustrating that the difference in PCa diagnosis rates between screening and usual care narrowed with increasing age, although this difference still remained statistically significant for each age group.<sup>10</sup>

Three publications on the PLCO trial and one on the ERSPC trial reported incidence of metastatic prostate cancer.<sup>126, 142, 143, 146</sup> In the PLCO, no significant difference in the incidence of metastatic prostate cancer at diagnosis was detected at 17 years (RaR 0.85, 95% CI 0.67 to 1.06), although a small, but significant, increase in Gleason grade 2–6 (low risk) prostate cancer was detected in the screening arm compared with usual care (RaR 1.17, 95% CI 1.11 to 1.23).<sup>143</sup> Pinsky 2017 found that there was no difference in incidence of metastatic disease (whether at diagnosis or progression) between study arms at a 15-year follow-up.<sup>143, 146</sup> By contrast, in the ERSPC analysis at 13 years of follow-up, the RaR for metastatic cancer was 0.56 (95% 0.48 to 0.65; Table 39b; Appendix 3 — Summary and appraisal of individual studies) in the screening arm compared with the control arm.<sup>126</sup>

# Table 10. Incidence rate of prostate cancer as reported by the ERSPC and PLCO trials

| Outcome                      | Trial (cohort)                   | Follow-  | Incidence rate per 10 | 00 person-years (95% Cl) | Rate ratio (95% CI) | Rate                                 | p-value |
|------------------------------|----------------------------------|----------|-----------------------|--------------------------|---------------------|--------------------------------------|---------|
|                              |                                  | up (yrs) | Screening arm         | Control arm              |                     | difference/person-<br>years (95% CI) |         |
| Prostate cancer              | CAP <sup>28</sup>                | 10       | 4.45 (4.36–4.55)      | 3.80 (3.72–3.89)         | NR                  | 0.65 (0.52-0.78)                     | <0.001  |
| incidence                    | ERSPC <sup>10 a</sup>            | 16       | 9.20 (NR)             | 6.65 (NR)                | 1.41 (1.36–1.45)    | 2.66 (2.42-2.90)                     | NR      |
|                              | ERSPC (Belgium) <sup>10</sup>    | 16       | NR                    | NR                       | 1.22 (1.07–1.40)    | NR                                   | 0.003   |
|                              | ERSPC (Finland) <sup>10</sup>    | 16       | NR                    | NR                       | 1.19 (1.14–1.24)    | NR                                   | 0.000   |
|                              | ERSPC (Italy) <sup>10</sup>      | 16       | NR                    | NR                       | 1.24 (1.10–1.41)    | NR                                   | 0.001   |
|                              | ERSPC                            | 16       | NR                    | NR                       | 1.89 (1.77–2.03)    | NR                                   | 0.000   |
|                              | (Netherlands) <sup>10</sup>      |          |                       |                          |                     |                                      |         |
|                              | ERSPC (Spain) <sup>10, 139</sup> | 16       | NR                    | NR                       | 1.72 (1.24–2.39)    | NR                                   | 0.001   |
|                              | ERSPC (Sweden) <sup>10</sup>     | 16       | NR                    | NR                       | 1.44 (1.30–1.60)    | NR                                   | 0.000   |
|                              | ERSPC                            | 16       | NR                    | NR                       | 1.78 (1.57–2.03)    | NR                                   | 0.000   |
|                              | (Switzerland) <sup>10</sup>      |          |                       |                          |                     |                                      |         |
|                              | Göteberg screening               | 18       | 9.7 (NR)              | 6.5 (NR)                 | 1.51 (1.39–1.64)    | NR                                   | NR      |
|                              | trial (ERSPC,                    |          |                       |                          |                     |                                      |         |
|                              | Sweden) <sup>130</sup>           |          |                       |                          |                     |                                      |         |
|                              | PLCO <sup>13 b</sup>             | 17       | 10.6 (NR)             | 10.1 (NR)                | 1.05 (1.01–1.09)    | NR                                   | <0.001  |
| Metastatic                   | PLCO <sup>142 b</sup>            | 15       | 0.47 (NR)             | 0.48 (NR)                | 0.98 (0.81–1.18)    | NR                                   | NR      |
| prostate cancer              |                                  |          |                       |                          |                     |                                      |         |
| incidence <sup>c</sup>       |                                  |          |                       |                          |                     |                                      |         |
| Metastatic at                | PLCO <sup>142 b</sup>            | 15       | 0.25 (NR)             | 0.27 (NR)                | 0.91 (0.70–1.17)    | NR                                   | NR      |
| diagnosis                    |                                  |          |                       |                          |                     |                                      |         |
| Progression to<br>metastatic | PLCO <sup>141 b</sup>            | 15       | 0.23 (NR)             | 0.21 (NR)                | 1.07 (0.81–1.41)    | NR                                   | NR      |

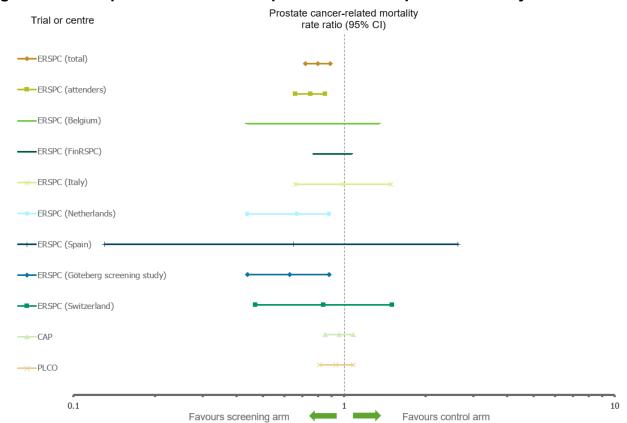
Values in bold indicate statistical significance.

<sup>a</sup> All centres excluding France. <sup>b</sup> Results converted from 10,000 person-years to 1000 person-years. <sup>c</sup> Includes metastatic disease at diagnosis and progression to metastatic disease. **Abbreviations:** CAP, Cluster Randomized Trial of PSA Testing for Prostate Cancer; CI, confidence interval; ERSPC, European Randomized study of Screening for Prostate Cancer trial; NR, not reported; PLCO, Prostate, Lung, Colorectal and Ovarian trial

#### Prostate cancer mortality

All 3 trials reported on the rate of prostate cancer mortality. Only one study, the ERSPC trial, found that PSA screening significantly reduced prostate cancer-related mortality compared with standard care (Table 11).<sup>10, 130</sup>

A multicentre analysis of the ERPSC trial (included all centres excluding France due to lack of compliance with quality criteria and short follow-up duration) reported that prostate cancer-specific mortality rate was significantly lower in men who underwent screening (0.53 per 1000 person-years) than those in usual care (0.66 per 1000 person-years) after 16 years of follow-up, resulting in a RaR of 0.80 (95% CI 0.72 to 0.89; p<0.001)<sup>10</sup> and indicating that mortality rate was 20% lower in the screening arm compared with the usual care arm. However, this finding was not consistent across the individual ERSPC centres; statistically significant differences in prostate cancer-specific mortality were only reported in the Netherlands (RaR 0.67, 95% CI 0.53 to 0.85, p=0.001) and Sweden centres (RaR 0.63, 95% CI 0.44 to 0.88, p=0.008) at 16 years of follow-up. However, lack of statistical significance in the other centres may be an artefact due to underpowering and the effect sizes are comparable in most centres (Figure 1).



#### Figure 1. Forest plot of rate ratios for prostate cancer-specific mortality

Results are for 16, 10 and 17 years of follow-up for the ERSPC, CAP and PLCO trials respectively.

Abbreviations: CAP, Cluster Randomized Trial of PSA Testing for Prostate Cancer; CI, confidence interval; ERSPC, European Randomized study of Screening for Prostate Cancer; FinRSPC, Finnish Randomised Screening of Prostate Cancer Trial; PLCO, Prostate, Lung, Colorectal and Ovarian tria

In the Sweden centre (Göteborg cohort), a significant reduction in mortality in the PSA screening arm compared with the control arm was also detected at the latest follow-up analysis of 18-years, with a mortality RaR of 0.65 per 1000 person-years (95% CI 0.48 to 0.87).<sup>131</sup> An analysis stratified by age at screening found that PSA-based screening significantly reduced prostate cancer mortality in the 55 to 69 year age group (RaR 0.47, 95% CI 0.28 to 0.79) but not in the 60 to 64 (RaR 0.85, 95% CI 0.56 to 1.28) age groups, although it is noteworthy that the CIs are wide and overlapping. The reduction was not significant in the 50 to 54 year age group (RaR 0.50, 95% CI 0.20 to 1.16), however the authors noted that this was likely due to a problem of power with few deaths in this subgroup (aged 68-72 at follow-up). Age-stratified results were also reported at 14-year and 16-year follow-up analyses (Table 39c; Appendix 3 — Summary and appraisal of individual studies).<sup>131</sup> A sensitivity analysis estimated the effect on the risk of prostate cancer death if screening was attended at least twice, compared with a single PSA test, assuming 'various effects' which were unspecified in the source. This ranged from a 48% reduction for those attending twice if no mortality reduction was postulated from attending one screening test (risk ratio [RR] 0.52, 95% CI 0.42 to 0.63 vs an assumed RR of 1.00) to a 25% reduction for those attending twice if it was postulated that first screening was as effective as following rounds (RR 0.75, 95% CI 0.60 to 0.92 vs an assumed RR of 0.75).<sup>69</sup> This finding was supported by the Pakarainen 2019 sub-analysis of the FinRSPC cohort, which found that attending screening 3 times reduced prostate cancer-specific mortality most substantially (2 times: hazard ratio [HR] 0.48, 95% CI 0.35 to 0.66, 3 times: HR 0.17, 95% CI 0.09 to 0.33).<sup>138</sup> Other mortality outcomes reported by Arnsrud Godtman 2015 (ERSPC, Sweden) at 18 years of followup included absolute mortality reduction (ARR; 0.72%, 95% CI 0.50 to 0.94%) and relative risk reduction (RRR; 42%, 95% CI 28 to 54%).<sup>130</sup> An ARR of 0.72% suggests that if 1000 men were screened based on PSA testing, it would be expected that 7 participants would be prevented from dying due to prostate cancer after 18 years of follow-up. The RRR of 42% suggests that by undergoing PSA screening, individual participants would have a 42% lower risk of prostate cancer-related death after 18 years of follow-up. However, this is just one subgroup of the multicountry ERSPC trial, and the results for the whole trial carry more weight. These show an ARR of 0.18% suggesting that if 1000 men were screened based on PSA testing, it would be expected that 2 participants would be prevented from dying due to prostate cancer after 16 years of follow-up.69

By contrast, no significant difference was detected between the screening and usual care groups in either the CAP (RaR 0.96, 95% CI 0.85 to 1.08, p=0.50) or PLCO (RaR 0.93, 95% CI 0.81 to 1.08, p=0.11) trials after 10 and 17 years of follow-up, respectively, demonstrating very similar results.<sup>12, 13</sup>

Tsodikov and colleagues used data with a cut-off of 11 years of follow-up from the PLCO and ERSPC trials and performed an analysis that aimed to evaluate whether the effects of screening on prostate cancer-related mortality differed between the 2 trials. They

performed Cox regression analyses, adjusting for age and trial, along with extended analyses that accounted for increased incidence due to screening and diagnostic workup using mean lead times (MLTs). Based on their analysis, they concluded that after accounting for differences in implementation and settings, the ERPSC and PLCO provide compatible evidence that screening reduces prostate cancer mortality (estimated 25 to 31% and 27 to 32% lower risk of death in the ERSPC and PLCO screening arms of the trials, respectively). It would be useful to apply a similar approach to the CAP trial. However, the authors note that the use of MLT has limitations because it is a simplified metric of screening.<sup>147</sup>

It should be noted that the effect of risk stratified screening e.g. based on genetics, biomarkers, family history, or ethnicity, has never been tested.

#### All-cause mortality

All 3 RCTs reported on all-cause mortality, outlining very similar results (Table 11). No significant differences were detected between the screening and usual care groups in either the CAP (RaR 0.99, 95% CI 0.94 to 1.03, p=0.49), PLCO (RaR 0.98, 95% CI 0.95 to 1.00, p=0.11) nor the Spanish ERSPC (RaR 0.92, 95% CI 0.78 to 1.08) trials.<sup>12, 13, 140</sup>

#### Table 11. Mortality rate and effectiveness of screening outcomes as reported by the CAP, ERSPC and PLCO trials

| Outcome             | Trial (Cohort)  | Follow-        |                                   | 0 person-years (95% Cl)         | Rate ratio (95% Cl)<br>[reference: control arm]        | p-value          |
|---------------------|---|----------------|-----------------------------------|---------------------------------|--|------------------|
| Prostate cancer-    | CAP <sup>12</sup>                                       | up (yrs)<br>10 | Screening arm<br>0.30 (0.27–0.32) | Control arm<br>0.31 (0.29–0.33) | 0.96 (0.85–1.08)                                       | 0.50             |
| specific mortality  | ERSPC <sup>10a</sup>                                    | 16             | 0.53 (NR)                         | 0.66 (NR)                       | Total: 0.80 (0.72–0.89)<br>Attenders: 0.75 (0.66–0.85) | <0.001<br><0.001 |
|                     | ERSPC (Belgium) <sup>10</sup>                           | 16             | NR                                | NR                              | 0.78 (0.44–1.34)                                       | 0.364            |
|                     | ERSPC (FinRSPC) <sup>10</sup>                           | 16             | NR                                | NR                              | 0.91 (0.77–1.06)                                       | 0.210            |
|                     | ERSPC (Italy) <sup>10</sup>                             | 16             | NR                                | NR                              | 0.99 (0.66-1.49)                                       | 0.958            |
|                     | ERSPC (Netherlands) <sup>10</sup>                       | 16             | NR                                | NR                              | 0.67 (0.53–0.85)                                       | 0.001            |
|                     | ERSPC (Spain) <sup>10</sup>                             | 16             | NR                                | NR                              | 0.65 (0.13–2.63)                                       | 0.550            |
|                     | ERSPC (Göteberg screening study) <sup>10</sup>          | 16             | NR                                | NR                              | 0.63 (0.44–0.88)                                       | 0.008            |
|                     | ERSPC (Switzerland) <sup>10</sup>                       | 16             | NR                                | NR                              | 0.84 (0.47–1.50)                                       | 0.556            |
|                     | Göteberg screening study (ERSPC, Sweden) <sup>130</sup> | 18             | 0.51 (NR)                         | 0.79 (NR)                       | 0.65 (0.48–0.87)                                       | NR               |
|                     | PLCO <sup>13b</sup>                                     | 17             | 0.55 (NR)                         | 0.59 (NR)                       | 0.93 (0.81–1.08)                                       | 0.38             |
| All-cause mortality | ERSPC (Spain) <sup>140</sup>                            | 15.2           | 8.60 (NR)                         | 9.38 (NR)                       | 0.92 (0.78–1.08)                                       | NR               |
|                     | CAP <sup>12</sup>                                       | 10             | 13.74 (NR)                        | 13.51 (NR)                      | 0.99 (0.94–1.03)                                       | 0.49             |
|                     | PLCO <sup>143c</sup>                                    | 15             | 17.29 (NR)                        | 17.69 (NR)                      | 0.98 (0.95–1.00)                                       | 0.11             |

Values in bold indicate statistical significance

<sup>a</sup> All centres excluding France<sup>b</sup> Converted from 100,000 person-years to 1000 person-years <sup>c</sup> Converted from 10,000 person-years to 1000 person-years

Abbreviations: CAP, Cluster Randomized Trial of PSA Testing for Prostate Cancer; CI, confidence interval; ERSPC, European Randomized study of Screening for Prostate Cancer; FinRSPC, Finnish Randomised Screening of Prostate Cancer Trial; NR, not reported; PLCO, Prostate, Lung, Colorectal and Ovarian trial

# **Conclusions (Q1)**

Moderate-to-high quality evidence was available for mortality and morbidity outcomes through 3 RCTs included for this question.

All studies reported a significantly higher incidence rate of prostate cancer diagnosis with PSA-based screening compared with no screening or usual care. However, no significant difference in the incidence of metastatic prostate cancer at diagnosis was detected in the PLCO study, though metastatic cancer was more frequently diagnosed in the screening arm in the ERSPC study. Given the inconsistent evidence, it is not possible to conclusively evaluate the impact of PSA-based screening on the diagnosis of prostate cancer stratified by clinical staging.

Conflicting conclusions were reported for prostate cancer-specific mortality. While overall data from the ERSPC cohorts showed significant reduction, including a 42% relative risk reduction of death in the Göteborg cohort, these findings were not detected in the PLCO or CAP trials. The direct comparison of prostate cancer-specific mortality rates between trials is complicated by different screening intervals and PSA thresholds, length of follow-up and more substantially, the issue of control arm contamination. Control arm contamination has been shown to reduce the perceived effect of screening on mortality by separate analyses on both the ERSPC and PLCO trials. In addition, the fact that this contamination could have occurred at different levels and stages of the PLCO and ERSPC trials, has been attributed as a possible reason for the different conclusions found between the 2 studies (along with different protocols, practice settings, pre-trial screening and primary treatments).<sup>68, 154</sup> For example, up to 44% of men had already undergone a PSA test before enrolment in PLCO and contamination was reported at as high as 57.4% in the studies identified by the rapid review,<sup>13</sup> and may be as high as 90% overall.<sup>16</sup> Meanwhile, contamination was reported at as high as 62.9% in the studies identified by the rapid review for ERSPC.<sup>14</sup> It is noteworthy that even despite the contamination, there was a demonstrable effect on prostate cancer incidence and mortality in the ERSPC trial. The result is also further supported by results from an analysis of PLCO and ERSPC, which adjusted for factors like trial setting. This supported the conclusions of the ERSPC trial in that screening resulted in a significant reduction in mortality. While contamination in the CAP trial was estimated to be lower at approximately 15%, this still may have influenced mortality and a longer follow-up in the CAP trial may show more of an effect. Although a meta-analysis would confirm the direction of the effect from the available data, this would not necessarily enable an unequivocal conclusion, given the limited number of studies from which the evidence is derived, despite the large sample size included in each. It would also not avoid the issue of control arm contamination and other differences such as screening strategy, follow-up PSA testing, biopsy rates and post-diagnosis treatment strategy, without specific adjustments.

An exploratory ERSPC analysis reported that the risk of prostate cancer-death was lower if screening was attended at least twice, compared with only once (Table 39b; Appendix 3 — Summary and appraisal of individual studies). However, more data is required to investigate whether screening interval affects mortality between PSA-based screening and usual care, and the most effective screening interval at which mortality could be reduced while minimising overdiagnosis.

Results for all-cause mortality were consistent, with all 3 trials finding no significant difference between the screening and control arms. This was not unexpected as none of the studies could be powered to detect a difference in all-cause mortality.

Overall, based on the findings of this review, the evidence remains inconsistent on the effect of PSA-based screening on prostate cancer-specific mortality, compared with no screening or usual care. This is consistent with the conclusion of the previous UK NSC review (2015), indicating that the longer follow-up period for the trials has not abated the impact of contamination.

# Summary of findings relevant to criterion 11: Criterion not met<sup>1</sup>

**Quantity:** A moderate volume of evidence was available to assess criterion 11, consisting of a total of 3 unique RCTs (31 publications). The RCTs were large, multi-centre (CAP and PLCO) or international (ERSPC) studies covering a large geographical area, with long follow-up periods (10 to 18 years). Analyses included patient numbers which ranged from 2,197 (ERSPC Spanish centre)<sup>10</sup> to 408,825 (CAP) participants.<sup>12</sup>

**Quality:** The PLCO and ERSPC trials were both judged to be at some concern of risk of bias overall, primarily because allocation of the intervention (screening) could not be concealed from the participants and the high rate of contamination due to men in the control arm attending opportunistic screening over the years of follow-up (a substantial proportion of >50%), which could result in underestimating the effect of screening in the screening arm and may be responsible for the perceived lack of effect of screening on prostate cancer-specific mortality in the PLCO trial. There were low concerns for risk of bias for the majority of other domains. The CAP trial was judged to be at low risk of bias overall; concerns for contamination were lower in the CAP trial due to recruitment based on primary care practice clusters, although could still have been up to 15%.

**Applicability:** All studies were judged to be of high applicability to the review question, as they recruited asymptomatic men from a primary care setting in the UK (N=1) or in one or more high-income countries considered to be reflective of the UK setting (N=2).

**Consistency:** The majority of screening protocols used a threshold of PSA  $\geq$ 3 ng/mL to classify results as positive. Thresholds of 4 ng/mL and 2.5 ng/mL were used in the PLCO and Swedish ERSPC cohorts, respectively. The screening interval varied between trials, from annual screening (PLCO) to once every 7 years (Belgian ERSPC cohort).<sup>10, 12</sup> By contrast, the CAP trial involved a single screening invitation at the start of the study.<sup>12</sup> The comparability between the different thresholds or the influence of the screening interval on prostate cancer incidence or mortality is unclear. However, a sensitivity analysis of ERSPC data found that repeat PSA screening (at least twice) reduced prostate cancer mortality, in comparison with one single PSA-test. It is therefore possible that this may complicate comparison of results from the CAP trial (single screen) with the ERSPC and PLCO trials (repeat screening).

**Conclusions:** Based on the moderate-to-high quality evidence across the 3 trials, findings for incidence and all-cause mortality were generally consistent. Incidence of prostate cancer was seen to increase with screening, although no difference was observed when evaluating the incidence of metastatic cases specifically. In both the PLCO and CAP trials there was no difference in all-cause mortality between screening and control arms. However, results for prostate cancer-specific mortality were inconsistent. The ERSPC trial saw a significant

<sup>&</sup>lt;sup>1</sup> Guidance for judging whether a criterion is met, not met or uncertain. Met – for example, this should be applied in circumstances in which there is a sufficient volume of evidence of sufficient quality to judge an outcome or effect which is unlikely to be changed by further research or systematic review; Not Met – for example, this should be applied in circumstances where there is insufficient evidence to clearly judge an outcome or effect or where there is sufficient evidence of poor performance; Uncertain – for example, this should be applied in circumstances in which the constraints of an evidence summary prevent a reliable answer to the question. An example of this may be when the need for a systematic review and meta-analysis is identified by the rapid review.

reduction in prostate cancer deaths in the screening arm, which was increased to as high as 51% when adjusted for control arm contamination,<sup>11</sup> but no such finding was seen in PLCO or CAP.<sup>12, 13</sup>

Overall, the direction of evidence would suggest that whilst PSA-based screening increases the incidence of prostate cancer, the effect on prostate cancer-specific mortality in comparison with no screening or usual care is unclear. Furthermore, a reduction in mortality may still be insufficient to justify the potential harms of screening. Therefore, it is deemed that criterion 11 is not met.

# Results (Q2)

Harms of screening that were reported amongst the included RCTs were overdiagnosis (N=3),<sup>17-19</sup> complications associated with biopsy (N=2),<sup>20, 21</sup> and QoL (N=1).<sup>22</sup> No harms of screening were investigated in the CAP trial.<sup>12</sup>

# Overdiagnosis

Overdiagnosis is defined as "the diagnosis of a condition that, if unrecognised, would not cause symptoms or harm a patient during his or her lifetime".<sup>155</sup> Overdiagnosis, calculated by the difference in cumulative incidence of prostate cancer between the screening and usual care arms following screening, was reported by 2 publications on the PLCO trial (Table 12).<sup>17, 18</sup> Prorok 2018 reported a statistically significant and persistent excess (10%) of prostate cancer diagnoses in the screening arm compared with controls during 13 years of follow-up.<sup>18</sup> Furthermore, at 19 years of follow-up, the PLCO trial compared estimates of overdiagnosis in white and black screen-detected populations, finding that overdiagnosis rate in white men was higher (20.6% vs 1.3%) although the authors noted that this was not statistically significant (difference 19.3% [95% CI –11.1 to 56.4%], p value not reported).<sup>17</sup> In the same trial, false-positive results were also compared between white and black men. Interestingly, false positive results for PSA were relatively higher in the black population compared with white (13.6 to 14.5% vs 11.1 to 12.4%, p<0.05), but false positive results for DRE were lower (10.3 to 10.9% vs 13.3 to 14.2%, p<0.001) although in absolute terms the differences were very small.<sup>17</sup> The Finnish arm of the ERSPC trial (FinRSPC) also reported estimated overdiagnosis, with an average value of 42% (95% CI 37 to 52), which was higher for the subgroup of men at lower polygenic risk for prostate cancer (58%, 95% CI 54 to 65)(Table 12).<sup>19</sup>

Several publications for the ERSPC trial explored the benefits and harms of PSA-based screening using the absolute measures, number needed to invite (NNI; the number of men required to be randomised to avert a prostate cancer-related death); number needed for overdetection (NNO; the number of screened men for which there is one excess 'detected' case) and number needed to detect (NND; a measure of the overall impact of screening as a ratio of the reduction in prostate cancer mortality to the excess

incidence) (Table 12).<sup>10, 125, 130</sup> An analysis of all ERSPC centres (excluding France) at 16 years of follow-up reported an NNI of 570 (95% CI 380 to 1137) and NND of 18: on average, it would be expected that 570 men would have to be invited to undergo screening, or 18 men would have to be diagnosed through screening, to prevent one death due to prostate cancer. The largest benefit of screening on prostate cancer-mortality was detected in the Swedish cohort, with an NND of 7 and NNI of 189 (95% CI 109 to 703), with the least benefit observed in the Italian cohort (NNI 44,232, 95% CI 369 to infinity; NND 673), demonstrating variation between study centres.<sup>10</sup> However, at an earlier analysis at 13-years of follow-up, results for the Italian cohort were not substantially different from the other centres (NNI 1198, 95% CI 349 to not determined; NND 29), with results from the Finnish centre demonstrating the least benefit (NNI 1821, 95% CI 631 to not determined; NND 37) (Table 39b, Appendix 3 — Summary and appraisal of individual studies).<sup>125</sup> The reason for the large difference in findings between 13- and 16-year follow-up analyses is unclear.

| Outcome       | Study                  | Follow-<br>up (yrs) | Study arm/subgroup                       | Outcome Value                                       | Comparison                  |
|---------------|------------------------|---------------------|--|---|-----------------------------|
| Overdiagnosis | PLCO <sup>17, 18</sup> | 13                  | Overall                                  | Overdiagnosis, %: 10                                | NR                          |
|               |                        | 19                  | White, screen-detected cases (N=3891)    | Overdiagnosis, %: 20.6                              | Difference:<br>19.3 (95% Cl |
|               |                        |                     | Black, screen-detected cases (N=252)     | Overdiagnosis, %: 1.3                               | -11.1-56.4)                 |
|               |                        |                     | White, screened population (N=33,043)    | Overdiagnosis, %: 1.2                               | Difference:<br>1.1 (95% Cl  |
|               |                        |                     | Black, screened<br>population (N=1713)   | Overdiagnosis, %: 0.1                               | -6.3-8.2)                   |
|               | FinRSPC <sup>19</sup>  | 13                  | Overall                                  | Overdiagnosis, % (95% CI): 42<br>(37–52)            | NR                          |
|               |                        |                     | Lower polygenic risk group <sup>1</sup>  | Overdiagnosis, % (95% CI): 58<br>(54–65)            |                             |
|               |                        |                     | Higher polygenic risk group <sup>1</sup> | Overdiagnosis, % (95% CI): 37<br>(31–47)            |                             |
|               | ERSPC <sup>10</sup>    | <sup>o</sup> 16     | Total                                    | NNI, n (95% CI): 570 (380–<br>1137)<br>NND, n: 18   | NR                          |
|               |                        |                     | Belgium                                  | NNI, n (95% CI): 678 (209–inf)<br>NND, n: 13        |                             |
|               |                        |                     | Finland (FinRSPC)                        | NNI, n (95% CI): 1206 (471–<br>inf)<br>NND, n: 19   |                             |
|               |                        |                     | Italy                                    | NNI, n (95% CI): 44232 (369–<br>inf)<br>NND, n: 673 |                             |
|               |                        |                     | Netherlands                              | NNI, n (95% CI): 303 (191–<br>731)<br>NND, n: 18    |                             |
|               |                        |                     | Spain                                    | NNI, n (95% CI): 647 (153–inf)<br>NND, n: 22        | _                           |
|               |                        |                     | Sweden (Göteborg<br>screening trial)     | NNI, n (95% CI): 189 (109–<br>703)<br>NND, n: 7     |                             |
|               |                        |                     | Switzerland                              | NNI, n (95% CI): 1244 (285–<br>inf)<br>NND, n: 65   |                             |
|               | Göteberg<br>screening  | 18                  | Screening arm                            | NNI, n: 139<br>NND, n: 13                           | NR                          |
|               | study <sup>130</sup>   |                     | Control arm                              | NNI, n: 493<br>NND, n:23                            |                             |
|               | ERSPC <sup>125</sup>   | 13                  | Belgium                                  | NNO, n: 47  | NR                          |

#### UK NSC external review – Screening prostate cancer [June 2020]

| Outcome             | Study              | Follow-<br>up (yrs) | Study arm/subgroup<br>Finland (FinRSPC)<br>Italy<br>Netherlands<br>Spain | Outcome Value<br>NNO, n: 51<br>NNO, n: 69<br>NNO, n: 16<br>NNO, n: 28 | Comparison            |
|---------------------|--------------------|---------------------|--|---|-----------------------|
|                     |                    |                     | Sweden (Göteborg<br>screening trial)                                     | NNO, n: 22  |                       |
|                     |                    |                     | Switzerland  | NNO, n: 18  |                       |
| False-<br>positives | PLCO <sup>17</sup> | 19                  | Black, screen-positive population  | PSA+ (any DRE result), n (%):<br>228 (14.5)                           | p=0.02 (vs<br>white)  |
|                     |                    |                     |  | PSA+/DRE–, n (%): 215 (13.6)  | p=0.002 (vs<br>white) |
|                     |                    |                     |  | DRE+ (any PSA result), n (%):<br>172 (10.9)                           | p<0.001 (vs<br>white) |
|                     |                    |                     |  | DRE+/PSA-, n (%): 162 (10.3)  | p<0.001 (vs<br>white) |
|                     |                    |                     |  | PSA+ or DRE+, n (%): 377<br>(23.9)                                    | p=0.60 (vs<br>white)  |
|                     |                    |                     | White, screen-positive population  | PSA+ (any DRE result), n (%):<br>3915 (12.4)                          | NR                    |
|                     |                    |                     |  | PSA+/DRE–, n (%): 3508<br>(11.1)                                      |                       |
|                     |                    |                     |  | DRE+ (any PSA result), n (%):<br>4462 (14.2)                          |                       |
|                     |                    |                     |  | DRE+/PSA-, n (%): 4195<br>(13.3)                                      |                       |
|                     |                    |                     |  | PSA+ or DRE+, n (%): 7703<br>(24.5)                                   |                       |

<sup>1</sup> Polygenic risk score (PRS) was calculated based on the genotypes of 66 known PCa loci for 4,967 men from the Finnish section of the ERSPC and the 72,072 men in the trial were stratified into those with polygenic risk above and below the median.

Abbreviations: DRE, digital rectal examination; inf, infinite; NND, number needed to detect (measure of the overall impact of screening as a ratio of the reduction in prostate cancer mortality to the excess incidence); NNI, number needed to invite (number of men needed to be randomised to prevent 1 prostate cancer-related death); NNO, number needed for overdiagnosis (number of screened men for which there is 1 excess detected case); NR, not reported; PSA, prostate-specific antigen.

## **Biopsy complications and mortality**

One publication on the PLCO trial reported on mortality and complications associated with biopsy.<sup>20</sup> At both 120 and 180 days post-biopsy, there was no significant difference in mortality between men who received biopsy and men who did not (negative screen group) (Table 13).<sup>20</sup> In the PLCO study, of 3706 men who screened positive and underwent a single follow-up biopsy (with no accompanying prostate cancer diagnosis during that study year), 75 experienced complications, generating a complication rate of 20.2 per 1000 biopsies. This included non-infectious (N=48; 13.0 per 1000 biopsies) and infectious (N=29; 7.8 per 1000 biopsies) events. Non-infectious complications included urinary-related (N=19) and bleeding-related complications (N=14) and the remaining causes were not specified.<sup>20</sup>

A publication from the Rotterdam section of the ERSPC also reported on biopsy-related complications. Out of 10,747 biopsies in the ERSPC study, over half (67.9%) were associated with any complications, the majority of which were pain (50.0%) and haematuria (25.4%) and much more rarely reported fever (3.9%) and hospital admission (0.9%) (Table 13).<sup>23</sup> This marked difference between ERSPC and PLCO studies is likely due to the method of assessing biopsy complications. In the PLCO analysis, medical record data wasused to code complications into circa 30 categories, whereas for the ERSPC analysis, information on complications was self-reported through questionnaires sent to participants 2 weeks post-biopsy.<sup>20, 23</sup>

| Outcome                      | Study                                  | Follow-up   | Study arm/subgroup  | Outcome value   | Comparison  |
|------------------------------|--|---|---|---|---|
| Post-biopsy<br>mortality     | PLCO <sup>20</sup>                     | 120 days post-<br>biopsy or<br>negative<br>screen | Biopsy group (N=6295)<br>No biopsy group (negative<br>screen; N=139931) | Number of deaths: 6<br>Rate: 0.95 per 1000 biopsies<br>Number of deaths: 255<br>Rate: 1.8 per negative screens  | Rate ratio (95% Cl)<br>Univariate analysis:<br>0.52 (0.2–1.2)<br>Multivariate analysis:<br>0.49 (0.2–1.1) |
|                              |  | 180 days post-<br>biopsy or<br>negative           | Biopsy group (N=6295)   | Number of deaths: 14<br>Rate: 2.2 per 1000 biopsies   | Rate ratio (95% Cl)<br>Univariate analysis:<br>0.76 (0.4–1.3)   |
|                              |  | screen  | No biopsy group (negative screen; N=139931)                             | Number of deaths: 411<br>Rate: 2.9 per negative screens   | Multivariate analysis:<br>0.70 (0.4–1.2)  |
| Biopsy-related complications | PLCO <sup>20</sup>                     | 13 years  | Total biopsies (N=3706)   | All complications: 20.2 per 1000 biopsies<br>Infectious complications: 7.8 per 1000<br>biopsies<br>Non-infectious complications: 13.0 per<br>1000 biopsies            | N/A   |
|                              | Netherla<br>nds<br>ERSPC <sup>23</sup> | 13 years  | Total biopsies (N=10747)  | Any complications, n (%): 7294 (67.9)<br>Fever, n (%): 424 (3.9)<br>Haematuria, n (%): 2733 (25.4)<br>Pain, n (%): 5369 (50.0)<br>Hospital admission, n (%): 92 (0.9) | N/A   |

Abbreviations: CI, confidence interval; ERSPC, European Randomized study of Screening for Prostate Cancer; PLCO, Prostate, Lung, Colorectal and Ovarian trial; N/A, not applicable.

# Quality of life

Only one publication reporting on the ERSPC Finnish cohort (FinRSPC) investigated the impact of screening on the QoL of participants.<sup>22</sup> Three questionnaires, the RAND 36-Item Short Form Health Survey, the 15D health state description system, and the EQ-5D instrument, were administered to all men who had been diagnosed with prostate cancer during the trial by 1998, 1999, 2003 and 2011, and to a random sample of men ("trial subsample") inducted to the FinRSPC in 1998 (1100 men from the screening arm and 1100 men from the control arm). Cross-sectional analyses at 13 years of follow-up detected a statistically significant difference in mean EQ-5D score between trial arms among men diagnosed with prostate cancer (increment of 0.016 in favour of the screening arm [p=0.017]). This finding was robust to analyses adjusting for time since diagnoses and prostate cancer stage. There was no difference between QoL between trial arms within the trial subsample, although when men with prostate cancer were excluded, the EQ-5D score was slightly higher in the control arm (0.830 vs 0.857, p=0.04). Longitudinal analysis over 13-year follow-up revealed that mean 15D scores were significantly higher in the screening arm (by 0.01) than the control arm, after adjusting for age, domicile and socioeconomic status. This finding was more pronounced when only comparing screen-positive prostate cancer to men diagnosed with prostate cancer in the control arm (0.016 increment). While a small benefit in mean QoL score measured by the EQ-5D instrument was observed in the screening arm compared with the control arm among men who had been diagnosed with PCa, there was little evidence to support that QoL differed between the screening and control arm in general.

# Conclusions (Q2)

Moderate quality evidence on the harms in relation to the benefits of PSA-based screening was available from 2 large RCTs, ERSPC and PLCO. Across both trials, estimates for overdiagnosis ranged from 10% to 58% (for men at low polygenic risk in the Finnish section of ERSPC).<sup>17-19</sup> Several publications on the ERSPC also quantified overall benefit of screening by calculating NND, the number of cases that would need to be detected by screening to prevent one prostate cancer-related death and found this to be an average of 18 across all centres, and the authors postulated that this number will continue to decrease with longer follow-up. At 16 years of follow-up, the largest benefit was seen in Sweden (NND=7) and the smallest in Italy (NND=673), but even the smallest NND indicates a substantial rate of overdiagnosis, even with many years of follow-up.<sup>10</sup> Pain and haematuria were the most commonly-reported biopsy complications amongst 10,747 biopsies from the ERSPC study (50.0% and 24.5% respectively),<sup>23</sup> with other complications reported at a lower frequency, including any complications in the PLCO trial (overall rate of 20.2 complications per 1000 biopsies).<sup>17</sup> PLCO also found no significant difference in mortality associated with biopsy between those who received it and those who did not.<sup>17</sup> One study assessed quality of life

(FinRSPC) and found it not to be substantially different between the screening and control arm, albeit with a small benefit being seen in the screening arm in some analyses (e.g., cross-sectional EQ-5D score and longitudinal 15D score after adjustments for age, domicile and socioeconomic status). This would imply that screening does not adversely impact QoL; however, this should be interpreted with caution and as the evidence is only based on a sub-analysis from one study.<sup>22</sup>

Overall, these results are largely similar to those found in the previous UK NSC review in 2015, with overdiagnosis being the most common harm associated with screening (see *Overdiagnosis* section), apart from QoL where the last review found that overdiagnosis and overtreatment had an adverse impact on QoL.<sup>7</sup>

# Summary of findings relevant to criterion 13: Criterion not met

**Quantity:** Overall, 2 RCTs reported evidence on overdiagnosis,<sup>18, 19</sup> 2 on biopsy complications,<sup>20, 23</sup> and one on QoL, in order to address criterion 13.<sup>22</sup> The sample sizes included in the analyses ranged from ~20,000 in the Göteborg screening study (Swedish ERSPC section)<sup>130</sup> to >160,000 for the overall ERSPC analysis,<sup>131</sup> and from 3,706 to 10,747 biopsies in the PLCO and Rotterdam ERSPC respectively.<sup>20, 23</sup>

**Quality:** The 2 trials (PLCO and ERSPC) were both judged to be at some concern of risk of bias overall, primarily because allocation of the intervention (screening) could not be concealed from the participants and the high rate of contamination due to men in the control arm attending opportunistic screening over the years of follow-up (a substantial proportion of >50%), which could result in underestimating the effect of screening in the screening arm. There were low concerns for risk of bias in the majority of other domains.

**Applicability:** Both studies were judged to be of high applicability to the review question, as they recruited asymptomatic men from a primary care setting in one or more high-income countries considered to be reflective of the UK setting (N=2).

**Consistency:** Overdiagnosis was measured by calculating the difference across arms in the number of prostate cancer cases diagnosed and dividing by the number of screen-detected cases in the screening arm in PLCO.<sup>17</sup> Conversely, in the FinRSPC, a statistical method (Walter and Day) using mean sojourn time and sensitivity of PSA was used to estimate overdiagnosis.<sup>19, 156</sup> In the Rotterdam section of the ERSPC, biopsy complications were assessed by questionnaire given 2 weeks post-biopsy follow-up.<sup>23</sup> By contrast, biopsy complications were complications were coded into categories.<sup>32</sup> These differences limit the comparability of the results.

For QoL and the use of absolute measures to quantify the impact of screening (NNI, NNO, NND), conclusions about consistency could not be drawn because these were only reported in one trial.

**Conclusions:** Despite the large size of the PLCO and ERSPC trials, the inconsistency in outcomes reported makes it difficult to draw robust conclusions on the harms and benefits of

screening, as findings are not supported by multiple sources. Further analyses, where possible, are required to further explore harms and benefits such as false-negative results, psychological harms and overtreatment associated with PSA-based screening, in order to confirm the findings of the PLCO and ERSPC trials thus far. In both trials, the main quality issue of control arm contamination also has implications for comparisons between screening and control arms. Nonetheless, the screening arms were still affected by overdiagnosis that was further quantified by the measure of overall absolute effect of screening, NND, ranging from 7–673 across centres (men who would need to be screened to avert one prostate cancer-related death), judged to reflect substantial overdiagnosis by the study authors.<sup>10</sup> The extent of complications due to biopsy was also inconclusive, with one study reporting an overall rate of 20.2 per 1000 biopsies (2%)<sup>17</sup> and another a much higher 67.9%, thought to be due to the different methods of assessing complications (medical records vs questionnaire).<sup>23</sup> No substantial difference between the screening and control arms was detected for QoL, indicating that PSA-based screening does not have an adverse impact on QoL, however this was only reported in one analysis of the Finnish section of the ERSPC trial.

Based on the findings of this review, there was evidence to suggest that PSA-based screening may be associated with overdiagnosis and biopsy-related complications. However, there was no clear effect of PSA-based screening on quality of life.

Overall, it is unclear whether benefit gained from PSA-based screening programmes outweighs harms, particularly overdiagnosis and the complications that could subsequently arise from unnecessary biopsy; thus, criterion 13 is not met.

Criteria 4 and 5 – Screening tests and cut-off values for prostate cancer

# Criterion 4 – Screening tests for prostate cancer

4: 'There should be a simple, safe, precise and validated screening test.'

Criterion 5 – Screening test values for prostate cancer

# 5: 'The distribution of test values in the target population should be known and a suitable cut-off level defined and agreed.'

In the last external review conducted for the UK NSC in 2015 (with searches in 2014),<sup>6</sup> evidence for criterion 4 was identified and synthesised for PSA testing, DRE, transrectal ultrasound (TRUS), prostate cancer prediction models and triage biomarkers for diagnosing prostate cancer.

A pooled analysis of studies that evaluated the trade-offs of test-performance between using a PSA cut-off of 4.0 vs 3.0 ng/mL found that whilst there was a higher sensitivity with a 3.0 ng/mL cut-off (68%), there was a lower specificity (85%). At both cut-offs, the PPV was low (28% and 30%, respectively), indicative of high false-positive screening results (≥70%). The review concluded that there was no distinct PSA cut-off to distinguish between the presence and absence of prostate cancer. A meta-analysis of 47,791 men who had undergone DRE as an index test, resulted in a pooled sensitivity of 53.2%, specificity of 83.6%, and PPV of 17.8%. PSA had higher predictive values than DRE when compared to a meta-analysis of PSA in the same study (PPV 25.1% vs 17.8%). Furthermore, there were no RCTs to support that DRE testing reduces morbidity or mortality. Several studies were also identified that combined PSA and DRE, and found that this may improve overall detection of prostate cancer, however again, RCTs to assess the effect of this on morbidity or mortality of prostate cancer were not identified. Little evidence was identified for TRUS, but it was noted that it is not a reliable method to exclude the presence of prostate cancer, with as many as 40% of tumours being missed if the performance of biopsy was only dependent on TRUS.

Six prostate cancer prediction models, identified from a previous review and metaanalysis conducted in 2014 which were evaluated in ≥5 study populations and included variables such as DRE, percent free PSA (fPSA) and transrectal ultrasound prostate volume (TRUS-PV) (PCPT, Finne, Karakiewicz, Chun, Prostataclass, ERSPC RC3) were compared to PSA testing alone.<sup>94</sup> They were generally found to have a higher predictive accuracy to detect any prostate cancer, with results of the meta-analysis suggesting that prediction models have the potential to double the sensitivity of PSA testing (44% vs 21%), however it was noted that further investigation is needed to assess the utility of such models for detecting clinically significant prostate cancer (rather than just any prostate cancer) and for use in clinical practice. The last review also identified PCA3 and fusion gene TMPRSS2:ERG as promising urinary RNA biomarkers to identify men with both indolent (low-risk) and clinically significant (aggressive) cancers.<sup>6</sup>

Evaluation of criterion 5 was limited to the perspective of PSA and repeat PSA testing. For PSA testing, the lack of consensus surrounding PSA referral values was discussed, however it was noted that referral values were being realigned to the evidence emerging from the large PLCO and ERSPC trials (biopsy referral with PSA  $\geq$ 3.0 ng/mL).

This review update searched for relevant data published since 2014 relating to screening tests or prognostic models for prostate cancer, which compared results to PSA testing, answered through the question (Q3):

Question 3 – Is there evidence that screening using risk algorithms or inclusion of markers other than PSA alone can better identify men with clinically significant prostate cancer, or improve screening efficiency?

# Eligibility for inclusion in the review

This review searched for RCTs, observational studies with consecutively enrolled populations, and SLRs and MAs of these relevant study types. Studies were included if the population comprised asymptomatic, unselected men in the primary care setting. Screening tests of interest were defined as any single test or combination of tests, including but not limited to those evaluating clinical variables, the ratio of free PSA to total PSA, blood/urine/genetic biomarkers or biomarker panels, DRE, prostate volume, imaging markers/techniques, and nomograms combining more than one of these variables. For a study to be included, the performance of the screening test had to be evaluated using an appropriate reference standard (confirmation of prostate cancer diagnosis via template prostate mapping (TPM) or transrectal ultrasound (TRUS)-guided prostate biopsy, or via a national cancer registry). Outcomes of interest for question 3 were measures of screening accuracy (e.g. area under the curve [AUC], sensitivity, specificity, positive predictive value [PPV] and negative predictive value [NPV]) and disease-related outcomes (prostate cancer mortality or a cancer stage shift, such as a reduction in stage IV cancers). Studies were not restricted geographically. Full details of the eligibility criteria are presented in Table 6.

Whilst studies performed in men already suspected of having prostate cancer were not eligible for inclusion as they did not fulfil the eligibility criterion of unselected men in a primary care setting, such as the PROMIS trial, a summary of the evidence identified from these is presented later in *Studies excluded due to use of a pre-selected population* section.

# Description of the evidence

# Overall

A total of 19 publications on 11 unique studies were included. A prioritisation strategy was applied to focus on the most relevant comparisons; data was extracted and studies were included in the evidence synthesis if they compared a relevant screening test to PSA-based screening alone or usual care, whereas studies with no comparator or another comparator (e.g. a study comparing 2 nomograms) were deprioritised from synthesis, and are summarised in the "Studies without a PSA comparator" section. No systematic reviews that closely aligned with the scope of question 3 were identified; the main reasons for this were that the SLRs included studies which were conducted prior to 2014 and/or enrolled pre-selected populations, for example, men with suspicion of prostate cancer rather than men in a general population primary care setting. A list of all studies included in the review is available in Table 14.

Ultimately, 7 articles on 6 unique studies were selected for extraction for question 3: 3 articles reporting on 3 RCTs<sup>24, 25, 157</sup> and 4 articles reporting on 3 observational studies.<sup>26, 27, 97, 158</sup> The smallest study recruited 50 participants<sup>26</sup> and the largest study recruited 47,688 participants.<sup>97</sup> Evidence was found for the following screening tests: percent-free PSA test, DRE, PSA test with DRE and PCA3 test, MRI, PSA test with MRI, and the Stockholm 3 (STHLM3) model. In each case, the screening test was compared to the standard PSA test alone.

#### Characteristics of included studies (Q3)

The 3 RCTs included for question 3 were the Göteborg prostate cancer screening trial performed in Sweden,<sup>24</sup> the PLCO cancer screening trial conducted in the USA,<sup>157</sup> and Rubio-Briones 2014 investigating opportunistic prostate cancer screening in Spain.<sup>25</sup>

In the Göteborg trial, 20,000 men aged between 50 and 64 years were randomised to PSA screening or control arms in 1995, with individuals in the screening group invited to biennial PSA screening.<sup>24</sup> The record with evidence relevant for question 3 reports on a pilot study involving 384 attendees of the tenth and final screening round, which took place from 2013 to 2014. Men with PSA <1.8 ng/mL underwent no further testing, whereas men with PSA ≥1.8 ng/ml were referred for evaluation with 3Tesla MRI, representing a sequential screening strategy. Those with a positive MRI and/or PSA ≥3 ng/mL were referred for prostate biopsy. A 10-core TRUS-guided biopsy was performed first, blinded to MRI results, before an MRI-targeted biopsy was performed in all participants with a positive MRI result.

Between 1993 and 2001, men in the PLCO trial were randomised to routine prostate cancer screening with DRE and PSA, or usual care.<sup>157</sup> Participants were aged between 55 and 74 years. Men in the screening arm underwent annual DRE for the first 4 years and annual PSA screening for the first 6 years of the trial. In the event of a positive

screening test, the diagnostic and therapeutic course of the patient was determined by their physician. Outcomes reported were clinical endpoints, including prostate cancer specific mortality, obtained over ≤13 years of follow-up in 35,350 men from the screening arm.

The Rubio-Briones 2014 RCT, conducted from 2010 to 2012, included 2,366 healthy men aged 40 to 75 years and evaluated a sequential screening strategy.<sup>25</sup> During an initial visit, participants had a PSA test and DRE performed by a urologist. Men with normal DRE and PSA results (<3 ng/mL) proceeded to a repeat PSA test and DRE after 1, 2, 3 or 4 years if their PSA level was 2 to 3, 1 to 2, 0.5 to 1 or <0.5 ng/mL, respectively. Men with PSA ≥3 ng/mL and/or abnormal DRE results (at either an initial or repeat visit) underwent another DRE, and a further test to determine their PCA3 levels. Individuals with PCA3 ≥35 (ratio of PCA3 to PSA) were referred for a 12-core prostate biopsy, whereas those with PCA3 levels <35 were blindly randomised 1:1 to 12-core prostate biopsy or observation.

The 3 observational studies included for question 3 were: the San Antonio Biomarkers Of Risk (SABOR) study, a prospective cohort study performed in the USA;<sup>158</sup> the STHLM3 study, a prospective, population-based, diagnostic study conducted in Sweden;<sup>27, 97</sup> and Nam 2016, a small prostate cancer screening pilot study based in Canada.<sup>26</sup>

In the SABOR study, conducted between 2000 and 2010, men underwent annual PSA and DRE screening, with subsequent biennial screening for those deemed to be at low risk of prostate cancer based on their PSA levels.<sup>158</sup> From 2007 onwards, a percent-free PSA test was incorporated into screening visits. The article included for question 3 reports on 2,183 SABOR participants with at least one pair of PSA and percent-free PSA values collected at the same clinical visit. Men with PSA >2.5 ng/mL or an abnormal DRE were referred for prostate biopsy.

The STHLM3 study aimed to develop and validate a new model to identify high-risk prostate cancer (Gleason score  $\geq$ 7) with better test characteristics than the PSA test alone.<sup>27, 97</sup> The original STHLM3 model was developed with a training cohort of 11,130 men recruited in 2012–2013, and tested in a validation cohort of 47,688 men recruited in 2013 to 2014.<sup>97</sup> All participants were aged 50 to 69 years. The model itself consists of a combination of plasma protein biomarkers (PSA, free PSA, intact PSA, hK2, MSMB, and MIC1), genetic markers (232 single nucleotide polymorphisms [SNPs]), clinical variables (age, family history, previous prostate biopsy) and a prostate exam (DRE and prostate volume). In the validation cohort, all men underwent a PSA test; genetic and plasma protein biomarkers were subsequently evaluated in men with PSA  $\geq$ 1 ng/mL. If the STHLM3 model indicated  $\geq$ 10% risk of high-grade prostate volume measurement and 10- or

12-core transrectal prostate biopsy. Of note, DRE and prostate volume measurements were only performed in patients after selection for biopsy.

In the Nam 2016 prostate cancer screening pilot study, men aged between 50 and 75 years were solicited to undergo MRI and prostate biopsy irrespective of their PSA levels or MRI results.<sup>26</sup> Fifty volunteers were recruited, of whom 47 underwent both MRI and prostate biopsy. Those with a negative MRI result had a 12-core TRUS-guided biopsy, whereas those with a positive MRI result had an MRI-targeted biopsy in addition to the 12-core biopsy.

| Study                                   | Design   | Partici-<br>pants                              | Dates                        | Country | Age <sup>a</sup><br>(years) | Index<br>test                              | Index test<br>threshold(s)   | Reference<br>standard(s)   | Comparator | Comparator<br>threshold(s) | Outcomes   |
|---|--|--|------------------------------|---------|-----------------------------|--|--|--|------------|----------------------------|--|
| Göteborg<br>24                          | RCT  | 384  | 2013 to<br>2014              | Sweden  | 50 to<br>64                 | PSA with<br>MRI                            | PSA ≥3<br>ng/mL and/or<br>PSA ≥1.8<br>ng/mL with<br>positive MRI<br>(Likert score<br>≥3) | TRUS-guided<br>biopsy, or<br>TRUS-guided<br>biopsy and<br>MRI-targeted<br>biopsy | PSA        | PSA ≥3 ng/mL               | Sensitivity,<br>specificity, PPV,<br>NPV                                 |
| PLCO <sup>157</sup>                     | RCT  | 35,350   | 1993 to<br>2001 <sup>b</sup> | USA     | 55 to<br>74                 | DRE  | Examination<br>by clinician <sup>c</sup>   | Prostate<br>biopsy   | PSA        | PSA ≥4 ng/mL               | PCSM   |
| Rubio-<br>Briones<br>2014 <sup>25</sup> | RCT  | 2,366  | 2010 to<br>2012              | Spain   | 40 to<br>75                 | PSA with<br>DRE and<br>PCA3                | PSA ≥3<br>ng/mL and/or<br>abnormal<br>DRE with<br>PCA3 ≥35 <sup>d</sup>                  | Prostate<br>biopsy   | PSA        | PSA ≥3 ng/mL               | True positives,<br>false negatives,<br>AUC, sensitivity,<br>specificity  |
| SABOR <sup>15</sup><br>8                | Prospective cohort study                                   | 2,183  | 2007 to<br>2010              | USA     | NR                          | Percent-<br>free PSA                       | <25% or<br><15%  | Prostate<br>biopsy   | PSA        | PSA ≥4 ng/mL               | Reduction in<br>false positives if<br>used as a reflex<br>test after PSA |
| STHLM3 <sup>2</sup><br>7, 97            | Prospective<br>population-<br>based<br>diagnostic<br>study | 11,130<br>(training)<br>47,688<br>(validation) | 2013 to<br>2014 <sup>e</sup> | Sweden  | 50 to<br>69                 | STHLM3<br>predictive<br>model <sup>f</sup> | ≥10% risk of<br>high-grade<br>prostate<br>cancer <sup>g</sup>                            | Prostate<br>biopsy   | PSA        | PSA ≥3 ng/mL               | AUC  |
| Nam<br>2016 <sup>26</sup>               | Screening<br>pilot study                                   | 50   | NR                           | Canada  | 50 to<br>75                 | MRI  | Positive MRI<br>(Likert score<br>≥4)   | TRUS-guided<br>biopsy, or<br>TRUS-guided<br>biopsy and<br>MRI-targeted<br>biopsy | PSA        | PSA ≥4 ng/mL               | AUC, PPV, NPV  |

#### Table 14. Summary of records included for question 3

<sup>a</sup>At recruitment. <sup>b</sup>Does not include follow-up (≤13 years). <sup>c</sup>DRE was considered positive or suspicious in the presence of induration, nodularity, significant asymmetry or loss of anatomical landmarks. <sup>d</sup>Eight other PCA3 thresholds are considered in post-hoc analyses. <sup>e</sup>Dates for the recruitment of the validation cohort; the training cohort was recruited in 2012–2013. <sup>f</sup>Original STHLM3 model includes plasma protein biomarkers (PSA, free PSA, intact PSA, hK2, MSMB, and MIC1), genetic markers (232 single nucleotide polymorphisms [SNPs]), clinical variables (age, family history, previous prostate biopsy) and a prostate exam (DRE and prostate volume). <sup>g</sup>Gleason score ≥7. **Abbreviations**: AUC, area under the curve; DRE, digital rectal examination; MRI, magnetic resonance imaging; NPV, negative predictive value; NR, not reported; PPV, positive predictive value; PCA3, prostate cancer antigen 3; PCSM, prostate cancer-specific mortality; PLCO, prostate, lung, colorectal and ovarian; PSA, prostate-specific antigen; RCT, randomised controlled trial; SABOR, San Antonio Biomarkers Of Risk; STHLM3, Stockholm 3; TRUS, transrectal ultrasound. Full details regarding study designs, population characteristics, methods, results and authors' conclusions are presented in the evidence tables in Appendix 3 — Summary and appraisal of individual studies (Table 40a–f).

# Summary of findings

## Quality assessment (Q3)

#### Studies on single tests

The quality of the 5 included studies that assessed single tests was appraised using an adapted QUADAS-2 checklist (Table 44; Appendix 4 – Guidance on quality assessments). The quality of the one study that assessed a prognostic model was appraised using an adapted PROBAST tool checklist (Table 45; Appendix 4 – Guidance on quality assessments). A summary of the risk of bias and applicability to the UK setting is presented in Table 15 and Table 16, and the full appraisals are presented in Table 47 and Table 48 (Appendix 5 – Appraisal for quality and risk of bias).

| Table 15. Summar | y of QUADAS-2 assessments | s for prostate canc | er screening studies |
|------------------|---------------------------|---------------------|----------------------|
| Question         |                           |                     |                      |



| PARTICIPANT SELECTION       |         |         | •       |         | -       |  |  |  |
|-----------------------------|---------|---------|---------|---------|---------|--|--|--|
|                             |         |         |         |         |         |  |  |  |
| Risk of bias                | Unclear | Unclear | Low     | Unclear | Unclear |  |  |  |
| Concern about applicability | Low     | High    | Low     | High    | High    |  |  |  |
| INDEX TESTS                 |         |         |         |         |         |  |  |  |
| Risk of bias                | Low     | Low     | Low     | Low     | Low     |  |  |  |
| Concern about applicability | Low     | Low     | Low     | Low     | Low     |  |  |  |
| REFERENCE STANDARD          |         |         |         |         |         |  |  |  |
| Risk of bias                | Unclear | Low     | Unclear | High    | Unclear |  |  |  |
| Concern about applicability | Unclear | Low     | Unclear | Low     | Unclear |  |  |  |
| PARTICIPANT FLOW            |         |         |         |         |         |  |  |  |
| Risk of bias                | High    | High    | Unclear | High    | High    |  |  |  |

# Participant selection

Overall, the risk of bias was judged low in one out of 5 studies; the low risk of bias study (PLCO) enrolled unselected men without a history of prostate cancer in a consecutive or random manner and did not make any inappropriate exclusions.<sup>157</sup> Four studies were judged to be at an unclear risk of bias; in SABOR and Göteborg, recruitment methods and eligibility criteria were not clearly reported,<sup>24, 158</sup> whereas Nam 2016 and Rubio-Briones 2014 enrolled men on a volunteer basis (opportunistic screening), which may

raise concerns for bias due to the healthy volunteer effect.<sup>25, 26</sup> In addition, Nam 2016 was a small pilot study enrolling just 50 men.<sup>26</sup> The risk of bias for Nam 2016 and Rubio-Briones 2014 studies was therefore also judged to be unclear.<sup>25, 26</sup> A case-control design was avoided in all 5 studies (3 RCTs<sup>24, 25, 157</sup> and 2 prospective cohort studies).<sup>26, 158</sup>

The PLCO and SABOR studies enrolled asymptomatic or healthy men from primary care settings, closely aligning with the population of interest for this review.<sup>157, 158</sup> For the 2 studies that used opportunistically screened healthy men, either via newspaper advertisements,<sup>26</sup> or unreported methods,<sup>25</sup> the concern for applicability was high, as these studies did not recruit from a primary care setting and volunteers may differ from random or consecutively selected participants (volunteer bias).<sup>25, 26</sup> Grenabo-Beghdahl 2016 is a pilot study recruiting men from the tenth round of screening of the Göteborg screening trial (ERSPC, Sweden). It was unclear if exclusion criteria were applied to this cohort, and this study is therefore at an unclear risk of selection bias. However, it was reported that the enrolled men had been invited to PSA screening appointments up to 9 times over a period of 19-years, with only 2% of the cohort being screened for the first time during the pilot study. While originally unselected from a primary care setting, the majority of the study population had undergone substantial repeat screening over a long period of time, and are therefore not representative of the general population. There is therefore high concern about applicability for this study.

#### Index tests

All 5 studies were judged to be at low risk of bias for this domain.<sup>24-26, 157, 158</sup> Index test thresholds or criteria were pre-specified, thereby demonstrating that the thresholds were not able to be influenced by the reference standard results, minimising the risk of over-estimation of test accuracy.

There was little concern that the index tests may have differed from the review question in all of the included studies; all evaluated relevant tests are covered in the NICE NG131 guideline.

# Reference standard

The biopsy procedures, including information on the type of biopsy and who conducted the procedure, were poorly described in 3 studies.<sup>25, 157, 158</sup> In the PLCO trial, it was reported that further diagnostic investigations in screen-positive men were continued under physician care, and therefore may differ between study participants from different primary care centres. It was, however, reported that examiners were blinded to PSA results, and mortality was assessed by a blinded verification process.<sup>24</sup> No details on the biopsy procedure or blinding to index test results were reported in the SABOR and Rubio-Briones 2014 studies.<sup>25, 158</sup> Overall, these studies were therefore at an unclear risk of bias.

In Grenabo-Bergdahl 2016 (Göteborg pilot study), all screen-positive men first underwent TRUS-guided systematic biopsy, conducted by a single urologist blinded to the MRI results.<sup>24</sup> In men with abnormal findings on MRI, MRI-targeted biopsy was then performed unblinded to the MRI results to allow for the approach of "cognitive" targeting. As knowledge of MRI data is required for this targeted biopsy procedure, this study was therefore at a low risk of bias for this domain.<sup>24</sup> Similarly in the Nam 2016 study, all screen-positive men underwent random 12-core TRUS-guided biopsy, and men who had a region identified on MRI additionally underwent targeted MRI-guided biopsy, however no blinding was reported for the first TRUS-guided biopsy procedure.<sup>26</sup> As knowledge of the PSA results may influence biopsy assessment and the experience level of the staff who performed the biopsy is unknown, this study was judged to be at a high risk of bias.

There was no concern about applicability of the reference standard in 2 studies, as widely used biopsy approaches were used to confirm the diagnosis (e.g. TRUS-guided systematic biopsy or MRI-targeted biopsy).<sup>24, 26</sup> Nevertheless, it should be noted that it was not explicitly stated if biopsy was performed by experienced clinicians in the Nam 2016 study. In the 3 studies that did not report any details on the biopsy approach, applicability to the review question was unclear.<sup>25, 157, 158</sup>

# Participant flow

Four studies were at a high risk of bias for this domain. Information on the type of biopsy performed in screen-positive men and whether this was conducted by hospital staff or the researchers was not provided in 3 studies.<sup>25, 157, 158</sup> This increases the risk of bias, as it is unknown if all participants received the same reference standard, and there may have been differences in the methods of diagnosis between staff of different hospitals or with different training backgrounds. Furthermore, in the Nam 2016 study, men with PSA >10 ng/mL were not offered MRI, potentially increasing bias against the screening performance of PSA.<sup>26</sup>

In the SABOR study, a considerable number of enrolled men were not included in the analysis for unknown reasons.<sup>158</sup> This could have introduced selection bias, potentially leading to under- or over-estimation of test accuracy.

In all studies, the index tests were conducted before the reference standard (biopsy), and there was no evidence of preventive treatment in the intervening time period,<sup>25, 26, 157, 158</sup> or in one study, men who had received such treatment were excluded.<sup>24</sup> However, only screen-positive men received biopsy in the majority of studies. This would be expected considering the concerns surrounding the harms of low-risk men undergoing biopsy, however it is still important to acknowledge that this prevents screen-negative cases from being confirmed as true-negatives cases, which may influence test accuracy measures via verification bias. This is a particular risk of sequential testing, where screen-negative men may be excluded at the first negative index test result. Grenabo-

Bergdahl 2016 conducted a sensitivity analysis to investigate the potential impact of this on screening accuracy within the Göteborg screening cohort, finding that the significant differences between the 3 screening strategies evaluated remained unchanged for specificity, but at a reduced sensitivity.<sup>97</sup> In the Nam 2016 study, all screened men were planned to undergo biopsy, however, a small sample of 50 men were included (with only 47 undergoing both MRI and biopsy), and thus this study was judged to be at a high risk of bias for this domain.

#### Predictive model studies

The review identified one predictive model study, STHLM3, the quality of which was assessed with the PROBAST checklist and is summarised in Table 16.

| Question                    | Grönberg 2015 (STHLM3) <sup>97</sup> |
|-----------------------------|--------------------------------------|
| Type of prediction study    | Development and validation study     |
| PARTICIPANTS                |                                      |
| Risk of bias                | Low                                  |
| Concern about applicability | Low                                  |
| PREDICTORS                  |                                      |
| Risk of bias                | Low                                  |
| Concern about applicability | Low                                  |
| OUTCOME                     |                                      |
| Risk of bias                | Low                                  |
| Concern about applicability | Low                                  |
| ANALYSIS                    |                                      |
| Risk of bias                | Unclear                              |
| OVERALL ASSESSMENT          | Low                                  |

#### Participants

The data for the model was sourced from the Stockholm 3 (STHLM3) study, a prospective, population-based cohort study in randomly selected men aged 50 to 69 years without a previous diagnosis of prostate cancer. Risk of selection bias was therefore low. While men were not recruited from primary care, they were randomly selected from the Swedish Population Register by date of birth and invited via postal invitation. This population was therefore representative of an unselected, asymptomatic cohort not recruited from secondary or tertiary care setting, in alignment with the review question.

# **Predictors**

The predictors in the STHLM3 model were adequately described, and samples were collected and analysed in a consistent way for all study participants. The predictors were selected based on findings of a systematic literature search, and 2 validation studies. Testing for PSA and other biomarkers was conducted before biopsy, as index PSA test results were required to determine whether biopsy was indicated. However, DRE and

prostate volume measurements were only conducted in men selected for biopsy, which is after the time that the model is intended to be used. As this was reflected in the model by the order of predictors, and the results were shown to be robust to the removal of these predictors from the model, this study is considered to be at low risk of bias for this domain. There is also low concern that overall, the definition, assessment or timing of predictors in the model do not match the review question.

#### <u>Outcome</u>

There was low risk of bias introduced by determination of the outcome. The outcome of high-risk prostate cancer was pre-defined (Gleason score  $\geq$ 7) and determined appropriately – all participants underwent a standardised biopsy protocol, in which biopsies were assessed by a single pathologist to reduce interobserver variance. Participating investigators (including urologists and pathologists) were blinded to PSA and biomarker results. While the time interval between screening and biopsy were not reported, it is considered unlikely that time was sufficiently long for new prostate cancer to develop or progress to high-risk classification.

The primary outcome of interest was area under the curve (AUC) of the model compared with PSA alone, in line with the review question. There was therefore low concern about applicability in relation to the outcomes.

#### <u>Analysis</u>

Large numbers of participants were included in both the training (N=11,130) and validation cohorts (N=47,688). Reasons for exclusion of participants from final analyses were sufficiently reported; for example, 6% of the training cohort and 10% of the validation cohort who had undergone biopsy were excluded due to receipt of alpha-reductase inhibitors, which are used to treat enlarged prostate and could confound results. Continuous and categorial predictors were handled appropriately using logistic regression, in which continuous outcomes were modelled using linear effects, and categorical outcomes were included as indicator variables.

However, there was no information on the handling of missing data, accounting for any complexities in the data (such as censoring), or evaluation of model performance measures such as development and calibration. While it was reported that 5-fold cross validation was used to account for model overfitting or optimism in model performance, no information was provided on the predictors and their assigned weights (e.g. no intercepts provided). Due to substantial missing information on the analyses, the risk of bias introduced by the analysis for the STHLM3 study is unclear overall.

# Results (Q3)

Key results for each of the screening tests are presented in Table 17. Full details of the included studies and their results can be found in Table 40a–f (Appendix 3 — Summary and appraisal of individual studies).

#### Sequential screening

## PSA test with MRI vs PSA test

The Göteborg pilot study compared 3 different screening strategies: (1) PSA ≥3.0 ng/mL and systematic biopsy; (2) PSA ≥3.0 ng/mL, MRI scan, and MRI-targeted prostate biopsy in the event of a positive MRI scan (Likert score  $\geq$ 3); and (3) PSA  $\geq$ 1.8 ng/mL, MRI scan, and MRI-targeted prostate biopsy in the event of a positive MRI scan (Likert score  $\geq$ 3).<sup>24</sup> The reference screening strategy (1) achieved a sensitivity of 0.64 (95% CI 0.47 to 0.82) and specificity of 0.52 (95% CI 0.43 to 0.62), suggesting that a substantial proportion of screen-positive men based on PSA alone will not have prostate cancer detected on biopsy. This is demonstrated by a low positive predictive value (PPV) of 0.27 (95% CI 0.16 to 0.37) and a higher negative predictive value (NPV) of 0.84 (95% CI 0.75 to 0.93). The addition of MRI as a sequential index test in men with PSA ≥3 ng/mL allowed for further exclusion of low-risk men, demonstrated by a higher specificity of 92% (95% CI 0.86 to 0.97). However, this was at the expense of sensitivity, which decreased to 46% (95% CI 0.27 to 0.65). It was reported that this screening strategy missed 3 cases of significant prostate cancer, demonstrating the potential consequence of a reduction in sensitivity. When the PSA cut-off was lowered to 1.8 ng/mL, both sensitivity and specificity increased (sensitivity 0.73, 95% CI 0.56 to 0.90; specificity 0.79, 95% CI 0.70 to 0.87) in comparison with PSA alone, followed by systematic biopsy. In fact, PSA ≥1.8 ng/mL followed by MRI reduced the proportion of biopsies performed by 26%, with improved detection of significant prostate cancer (by 48%) and prostate cancer with Gleason score  $\geq$ 7 (by 43%). Measures of test accuracy for prostate cancer by risk of progression or grade were not reported.

# PSA test with DRE and PCA3 test vs PSA test

The Rubio-Briones 2014 RCT compared a novel sequential screening strategy (PSA  $\geq$ 3 ng/mL and/or abnormal DRE, followed by PCA3  $\geq$ 35 signifying a positive result) with the standard PSA test (threshold of  $\geq$ 3 ng/mL).<sup>25</sup> The AUC for the PCA3 strategy (0.748, 95% CI 0.677 to 0.819) was greater than that for the PSA test (0.601, 95% CI 0.514 to 0.689), with a statistically significant difference between the approaches (p=0.008). The PCA3 strategy achieved 78.2% sensitivity and 57.1% specificity, suggesting that while a large proportion of men with prostate cancer will be diagnosed at biopsy, a substantial proportion of screen-positive men will not have prostate cancer, representing unnecessary biopsy. For example, of the 110 men that had PCA3  $\geq$ 35 and underwent prostate biopsy, only 43 (39.1%) had prostate cancer (true positives). Conversely, of the

101 men that had PCA3 <35 and were randomised to prostate biopsy, 12 (11.9%) had prostate cancer (false negatives).

## Single screening tests

## <u>MRI vs PSA test</u>

The Nam 2016 study compared MRI (Likert score ≥4 signified a positive result) with the standard PSA test (with a threshold of ≥4 ng/mL).<sup>26</sup> The AUC for MRI (0.81, 95% CI 0.67 to 0.94) was greater than that for the PSA test (0.67, 95% CI 0.52 to 0.84). When patients were divided into those with negative and positive PSA test results, MRI score was a strong predictor of cancer. Prostate cancer was diagnosed in 9 out of 30 men (30.0%) with a negative PSA test result. For this group, the PPV of MRI was 66.7% (6/9) and the NPV of MRI was 85.7% (18/21, p=0.004). Prostate cancer was also diagnosed in 9 out of 17 men (52.9%) with a positive PSA test result. For this group, the PPV of MRI was 75.0% (6/8) and the NPV of MRI was 66.7% (6/9, p=0.08).

# <u>DRE vs PSA test</u>

The PLCO study compared DRE with the standard PSA test (with a threshold of  $\geq 4$  ng/mL).<sup>157</sup> During follow-up ( $\leq 13$  years) there were 64 prostate cancer-specific deaths. Suspicious DRE was significantly associated with prostate cancer-specific mortality on univariate analysis (HR 3.49, 95% CI 1.96 to 6.23, p<0.001) and on multivariate analysis, after adjustment for age and intra-study PSA (HR 2.54, 95% CI 1.41 to 4.58, p=0.002). Nonetheless, abnormal PSA was even more strongly associated with prostate cancer-specific mortality on multivariate analysis (HR 5.23, 95% CI 3.08 to 8.88, p<0.001). Hence, PSA is likely to be a better predictor of prostate cancer-specific mortality than DRE.

# Percent-free PSA test vs PSA test

The SABOR study compared the percent-free PSA test (with a threshold of <25% or <15%) with the standard PSA test (with a threshold of ≥4 ng/mL).<sup>158</sup> Of the 79 men that had a negative biopsy after a positive PSA test, 25 (31.6%) and 52 (65.8%) tested negative on the percent-free PSA test by exceeding the thresholds of 25% and 15%, respectively. Hence, the use of the percent-free PSA test as a reflex test after the standard PSA test would have spared 65.8% of unnecessary biopsies. Conversely, of the 41 men that had a positive biopsy after a negative PSA test, 35 (85.4%) and 18 (43.9%) tested positive on the percent-free PSA test by failing to exceed the thresholds of 25% and 15%, respectively.

# STHLM3 model vs PSA test

The STHLM3 study compared the STHLM3 model ( $\geq$ 10% risk of high-grade [Gleason score  $\geq$ 7] prostate cancer signified a positive result) with the standard PSA test (with a threshold of  $\geq$ 3 ng/mL).<sup>97</sup> The AUC for the original STHLM3 model was greater than that for the PSA test alone for the prediction of all cancers (0.69 [95% CI 0.68 to 0.71] vs

0.52 [95% CI 0.50 to 0.53], p value not reported), the prediction of high-grade (Gleason score ≥7) cancers (0.74 [95% CI 0.72 to 0.75] vs 0.56 [95% CI 0.54 to 0.59], p<0.0001), the prediction of all cancers excluding very low-risk cancers (CAPRA score 0 to 2) (0.78 [95% CI 0.76 to 0.80] vs 0.64 [95% CI 0.62 to 0.67], p value not reported), and the prediction of cancers with a Gleason score ≥(4 + 3) (0.74 [95% CI 0.71 to 0.77] vs 0.60 [95% CI 0.56 to 0.64], p value not reported). Note, however, that the DRE and prostate volume measurements were only performed in patients after selection for biopsy. When considering only the components of the STHLM3 model that were measured prior to selection for biopsy (i.e. total PSA, risk factors, genetic markers and plasma protein biomarkers), the AUC for the original STHLM3 model for the prediction of high-grade (Gleason score ≥7) cancers was reduced from 0.74 (95% CI 0.72–0.75) to 0.70 (95% CI 0.68–0.72). Nonetheless, the AUCs for both the former and the latter were significantly greater than the AUC for the PSA test alone (p<0.0001).

The original STHLM3 model was later updated with the removal of specific variables (e.g. intact PSA) and the addition of others (e.g. a rare germline mutation of the *HOXB13* gene).<sup>27</sup> The updated STHLM3 model performed slightly better than the original one. Based on analyses including all biopsied participants from the STHLM3 pilot study and validation study, the AUC for the updated STHLM3 model for the prediction of high-grade (Gleason score  $\geq$ 7) cancers was 0.75 (95% CI 0.73 to 0.77), while the AUC for the PSA test was 0.58 (95% CI 0.57 to 0.60). Further analyses were performed to evaluate the usage of the updated STHLM3 model as a reflex test in patients with PSA  $\geq$ 3ng/mL only. In this context, the AUC for the updated STHLM3 model STHLM3 model for the prediction of high-grade (Gleason score  $\geq$ 7) cancers rose to 0.76 (95% CI 0.74 to 0.77).

#### Table 17. Diagnostic performance of screening tests

|                        |   | •   |                          |                         |                         |                         | C                       | Dutcome   |   |                         |                                     |
|------------------------|---|---|--------------------------|-------------------------|-------------------------|-------------------------|-------------------------|---|---|-------------------------|-------------------------------------|
| Study                  | Test                                    | Threshold(s)  | Reference<br>standard(s) | Sens.<br>(95%<br>Cl)    | Spec.<br>(95%<br>Cl)    | PPV<br>(95%<br>Cl)      | NPV<br>(95%<br>CI)      | False positive  | False<br>negative   | AUC (95% CI)            | PCSM,<br>HR (95%<br>Cl)             |
| Göteborg <sup>24</sup> | Strategy<br>1: PSA                      | PSA ≥3 ng/mL  | TRUS-guided<br>biopsy    | 0.64<br>(0.47–<br>0.82) | 0.52<br>(0.43–<br>0.62) | 0.27<br>(0.16–<br>0.37) | 0.84<br>(0.75–<br>0.93) | NR  | NR  | NR                      | NR                                  |
|                        | Strategy<br>2: PSA +<br>MRI             | PSA ≥3 ng/mL<br>with positive<br>MRI (Likert<br>score ≥3)             | MRI-targeted<br>biopsy   | 0.46<br>(0.27–<br>0.65) | 0.92<br>(0.86–<br>0.97) | 0.60<br>(0.39–<br>0.81) | 0.87<br>(0.80–<br>0.93) | NR  | NR  | NR                      | NR                                  |
|                        | Strategy<br>3: PSA +<br>MRI             | PSA ≥1.8 ng/mL<br>with positive<br>MRI (Likert<br>score ≥3)           | MRI-targeted<br>biopsy   | 0.73<br>(0.56–<br>0.90) | 0.79<br>(0.70–<br>0.87) | 0.48<br>(0.32–<br>0.63) | 0.92<br>(0.86–<br>0.98) | NR  | NR  | NR                      | NR                                  |
| PLCO <sup>157</sup>    | PSA                                     | PSA ≥4 ng/mL  | Prostate biopsy          | NR                      | NR                      | NR                      | NR                      | NR  | NR  | NR                      | 5.23<br>(3.08–<br>8.88),<br>p<0.001 |
|                        | DRE                                     | Examination by clinician <sup>a</sup>                                 | Prostate biopsy          | NR                      | NR                      | NR                      | NR                      | NR  | NR  | NR                      | 2.54<br>(1.41–<br>4.58,<br>p=0.002) |
| Rubio-<br>Briones      | PSA                                     | PSA ≥3 ng/mL  | Prostate biopsy          | NR                      | NR                      | NR                      | NR                      | NR  | NR  | 0.601 (0.514–<br>0.689) | NR                                  |
| 2014 <sup>25</sup>     | PSA with<br>DRE,<br>followed<br>by PCA3 | PSA ≥3 ng/mL<br>and/or abnormal<br>DRE, with PCA3<br>≥35 <sup>b</sup> | Prostate biopsy          | 78.2                    | 57.1                    | NR                      | NR                      | NR  | 11.9%   | 0.748 (0.677–<br>0.819) | NR                                  |
| SABOR <sup>158</sup>   | PSA vs<br>percent-<br>free PSA          | PSA ≥4 ng/mL<br>vs percent-free<br>PSA <25% or<br><15%                | Prostate biopsy          | NR                      | NR                      | NR                      | NR                      | 417 false<br>positives (≥1<br>biopsies)<br>PSA screen-<br>positive and<br>negative<br>biopsy (N=79):<br>25 (31.6%)<br>screened<br>negative at<br>percent-free<br>PSA 25%<br>threshold; 52 | PSA<br>screen-<br>negative<br>and positive<br>biopsy<br>(N=41): 35<br>(85.4%)<br>screened<br>positive at<br>percent-free<br>PSA 25%<br>threshold;18<br>(43.9%) at | NR                      | NR                                  |

|  |  |  |                          |                      |                      |                    | (                  | Dutcome  |                                      |   |                         |
|--|--|--|--------------------------|----------------------|----------------------|--------------------|--------------------|--|--------------------------------------|---|-------------------------|
| Study                                      | Test                                       | Threshold(s)   | Reference<br>standard(s) | Sens.<br>(95%<br>CI) | Spec.<br>(95%<br>Cl) | PPV<br>(95%<br>Cl) | NPV<br>(95%<br>CI) | False positive                                     | False<br>negative                    | AUC (95% CI)  | PCSM,<br>HR (95%<br>CI) |
|  |  |  |                          |                      |                      |                    |                    | (65.8%) at<br>percent-free<br>PSA 15%<br>threshold | percent-free<br>PSA 15%<br>threshold |   |                         |
| Gronberg<br>2016<br>(STHLM3) <sup>97</sup> | PSA  | PSA ≥3 ng/mL   | Prostate biopsy          | NR                   | NR                   | NR                 | NR                 | NR   | NR                                   | All PCa: 0.52<br>(0.50-0.53)<br>High grade <sup>c</sup><br>PCa: 0.56<br>(0.54-0.59)<br>Excluding very<br>low-risk <sup>d</sup> PCa:<br>0.64 (0.62-<br>0.67)<br>Gleason score<br>≥(4 + 3) PCa:<br>0.60 (0.56-<br>0.64) | NR                      |
|  | STHLM3<br>predictive<br>model <sup>e</sup> | ≥10% risk of<br>high-grade<br>prostate cancer <sup>c</sup> | Prostate biopsy          | NR                   | NR                   | NR                 | NR                 | NR   | NR                                   | All PCa: 0.69<br>(0.68-0.71)<br>High grade <sup>c</sup><br>PCa: 0.74<br>(0.72-0.75)<br>Excluding very<br>low-risk <sup>d</sup> PCa:<br>0.78 (0.76-<br>0.80)<br>Gleason score<br>$\geq$ (4 + 3) PCa:<br>0.74 (0.71-77) | NR                      |
| Strom 2018<br>(STHLM3) <sup>27</sup>       | PSA  | PSA ≥3 ng/mL   | Prostate biopsy          | NR                   | NR                   | NR                 | NR                 | NR   | NR                                   | High risk PCa <sup>c</sup><br>0.58 (0.57–<br>0.60)  | NR                      |

|                        |   |  |  |                      |                      |  | 0  | utcome         |                   |  |                         |
|------------------------|---|--|--|----------------------|----------------------|--|--|----------------|-------------------|--|-------------------------|
| Study                  | Test  | Threshold(s)   | Reference<br>standard(s)   | Sens.<br>(95%<br>Cl) | Spec.<br>(95%<br>Cl) | PPV<br>(95%<br>Cl)   | NPV<br>(95%<br>Cl)   | False positive | False<br>negative | AUC (95% CI)                                       | PCSM,<br>HR (95%<br>CI) |
|                        | Updated<br>STHLM3<br>predictive<br>model <sup>f</sup> | ≥10% risk of<br>high-grade<br>prostate cancer <sup>c</sup> | Prostate biopsy  | NR                   | NR                   | NR   | NR   | NR             | NR                | High risk PCa <sup>c</sup><br>0.75 (0.73–<br>0.77) |                         |
| Nam 2016 <sup>26</sup> | PSA   | PSA ≥4 ng/mL   | TRUS-guided<br>biopsy after<br>negative MRI,<br>TRUS-guided<br>biopsy and MRI-<br>targeted biopsy<br>after positive<br>MRI | NR                   | NR                   | NR   | NR   | NR             | NR                | 0.67 (0.52–<br>0.84)                               | NR                      |
|                        | MRI   | Positive MRI<br>(Likert score ≥4)                          | TRUS-guided<br>biopsy after<br>negative MRI,<br>TRUS-guided<br>biopsy and MRI-<br>targeted biopsy<br>after positive<br>MRI | NR                   | NR                   | 66.7%<br>in men<br>with a<br>negative<br>PSA<br>test.<br>75.0%<br>in men<br>with a<br>positive<br>PSA<br>test. | 85.7%<br>in men<br>with a<br>negative<br>PSA<br>test.<br>66.7%<br>in men<br>with a<br>positive<br>PSA<br>test. | NR             | NR                | 0.81 (95% Cl<br>0.67–0.94)                         | NR                      |

<sup>a</sup>DRE was considered positive or suspicious in the presence of induration, nodularity, significant asymmetry or loss of anatomical landmarks. <sup>b</sup>Eight other PCA3 thresholds are considered in post-hoc analyses. <sup>c</sup>Gleason score ≥7. <sup>d</sup>CAPRA score 0–2. <sup>e</sup>Original STHLM3 model includes plasma protein biomarkers (PSA, free PSA, intact PSA, hK2, MSMB, and MIC1), genetic markers (232 single nucleotide polymorphisms [SNPs]), clinical variables (age, family history, previous prostate biopsy) and a prostate exam (DRE and prostate volume). <sup>f</sup>Updated STHLM3 model involved the removal of specific variables (e.g. intact PSA) and the addition of others (e.g. a rare germline mutation of the *HOXB13* gene). **Abbreviations**: AUC, area under the curve; CAPRA, Cancer of the Prostate Risk Assessment; CI, confidence interval; DRE, digital rectal examination; HR, hazard ratio; MRI, magnetic resonance imaging; NPV, negative predictive value; NR, not reported; PPV, positive predictive value; PCa, prostate cancer; PCA3, prostate cancer antigen 3; PCSM, prostate cancer specific mortality; PLCO, prostate, lung, colorectal and ovarian; PSA, prostate-specific antigen; SABOR, San Antonio Biomarkers Of Risk; STHLM3, Stockholm 3; TRUS, transrectal ultrasound.

#### Studies without a PSA comparator

Twelve included studies were deprioritised for extraction as they did not compare a relevant screening test to PSA-based screening, or lacked a comparator altogether. Eleven studies reported on the accuracy of one or more predictive models for prostate cancer, mostly in the context of triaging risk of high-grade cancer in men referred for biopsy. These are summarised below, however, they have not been included in the consideration of whether criteria 4 and 5 are met, as comparison to PSA is not feasible.

Four publications by Ankerst and colleagues reported on development and modification of the Prostate Cancer Prevention Trial risk calculator (PCPTRC) tool,<sup>159-162</sup> including addition of PCA3 data obtained from the SABOR cohort, which significantly improved prediction of high-grade prostate cancer.<sup>159, 160</sup> Incorporation of the TMPRSS2:ERG urinary assay results in the model did not improve detection any further after the addition of PCA3.<sup>160</sup> Ankerst 2018 compared the PCPTRC to the newly developed Prostate Biopsy Collaborate Group (PBCG) model, in the estimation of the risks associated with high-grade prostate cancer on biopsy.<sup>162</sup> The PBCG model was constructed by Ankerst et al based on data from 15,611 men undergoing biopsy at 8 North American centres. It was concluded that the PBCG model was superior over the PCPTRC for prediction of prostate biopsy outcome in terms of clinical net benefit, with an improvement in AUC (75.5%, 95% CI 74.2 to 76.8 vs. 72.3%, 95% CI 70.9 to 73.7, respectively). Based on a risk threshold of 10%, the use of the PBCG model would lead to 25 fewer biopsies per 1000 men, without missing any cases of high-grade prostate cancer. These findings were further validated on a cohort of 10,377 European biopsies.<sup>162</sup>

Two studies used data from the screening arm of the PLCO trial.<sup>163, 164</sup> Kim 2017 compared the performance of the four-kallikrein (4K) panel in predicting high-grade prostate cancer with that of the Prostate Cancer Prevention Trial (PCPT) risk calculator, finding that the 4K panel was a superior predictor of high-grade prostate cancer (AUC, 4K 0.79 vs PCPT 0.73). The addition of microseminoprotein-beta (MSP) as a predictor further improved risk discrimination in comparison with 4K alone (AUC 0.81).<sup>163</sup> Shoaibi 2017 investigated the performance of PSA rate based on a PSA growth curve model. A PSA rate threshold of 0.37 ng/ml/year achieved an optimal combination of 97.2% sensitivity and 97.3% specificity for detection of high-risk prostate cancer. The study also found that PSA rate was a better predictor that a single PSA value from the last test, although these findings are yet to be validated.<sup>164</sup>

Four studies evaluated the ERSPC Rotterdam risk calculator.<sup>165-168</sup> Roobol 2017 reported that an updated version of the ERSPC risk calculator number 3 (RC3), achieved an AUC of 0.70 (95% CI 0.68 to 0.72), reducing unnecessary biopsies by 34% at a 1% risk threshold, while missing only 2% of high-risk prostate cancer cases.<sup>165</sup> Vedder 2014 compared the predictive performance of percent-free PSA, PCA3, 4K-panel and the ERSPC risk calculator (original model and with DRE), and combinations

of these models, in detection of prostate cancer. The addition of PCA3 and the 4K panel to the DRE-based ERSPC risk calculator improved predictive performance (AUC 0.80, 95% CI 0.72 to 0.87 vs AUC 0.76, 95% CI 0.68 to 0.83).<sup>166</sup> The utility of the RPCRC and 4K panel predictive models in reducing unnecessary biopsy and overdiagnosis were found to be comparable by Verbeek 2018, with enhanced efficacy when used together. One study compared the predictive accuracy of the RC3 model with PSA alone, although the only outcome reported for this comparison was the concordance index (RC3 0.810 vs PSA 0.767; p<0.001).<sup>168</sup>

One study, Van der Leest 2019, evaluated 3 MRI protocols as a primary screening test for detecting high-grade prostate cancer in biopsy-naïve men:<sup>169</sup> monoplanar ("fast" biparametric MRI [bp-MRI]) and triplanar nonconstrast bp-MRI were compared with current contrast-enhanced multiparametric MRI. All 3 MRI approaches achieved a sensitivity of 95% in the detection of high-grade prostate cancer, although this was at the expense of specificity, which was lowest for fast bp-MRI (65%) in comparison with bp-MRI and mp-MRI (both 69%). It was concluded that while fast bp-MRI is associated with lower direct costs which could improve accessibility in clinical practice, it is estimated that the use of this protocol could lead to 2% more biopsies, with ~1% more overdiagnosis of low-grade prostate cancer.<sup>169</sup>

#### Studies excluded due to use of a pre-selected population

Many records (>600) were excluded from this review due to having a pre-selected population that was not in a primary care setting. A key example of this is the PROMIS study, which used mpMRI as a triage test in men who had been advised to have a prostate biopsy due to a clinical suspicion of prostate cancer.<sup>170</sup> Suspicion included elevated serum PSA (up to 15 ng/mL), suspicious DRE, suspected organ confined stage ≤T2 on rectal examination, or family history. A total of 576 eligible participants underwent 3 tests, 1) mpMRI (the index test), 2) TRUS-guided biopsy (the current standard), 3) TPM biopsy (the reference test). For clinically significant prostate cancer (which was defined by validated criteria for use with TPM-biopsy for detection of primary Gleason grade ≥4 and cancer core length predictive for the presence of lesions ≥0.5 mL), mpMRI had a sensitivity of 93% (95% CI 88 to 96), specificity of 41% (95% CI 36 to 46), PPV of 51% (95% CI 46 to 56) and NPV of 89% (95% CI 83 to 94), compared to 48% (sensitivity), 96% (specificity), 90% (PPV) and 89% (NPV) for TRUS-guided biopsy. In an economic evaluation, the most cost-effective strategy was testing all men with mpMRI, followed by MRI-guided TRUS biopsy in those with clinically significant cancer and re-biopsy if clinically significant cancer was not detected. The authors concluded that incorporating mpMRI into the diagnostic pathway may reduce the proportion of men having unnecessary biopsies, improve the detection of clinically significant prostate cancer and increase cost-effectiveness of the diagnostic and therapeutic pathway. However, caution must still be taken as a negative mpMRI scan was recorded for 158 (27%) men, 17 of whom (11%) were found to have clinically significant prostate cancer upon biopsy.<sup>86, 170</sup>

# **Conclusions (Q3)**

The review included 5 moderate-to-low quality studies investigating screening tests and one high-quality study investigating a model for detecting prostate cancer. A large number of studies were excluded due to the index test being used in selected populations, i.e. in patients selected for biopsy based on prior suspicious DRE and/or PSA testing. Each study evaluated different screening tests or sequential test strategies, thus, no index test was validated in an independent study.

Two studies evaluated sequential screening methods; the Göteborg pilot study assessed the addition of MRI to PSA screening,<sup>24</sup> while Rubio-Briones 2014 assessed the addition of PCA3 testing to the PSA test and DRE.<sup>25</sup> The addition of PCA3 was found to significantly improve the AUC compared with PSA or DRE alone, although specificity was low (high false positive rate), reflecting a high number of unnecessary biopsies. In the Göteborg pilot study, the most clinically useful screening strategy evaluated was PSA  $\geq$ 1.8 ng/mL followed by MRI (strategy 3), which was superior to both PSA  $\geq$ 3.0 ng/mL followed by MRI (strategy 2) and PSA testing alone (strategy 1). However, these findings are yet to be validated in the larger Göteborg 2 trial, which is anticipated to involve 40,000 participants and run until 2040.

Among studies that evaluated single tests, MRI, DRE and %fPSA were investigated with comparisons to PSA. The results of Nam 2016 support the conclusions of the aforementioned Göteborg pilot study and suggest that MRI alone may be more accurate than PSA testing, and identifying 6/9 cases of prostate cancer in men who screened negative based on PSA. However the small sample size of this pilot study (50 participants) limits the robustness of the conclusion that can be drawn.<sup>26</sup> The use of %fPSA may also improve specificity; it was reported that it could spare approximately two-thirds of unnecessary biopsies, but this would also come at the cost of a decreased ability to detect true positive cases. DRE is less promising, being shown to be a significantly worse predictor of prostate cancer-specific mortality over 13 years of follow-up in the PLCO trial.

The STHLM3 predictive model represents a promising screening tool that should be subjected to further validation. The AUC for the STHLM3 model was superior to that for the PSA test for both the prediction of all cancers and the prediction of high-grade (Gleason score  $\geq$ 7) cancers.

Comparison of the results from the different studies is confounded by the fact that they used different thresholds for the PSA test; 3 studies used PSA 3 ng/mL, whereas the other 3 studies used 4 ng/mL. The previous UK NSC review found that the use of a 3 ng/mL threshold increased sensitivity for the detection of prostate cancer, but also increased false positive cases and overdiagnosis. The overall conclusion was that there was no consensus on appropriate PSA cut-off thresholds for the detection of prostate

cancer; while a review was identified that reported age-specific reference ranges for PSA, these had not been validated. Likewise, in the current review, there was very little evidence on whether the thresholds employed for the various index tests were the most appropriate thresholds. None of the studies described the distribution of index test values in the target population, and only 3 studies reported relevant outcomes for more than one index test threshold (SABOR, Göteborg and the Rubio-Briones 2014). Only one study reported relevant outcomes for more than 2 index test thresholds in an effort to determine the most appropriate threshold (Rubio-Briones 2014). Another key issue is that it is unclear weather the application of these models reduces prostate cancer metastases or mortality in the longer term. This question could be addressed by an evidence synthesis similar to that addressing criteria 11 and 13 in this review, except for looking at studies comparing screening using these models vs screening with PSA, rather than PSA vs no screening.

# Summary of findings relevant to criteria 4 and 5: Criteria not met

**Quantity:** A small volume of evidence on the diagnostic performance of screening tests compared with PSA screening in unselected men was identified. In total, 7 articles reporting on 6 unique screening tests were included (note also that the 2 STHLM3 articles report on slightly different iterations of the STHLM3 model), although none distinguished between insignificant and clinically significant disease. No identified studies describe the distribution of index test values in the target population. Only 3 studies report relevant outcomes for more than one index test threshold (SABOR, Göteborg and Rubio-Briones 2014), and only one study reports relevant outcomes for more than 2 index test thresholds in an attempt to determine the most appropriate threshold (Rubio-Briones 2014).

**Quality:** All 5 studies reporting on single or sequential screening tests had low risk of bias associated with conduct of the index test(s). However, the level of reporting on the reference standard was generally poor, with only 2 studies describing the biopsy procedure in sufficient detail. These 2 studies were therefore at unclear risk of bias for measurement of the reference standard. Regarding the evidence on diagnostic test accuracy, all participants in an ideal screening test study should undergo biopsy regardless of their index test/PSA test results; however, with the exception of one of the included studies, participants only underwent biopsy if a specific threshold was met, preventing the derivation of complete data on true negatives and false negatives. The one study where all participants underwent biopsy regardless of screening test results only recruited 50 participants, and this study was at high risk of bias related to participant enrolment, participant flow and the reference standard (Nam 2016). Nonetheless, it is acknowledged that this approach does not align with recommended clinical practice and could not be implemented as a screening test due to concerns regarding the potential harms of unnecessary biopsies.

**Applicability:** Two studies enrolled unselected men from primary care settings. There were concerns related to participant enrolment in 2 studies that evaluated opportunistic screening for prostate cancer, with participants recruited through volunteer sampling or unreported

methods. All studies evaluated relevant screening tests for prostate cancer covered by the NG131 guideline, and 2 studies evaluated the STHLM3 model which includes relevant biomarker predictors. All studies were conducted in high-income countries that are judged to be applicable to the UK setting.

**Consistency:** While all studies compared an index test to PSA testing, no 2 studies evaluated the same index test(s) and comparator(s), and therefore no screening approach has been validated by a second, independent study. Moreover, comparison of the results from different studies is complicated by the use of different thresholds for the PSA test.

**Conclusions:** Evidence gathered in the current review suggests that MRI (either added to PSA-based screening or alone) and the STHLM3 predictive model may offer greater diagnostic accuracy relative to prostate cancer screening with the PSA test only. Nevertheless, comparison of results between the studies is complicated by the use of varying thresholds for the PSA test comparator. None of the identified studies characterised the distribution of index test values in the target population, and only one study reported relevant outcomes for more than 2 index test thresholds in an effort to determine the most appropriate threshold. Most notably, none of the studies evaluated the ability of the screening tests to distinguish between insignificant and clinically significant prostate cancer. All but one studies also applied the reference standard (biopsy) only to the screen-positives, thereby making it impossible to determine the true sensitivity of the test (e.g. false negatives are not picked up).

Although the evidence is promising, the lack of consistency precludes drawing robust conclusions on the appropriateness of any test as a screening measure to detect prostate cancer. Further studies could confirm the superiority of MRI over PSA-based screening in terms of detecting high grade disease, especially in light of the fact that PSA-based screening also does not meet criteria 11 and 13, investigated in the first part of this review. As such, criteria 4 and 5 are also not met.

Criterion 9 – Harms and benefits of treatment approaches for early-stage prostate cancer

9: 'There should be an effective intervention for patients identified through screening, with evidence that intervention at a pre-symptomatic phase leads to better outcomes for the screened individual compared with usual care. Evidence relating to wider benefits of screening, for example those relating to family members, should be taken into account where available. However, where there is no prospect of benefit for the individual screened then the screening programme should not be further considered'

In the last external review conducted for the UK NSC in 2015 (with searches in 2014) (Louie 2015), a meta-analysis of 16 RCTs was identified for Criterion 9;<sup>171</sup> it compared the efficacy and safety of observation (NICE uses the term, 'observation' to group together active surveillance and watchful waiting. These are both methods of monitoring prostate cancer. The key difference between the 2 approaches is that watchful waiting involves fewer tests than active surveillance, with check-ups usually taking place at the GP surgery rather than at hospital)<sup>172, 173</sup>, prostatectomy and different types of radiotherapy (RT) in men with localised prostate cancer. The meta-analysis found no reduction in 5-year all-cause mortality for any compared treatment groups, but reported that prostate cancer-specific mortality was lower in patients treated with prostatectomy vs observational management and with conformal RT vs conventional RT. In addition, 3 large RCTs with longer follow-up were also identified: the Scandinavian Prostate Cancer Group Study 4 (SPCG-4),<sup>174</sup> US-based Prostate Cancer Intervention versus Observation Trial (PIVOT),<sup>175</sup> and the UK-based ProtecT trial.<sup>4</sup> SPCG-4 and PIVOT both compared radical prostatectomy with watchful waiting; SPCG-4 found that prostatectomy significantly reduced prostate cancer mortality compared with watchful waiting (at 23year follow-up) whilst PIVOT saw no significant difference for the same comparison (at 12-year follow-up). Lastly, ProtecT is a large 3-arm RCT comparing monitoring, radical prostatectomy or radical radiotherapy for localised prostate cancer detected after PSA testing, but no results had been posted at the time of the previous UK NSC review.

This update review searched for relevant data published since 2014 relating to the harms and benefits for interventions to treat early-stage prostate cancer, including the evidence reviewed for the recent NICE NG131 (2019)<sup>85</sup> guidance and any new analyses for the focal SPCG-4, PIVOT and ProtecT trials.

Question 4 – What are the harms and benefits of currently available treatment approaches for early-stage prostate cancer to reduce morbidity and mortality?

# Eligibility for inclusion in the review

This review searched for RCTs and SLRs and MAs of RCTs. Studies were included if the population comprised men with early-stage or localised prostate cancer (stage T1–T3a) eligible for primary treatment. Treatment interventions of interest were

prostatectomy, high-intensity ultrasonography, radiation therapy (including conventional, hypofractionated, external-beam, brachytherapy and combinations), ablative therapy, androgen suppression and observation (watchful waiting or active surveillance). Active surveillance and watchful waiting are different in that active surveillance is proactive monitoring whilst watchful waiting is passive, however, they were grouped together under the umbrella term of 'observation' in the SLRs that informed the most recent guidance as 2 methods of conservative treatment. Outcomes of interest included disease-specific outcomes (survival, biochemical failure, metastasis), toxicity/treatment complications and quality of life (QoL)/functioning. Studies were not restricted geographically. Full details of the eligibility criteria are presented in Table 7.

For this question, 2 SLRs informing the most recently-published NICE guidance (NG131 2019)<sup>85</sup> for the diagnosis and management of prostate cancer were updated; the interventions investigated were RT, observation (active surveillance or watchful waiting) and prostatectomy. If any RCTs were captured in either of these, details of the study were only extracted from the SLR publication, and results only included as part of any pooled/meta-analyses conducted in the SLR (please see the Methods section for further details), to avoid duplicate inclusion of the same trial. If the same trials were included in different SLRs, results from both SLRs were extracted on the basis that the analyses may have differed, but this was taken into consideration when interpreting the results. For any interventions of interest that were not included in the NICE SLRs, evidence was searched for since 2014, when the previous UK NSC review searches were run.

# Description of the evidence

# Characteristics of included studies (Q4)

#### Overall

A total of 5 SLRs and 19 publications on 17 RCTs were included. The SLRs (2 of which were from NG131) included 13 unique RCTs. Due to the high volume of evidence identified, a prioritisation strategy was applied; data was extracted, and studies were included in the evidence synthesis if they compared one relevant intervention to a different relevant intervention or to 'no treatment'. Studies that compared different iterations of the same intervention (e.g. different drugs to achieve androgen deprivation or different approaches to performing prostatectomy) were deprioritised for data extraction, and are summarised in "*Deprioritised records*" section. A list of all included studies is available in Table 35 (Appendix 2 — Included and excluded studies).

Ultimately, 5 SLRs including 13 RCTs and 12 publications on 6 unique RCTs were selected for extraction, resulting in a total of 19 RCTs (Table 18). Localised prostate cancer included stage T3a in the authors' definition in 2 SLRs and 2 primary RCTs.<sup>110-113</sup> Patient numbers included in the analyses ranged from 89 to 7,050 (a pooled analysis in an

SLR)<sup>111, 113</sup> and the largest single RCT included 1,979 patients.<sup>176</sup> Evidence was found for most interventions, except for high intensity ultrasonography or ablative therapy.

# Table 18. Summary of treatment comparisons in records ultimately prioritised for extraction

| Broad category  | Treatment comparison   | N studies*                       | Study names  |
|-----------------|--|----------------------------------|--|
| Different types | Conventional RT vs<br>hypofractionated RT                    | 2 <b>SLRs</b> (10<br>RCTs)       | NG131 Evidence Review C (NICE 2019) <sup>a</sup> (RENCI; HYPRO;<br>PROFIT; CHHiP; Hoffman 2014; RTOG 0415; Marzi 2009;<br>Norkus 2009; Norkus 2013; FCCC)<br>Yin 2019 <sup>c</sup> (Hoffman 2018; PROFIT; RTOG 0415; CHHiP;<br>HYPRO; Pollack 2013; RENCI) |
| of RT           | EBRT vs EBRT + LDR-<br>BT                                    | 2 <b>SLRs</b> (1<br>RCT)         | NG131 Evidence Review C (NICE 2019) <sup>a</sup> (ASCENDE-RT)<br>Chin 2017 <sup>c</sup> (ASCENDE-RT)   |
|                 | EBRT + LDR-BT vs LDR-<br>BT                                  | 1 <b>SLR</b> (1<br>RCT)          | <b>Chin 2017</b> <sup>c</sup> (RTOG 0232)  |
| Observation vs  | Active surveillance vs RT                                    | 1 <b>SLR</b> (1<br>RCT)          | NG131 Evidence Review G (NICE 2019) <sup>b</sup> (ProtecT)   |
| RT              | Watchful waiting vs RT                                       | 1 RCT                            | Hackman 2019   |
| Observation vs  | Active surveillance vs<br>prostatectomy                      | 1 <b>SLR</b> (1<br>RCT)          | NG131 Evidence Review G (NICE 2019) <sup>b</sup> (ProtecT)   |
| prostatectomy   | Watchful waiting vs<br>prostatectomy                         | 1 <b>SLR</b> (2<br>RCTs)         | NG131 Evidence Review G (NICE 2019) <sup>b</sup> (SPCG-4, PIVOT)   |
| N/A             | RT vs prostatectomy  | 1 <b>SLR</b> (1<br>RCT)<br>1 RCT | NG131 Evidence Review G (NICE 2019) <sup>b</sup> (ProtecT)<br>Lennernas 2015   |
| N/A             | ADT + RT vs RT   | 5 RCTs                           | NCT00116220<br>PMH 9907<br>EORTC Trial 22991<br>Voog 2016  |
| N/A             | "Conservative" treatment vs "radical" treatment <sup>d</sup> | 1 <b>SLR</b> (3<br>RCTs)         | Ng 2019 <sup>c</sup> (SPCG-4, PIVOT, ProtecT)  |

Bold represents SLRs included in the review, followed by the studies included in each SLR

\* The number of studies recorded is the number of unique SLRs or RCTs for each treatment comparison (i.e. some SLRs contained the same study/ies).

<sup>a</sup> SLR from NG131 which was updated as part of this review, and included 11 unique RCTs overall. The specific studies for each treatment comparison are listed in brackets.

<sup>b</sup> SLR from NG131 which was updated as part of this review, and included 3 unique RCTs overall. The specific studies for each treatment comparison are listed in brackets.

° SLR identified as being closely aligned with the review question and included in its own right.

<sup>d</sup> Outcomes for patients assigned to watchful waiting or active surveillance were grouped together as 'conservative treatment', and outcomes for patients assigned to prostatectomy or radiotherapy were grouped together as 'radical treatment'.

Abbreviations: ADT, androgen deprivation therapy; EBRT, external-beam radiation therapy; LDR-BT, low-dose-rate brachytherapy; N/A, not applicable; NICE, National Institute for Health and Care Excellence; NG131, NICE Guidance 131; RCT, randomised controlled trial; RT, radiotherapy/radiation therapy; SLR, systematic literature review.

The most commonly reported outcomes were overall and prostate cancer-specific survival and mortality (including time to event outcomes); disease progression (e.g. biochemical failure) and development of distant metastases; gastrointestinal (GI) and genitourinary (GU) adverse events; urinary, sexual and bowel functioning and impacts on quality of life. Full study results including different follow-up periods and study details are presented in the evidence tables in Table 41a–k (Appendix 3 — Summary and appraisal of individual studies).

NG131 SLRs

In 2018 NICE conducted 8 SLRs to inform the NG131 (published in 2019) guidance. Of these, 2 were identified as being closely aligned with the scope of this question, one on "radical radiotherapy",<sup>113</sup> and the other on "active surveillance, radical prostatectomy or radical radiotherapy",<sup>177</sup> and it was therefore decided that these would form the evidence base for the relevant treatments and be updated for this rapid review. Full details of the SLRs can be found in Table 41a–e, whilst brief details of the eligibility (PICOS) criteria and study flow are reported below in Table 19. Both included meta-analyses of pooled data. The quality and risk of bias of the SLRs were assessed using the AMSTAR 2 checklist and are summarised in the following section.

#### Radical RT Observation, radical prostatectomy or radical radiotherapy PICOS PICOS P: Localised PCa (T1b-T3a N0 M0) P: Localised PCa (T1–T2) I: Hypofractionated RT; brachytherapy + EBRT I: Observation; radical RT (alone or in combination with C: Conventional fractionation with external beam brachytherapy); radical prostatectomy C: Relevant interventions compared to one another; alternative therapy O: PCa-specific mortality; OS; metastasis-free protocols within the intervention class survival; treatment-related morbidity; HRQoL O: PCa-specific mortality; OS; metastasis-free survival; treatment-S: RCTs; SLRs of RCTs related morbidity; severe AEs; treatment discontinuations due to severe AEs: HRQoL S: RCTs, SLRs of RCTs **Included articles** Conventional vs hypofractionated RT = 22 articles **Included articles** 13 articles on 3 RCTs (ProtecT, PIVOT and SPCG-4) on 10 RCTs EBRT alone vs EBRT + LDR-BT boost = 2 articles on 1 RCT Brachytherapy alone = 0 articles

#### Table 19. PICOS criteria of NG131 SLRs updated in this review

**Abbreviations:** AE, adverse event; EBRT, external beam radiation therapy; HRQoL, health-related quality of life; LDR-BT, low-doserate brachytherapy; OS, overall survival; PCa, prostate cancer; PICOS, population, intervention, comparator, outcomes, study type; PIVOT, Prostate Intervention Versus Observation Trial; RCT, randomised controlled trial; RT, radiation therapy/radiotherapy; SLR, systematic literature review; SPCG-4, Scandinavian Prostate Cancer Group Study 4.

Based on the identified evidence, NG131 currently recommends that men with low-risk localised prostate cancer receive the informed option of active surveillance, radical prostatectomy or radical RT and intermediate- and high-risk localised prostate cancer receive radical prostatectomy or radical RT.<sup>85</sup>

#### Trials included in the updated SLRs

Three major RCTs were included in the updated NG131 SLRs, and are described below.

#### Prostate Testing for Cancer and Treatment (ProtecT) Trial

The aim of the ProtecT trial (NCT00632983) was to evaluate the effectiveness, costeffectiveness and acceptability of treatments for men with localised prostate cancer (not further defined), comparing active surveillance, radical prostatectomy and radical radiotherapy. It is being conducted in the UK, supported by the National Institute for Health Research (NIHR).<sup>4, 178</sup>

Participants of ProtecT are men 50–69 years of age in 9 centres across the UK, invited from general practice to attend prostate cancer check-ups. Men with a raised PSA result of  $\geq$ 3.0 ng/mL and <20 ng/mL from the Prostate Check Clinic PSA test were referred for

diagnostic testing including biopsy. Those confirmed to have localised prostate cancer were invited to participate in the RCT component of the study.

Of 82,849 men who had PSA testing, 2417 men were diagnosed with localised prostate cancer. Of these, 1643 participants were randomised to active surveillance, radical prostatectomy or radical radiotherapy.<sup>4</sup> The primary outcome was definite or probable prostate cancer mortality (including intervention related-mortality) at a median of 10 years' follow-up, with a survival analysis at 15-year follow-up now planned (expected June 2021).<sup>178</sup> Secondary outcomes include disease progression (biochemical and clinical), treatment complications, lower urinary tract symptoms, psychosocial impact of treatment, including generic health status, quality of life and sexual function.<sup>178</sup>

### The Prostate Cancer Intervention versus Observation Trial (PIVOT)

PIVOT was an RCT of 731 men with localised prostate cancer (stage T1-T2NxM0, American Joint Committee on Cancer),<sup>179</sup> randomised to radical prostatectomy or observation. Men were recruited from the Department of Veterans Affairs and National Cancer Institute medical centres in the United States.<sup>175</sup> The primary outcome was all-cause mortality at a minimum of 8 years and maximum of 15 years, or until the patient had died. Secondary outcomes included prostate-cancer mortality, disease progression, treatments received, and patient-reported health outcomes (perioperative harms, urinary incontinence, and erectile and bowel dysfunction, systematically evaluated until 2010).<sup>175</sup>

#### The Scandinavian Prostate Cancer Group Study Number 4 (SPCG-4)

The SPCG-4 trial is an RCT of 795 men aged less than 75 years old with localised prostate cancer (T0d [later named T1b], T1, or T2 as defined by 1978 criteria of the International Union against Cancer, followed by inclusion of T1c tumours after 1994),<sup>180, 181</sup> who were randomised to radical prostatectomy or watchful waiting between October 1989 and December 1999.<sup>182</sup> Patients were recruited from Sweden, Finland and Iceland. Clinical outcomes included all-cause mortality, prostate-cancer mortality and development of distant metastases. Follow-up examinations were conducted bi-annually for the first 2 years, and annually thereafter. The last analyses were performed at 29 years of follow-up.<sup>183</sup>

# Summary of findings

#### Quality assessments (Q4)

**SLR**s

The quality of the 5 included SLRs was appraised using the AMSTAR 2 checklist;<sup>184</sup> a summary is presented in Table 20 and the full appraisal is presented in Table 49 (Appendix 5 – Appraisal for quality and risk of bias).

#### Table 20. Summary of AMSTAR 2 assessments for SLRs evaluating treatment approaches in early-stage prostate cancer

| Question   | NG131C –<br>radical RT <sup>113</sup> | NG131G –<br>observation,<br>RT and<br>prostatectomy <sup>1</sup> | Ng 2019 <sup>185</sup> | Yin 2019 <sup>110</sup> | Chin 2017 <sup>186</sup>          |
|--|---------------------------------------|--|------------------------|-------------------------|-----------------------------------|
| Did the research questions and inclusion criteria for the review include the components of PICO? (Yes/No)  | Yes                                   | Yes  | Yes                    | Yes                     | No                                |
| Did the report of the review contain an explicit statement that the review methods were established prior to the conduct of the review and did the report justify any significant deviations from the protocol? (Yes/Partial Yes/No) | Yes                                   | Yes  | Yes                    | Yes                     | Partial Yes                       |
| Did the review authors explain their selection of the study designs for inclusion in the review? (Yes/No)  | No                                    | No   | No                     | No                      | No                                |
| Did the review authors use a comprehensive literature search strategy?<br>(Yes/Partial Yes/No)   | Yes                                   | Yes  | Yes                    | No                      | No                                |
| Did the review authors perform study selection in duplicate? (Yes/No)  | Yes                                   | Yes  | Yes                    | Yes                     | Unclear                           |
| Did the review authors perform data extraction in duplicate? (Yes/No)  | Unclear                               | Unclear  | Unclear                | Unclear                 | Yes                               |
| Did the review authors provide a list of excluded studies and justify the exclusions? (Yes/Partial Yes/No)   | Yes                                   | Yes  | Yes                    | No                      | No                                |
| Did the review authors describe the included studies in adequate detail? (Yes/Partial Yes/No)  | Yes                                   | Partial Yes  | Partial Yes            | Yes                     | Yes                               |
| Did the review authors use a satisfactory technique for assessing the risk of bias (RoB) in individual studies that were included in the review? (Yes/Partial Yes/No)  | Yes                                   | Yes  | Yes                    | No                      | Yes                               |
| Did the review authors report on the sources of funding for the studies included in the review? (Yes/No)   | Yes                                   | Yes  | Yes                    | No                      | No                                |
| If meta-analysis was performed, did the review authors use appropriate methods for statistical combination of results? (Yes/No/No meta-analysis conducted)   | Yes                                   | Yes  | Yes                    | Yes                     | No meta-<br>analysis<br>conducted |
| If meta-analysis was performed, did the review authors assess the potential impact of RoB in individual studies on the results of the meta-analysis or other evidence synthesis? (Yes/No/No meta-analysis conducted)                 | Yes                                   | Yes  | Yes                    | No                      | No meta-<br>analysis<br>conducted |
| Did the review authors account for RoB in individual studies when interpreting/discussing the results of the review? (Yes/No)  | Yes                                   | Yes  | Yes                    | No                      | Yes                               |
| Did the review authors provide a satisfactory explanation for, and discussion of, any heterogeneity observed in the results of the review? (Yes/No)  | Yes                                   | Yes  | Yes                    | No                      | No                                |

| Question   | NG131C –<br>radical RT <sup>113</sup> | NG131G –<br>observation,<br>RT and<br>prostatectomy <sup>1</sup> | Ng 2019 <sup>185</sup> | Yin 2019 <sup>110</sup> | Chin 2017 <sup>186</sup>          |
|--|---------------------------------------|--|------------------------|-------------------------|-----------------------------------|
| If they performed quantitative synthesis did the review authors carry out an adequate investigation of publication bias (small study bias) and discuss its likely impact on the results of the review? (Yes/No/No meta-analysis conducted) | No                                    | No   | Yes                    | No                      | No meta-<br>analysis<br>conducted |
| Did the review authors report any potential sources of conflict of interest, including any funding they received for the review? (Yes/No)  | Yes                                   | Yes  | Yes                    | Yes                     | Yes                               |

#### Objectives and eligibility criteria

Four of the included SLRs sufficiently described the objectives and inclusion criteria using the PICO framework, and had their methods established prior to commencing the review as evidenced by the availability of protocols.<sup>110, 113, 177, 185</sup> Chin 2017 did not adequately define the research question and although a protocol was available, it was not registered with an independent body, resulting in a 'partial yes' answer to this question.<sup>186</sup> It should be noted that although the eligibility criteria for the NG131 SLRs stated that 'localised prostate cancer' (defined explicitly as stage T2–3a in one case) was eligible for inclusion,<sup>113</sup> some of the included studies recruited some patients with stages T3 or T4. This is a possible limitation of the analyses conducted in these SLRs. None of the included SLRs sufficiently justified selection of eligible study designs for inclusion.

#### Search strategy

A comprehensive search strategy was used in 3 of the 5 included SLRs.<sup>110, 113, 177</sup> Ng 2019 and Chin 2017 did not justify the use of a language restriction,<sup>185, 186</sup> thereby not meeting criteria for this domain.

#### Record review and extraction

Four of the SLRs reported the use of a second independent review at the title/abstract and full-text review stages; however, it was unclear if extractions of the selected studies were performed in duplicate.<sup>110, 113, 177, 185</sup> By contrast, the Chin 2017 SLR did not clarify whether study selection was performed in duplicate, but did state that extractions were undertaken by one reviewer and checked for accuracy by another reviewer.<sup>186</sup>

#### Results and meta-analysis

Three out of 5 SLRs presented a list of included and excluded studies and reported on the source of funding for included studies.<sup>113, 177, 185</sup> The NG131C, Chin 2017 and Yin 2019 SLRs fully described the results of the included studies, whereas NG131G and Ng 2019 only partially described the results. Yin 2019 was the only SLR that failed to report on the results of the quality assessment of included studies, despite reporting use of the Cochrane Risk of Bias tool.<sup>110</sup> Four of the included SLRs conducted meta-analyses,<sup>113, 177, 185</sup> and no meta-analysis component was included in the Chin 2017 SLR. All 4 meta-analyses used appropriate statistical methods ,<sup>110, 113, 177, 185</sup> however, only 3 sufficiently assessed the risk of bias of the individual studies and the potential impact on results.<sup>113, 177, 185</sup>

#### **Disclosures**

All SLRs reported on conflicts of interest and any funding provided.<sup>110, 113, 177, 185, 186</sup>

#### RCTs reported in each of the SLRs included in this review

A summary of the study quality of the RCTs that were included in the relevant SLRs (as judged by the SLR authors), is presented in Table 21.

# Table 21. Summary of study quality of RCTs reported in the SLRs included in this rapid review

|                            | NG131C –<br>radical RT <sup>113</sup> | NG131 G –<br>observation, RT and<br>prostatectomy <sup>177</sup> | Ng 2019 <sup>185</sup>        | Chin 2017 <sup>186</sup> | Yin 2019 <sup>110</sup>       |
|----------------------------|---------------------------------------|--|-------------------------------|--------------------------|-------------------------------|
| Quality<br>assessment tool | Cochrane Risk<br>of Bias Tool         | Cochrane Risk of Bias<br>Tool                                    | Cochrane Risk<br>of Bias Tool | Unclear                  | Cochrane Risk<br>of Bias Tool |
| Included RCTs              | 9 <sup>a</sup>                        | 3  | 4                             | 5                        | NR                            |
| High risk of bias          | 3                                     | 0  | 1                             | 0                        | NR                            |
| Moderate risk of<br>bias   | 6                                     | 3  | 0                             | 1                        | NR                            |
| Low risk of bias           | 0                                     | 0  | 3                             | 4                        | NR                            |

No quality assessment process was reported in the Yin 2019 SLR.

<sup>a</sup> 11 RCTs were included in the SLR, but 2 were not included in the evidence table, leaving 9 studies for quality assessment.

#### <u>NG131C</u>

Three studies included in the NG131C SLR for conventional RT vs hypofractionated RT were judged to be at high risk of bias due to the lack of blinding procedures and assessment of subjective patient-reported outcomes. The remaining 6 were at a moderate risk of bias, as it was judged the lack of blinding would have only had a low-to-moderate impact due to assessment of objective or physician-assessed outcomes.<sup>113</sup>

#### <u>NG131G</u>

All 3 studies were judged to be at a moderate risk of bias, due to lack of blinding of participants.<sup>187</sup>

#### <u>Ng 2019</u>

All 3 studies at low risk of bias. While all 3 studies were not blinded, the review authors judged that the outcomes were not likely to be influenced by lack of blinding.<sup>185</sup>

#### Chin 2017

Of the 5 included RCTs, 4 were judged to be at a low risk of bias, with the remaining one study at a moderate risk of bias, likely due to concerns about allocation concealment and blinding, though the reasoning behind the judgement is unclear as the authors do not provide any discussion on the quality of the studies they included.<sup>186</sup>

#### <u>Yin 2019</u>

Yin 2019 did not report on the results of the risk of bias assessment for the included studies.<sup>110</sup>

#### **RCT**s

The quality of the 6 included RCTs (reported through 12 publications) was appraised using an adapted Cochrane Risk of Bias checklist,<sup>149</sup> (Table 43; Appendix 4 – Guidance on quality assessments). A summary of the risk of bias is presented in Table 22, and the full appraisal is presented in Table 50 (Appendix 5 – Appraisal for quality and risk of bias).

# Table 22. Summary of Cochrane Risk of Bias assessments for RCTs evaluating treatment approaches in early-stage prostate cancer

| Risk of bias                         | Bolla 2016<br>(EORTC Trial<br>22991) <sup>188</sup> | Hackman<br>2019<br>(FinnProstate<br>and Finnish<br>Radiation<br>Oncology<br>Groups<br>trial) <sup>112</sup> | Lennernäs<br>2015 <sup>111</sup> | McPartlin<br>2016 (PMH<br>9907) <sup>189</sup> | Sanford<br>2017 <sup>190</sup> | Voog 2016<br>(RTOG 94-<br>08) <sup>176</sup> |
|--------------------------------------|---|---|----------------------------------|--|--------------------------------|--|
| Randomisation process                | Low   | Low   | Low                              | Low  | Low                            | Low  |
| Effect of assignment to intervention | Some<br>concerns                                    | Some<br>concerns  | Some<br>concerns                 | Some<br>concerns                               | Some<br>concerns               | Some<br>concerns                             |
| Missing outcome data                 | Low   | Low   | High                             | Low  | Low                            | Low  |
| Measurement of outcome               | Low   | Some<br>concerns  | Some<br>concerns                 | Low  | Low                            | Low  |
| Selection of the<br>reported result  | Low   | Some<br>concerns  | Some<br>concerns                 | Some<br>concerns                               | Some<br>concerns               | Some<br>concerns                             |
| Overall risk of bias                 | Some<br>concerns                                    | Some<br>concerns  | High                             | Some<br>concerns                               | Some<br>concerns               | Some<br>concerns                             |

#### Randomisation process

The risk of bias arising from the randomisation process was judged to be low across all 6 trials. All trials were randomised, although the specific approaches used for randomisation and allocation concealment were poorly reported in 3 of 6 trials.<sup>112, 189, 190</sup> However, randomisation was deemed appropriate as demonstrated by similar baseline characteristics between treatment arms.

#### Effect of assignment to intervention

There were some concerns for risk of bias in all 6 included trials for this domain.<sup>111, 112, 176, 188-190</sup> None of the studies were reported to have been blinded, and therefore study personnel and participants were likely aware of treatment allocation. The impact of this on the risk of bias was judged as carrying 'some concerns' in all 6 trials, as deviations to intervention received may have arisen due to knowledge of the intervention,<sup>111, 112, 188-190</sup> or insufficient information was provided to assess this risk.<sup>176</sup> However, all trials analysed outcome data on an intention-to-treat (ITT) or modified ITT basis, demonstrating appropriate analysis methods.

### Missing outcome data

Five included trials were judged to be at a low risk of bias due to missing outcome data, as all or nearly all (>95%) randomised participants were included in the analyses.<sup>176, 188-191</sup> Royce 2017, a subgroup analysis of the Sanford 2017 trial, excluded participants with missing data on baseline characteristics, however these patients comprised <5% of the study cohort.<sup>191</sup> In the Lennernäs 2015 study, only 66% of participants completed outcome questionnaires at all 3 assessment timepoints, and no corrective or sensitivity analyses were performed to adjust or examine the impact of this.<sup>111</sup> This study was therefore judged to be at high risk of bias for this domain.

#### Measurement of outcome

Two studies had some concerns for risk of bias,<sup>111, 112</sup> and the remaining 4 trials were at a low risk of bias for this domain.<sup>176, 188-190</sup> Hackman 2018 and Lennernäs 2015 evaluated adverse events and treatment-related complications, the assessment of which may have been influenced by patients' and study personnel's knowledge of the assigned intervention.<sup>111, 112</sup> Rates of adverse events were significantly higher in the RT arm than the observation arm (Hackman 2018), with some statistical differences in toxicity symptoms assessed using questionnaires. Though lack of blinding procedures may have influenced reporting of symptoms by participants, it is also expected that patients in the RT arm would have experienced significantly more toxicity than those in the observation arm, so this study was judged to have some concerns for bias. It was deemed somewhat unlikely that lack of blinding procedures affected the results of Lennernäs 2015 as there were no significant differences detected between study arms, and so this trial was judged to have some concerns for bias also.<sup>111</sup> Sanford 2017 and Voog 2016 evaluated criteria-defined objective outcomes, such as mortality and survival, and therefore knowledge of the assigned intervention would have been very unlikely to have affected the result.<sup>176, 190</sup> Methods of outcome measurement were deemed appropriate and did not differ between study arms in all in 6 trials.

#### Selection of the reported result

All but one trial carried some concerns for bias for selection of the reported result, due to unavailability of a pre-specific analysis plan. Bolla 2016 reported a pre-specified analysis plan, allowing for confirmation that the reported results were unselected.<sup>188</sup> For all trials, the concern that multiple outcome measurements were taken or multiple outcome analyses were conducted was low.

# Results (Q4)

Key results for each of the treatment comparisons are presented in Table 23, Table 24, Table 25, Table 26 and Table 27. Full details of the included studies and their results can be found in Table 41a–k (Appendix 3 — Summary and appraisal of individual studies).

#### Prostatectomy vs observation

The SLR conducted for NG131 included one RCT comparing active surveillance with prostatectomy (ProtecT) and 2 RCTs comparing watchful waiting with prostatectomy (SPCG-4 and PIVOT), all of which reported outcomes at multiple points of follow-up.<sup>177</sup> Separate analyses were performed for the respective treatment comparisons. One additional record for a 29-year follow-up of SPCG-4, which was published after the database searches for NG131, was identified in this rapid review, but no additional novel RCTs were included for observation vs prostatectomy.<sup>192</sup> Another SLR conducted in 2019 included the same 3 major studies (ProtecT, SPCG-4 and PIVOT); however, given that outcomes for patients randomised to prostatectomy and RT were pooled in this SLR, it is considered separately in *Conservative vs radical treatment* section.<sup>69</sup>

NG131 summarised evidence from ProtecT with data on 1643 men with stage T1c–T2 localised prostate cancer (majority with low-risk disease at randomisation)<sup>193</sup> and found that men who received radical prostatectomy had a lower relative risk of disease progression (RR 0.39, 95% CI 0.27 to 0.56) and developing distant metastases (RR 0.39, 95% CI 0.21 to 0.73) at a median 10 years follow-up compared with active surveillance, but an increased risk of severe urinary incontinence (RR 1.37, 95% CI 1.23 to 1.53), erectile dysfunction adverse events (RR 1.19, 95% CI 1.10 to 1.28) and sexual dysfunction (mean difference of –8.30 points on the Expanded Prostate Cancer Index Composite [EPIC] summary score) at 6 to 8 years. No significant differences were identified for other outcomes, including urinary function and bowel function.<sup>177</sup>

Pooled evidence from SPCG-4 and PIVOT (including 1429 men with stage T1–T2 localised prostate cancer) revealed that risk of both prostate cancer-specific and overall mortality was decreased at 12 to 14 years follow-up for prostatectomy compared with watchful waiting (prostate cancer-specific HR 0.61, 95% CI 0.45 to 0.83 and overall HR 0.86, 95% CI 0.75 to 0.98). The longest follow-up (18 years) included in NG131 for SPCG-4 demonstrated a consistent/improving trend (HR 0.56, 95% CI 0.41 to 0.76 and HR 0.71, 95% CI 0.59 to 0.95),<sup>177</sup> which was maintained at the later-published 29-year follow-up (HR 0.55, 95% CI 0.41 to 0.74 and HR 0.74, 95% CI 0.62 to 0.87).<sup>192</sup> Similar to the comparison versus active surveillance, those receiving prostatectomy had higher urinary incontinence (RR 2.98, 95% CI 1.85 to 4.78) and erectile dysfunction (RR 1.69, 95% CI 0.50 to 5.78) at 12+ years than those under watchful waiting. Notably, no significant difference in mortality was identified between treatment arms until 4 to 6 years of follow-up.<sup>177</sup>

|                        |                | <b>F</b> - 11  | Demention of            | Due state at      | Obs                 | ervation               |  |          |
|------------------------|----------------|--|-------------------------|-------------------|---------------------|------------------------|--|----------|
| Outcome                | Study          | Follow-up or<br>subgroup                               | Reporting of<br>outcome | Prostatect<br>omy | Watchful<br>waiting | Active<br>surveillance | Comparison   | P value  |
|                        |                | (n) if different from<br>randomised                    | _                       | _                 |                     | -                      | Effect size (95% CI)                                     | _        |
| Disease-related        |                |  |                         |                   |                     |                        |  |          |
|                        | NG131<br>(SLR) | 1 study (SPCG-4)<br>18 years                           | N/A                     | NR                | NR                  | -                      | HR: 0.56 (0.41–0.76)<br>HR <1 favours<br>prostatectomy   | 0.0003   |
| PCa-related death      | SPCG-4         | Endpoint estimates at<br>23 years, RR over 29<br>years | Events, n/total<br>N    | 71/347            | 110/348             | -                      | RR: 0.55 (0.41–0.74)<br>RR <1 favours<br>prostatectomy   | <0.001   |
|                        | NG131<br>(SLR) | 1 study (SPCG-4)<br>18 years                           | N/A                     | NR                | NR                  | -                      | HR: 0.71 (0.59–0.95)<br>HR <1 favours<br>prostatectomy   | 0.0003   |
| All-cause mortality    | SPCG-4         | Endpoint estimates at<br>23 years, RR over 29<br>years | Events, n/total<br>N    | 261/347           | 292/348             | -                      | RR: 0.74 (0.62–0.87)<br>RR <1 favours<br>prostatectomy   | <0.001   |
| AOS                    | NG131          | 10 years   | N/A                     | NR                | -                   | NR                     | HR: 0.93 (0.65–1.33)<br>HR <1 favours<br>prostatectomy   | NR       |
| DFS                    | No outcomes    | s reported   |                         |                   |                     |                        |  |          |
| Biochemical failure    | NG131          | Disease progression                                    | N/A                     | NR                | -                   | NR                     | HR: 0.39 (0.27–0.56)<br>HR <1 favours<br>prostatectomy   | NR       |
| Biochemical failure    | NG131          | Disease progression<br>(6.2–19.5 years)                | N/A                     | NR                | NR                  | -                      | HR: 0.37 (0.29–0.47)<br>HR <1 favours<br>prostatectomy   | NR       |
|                        | NG131<br>(SLR) | 2 studies in MA<br>10 years                            | N/A                     | NR                | -                   | NR                     | RR 0.39 (0.21–0.73)<br>RR <1 favours<br>prostatectomy    | NR       |
| Metastasis             | NG131<br>(SLR) | 1 study (SPCG-4)<br>18 years                           | Events, n/total<br>N    | 89/347            | 138/348             | -                      | RR: 0.65 (0.52–0.81)<br>RR <1 favours<br>prostatectomy   | 0.0001   |
|                        | SPCG-4         | Endpoint estimates at<br>23 years, RR over 29<br>years | Events, n/total<br>N    | 92/347            | 150/348             | -                      | 0.54 (0.42–0.70)<br>RR <1 favours<br>prostatectomy       | <0.001   |
| Toxicity/treatment con | nplications    |  |                         |                   |                     |                        |  |          |
| Overall AEs            | No outcomes    | s reported   |                         |                   |                     |                        |  |          |
| Urinary AEs            | NG131<br>(SLR) | Severe incontinence<br>AEs (6 years)                   | Events, n/total<br>N    | 318/463           | -                   | 226/451                | <b>RR: 1.37 (1.23–1.53)</b><br>RR >1 favours observation | <0.00001 |

#### Table 23. Outcomes for prostatectomy vs observation

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| _                   | Study          | Follow-up or                           | Reporting of             | Prostatect  |                     | ervation               | Comporison   | P value  |
|---------------------|----------------|--|--------------------------|-------------|---------------------|------------------------|--|----------|
| Outcome             | Study          | subgroup                               | outcome                  | omy         | Watchful<br>waiting | Active<br>surveillance | Comparison   | i valuo  |
|                     |                | (n) if different from<br>randomised    |                          |             |                     |                        | Effect size (95% Cl)                                     |          |
|                     | NG131<br>(SLR) | Severe incontinence<br>AEs (12+ years) | Events, n/total<br>N     | 156/537     | 52/531              | -                      | <b>RR: 2.98 (1.85–4.78)</b><br>RR >1 favours observation | <0.00001 |
| Erectile            | NG131<br>(SLR) | Severe AEs (6–8<br>years)              | Events, n/total<br>N     | 385/461     | -                   | 318/452                | <b>RR: 1.19 (1.10–1.28)</b><br>RR >1 favours observation | <0.00001 |
| dysfunction         | NG131<br>(SLR) | Severe AEs (12–18<br>years)            | Events, n/total<br>N     | 199/537     | 142/540             | -                      | <b>RR: 1.69 (0.50–5.78)</b><br>RR >1 favours observation | 0.002    |
| GI toxicity         | No outcomes    | reported                               |                          |             |                     |                        |  |          |
| GU toxicity         | No outcomes    | reported                               |                          |             |                     |                        |  |          |
| QoL/functioning     |                |  |                          |             |                     |                        |  |          |
| Overall QoL         | No outcomes    | reported                               |                          |             |                     |                        |  |          |
| Overall functioning | No outcomes    | reported                               |                          |             |                     |                        |  |          |
| Urinary function    | NG131<br>(SLR) | 6 years                                | EPIC score,<br>mean (SD) | 88.7 (11.3) | -                   | 89 (12.5)              | MD: 0.30 (-1.25-1.85)                                    | 0.70     |
| Sexual function     | NG131<br>(SLR) | 6 years                                | EPIC score,<br>mean (SD) | 32.3 (23.2) | -                   | 40.6 (26.7)            | MD: 8.30 (5.01–11.59)                                    | <0.00001 |
| Bowel function      | NG131<br>(SLR) | 6 years                                | EPIC score,<br>mean (SD) | 93.2 (8.7)  | -                   | 93 (9.8)               | MD: -0.20 (-1.40-1.00)                                   | 0.74     |
| American            | NG131<br>(SLR) | 6 years                                | HADS score,<br>mean (SD) | 3.7 (3.5)   | -                   | 4.1 (3.9)              | MD: 0.40 (-0.08-0.88)                                    | 0.10     |
| Anxiety             | NG131<br>(SLR) | 12 years                               | N/A                      | NR          | NR                  | -                      | RR: 1.01 (0.79–1.10)<br>No treatment favoured            | NR       |
|                     | NG131<br>(SLR) | 6 years                                | HADS score,<br>mean (SD) | 2.7 (3.1)   | -                   | 3.1 (3.4)              | MD: 0.40 (-0.02-0.82)                                    | 0.06     |
| Depression          | NG131<br>(SLR) | 12 years                               | N/A                      | NR          | NR                  | -                      | RR: 0.92 (0.74–1.14)<br>RR <1 favours<br>prostatectomy   | NR       |

Footnotes: Values in **bold** indicate statistically significant results.

Abbreviations: AE: adverse event; CI: confidence interval; DFS: disease-free survival; EPIC: Expanded Prostate Cancer Index Composite; GI: gastrointestinal; GU: genitourinary; HADS: Hospital Anxiety and Depression Scale; HR: hazard ratio; IIEF: International Index of Erectile Function; LENT-SOMA: Late Effects Normal Tissue Task Force - Subjective, Objective, Management, Analytic Scale; N/A: not applicable; NR: not reported; MD: mean difference; OR: odds ratio; OS: overall survival; PCa: prostate cancer; QOL: quality of life; RT: radiotherapy; SD: standard deviation; SLR: systematic literature review.

#### RT vs observation

In addition to a prostatectomy arm, ProtecT (as reported in the SLR for NG131) also compared active surveillance with radical RT.<sup>177</sup> The Ng 2019 SLR also included ProtecT, but performed a pooled analysis of the RT and prostatectomy treatment arms that is discussed in the *Conservative vs radical treatment* section.<sup>185</sup> One additional primary RCT (Hackman 2019) compared watchful waiting with RT.<sup>112</sup>

Patients who received RT in ProtecT were reported to have a lower risk of disease progression (HR 0.39, 95% CI 0.27 to 0.56) and distant metastases (RR 0.48, 95% CI 0.27 to 0.87) than patients under active surveillance, but no difference in all-cause mortality (HR 0.94, 95% CI 0.65 to 1.36) (follow-up not specified in NG131). There was also a lower risk of prostate cancer-related death (HR 0.51, 95% CI 0.15 to 1.73), however the wide confidence intervals decrease the confidence in this result. Similar findings in favour of RT were reported by Hackman 2019 for a trial including 157 patients with stage T2–T3a, comparing RT with watchful waiting, for biochemical failure (HR 0.30, 95% CI 0.16 to 0.53) and metastasis (HR 0.49, 95% CI 0.09 to 2.68) but not prostate cancer-related death, where no difference was seen (HR 1.00, 95% CI 0.06 to 15.91) at 9.3 years of follow-up.<sup>112</sup>

For both trials, urinary adverse events were more common in the RT arm than the observation arm, whilst erectile dysfunction was only significantly worse in watchful waiting compared with RT (OR 0.75, 95% CI 0.56 to 1.00) but not for RT compared to active surveillance (RR 1.03, 95% CI 0.95 to 1.12). Hackman 2019 also reported increased overall adverse events and GI adverse events in the RT arm (p<0.05), whereas urinary function, bowel function, anxiety and depression were significantly worse for ProtecT participants who received RT than active surveillance (p<0.05).<sup>112, 177</sup>

Grade 3

Grade 4

Estimate at 10-

year follow-up

Hackman

2019

Urinarv AEs<sup>a</sup>

#### Observation Follow-up or Reporting of RT Comparison Study Active Watchful Outcome subgroup outcome waiting surveillance (n) if different from Effect size (95% CI) randomised Disease-related Hackman HR: 1.00 (0.06-15.91) Median 9.3 years 1 Events, n 1 -2019 No favoured treatment PCa-related death HR: 0.51 (0.15-1.73) NG131 NR N/A NR NR \_ HR <1 favours RT Hackman HR: 0.76 (0.33-1.72) Median 9.3 years Events. n 10 13 -2019 HR <1 favours RT All-cause mortality HR: 0.94 (0.65-1.36) NR NG131 N/A NR NR -HR <1 favours RT OS No outcomes reported DFS No outcomes reported HR: 0.30 (0.16-0.53) Hackman Median 9.3 years 43 Events, n 15 2019 HR <1 favours RT **Biochemical failure** HR: 0.39 (0.27-0.56) Disease NG131 N/A NR NR \_ HR <1 favours RT progression Hackman HR: 0.49 (0.09-2.68) Median 9.3 years Events. n 2 4 -2019 HR <1 favours RT Metastasis RR: 0.48 (0.27-0.87) NG131 NR N/A NR NR -RR <1 favours RT Other (castration Hackman HR: 0.47 (0.12-1.88) Median 9.3 years Events, n 3 6 resistance) 2019 HR <1 favours RT Toxicity/treatment complications Grade 1 121 (96) 105 (85) Hackman Grade 2 115 (91) 107 (87) OR: 0.71 (0.55-0.92) **Overall AEs**<sup>a</sup> Events, n (%) 70 (56) OR <1 favours observation 2019 Grade 3 50 (40) Grade 4 1 (1) 0(0) 111 (88) 77 (62) Grade 1 72 (57) 47 (38) Grade 2 18 (14) 7 (6) OR: 0.48 (0.36-0.64) Events, n (%)

Predicted probability

(OR [95% CI]) of

severity of urinary

0 (0)

NR

NR

0 (0)

NR

NR

#### Table 24. Outcomes for RT vs observation

0.061

P value

1.00

NR

0.5

NR

< 0.001

NR

0.4

NR

0.3

0.009

< 0.001

OR <1 favours observation

OR: 0.51 (0.25-1.03)

OR <1 favours observation

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|                                      |                 | Follow-up or                                   | Reporting of   |   | Obse   | ervation               |  |         |
|--------------------------------------|-----------------|--|--|---|--|------------------------|--|---------|
| Outcome                              | Study           | subgroup                                       | outcome  | RT  | Watchful waiting   | Active<br>surveillance | Comparison   | P value |
|                                      |                 | (n) if different from<br>randomised            |  |   |  |                        | Effect size (95% Cl)                                     |         |
|                                      |                 |  | symptoms (IPSS scale)  |   |  |                        |  | -       |
|                                      |                 | Estimate at 10-<br>year follow-up              | Predicted probability<br>(OR [95% CI]) of<br>severity of urinary<br>toxicity (LENT-<br>SOMA scale) | NR  | NR   | -                      | OR: 0.76 (0.40–1.42)<br>OR <1 favours observation        | 0.4     |
|                                      | NG131           | Moderate/severe<br>incontinence (6<br>years)   | Events, n/Total N  | 21/458  | -  | 38/455                 | <b>RR: 0.55 (0.33, 0.92)</b><br>RR <1 favours RT         | 0.02    |
|                                      | Hackman         | Grade 1<br>Grade 2<br>Grade 3<br>Grade 4       | Events, n (%)  | 71 (56)<br>94 (75)<br>47 (37)<br>0 (0)                                  | 52 (42)<br>95 (77)<br>35 (28)<br>0 (0)                               | -                      | OR: 0.75 (0.56–1.00)<br>OR <1 favours observation        | 0.05    |
| Erectile<br>dysfunction <sup>a</sup> | 2019            | Estimate at 10-<br>year follow-up              | Predicted probability<br>(OR [95% CI]) of<br>severity of erectile<br>dysfunction (IIEF-5<br>scale) | NR  | NR   | -                      | OR: 0.70 (0.29–1.68)<br>OR <1 favours observation        | 0.4     |
|                                      | NG131           | Severe AEs (6<br>years)                        | Events, n/total N  | 331/456   | -  | 248/452                | RR: 1.03 (0.95–1.12)<br>RR >1 favours observation        | 0.46    |
|                                      |                 | Grade 1<br>Grade 2<br>Grade 3<br>Grade 4       | Events, n (%)  | 97 (77)<br>29 (23)<br>1 (1)<br>0 (0)                                    | 16 (13)<br>4 (3)<br>1 (1)<br>0 (0)                                   | -                      | <b>OR: 0.12 (0.07–0.19)</b><br>OR <1 favours observation | <0.001  |
| GI toxicity <sup>a</sup>             | Hackman<br>2019 | Estimate at 10-<br>year follow-up              | Predicted probability<br>(OR [95% CI]) of<br>severity of urinary<br>toxicity (LENT-<br>SOMA scale) | NR  | NR   | -                      | OR: 0.04 (0.00–0.43)                                     | 0.008   |
| GU toxicity                          | Hackman<br>2019 | Most common<br>LENT-SOMA<br>grade 4 toxicities | Number of patients,<br>n   | Kidney-<br>related<br>toxicity:<br>18<br>Urinary<br>incontine<br>nce: 7 | Kidney-<br>related<br>toxicity: 15<br>Urinary<br>incontinenc<br>e: 5 | -                      | NR   | NR      |

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|                     |             | Fellow up or                        | Demention of             |                             | Obs                        | ervation               |                        |         |
|---------------------|-------------|-------------------------------------|--------------------------|-----------------------------|----------------------------|------------------------|------------------------|---------|
| Outcome             | Study       | Follow-up or<br>subgroup            | Reporting of<br>outcome  | RT                          | Watchful waiting           | Active<br>surveillance | Comparison             | P value |
|                     |             | (n) if different from<br>randomised |                          |                             |                            |                        | Effect size (95% Cl)   |         |
|                     |             |                                     |                          | Urinary<br>frequenc<br>y: 5 | Urinary<br>frequency:<br>2 |                        |                        |         |
| QoL/functioning     |             |                                     |                          |                             |                            |                        |                        |         |
| Overall QoL         | No outcomes | reported                            |                          |                             |                            |                        |                        |         |
| Overall functioning | No outcomes | reported                            |                          |                             |                            |                        |                        |         |
| Urinary function    | NG131       | 6 years                             | EPIC score, mean<br>(SD) | 91.4 (9.2)                  | -                          | 89 (12.5)              | MD: -2.40 (-3.830.97)  | 0.001   |
| Sexual function     | NG131       | 6 years                             | EPIC score, mean<br>(SD) | 41.3<br>(24.9)              | -                          | 40.6 (26.7)            | MD: -0.70 (-4.12-2.72) | 0.69    |
| Bowel function      | NG131       | 6 years                             | EPIC score, mean<br>(SD) | 91.2<br>(10.9)              | -                          | 93 (9.8)               | MD: 1.80 (0.46–3.14)   | 0.008   |
| Anxiety             | NG131       | 6 years                             | HADS score, mean<br>(SD) | 4.1 (3.9)                   | -                          | 3.4 (3.2)              | MD: 0.70 (0.24–1.16)   | 0.003   |
| Depression          | NG131       | 6 years                             | HADS score, mean<br>(SD) | 2.7 (2.9)                   | -                          | 3.1 (3.4)              | MD: 0.40 (-0.01-0.81)  | 0.05    |

Footnotes: Values in **bold** indicate statistically significant results.

<sup>a</sup>Adverse event grades measure with CTCAE v4.03

Abbreviations: AE: adverse event; CI: confidence interval; CTCAE: Common Toxicity Criteria for Adverse Events; DFS: disease-free survival; EPIC: Expanded Prostate Cancer Index Composite; GI: gastrointestinal; GU: genitourinary; HADS: Hospital Anxiety and Depression Scale; HR: hazard ratio; IIEF: International Index of Erectile Function; LENT-SOMA: Late Effects Normal Tissue Task Force - Subjective, Objective, Management, Analytic Scale; NR: not reported; MD: mean difference; OR: odds ratio; OS: overall survival; PCa: prostate cancer; QOL: quality of life; RT: radiotherapy; SD: standard deviation.

#### RT vs prostatectomy

Comparison between RT and prostatectomy was performed in the ProtecT RCT, reported through the NG131 and Ng 2019 SLRs (the latter discussed in the *Conservative vs radical treatment* section),<sup>177, 185</sup> and in a small primary RCT conducted in Sweden (prostatectomy compared to a combination of high-dose rate brachytherapy and external-beam radiation therapy [EBRT]).<sup>111</sup>

In ProtecT, men who underwent prostatectomy had a similar risk of developing distant metastases relative to men who received RT (RR 1.25, 95% CI 0.61 to 2.57) and a significantly higher risk of severe erectile dysfunction adverse events at 6 years (RR 1.15, 95% CI 1.07 to 1.23). Urinary and sexual function at 6 years were also significantly worse in the prostatectomy group (mean differences of –2.70 and –9.00 points on the EPIC summary score respectively). Concomitantly, no difference was observed in the HR for prostate cancer-related death (HR 0.80, 95% CI 0.22 to 2.91) between prostatectomy and RT. Bowel function at 6 years was, however, significantly better in the prostatectomy group (mean difference of 2.00 on the EPIC summary score). No significant differences were identified for anxiety, depression or the risk of moderate/severe urinary incontinence. The risk of disease progression in the 2 groups was also similar (HR 0.99, 95% CI 0.67 to 1.46).<sup>177</sup>

The Swedish RCT found no statistically significant differences between the 2 treatment groups at 24 months in terms of global quality of life, fatigue, pain, insomnia, constipation or diarrhoea, or in physical, role (work and household activities), emotional, cognitive or social functioning. Likewise, there were no significant differences at 24 months in prostate cancer specific-complications, including urinary urgency and incontinence, bowel blood and incontinence, hot flushes, erectile dysfunction and sexual interest. Few patients died during the 10-year follow-up and the study was insufficiently powered to compare all-cause or prostate cancer mortality.<sup>111</sup>

| Table 25. Outcomes | s for RT vs | s prostatectomy |
|--------------------|-------------|-----------------|
|--------------------|-------------|-----------------|

| Outcome                | Study Follow-up or subgroup     |   | Reporting of<br>outcome                                      | RT                            | Prostatectomy                 | Comparison   | P value  |
|------------------------|---------------------------------|---|--|-------------------------------|-------------------------------|--|----------|
|                        |                                 | (n) if different from randomised                    |  |                               |                               | Effect size (95% CI)                                   |          |
| Disease-related        | -                               |   |  |                               |                               |  |          |
|                        | Lennernäs<br>2015               | 10 years  | Events, n  | 2                             | 6                             | NR   | NR       |
| PCa-related death      | NG131 (SLR)                     | NR  | N/A  | NR                            | NR                            | HR: 0.80 (0.22–2.91)<br>HR <1 favours<br>prostatectomy | NR       |
| All-cause mortality    | Lennernäs<br>2015               | 10 years – excluding PCa-<br>related                | Events, n  | 7                             | 6                             | NR   | NR       |
| os                     | No outcomes re                  | ported  |  |                               |                               |  |          |
| DFS                    | No outcomes re                  | ported  |  |                               |                               |  |          |
| Biochemical failure    | NG131 (SLR) Disease progression |   | N/A  | NR                            | NR                            | HR 0.99 (0.67–1.46)<br>HR <1 favours<br>prostatectomy  | NR       |
| Metastasis             | NG131 (SLR) NR                  |   | N/A  | NR NR                         |                               | RR: 1.25 (0.61–2.57)<br>RR >1 favours RT               | NR       |
| Toxicity/treatment con | nplications                     |   |  |                               |                               |  |          |
| Overall AEs            | No outcomes re                  | ported  |  |                               |                               |  |          |
| Urinary AEs            | Lennernäs<br>2015               | Urgency – 24 months/<br>Incontinence – 24 months    | % category 1<br>% category 2<br>% category 3<br>% category 4 | 39/61<br>32/29<br>26/5<br>3/5 | 59/46<br>26/41<br>10/5<br>5/8 | NR   | NR       |
|                        | NG131                           | Moderate/severe incontinence<br>(6 years)           | Events, n/total N  | 58/464                        | 58/464                        | 1.00 (0.71–1.41)<br>No treatment favoured              | 1.00     |
| Erectile               | Lennernäs<br>2015               | 24 months   | % (category<br>scores 1–4)                                   | 5, 19, 19, 57                 | 31, 36, 22, 11                | NR   | NR       |
| dysfunction            | NG131                           | Severe AEs (6 years)                                | Events, n/total N  | 331/456                       | 385/461                       | <b>RR: 1.15 (1.07–1.23)</b><br>RR >1 favours RT        | <0.00001 |
| GI toxicity            | No outcomes re                  | ported  |  |                               |                               |  |          |
| GU toxicity            | No outcomes re                  | ported  |  |                               |                               |  |          |
| QoL/functioning        |                                 |   |  |                               |                               |  |          |
| Overall QoL            | Lennernäs<br>2015               | Score at 24 months (RT n=24,<br>prostatectomy n=31) | Mean (SD)  | 75 (20)                       | 77 (21)                       | NR   | NR       |

| Outcome             | Study Follow-up or subgroup      |         | Reporting of<br>outcome  | RT          | Prostatectomy | Comparison            | P value |
|---------------------|----------------------------------|---------|--------------------------|-------------|---------------|-----------------------|---------|
|                     | (n) if different from randomised |         |                          |             |               | Effect size (95% CI)  |         |
| Overall functioning | No outcomes rep                  | ported  |                          |             |               |                       |         |
| Urinary function    | NG131                            | 6 years | EPIC score,<br>mean (SD) | 91.4 (9.2)  | 88.7 (11.3)   | MD: 2.70 (1.36-4.04)  | <0.0001 |
| Sexual function     | NG131                            | 6 years | EPIC score,<br>mean (SD) | 41.3 (24.9) | 32.3 (23.2)   | MD: 9.00 (5.84–12.16) | <0.0001 |
| Bowel function      | NG131                            | 6 years | EPIC score,<br>mean (SD) | 91.2 (10.9) | 93.2 (8.7)    | MD: -2.00 (-3.270.73) | 0.002   |
| Anxiety             | NG131                            | 6 years | HADS score,<br>mean (SD) | 3.4 (3.2)   | 3.7 (3.5)     | MD: 0.30 (-0.13-0.73) | 0.17    |
| Depression          | NG131                            | 6 years | HADS score,<br>mean (SD) | 2.7 (2.9)   | 2.7 (3.1)     | MD: 0.00 (-0.39-0.39) | 1.00    |

Footnotes: Values in bold indicate statistically significant results. Abbreviations: AE: adverse event; CI: confidence interval; DFS: disease-free survival; GI: gastrointestinal; GU: genitourinary; HR: hazard ratio; N/A: not applicable; NR: not reported; OS: overall survival; MD: mean difference; PCa: prostate cancer; QOL: quality of life; RR: risk ratio; RT: radiotherapy; SD: standard deviation.

#### Androgen suppression and RT vs RT alone

Androgen suppression (also referred to as androgen deprivation therapy [ADT]) was not included as an intervention of interest in any of the NG131 SLRs, and no other SLRs matching the scope of the question and including androgen suppression as an intervention were included. As such, results for this comparison are derived from 4 RCTs reported through 5 publications.<sup>176, 188-190, 194</sup> In each of these RCTs, the combination of ADT and RT was compared with RT alone. Relative to ADT and RT, patients treated with RT alone had a significantly increased risk of prostate cancer-related death (HR 1.87, 95% CI not reported, p=0.001) and all-cause mortality (HR 1.17, 95% CI 0.81 to 1.42) over a 10-year follow-up.<sup>176</sup> Overall survival rate was lower with RT alone in 2 of the 3 studies in which it was reported,<sup>176, 188, 189</sup> and biochemical failure rate was higher with RT alone in all 3 studies that reported it.<sup>188, 189, 194</sup> In the EORTC Trial 22991, biochemical disease-free survival rate was significantly lower and the development of metastases was significantly higher at 5 years with RT alone. In the same trial, patients suffered a greater decline in overall QoL at 3 years with RT alone, but a lesser decline in sexual function.<sup>188</sup> Where reported, GI and GU toxicity did not differ significantly between patients in the 2 treatment groups.<sup>189</sup>

# Table 26. Outcomes for ADT + RT vs RT alone

| Outcome                 | Study                | Follow-up or<br>subgroup                   | Reporting of<br>outcome                     | ADT + RT                      | RT                             | Comparison                                     | P value       |
|-------------------------|----------------------|--|---|-------------------------------|--------------------------------|--|---------------|
|                         |                      | (n) if different from<br>randomised        |   |                               |                                | Effect size (95% CI)                           |               |
| Disease-related         |                      |  |   |                               |                                |  |               |
| PCa-related death       | Voog 2016            | 10 years                                   | Events, n                                   | 40                            | 74                             | <b>HR: 1.87</b><br>HR >1 favours ADT + RT      | 0.001         |
| All-cause mortality     | Voog 2016            | 10 years                                   | Events, n                                   | 359                           | 404                            | HR: 1.17 (0.81–1.42)<br>HR >1 favours ADT + RT | 0.03          |
|                         | EORTC Trial<br>22991 | 5 years                                    | Rate, % (95% CI)                            | 91.3 (88.0–93.7)              | 88.4 (84.7–91.3)               | NR   | NR            |
| os                      | PMH 9907ª            | 9 years                                    | Rate, % (95% CI)                            | 82 (75–90)                    | 86 (80–94)                     | HR: 1.33 (0.72–2.47)<br>HR >1 favours RT       | 0.37          |
|                         | Voog 2016            | 10 years                                   | Events, n                                   | 628                           | 588                            | NR   | NR            |
| DFS                     | EORTC Trial<br>22991 | Biochemical DFS –<br>5 years               | Events, n (%)<br>Rate, % (95% Cl)           | 118 (410)<br>82.6 (78.4–86.1) | 201 (49.1)<br>69.8 (64.9–74.2) | 0.52 (0.41–0.66)                               | <0.001        |
|                         | EORTC Trial<br>22991 | Cumulative local<br>relapse rate – 5 years | Rate, % (95% CI)                            | 2.1 (0.7–3.6)                 | 6.6 (4.1–9.1)                  | 0.37 (0.21–0.68)                               | 0.001         |
| Biochemical failure     | PMH 9907ª            | 9 years                                    | Rate, % (95% CI)                            | 40 (31–51)                    | 47 (37–58)                     | HR: 0.82 (0.55–1.21)<br>HR <1 favours ADT + RT | 0.32          |
|                         | Royce 2017           | PSA failure                                | Events, n (%)                               | 36 (32.05)                    | 60 (65.95)                     | NR   | NR            |
| Metastasis              | EORTC Trial<br>22991 | 5 years                                    | Events, n (%)                               | 18 (4.4)                      | 31 (7.6)                       | NR   | 0.05          |
| Toxicity/treatment com  | plications           |  |   |                               |                                |  |               |
| Overall AEs             | No outcomes rep      | orted                                      |   |                               |                                |  |               |
| Urinary AEs             | No outcomes rep      | orted                                      |   |                               |                                |  |               |
| Erectile<br>dysfunction | No outcomes rep      | orted                                      |   |                               |                                |  |               |
| GI toxicity             | PMH 9907ª            | Acute; Grade 3 AE<br>Late; Grade 3 AE      | Events, n (%)                               | 0 (0)<br>2 (1.8)              | 0 (0)<br>0 (0)                 | NR   | 0.83<br>>0.99 |
| GU toxicity             | PMH 9907ª            | Acute; Grade 3 AE<br>Late; Grade 3 AE      | Events, n (%)                               | 0 (0)<br>13 (11.4)            | 1 (0.8)<br>14 (11)             | NR   | 0.55<br>0.41  |
| QoL/functioning         |                      |  |   |                               |                                |  |               |
| Overall QoL             | EORTC Trial<br>22991 | 3 years                                    | Score change from<br>baseline, mean<br>(SD) | -2.29 (19.60)                 | -2.91 (21.08)                  | NR   | NR            |
| Overall functioning     | No outcomes rep      | orted                                      |   |                               |                                |  |               |

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| Outcome          | Follow-up or<br>Study subgroup |                                     | Reporting of outcome                        | ADT + RT       | RT             | Comparison           | P value |
|------------------|--------------------------------|-------------------------------------|---|----------------|----------------|----------------------|---------|
|                  | (                              | (n) if different from<br>randomised |   |                |                | Effect size (95% CI) |         |
| Urinary function | No outcomes reported           | I                                   |   |                |                |                      |         |
| Sexual function  | EORTC Trial<br>22991           | 3 years                             | Score change from<br>baseline, mean<br>(SD) | –15.56 (34.95) | -13.96 (34.64) | NR                   | NR      |
| Bowel function   | No outcomes reported           | I                                   |   |                |                |                      |         |
| Anxiety          | No outcomes reported           | 1                                   |   |                |                |                      |         |
| Depression       | No outcomes reported           | 1                                   |   |                |                |                      |         |

Footnotes: Values in **bold** indicate statistically significant results.

Abbreviations: ADT: androgen deprivation therapy; AE: adverse event; CI: confidence interval; DFS: disease-free survival; GI: gastrointestinal; GU: genitourinary; NR: not reported; OS: overall survival; PCa: prostate cancer; QOL: quality of life; RT: radiotherapy; SD: standard deviation.

#### RT types

Three distinct comparisons were made within different types of RT, including conventional vs hypofractionated RT (2 SLRs including 10 unique RCTs),<sup>110, 113</sup> EBRT vs EBRT plus low-dose-rate brachytherapy (LDR-BT) (2 SLRs, both including the same single RCT)<sup>113, 186</sup> and EBRT plus LDR-BT vs LDR-BT alone (1 SLR including a single RCT).<sup>186</sup>

For conventional RT compared to hypofractionated RT, the NG131 C SLR carried out outcome analyses with up to 10 RCTs comprising a pooled dataset of 7050 men and a second SLR included a subset of 7 of the same RCTs (pooled dataset of 6843 men).<sup>110,</sup> <sup>113</sup> It should be noted that although the eligibility criteria for the SLRs stated 'localised prostate cancer' (defined explicitly as stage T2–3a in one case),<sup>113</sup> some of the included studies enrolled some patients with any stage T3 or T4, which are not recognised as localised disease. This presents as a possible limitation of the analyses conducted. Metaanalyses found no differences between conventional and hypofractionated RT arms for overall survival, biochemical failure, biochemical clinical failure, GU toxicity and late GI toxicity (RRs 1.01 to 1.07, p>0.05), the only significant difference being for higher acute GI toxicity in men receiving hypofractionated RT compared with conventional (RR 1.42, 95% CI 1.29 to 1.59). Conversely, whilst seeing a similar insignificant result for overall survival, analyses conducted in Yin 2019 (which included a subset of the same studies as NG131) did find that the risk of biochemical failure was decreased in men receiving hypofractionated RT compared with conventional RT (OR 0.80, 95% CI 0.68 to 0.95, p=0.009).<sup>110</sup>

One RCT (ASCENDE-RT) including 398 participants with intermediate-to-high risk prostate cancer was included in 2 SLRs for the comparison of EBRT alone to EBRT plus LDR-BT. Consistent results were reported across both publications, reporting on higher GU toxicity associated with LDR-BT boost and usage of pads for urinary incontinence, but otherwise finding no significant differences between major outcomes.<sup>113, 186</sup>

A final SLR (Chin 2017) reported on RTOG 0232, which compared EBRT plus LDR-BT with LDR-BT alone in 588 patients and found comparable rates of 5-year progression-free survival (86% vs 85%) and grade 3 GU and GI toxicity (3% vs 7% and 3% vs 2%, respectively) across LDR-BT alone compared to LDR-BT in combination with EBRT.<sup>186</sup>

#### Table 27. Outcomes for radiation type

| Outcome                | Study  | Follow-up or<br>subgroup   | Reporting of outcome | Hypofrac<br>tionated | Conventi<br>onal | EBRT     | EBRT +<br>LDR-BT | LDR-BT | Comparison   | P value |  |
|------------------------|--|--|----------------------|----------------------|------------------|----------|------------------|--------|--|---------|--|
|                        |  | (n) if different from<br>randomised  |                      |                      |                  |          |                  |        | Effect size (95% CI)                                   |         |  |
| Disease-related        |  |  |                      |                      |                  |          |                  |        |  |         |  |
| PCa-related death      | Chin 2017<br>(SLR)                                 | 1 study<br>(ASCENDE-RT)<br>Median 78 months  | Events, n<br>(%)     | -                    | -                | 11 (5.5) | 7 (3.5)          | -      | NR   | 0.32    |  |
| All-cause<br>mortality | No outcomes  | s reported   |                      |                      |                  |          |                  |        |  |         |  |
|                        | NG131<br>(SLR)                                     | 7 studies in MA  | Events,<br>n/total N | 3569/<br>3950        | 2522/<br>2839    | -        | -                | -      | RR: 1.01 (0.99– 1.03)<br>RR >1 favours<br>conventional | 0.33    |  |
| os                     | NG131<br>(SLR)                                     | 1 study<br>(ASCENDE-RT)<br>Freedom from<br>PCa-related death   | N/A                  | -                    | -                | NR       | NR               | -      | RR: 1.02 (0.98– 1.06)<br>RR >1 favours LDR-<br>BT arm  | NR      |  |
|                        | Chin 2017<br>(SLRT)                                | 1 study<br>(ASCENDE-RT)<br>7-year OS   | Events, %            | -                    | -                | 74       | 78               | -      | NR   | 0.29    |  |
|                        | Yin 2019<br>(SLR)                                  | 6 studies in MA  | Events, n            | 372                  | 411              | -        | -                | -      | OR 0.89 (0.78– 1.02)<br>OR <1 favours H-RT             | 0.10    |  |
|                        | NG131  | 3 studies in MA<br>Freedom from<br>biochemical<br>failure  | Events,<br>n/total N | 1424/<br>1648        | 1365/<br>1622    | -        | -                | -      | RR: 1.03 (1.00– 1.06)<br>RR >1 favours hypo            | 0.07    |  |
| DFS                    | (SLR)  | 6 studies in MA<br>Freedom from<br>biochemical-<br>clinical failure  | Events,<br>n/total N | 3346/<br>3876        | 2319/<br>2754    | -        | -                | -      | RR: 1.01 (0.99– 1.03)<br>RR >1 favours<br>conventional | 0.27    |  |
|                        | Chin 2017<br>(SLR)                                 | 1 study<br>(ASCENDE-RT)<br>9-year DFS  | Events, %            | -                    | -                | 62       | 83               | -      | NR   | <0.001  |  |
| Biochemical failure    | Yin 2019<br>(SLR)                                  | 4 studies in MA  | Events, n            | 219                  | 254              | -        | -                | -      | <b>OR 0.80 (0.68–0.95)</b><br>OR <1 favours H-RT       | 0.009   |  |
| Metastasis             | No outcomes  | s reported   |                      |                      |                  |          |                  |        |  |         |  |
| Other                  | Biochemical<br><u>Chin 2017 (S</u><br>1 study (RTC | <u>Yin 2019 (SLR)</u><br>Biochemical and clinical disease failure, hypofractionated vs conventional RT: OR 0.92 (0.82–1.02), p=0.12<br><u>Chin 2017 (SLR)</u><br>1 study (RTOG 0232) 5-year PFS, EBRT + LDR-BT vs LDR-BT: HR 1.02<br>1 study (ASCENDE-RT) metastasis-free survival EBRT vs EBRT + LDR-BT: p=0.83 |                      |                      |                  |          |                  |        |  |         |  |
| Toxicity/treatment     |  |  |                      |                      |                  |          |                  |        |  |         |  |

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| Outcome                 | Study              | Follow-up or<br>subgroup  | Reporting of outcome | Hypofrac<br>tionated | Conventi<br>onal | EBRT   | EBRT +<br>LDR-BT | LDR-BT | Comparison  | P value      |
|-------------------------|--------------------|---|----------------------|----------------------|------------------|--------|------------------|--------|---|--------------|
|                         |                    | (n) if different from<br>randomised                               |                      |                      |                  |        |                  |        | Effect size (95% CI)  |              |
| Overall AEs             | No outcome         | s reported  |                      |                      |                  |        |                  |        |   |              |
| Urinary AEs             | No outcome         | s reported  |                      |                      |                  |        |                  |        |   |              |
| Erectile<br>dysfunction | No outcome         | s reported  |                      |                      |                  |        |                  |        |   |              |
|                         | NG131              | Acute GI toxicity<br>9 studies in MA                              | Events,<br>n/total N | 945/ 3235            | 470/ 2474        | -      | -                | -      | <b>RR: 1.42 (1.29– 1.59)</b><br>RR >1 favours<br>conventional | <0.0000<br>1 |
|                         | (SLR)              | Late GI toxicity<br>9 studies in MA                               | Events,<br>n/total N | 518/ 4071            | 396/ 2979        | -      | -                | -      | RR: 1.03 (0.91– 1.16)<br>RR >1 favours<br>conventional        | 0.65         |
| GI toxicity             | NG131<br>(SLR)     | Acute GI toxicity<br>1 study<br>(ASCENDE-RT)<br>1 study (ASCENDE- | N/A                  | -                    | -                | NR     | NR               | -      | RR: 1.01 (0.82– 1.25)<br>RR >1 favours EBRT<br>alone          | NR           |
|                         | Chin 2017<br>(SLR) | RT)<br>Grade 3<br>Grade 4   | Events, %            | -                    | -                | 4<br>0 | 9<br>1           | -      | NR  | NR           |
|                         | Yin 2019<br>(SLR)  | 5 studies in MA   | Events, n            | 402                  | 406              | -      | -                | -      | OR 0.97 (0.71– 1.33)<br>OR <1 favours H-RT                    | 0.85         |
|                         | Chin 2017<br>(SLR) | 1 study (RTOG<br>0203)<br>Grade 3                                 | Events, %            | -                    | -                | -      | 3                | 3      | NR  | NR           |
|                         | NG131              | Acute GU toxicity<br>9 studies in MA                              | Events,<br>n/total N | 1347/<br>3236        | 984/ 2474        | -      | -                | -      | RR: 1.01 (0.95– 1.07)<br>No treatment arm<br>favoured         | 0.82         |
|                         | (SLR)              | Late GU toxicity<br>9 studies in MA                               | Events,<br>n/total N | 699/ 3990            | 578/ 2898        | -      | -                | -      | RR: 1.07 (0.97– 1.18)<br>RR >1 favours<br>conventional        | 0.16         |
| GU toxicity             | NG131<br>(SLR)     | Acute GU toxicity<br>1 study<br>(ASCENDE-RT)                      | N/A                  | -                    | -                | NR     | NR               | -      | RR: 2.24 (1.55– 3.23)<br>RR >1 favours EBRT<br>alone          | NR           |
|                         | Chin 2017<br>(SLR) | 1 study (ASCENDE-<br>RT)<br>Grade 3<br>Grade 4                    | Events, %            | -                    | -                | 5<br>1 | 19<br>1          | -      | NR  | NR           |
|                         | Yin 2019<br>(SLR)  | 5 studies in MA   | Events, n            | 469                  | 415              | -      | -                | -      | OR 1.04 (0.87– 1.24)<br>OR >1 favours C-RT                    | 0.69         |

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| Outcome | Study              | Follow-up or<br>subgroup            | Reporting<br>of outcome | Hypofrac<br>tionated | Conventi<br>onal | EBRT | EBRT +<br>LDR-BT | LDR-BT | Comparison           | P value |
|---------|--------------------|-------------------------------------|-------------------------|----------------------|------------------|------|------------------|--------|----------------------|---------|
|         |                    | (n) if different from<br>randomised |                         |                      |                  |      |                  |        | Effect size (95% Cl) |         |
|         | Chin 2017<br>(SLR) | 1 study (RTOG<br>0232)<br>Grade 3   | Events, %               | -                    | -                | -    | 7                | 3      | NR                   | NR      |

Footnotes: Values in **bold** indicate statistically significant results.

Abbreviations: AE: adverse event; CI: confidence interval; DFS: disease-free survival; EBRT: external-beam radiation therapy; GI: gastrointestinal; GU: genitourinary; LDR-BT: low dose rate brachytherapy; N/A: not applicable; NR: not reported; OS: overall survival; PCa: prostate cancer; PFS: progression-free survival; QOL: quality of life; RR: risk ratio; RT: radiotherapy; SD: standard deviation; SLR: systematic literature review.

#### Conservative vs radical treatment

The Ng 2019 SLR included 3 RCTs: SPCG-4, PIVOT and ProtecT.<sup>185</sup> The primary aim of this SLR was to compare key clinical outcomes between conservatively and radically treated localised prostate cancer patients. For conservative treatment, the watchful waiting arms of SPCG-4 and PIVOT were grouped with the active surveillance arm of ProtecT. For radical treatment, outcomes from the RT and prostatectomy arms of SPCG-4 and PIVOT. The meta-analysis revealed that, relative to radically treated patients, conservatively treated patients had a significantly increased risk of prostate cancer-related death (OR 1.74, 95% CI 1.31 to 2.30, absolute risk difference: 37 [16 to 63] more per 1000), all-cause mortality (OR 1.37, 95% CI 1.14 to 1.64, absolute risk difference: 69 [28 to 112] more per 1000) and development of distant metastases (OR 1.87, 95% CI 1.48 to 2.36, absolute risk difference: 63 [36 to 95] more per 1000), but a significantly decreased risk of urinary adverse events (OR 0.42, 95% CI 0.21 to 0.86, absolute risk difference: 72 [101 to 16] fewer per 1000) and erectile dysfunction (OR 0.62, 95% CI 0.39 to 0.98, absolute risk difference: 118 [223 to 5] fewer per 1000).<sup>185</sup>

#### Influence of prostate cancer risk level

Two studies presented stratified analyses by prostate cancer risk group (low-, intermediateor high-risk). In the EORTC trial, exploratory heterogeneity tests (National Comprehensive Cancer Network or D'Amico) found that there was no statistically significant impact of the risk group on the unadjusted treatment effect on biochemical disease free survival (DFS) or clinical DFS.<sup>188</sup> On the other hand, an interaction analysis of RTOG 94-08 suggested that there was a relationship between disease-specific survival and intermediate-risk patients and treatment arm (androgen suppression plus RT), with a HR of 3.89 (95% CI 1.14 to 13.21). In other terms, the benefits of short-course androgen deprivation therapy in combination with RT were seen more strongly in intermediate-risk patients.<sup>176</sup>

The NG131 NICE guidance presents its recommendations by risk group, however, no specific analysis by risk group was conducted in the SLRs.

#### **Deprioritised records**

A total of 7 publications were identified comparing different iterations of RT, active surveillance, prostatectomy and hormonal therapy for localised prostate cancer. Comparison between standard and dose-escalated RT in intermediate-risk patients yielded mixed results; dose-escalation reduced biochemical failure and distant metastases, and reduced the rate of salvage therapy, but caused more late toxic effects and did not improve overall survival.<sup>195</sup>

Another RCT compared 2 high dose-rate brachytherapy regimens in low- and intermediaterisk patients: one fraction at 19 Gy or 2 fractions at 13.5 Gy one week apart.<sup>196</sup> Urinary symptoms and erectile dysfunction were more common during the first 12 months in the twofraction arm.

One Swedish RCT with low-risk prostate cancer patients compared standard active surveillance with a modified protocol, consisting of a more extensive repeat transrectal biopsy and less frequent follow-up, and the results did not support general use of the modified protocol.<sup>197</sup> A significant difference was found when traditional trans-Retzius robot-assisted laparoscopic radical prostatectomy (RALP) was compared with Retzius-sparing RALP<sup>198</sup>, with the latter approach resulting in the earlier recovery of urinary continence. However, at a 12-week follow-up of a different RCT comparing robot-assisted laparoscopic prostatectomy and radical retropubic prostatectomy, no significant differences were seen in urinary or sexual function scores between arms.<sup>199</sup>

Another deprioritised RCT investigated 60 patients with stage T1c–T2b cancer randomised to dutasteride and bicalutamide or luteinizing hormone releasing hormone (LHRH) agonist and bicalutamide prior to starting brachytherapy. No significant differences were seen in reduction of prostate volume or International Prostate Symptom Score (IPPS), but the EPIC sexual summary score was significantly better in the dutasteride and bicalutamide group.<sup>200</sup> Finally, comparison of short-term (4 months) and long-term (24 months) ADT in patients receiving high-dose RT showed that long-term ADT improved 5-year overall survival, biochemical disease-free survival and metastasis-free survival, particularly in those with high-risk disease, with no increase in late radiation toxicity.<sup>201</sup>

### **Conclusions (Q4)**

High quality evidence was found comparing across prostatectomy, RT and observation (watchful waiting or active surveillance), as well as on androgen deprivation added to RT against RT and between different types of RT.

Two SLRs, including the key trials of interest (ProtecT, SPCG-4, PIVOT), compared the effectiveness of prostatectomy with either watchful waiting or active surveillance. The key findings were a lower risk of disease progression/metastases with prostatectomy than either watchful waiting or active surveillance. Improved prostate cancer-specific and overall mortality were also observed against watchful waiting, but only after 4 to 6 years of follow-up. As expected, patients undergoing prostatectomy had an increased frequency of adverse events including GI and GU toxicity. Overall, the possible benefit in disease progression outcomes may not outweigh the risk of increased rate of adverse events, particularly given the lack of evidence that explores this in clinically insignificant compared

to clinically significant subgroups of the disease. In other terms, men may receive treatment but never go on to develop clinically significant prostate cancer. There is a trade-off between benefits and risks and ultimately it will be necessary for patients to make an informed choice.

The comparison of RT with observation was reported through the ProtecT trial in one NG131 SLR (active surveillance, high quality), supplemented with Hackman 2019 (watchful waiting, some concerns about risk of bias). Disease progression, distant metastases and biochemical failure were decreased in patients treated with RT arm compared with observation. Prostate cancer-related death was decreased on average when comparing RT with active surveillance in ProtecT, however the upper limit of the wide CIs was also consistent with an increase in prostate cancer-related death. Overall mortality was unchanged. Hackman 2019 saw no difference in prostate cancer-related death when comparing RT with watchful waiting, thus leaving the direction of evidence unclear for mortality outcomes. As expected, adverse events were more common in RT arms, resulting in a similar conclusion as for prostatectomy vs observation, with a lack of evidence exploring outcomes in clinically significant vs insignificant disease.

Results of the comparison of RT with prostatectomy were reported in the ProtecT trial (through NG131 and Ng 2019 SLRs), and in a small RCT judged to be at high risk of bias (Lennernäs 2015). Interestingly, the results were similar to those seen in the separate comparisons made for each intervention against observation. In ProtecT, prostatectomy had a higher risk of metastases, erectile AEs, and urinary and sexual dysfunction, but a lower risk of prostate cancer-related death and better bowel function. The risk of disease progression was similar for both treatments.

Given that no significant differences between treatments were reported in Lennernäs 2015, the overall results do not conclusively point to either treatment as being superior; rather, each treatment has a different efficacy and safety profile.

Four RCTs of moderate quality provided results on the efficacy of ADT + RT compared with RT only. In general, ADT conferred benefits for prostate cancer-related death, all-cause mortality, overall survival, biochemical failure rate, disease-free survival and metastases, and no significant difference in GI/GU toxicity. This is a suggestive of an incremental benefit of the addition of ADT to RT, but is not informative regarding how ADT would compare to RT alone, or indeed as an addition to prostatectomy.

Different types of RT were compared in 2 SLRs, one high quality (NG131), the other with concerns for being at risk of bias (Yin 2019) and which included several of the same RCTs. For most outcomes, the results were not significant (and consistent across both SLRs), with only a few outcomes having significantly different results (e.g. Yin 2019 found that the risk

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of biochemical failure was decreased in men receiving hypofractionated RT compared with conventional RT, but no significant difference was found for this outcome in the NG131 SLR). Overall, no type of RT was conclusively better than another.

Whilst prostatectomy and RT have benefits vs observation for treating prostate cancer (including the additional benefit of using androgen suppression in conjunction with RT), it remains unclear how these benefits weigh up against the risks of the treatment compared with observation. This is particularly important in the context of men with clinically insignificant disease who would not require treatment.

### Summary of findings relevant to criterion 9: Criterion not met

**Quantity:** Including the data in the included SLRs, a high volume of evidence was available to assess Criterion 9, consisting of a total of 19 RCTs reported across 5 SLRs and 12 primary publications. Evidence was identified for 10 different direct treatment comparisons and 14 publications across 2 SLRs were included for the 3 major prostate cancer trials (ProtecT, SPCG-4, PIVOT) that were identified in the previous UK NSC review. This allowed for the most relevant evidence to be prioritised for extraction and synthesis. However, no evidence was identified for high-intensity ultrasonography or ablative therapy. This should be noted because, with the exception of ADT, the treatments for which evidence was available (observation, prostatectomy, RT) are already recommended by NICE as 'usual care' for localised prostate cancer. The majority of the RCTs included stage T1–T2 prostate cancer and 2 SLRs and 2 RCTs extended the definition of localised to include stage T3a.<sup>110-113</sup> Analyses included patient numbers which ranged from 89 to 7050 (pooled) participants.<sup>111, 113</sup>

**Quality:** Three SLRs were judged to be at a low risk of bias.<sup>69, 113, 177</sup> For the other 2 there were some concerns, particularly for domains including the search strategy, justification for study exclusion and assessment of the risk of bias and heterogeneity of the included studies.<sup>110, 186</sup> Two primary RCTs were at a high risk of bias due to the possible influence on outcome measurement arising from lack of blinding procedures, or because of missing outcome data.<sup>111, 112</sup> There were some concerns about the risk of bias in the remaining 4 RCTs, particularly for the effect of assignment to the interventions, and selection of the reported result due to unavailability of statistical analysis plans.<sup>176, 188-190</sup>

**Applicability:** The main concern regarding applicability arises from the inclusion of some patients whose cancer was beyond stage T3a in studies included in 2 of the SLRs;<sup>110, 113</sup> these patients would likely be at higher baseline risk of adverse outcomes and may have a lower treatment success. However, this only implicates a minority of the overall evidence for the within-RT treatment comparison. The remaining studies were judged to be of high applicability to the

review question as they included men with localised prostate-cancer (in some cases following screen-detection) in primary care settings.

**Consistency:** Three of the 5 included SLRs provided satisfactory discussions of the heterogeneity of their included studies,<sup>113, 177, 185</sup> whilst 2 did not.<sup>110, 186</sup> There are low concerns regarding the approach to data analyses conducted in different SLRs, given that largely similar results were reported for the same comparisons, despite the SLRs not always including the same RCTs or sub-analyses of RCTs. For the majority of treatment comparisons, conclusions about consistency of RCTs could not be drawn because only one RCT was included. For ADT + RT vs RT alone, the 4 included RCTs used similar treatment schedules for ADT, consisting of flutamide or bicalutamide in combination with goserelin or leuprolide and RT, although note that one RT schedule was dose-escalated.<sup>189</sup>

**Conclusions:** Evidence for all treatment comparisons was identified from at least one high quality SLR, except for androgen suppression + RT vs RT alone, which came from 4 moderate quality RCTs. Compared with observation, both RT and prostatectomy had improved disease progression outcomes, increased adverse events and inconclusive results for survival. Results for prostatectomy vs RT and comparisons between different RT types were inconclusive. A possible benefit was seen in the addition of ADT to RT compared with RT alone, however, this is incremental and does not inform on how ADT would perform alone or in comparison to other treatments. Overall, of the treatments that are currently recommended by NICE (those constituting 'usual care'), no particular intervention could be identified as conclusively superior. Better disease progression offered with RT or prostatectomy vs observation has to be balanced against increased adverse events and the consideration that there is still a lack of evidence comparing outcomes in clinically significant and insignificant disease, likely largely due to difficulties in predicting which cases will be significant at an early stage. It is thus unclear whether early identification of patients with prostate cancer would provide them with a therapeutic advantage that outweighs the risks of adverse events.

## **Review summary**

## Conclusions and implications for policy

Based on the overall synthesis of evidence against the UK NSC criteria, screening of men for prostate cancer should still not be recommended.

Four questions were considered in this rapid review: (1) Does screening based on PSA reduce short- or long-term prostate cancer morbidity and mortality and all-cause mortality?; (2) What are the harms of PSA-based screening for prostate cancer and diagnostic follow-up, with particular reference to overdiagnosis?; (3) Is there evidence that screening using risk algorithms or inclusion of markers other than PSA alone can better identify men with clinically significant prostate cancer, or improve screening efficiency?; and (4) What are the harms and benefits of currently available treatment approaches for early-stage prostate cancer to reduce morbidity and mortality?

Studies evaluating the performance of screening tests compared with PSA investigated 3 different single screening tests (percent-free PSA,<sup>158</sup> DRE,<sup>157</sup> and MRI<sup>26</sup>), as well as the addition of PCA3 to follow PSA and DRE tests.<sup>25</sup> One study evaluated the prognostic STHLM3 model compared with PSA.<sup>97</sup> While the lack of studies reporting on the same tests limits the robustness of the conclusions about any particular test, based on the findings of this review, the STHLM3 model and MRI represent the most promising screening methods compared with PSA alone. MRI, either alone or as a sequential screening test following PSA, achieved greater accuracy than PSA alone in 2 studies,<sup>24, 26</sup> although confidence in these findings is limited by a high risk of bias<sup>26</sup> (Nam 2016) and lack of further validation of results (both studies). Nevertheless, an evaluation of sequential MRI-based screening for prostate cancer in unselected men is already underway in the Göteborg 2 trial, which could provide further evidence on the usefulness of MRI screening for prostate cancer in unselected men.<sup>202</sup> Overall, few studies reported eligible screening accuracy outcomes for detection of prostate cancer distinguished by insignificant or significant disease, and therefore this component of the criteria remains unclear. The Göteborg study reported that the detection rate of prostate cancer was higher using the PSA (≥1.8 ng/mL) and MRI strategy for both significant (48%) and high-risk (38%) cancer, compared with PSA alone. Furthermore, the STHLM3 study measured the predictive capabilities of the model for all cancers (AUC 0.69, 95% CI 0.68 to 0.71) and high-grade cancers (AUC 0.74, 95% CI 0.72) to 0.75). Additionally, a number of identified studies evaluated screening using predictive models or MRI for detection of "high-grade" prostate cancer specifically, although as the index test was not compared with PSA or any other test, these studies were deprioritised in

evidence synthesis, especially considering that the model was not necessarily aiming at comparing test accuracy between detection of high and low grade cancer. Another important limitation of the evidence, which is relevant across questions 1 to 3, is that different studies use different thresholds to classify the comparator PSA level is a screen-positive result (PSA 3 ng/mL vs 4 ng/mL). The previous UK NSC review found that the use of a 3 ng/mL threshold increased sensitivity for the detection of prostate cancer, but also increased false positive cases and overdiagnosis. Overall, it was concluded that there was no consensus on the most appropriate threshold for the detection of prostate cancer, and that age-specific reference ranges for PSA remain unvalidated. Findings of this review similarly show that there is still very little evidence on whether the thresholds employed for the various index tests were the most appropriate ones. Finally, due to the invasive nature of the reference standard (biopsy), this was only administered to men considered "screenpositive" (i.e. at a higher risk than normal men), precluding the investigation of false negatives. This is a limitation of the reference standard, rather than the included studies, as applying biopsy to all men would be both less feasible and unethical, considering the high risk of complications of the procedure alone.

Based on moderate-to-high quality evidence, PSA-based screening significantly increases the incidence rate of prostate cancer in comparison with no screening or usual care. Though no conclusions can be made about the stratification of the diagnosis by clinical staging due to inconsistent and lacking evidence, it appears that metastatic cases' incidence is affected by screening, with no difference found in the PLCO trial but a lower reported incidence in the screening arm of the ERSPC trial (RaR <1 vs screening).<sup>126</sup> The impact of PSA-based screening on prostate cancer-specific mortality also remains unclear due to conflicting evidence across the 3 included RCTs (ERSPC reports a significant reduction after 16 years of follow-up, whereas CAP and PLCO detect no difference after 10 and 17 years of follow-up, respectively). This is in alignment with the findings of the last (2015) UK NSC review, however longer-follow-up in CAP and lower contamination in PLCO could show an effect. Comparing harms and benefits of PSA-based screening, the findings of this review are also largely similar to those found in the previous UK NSC review in 2015, with overdiagnosis being the most common harm associated with screening, based on evidence from the ERSPC and PLCO. Furthermore, no significant difference between the screening and usual care groups was found in QoL in a subgroup analysis of the FinRSPC cohort (the single report on this outcome), which is in contrast to the findings of the last review where overdiagnosis and overtreatment were found to have an adverse impact on QoL.7

An important limitation of the evidence is the high level of contamination of PSA-based screening within the control arm of the ERSPC and PLCO trials. If men in both study groups received the screening intervention, it is possible that the measured intervention effect on

outcomes of interest is diluted or equalised, which may be the case for prostate cancerspecific mortality. Previous analyses have reported that the effect of contamination is likely to be minimal (below 20%),<sup>203</sup> but the degree of contamination in the control arm has been reported to be as high as 62.7% in ERSPC,<sup>14</sup> and 90% in PLCO.<sup>16</sup> Subsequently, multiple sub-analyses have demonstrated the impact of control arm contamination on effect dilution; for example, Gulati 2012 concluded that due to contamination, the PLCO trial would not be able to accurately detect a clinically significant screening benefit, preventing conclusions from being drawn.<sup>150</sup> The fact that the ERSPC trial demonstrated differences in incidence in the screening and control arms could indicate a lower effect of contamination. It should be noted that CAP also found no significant reduction in mortality, despite a lower contamination level of 10 to 15%, although this may be a result of the shorter follow-up period compared to the other trials. Conversely, an analysis of PLCO and ERSPC trials concluded that mortality was significantly lower in the screening arms.<sup>147</sup> Due to the nature of the intervention (i.e. screening), it appears that contamination is a limitation of the evidence and it may not be possible to arrive at a conclusive answer to Question 1 other than through further large studies and/or modelling exercises, if the results of these are then synthesised through appropriate statistical methodology.

A high volume of evidence was identified to evaluate treatment options for early-stage or localised prostate cancer. Moreover, apart from the comparison of androgen deprivation and radiotherapy with radiotherapy alone, all evidence was based on at least one high-quality SLR. Nevertheless, a large limitation is that the majority of comparisons are reported through a single RCT only. A possible benefit was seen in the addition of adjunctive androgen deprivation to radiotherapy; however, this is only in comparison to radiotherapy are more effective than observation at decreasing disease progression, though this comes at a price of increased adverse events. Furthermore, the benefit to survival is unclear when either treatment is compared with observation or when they are compared to each other.<sup>177</sup>

Overall, of the treatments that are currently recommended by NICE (those constituting 'usual care'), no particular intervention (radical RT, radical prostatectomy, active surveillance or watchful waiting) was identified as superior. A reduction in disease progression offered with RT or prostatectomy compared with observation has to be balanced against increased adverse events and the consideration that there is still a lack of an unequivocal improvement in survival with any of these treatments. It is thus unclear whether early identification of patients with prostate cancer would provide them with a therapeutic advantage.

Despite a large volume of studies found, evidence on treatment effectiveness is limited. Firstly, no evidence on treatments not currently recommended by NICE as 'usual care' for early prostate cancer, such as high intensity ultrasonography and ablative therapy, was identified in this review. The efficacy of these interventions, along with the balance between any harms and benefits, remains unclear. Secondly, it should be noted that patients with T3a stage were included in some analyses, although the impact of this on the results is expected to be minimal.

In summary, screening for prostate cancer in unselected men is associated with increased incidence of prostate cancer diagnoses and the impact of PSA-based screening on prostate cancer-specific mortality remains unclear. Supporting findings of the previous review, overdiagnosis associated with PSA-based screening is still a concern as a harm of screening, although the effects of this and biopsy-related complications on QoL remain unclear. No robust conclusions can be made about tests superior to PSA, though it appears that adding MRI to PSA may improve test performance. A key limitation of the evidence overall is the lack of differentiation between insignificant and clinically significant disease, and so the benefit that screening would provide in terms of identifying those most in need of treatment remains particularly unclear. Finally, interventions recommended by NICE for the treatment of early-stage prostate cancer can slow down disease progression compared with observation, but have a similar effect on prostate cancer-specific mortality and more adverse events.

### Limitations

This section considers limitations of the review methodology. Limitations of the evidence and evidence gaps are discussed in the section above.

This rapid review was conducted in line with the UK NSC requirements for evidence summaries, as described at https://www.gov.uk/government/publications/uk-nsc-evidence-review-process/appendix-f-requirements-for-uk-nsc-evidence-summaries. All items on the UK NSC Reporting Checklist for Evidence Summaries have been addressed in this report. A summary of the checklist, along with the page or pages where each item can be found in this report, is presented in Table 51 (Appendix 6 – UK NSC reporting checklist for evidence summaries). Database search terms were restricted by study design, screening terms and limited to studies published since 2014 (date of searches conducted for the previous UK NSC review) for questions 1 to 3. Database search terms were restricted by study design and intervention terms for question 4, as well as limited to records published since 2016 for interventions included in NICE NG131 SLRs (which were included in this review) or to records published since 2014 for interventions not covered in the NICE NG131 SLRs. Published and well validated filters were used to limit by study design and searches were supplemented with SLR reference list searches.

#### Included publication types

This review only included peer-reviewed journal publications and excluded publications that were not peer-reviewed and grey literature. This may have led to the exclusion of relevant evidence. However, this is an accepted methodological adjustment for a rapid review and is unlikely to miss any pivotal studies.

#### Language

Only studies published in English were included. There is a possibility that some evidence reported in a language other than English was missed. However, this review was ultimately focusing on evidence relevant to the UK setting, and it could be supposed that publications in non-English languages may be more focused on results applicable to other countries. It is anticipated that this limitation should not exclude any pivotal studies.

#### Review methodology

Articles were reviewed by a single reviewer in the first instance. A second reviewer examined all included articles, 10% of excluded articles, and any articles where there was uncertainty about inclusion. This is a pragmatic strategy that should have minimised the risk of errors and is an accepted methodological adjustment for a rapid review.

#### Articles not freely available

Searches for full-text articles were carried out at Cambridge University Library. Any unavailable articles were purchased (unless they were not selected for extraction based on study design or intervention, see the Methods section and below).

#### Study prioritisation

Due to a sufficiently high number of studies initially included in the review for questions 3 and 4, only studies focusing on comparison to PSA-based screening (question 3) or comparing 2 different interventions (question 4) were ultimately selected for data extraction. This tiered approach to the study selection process was pre-specified and was utilised so that only the most relevant evidence is initially considered in the review.

## Appendix 1 — Search strategy

### Electronic databases

The search strategy included searches of the databases shown in Table 28. MEDLINE, MEDLINE In-Process, MEDLINE Daily, Epub Ahead of Print and Embase were searched simultaneously using Ovid SP. The Cochrane Library databases were searched simultaneously via the Wiley Online platform. Database of Abstracts of Reviews of Effects (DARE) was searched via the Centre for Reviews and Dissemination (CRD) website. For both Ovid SP and the Wiley Online platform, separate searches were performed for Questions 1–3 and Question 4.

#### Table 28. Summary of electronic database searches and dates

| Database   | Platform   | Searched on date               | Date range of search  |
|--|--|--------------------------------|---|
| MEDLINE, MEDLINE In-Process,<br>MEDLINE Daily, Epub Ahead of<br>Print  | Ovid SP  | September 2 <sup>nd</sup> 2019 | 1946 to August 30 <sup>th</sup><br>2019   |
| Embase   | Ovid SP  | September 2 <sup>nd</sup> 2019 | 1974 to August 30 <sup>th</sup><br>2019   |
| <ul> <li>The Cochrane Library, including:</li> <li>Cochrane Database of<br/>Systematic Reviews (CDSR)</li> <li>Cochrane Central Register of<br/>Controlled Trials (CENTRAL)</li> </ul> | Wiley Online   | September 2 <sup>nd</sup> 2019 | CDSR: Issue 9 of 12,<br>September 2019<br>CENTRAL: Issue 9 of<br>12, September 2019 |
| Database of Abstracts of Reviews of Effects (DARE)   | Centre for Reviews and<br>Dissemination,<br>University of York | September 2 <sup>nd</sup> 2019 | DARE: Issue 2 of 4,<br>April 2015   |

### Search terms

Search terms included combinations of free text and subject headings (Medical Subject Headings [MeSH] for MEDLINE, and Emtree terms for Embase), grouped into the following categories:

- disease area: prostate cancer
- study design: RCTs, non-RCTs and observational studies
- other term group: interventions
  - screening terms (for questions 1–3)
  - o intervention terms (for question 4)

Search terms for MEDLINE, MEDLINE In-Process, MEDLINE Daily, Epub Ahead of Print and Embase are shown in Table 29 (Questions 1–3) and Table 30 (Question 4), search

terms for the Cochrane Library databases are shown in Table 31 (Questions 1–3) and Table 32 (Question 4), and search terms for DARE are shown in Table 33.

| Term group      | #  | Search terms   | Results  |
|-----------------|----|--|----------|
|                 | 1  | exp Prostatic Neoplasms/ or exp Prostate Tumor/  | 352524   |
|                 |    | (prostat\$ adj4 (neoplas\$ or cancer\$ or carcinoma\$ or adenocarcinom\$   | 346469   |
| Prostate cancer | 2  | or tumour\$ or tumor\$ or malignan\$ or metasta\$ or angiosarcoma\$ or   |          |
| terms           | 2  | sarcoma\$ or teratoma\$ or lymphoma\$ or blastoma\$ or microcytic\$ or   |          |
| terms           |    | leiomyosarcoma\$ or lump\$)).ti,ab.  |          |
|                 | 3  | PIN.ab,ti,kw,kf.   | 30247    |
|                 | 4  | or/1-3   | 444343   |
|                 | 5  | mandatory testing/ or mass screening/  | 152726   |
|                 |    | (Sensitivity.mp. and Specificity/) or (detect\$ or identif\$ or diagnos\$ or   | 12882162 |
|                 | 6  | test\$ or screen\$).ti. or (sensitiv\$ or specific\$ or accura\$ or precis\$ or                                      |          |
|                 |    | NPV or PPV or predictive value\$ or likelihood ratio\$).ti,ab.   |          |
|                 | 7  | or/5-6   | 12931110 |
|                 | 8  | ((screen\$ or test\$) adj2 prostat\$).ti,ab.   | 16280    |
|                 | 9  | *Prostate-Specific Antigen/ or *prostate specific antigen/   | 25525    |
|                 | 10 | (prostate specific antigen or psa).ti,ab.  | 118453   |
|                 | 11 | Magnetic Resonance Imaging/ or nuclear magnetic resonance<br>imaging/  | 1124906  |
|                 | 12 | (magnet\$ adj2 (resonance\$ or imag\$ or scan\$ or spectroscop\$)).ti,ab.  | 720375   |
|                 | 13 | (MR adj2 (resonance\$ or imag\$ or scan\$ or spectroscop\$)).ti,ab.  | 159242   |
|                 | 14 | (Dynamic contrast\$ enhanc\$ adj2 (MR\$ or magnet\$)).ti,ab.   | 10768    |
|                 | 15 | (contrast\$ adj2 (imag\$ or scan\$)).ti,ab.  | 44347    |
|                 | 16 | ((MRI or MRSI or MP-MR\$ or MPMR\$) adj4 prostat\$).ti,ab.   | 7021     |
|                 | 17 | turbo spin echo\$.ti,ab.   | 4750     |
| Screening terms | 18 | ((diffusion\$ or weight\$) adj2 imag\$).ti,ab.   | 112689   |
|                 | 19 | ((DWI or DCE-MRI or T2W or TSE or T2-weighted MRI\$) adj3<br>prostat\$).ti,ab.                                       | 480      |
|                 |    | (Multi-parametric or multiparametric\$ or biparametric\$ or bi-  | 18622    |
|                 | 20 | parametric\$).ti,ab.   |          |
|                 | 21 | *Digital Rectal Examination/   | 831      |
|                 | 22 | (digital rectal examination or DRE).ti,ab.   | 13664    |
|                 | 23 | ((transrectal ultrasound\$ or trans-rectal ultrasound\$ or TRUS or TRUSB) adj4 prostat\$).ti,ab.                     | 7054     |
|                 |    | *Biomarkers/ or *biological marker/ or *biochemical marker/ or *Genetic  | 146684   |
|                 | 24 | Testing/ or *genetic screening/  |          |
|                 | 25 | ((biological or serum) adj2 (marker\$ or biomarker\$)).ti,ab.  | 70822    |
|                 | 26 | (urine adj (measur\$ or analy\$ or test\$ or collect\$)).ti,ab.  | 30676    |
|                 | 27 | (urinalys\$ or pca3 or pca 3 or dd3 or 4kscore or 4k score or prostate health index or four-kallikrein panel).ti,ab. | 23453    |
|                 | 28 | *Risk assessment/ or *Risk factors/ or *Medical history/   | 119571   |
|                 | -  |  | Page 121 |

| Table 29. Search strategy for MEDLINE, MEDLINE In-Process, MEDLINE Daily, Epub Ahead |  |
|--|--|
| of Print and Embase (Searched via Ovid SP; Questions 1–3)                            |  |

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| Term group          | #  | Search terms   | Results |
|---------------------|----|--|---------|
|                     | 29 | or/8-28  | 2026969 |
|                     | 30 | 7 and 29   | 706840  |
|                     | 31 | Randomized Controlled Trials as Topic/   | 228510  |
|                     | 32 | Randomized Controlled Trial/   | 1056169 |
|                     | 33 | Random Allocation/   | 180386  |
|                     | 34 | Randomization/   | 184123  |
|                     | 35 | Double Blind Method/   | 284385  |
|                     | 36 | Single Blind Method/   | 61717   |
|                     | 37 | Single Blind Procedure/  | 36423   |
|                     | 38 | Double Blind Procedure/  | 165026  |
|                     | 39 | Crossover Procedure/   | 60512   |
|                     | 40 | ((singl\$ or doubl\$ or treb\$ or tripl\$) adj (blind\$3 or mask\$3)).ab,ti,kw,kf. | 395293  |
|                     | 41 | exp Clinical Trial/  | 2260726 |
|                     | 42 | Clinical trial, phase i.pt.  | 19251   |
|                     | 43 | Clinical trial, phase ii.pt.   | 31078   |
|                     | 44 | Clinical trial, phase iii.pt.  | 15457   |
|                     | 45 | Clinical trial, phase iv.pt.   | 1741    |
|                     | 46 | Phase 1 Clinical Trial/ or exp Clinical trial, phase I/                            | 73316   |
|                     | 47 | Phase 2 Clinical Trial/ or exp Clinical trial, phase II/                           | 106337  |
|                     | 48 | Phase 3 Clinical Trial/ or exp Clinical trial, phase III/                          | 57724   |
|                     | 49 | Phase 4 Clinical Trial/ or exp Clinical trial, phase IV/                           | 5320    |
|                     | 50 | Controlled clinical trial.pt.  | 93253   |
| Study design terms: | 51 | Randomized controlled trial.pt.  | 488336  |
| RCTs                | 52 | Multicenter study.pt.  | 255701  |
|                     | 53 | Comparative study.pt.  | 1838619 |
|                     | 54 | Clinical trial.pt.   | 517688  |
|                     | 55 | Clinical Trials as Topic/  | 263030  |
|                     | 56 | trial\$.ti.  | 643311  |
|                     | 57 | (clinical adj trial\$).ab,ti,kw,kf.  | 851732  |
|                     | 58 | Placebos/  | 318505  |
|                     | 59 | Placebo/   | 340976  |
|                     | 60 | placebo\$.ab,ti,kw,kf.   | 504376  |
|                     | 61 | randomly allocated.ab,ti,kw,kf.  | 60262   |
|                     | 62 | (allocated adj2 random\$).ab,ti,kw,kf.   | 67249   |
|                     | 63 | random allocation.ab,ti,kw,kf.   | 3702    |
|                     | 64 | random assignment.ab,ti,kw,kf.   | 5022    |
|                     | 65 | randomized.ti,ab.  | 1193166 |
|                     | 66 | randomised.ti,ab.  | 241527  |
|                     | 67 | randomisation.ab,ti,kw,kf.   | 20608   |
|                     | 68 | randomization.ab,ti,kw,kf.   | 68607   |
|                     | 69 | randomly.ti,ab.  | 738625  |
|                     | 70 | RCT.ab,ti,kw,kf.   | 55985   |
|                     | 71 | Open-label trial\$.ab,ti,kw,kf.  | 8978    |
|                     | 72 | Open-label stud\$.ab,ti,kw,kf.   | 20696   |

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| Term group                            | #   | Search terms   | Results |
|---------------------------------------|-----|--|---------|
|                                       | 73  | Non-blinded stud\$.ab,ti,kw,kf.  | 299     |
|                                       | 74  | or/31-73   | 6016472 |
|                                       | 75  | Cohort Studies/  | 616210  |
|                                       | 76  | Cohort Analysis/   | 748574  |
|                                       | 77  | cohort analy\$.ab,ti,kw,kf.  | 19696   |
|                                       | 78  | (cohort adj (study or studies)).ab,ti,kw,kf.                                 | 460433  |
|                                       | 79  | Cross-sectional studies/   | 489137  |
|                                       | 80  | (cross-sectional adj (study or studies)).ab,ti,kw,kf.                        | 346377  |
|                                       | 81  | Longitudinal Studies/ or exp Longitudinal study/                             | 256105  |
|                                       | 82  | Longitudinal.ab,ti,kw,kf.  | 541456  |
| <b>.</b>                              | 83  | Follow-Up Studies/   | 1614648 |
| Study design terms:                   | 84  | Follow-Up/   | 1450352 |
| non-RCTs and                          | 85  | (follow up adj (study or studies)).ab,ti,kw,kf.                              | 112937  |
| observational<br>studies              | 86  | Prospective Studies/ or exp Prospective study/                               | 1059315 |
|                                       | 87  | (Prospective adj (study or studies)).ab,ti,kw,kf.                            | 415795  |
|                                       | 88  | (evaluation adj (study or studies)).ab,ti,kw,kf.                             | 14158   |
|                                       | 89  | Retrospective Studies/ or exp Retrospective study/                           | 1585707 |
|                                       | 90  | retrospective\$.ti,ab.   | 1807553 |
|                                       | 91  | (chart adj3 review).ab,ti,kw,kf.   | 111837  |
|                                       | 92  | Observational studies/ or exp Observational study/                           | 247630  |
|                                       | 93  | (observational adj (study or studies)).ab,ti,kw,kf.                          | 248797  |
|                                       | 94  | ((single arm or single-arm) adj3 (study or studies or trial\$)).ab,ti,kw,kf. | 14444   |
|                                       | 95  | or/75-94   | 6413342 |
|                                       |     | ("Conference Abstract" or "Conference Review" or comment or letter or        | 9637783 |
|                                       | 96  | editorial or note or case reports).pt.                                       |         |
|                                       | 97  | (case stud\$ or case report\$).ti.   | 619911  |
| Exclusion terms                       | 98  | Letter/ or historical article/ or case study/                                | 4313520 |
|                                       | 99  | Animals/ not Humans/   | 5545185 |
|                                       | 100 | or/96-99   | 1577049 |
|                                       | 101 | 4 and 30 and 74  | 19503   |
| Combined and total                    | 102 | 101 not 100  | 15712   |
| Q1-Q3 (RCTs only)                     | 103 | limit 102 to yr=2014-2019  | 4301    |
| Combined and total                    | 104 | 4 and 30 and 95  | 28493   |
| Q3 (non-                              | 105 | 104 not 100 not 102  | 15305   |
| RCTs/observational studies only)      | 106 | limit 105 to yr=2014-2019  | 6665    |
|                                       | 107 | limit 106 to yr=2016-2019  | 4610    |
|                                       | 108 | 106 not 107  | 2055    |
| Remove duplicates                     | 109 | remove duplicates from 103   | 2819    |
| · · · · · · · · · · · · · · · · · · · | 110 | remove duplicates from 107   | 3144    |
|                                       | 111 | remove duplicates from 108   | 1404    |
| Total                                 | 112 | 109 or 110 or 111  | 7367    |

## Table 30. Search strategy for MEDLINE, MEDLINE In-Process, MEDLINE Daily, Epub Ahead of Print and Embase (Searched via Ovid SP; Question 4)

| Term group          | #  | Search terms   | Results |
|---------------------|----|--|---------|
|                     | 1  | exp Prostatic Neoplasms/ or exp Prostate Tumor/  | 352524  |
|                     |    | (prostat\$ adj4 (neoplas\$ or cancer\$ or carcinoma\$ or adenocarcinom\$   | 346469  |
|                     | •  | or tumour\$ or tumor\$ or malignan\$ or metasta\$ or angiosarcoma\$ or   |         |
|                     | 2  | sarcoma\$ or teratoma\$ or lymphoma\$ or blastoma\$ or microcytic\$ or   |         |
| Prostate cancer     |    | leiomyosarcoma\$ or lump\$)).ti,ab.  |         |
| terms               | 3  | PIN.ab,ti,kw,kf.   | 30247   |
|                     | 4  | or/1-3   | 444343  |
|                     | 5  | (stage I or stage II or stage 1 or stage 2 or early or local or localised or localized).ti,ab.   | 5310305 |
|                     | 6  | 4 and 5  | 83490   |
|                     | 7  | *Watchful Waiting/   | 2505    |
|                     | 8  | ((active\$ or watch\$ or expect\$ or conservat\$) adj (surveillan\$ or<br>monitor\$ or observat\$ or wait\$ or manag\$)).ti,ab.                                | 76127   |
|                     | 9  | ((deferr\$ or delay\$) adj1 (treat\$ or therap\$)).ti,ab.  | 22232   |
|                     | 10 | or/7-9   | 98943   |
|                     | 11 | prostatic neoplasm/su  | 21462   |
|                     | 12 | *Prostatectomy/  | 41403   |
|                     | 13 | (radical adj4 prostatectom\$).ti,ab.   | 56769   |
|                     | 14 | or/11-13   | 78822   |
|                     | 15 | *Radiotherapy/   | 71631   |
|                     | 16 | radiotherap\$.ti,ab.   | 403113  |
|                     | 17 | (radiat\$ adj4 (therap\$ or treatment\$)).ti,ab.   | 234657  |
|                     | 18 | ((external\$ or conformal\$) adj4 (irradiat\$ or therap\$ or treat\$)).ti,ab.  | 49935   |
|                     | 19 | ((interstitial\$ or intracavit\$ or implant\$ or surface\$ or internal\$) adj4<br>(irradiat\$ or radiation\$)).ti,ab.  | 19886   |
| Intervention terms  | 20 | curietherap\$.ti,ab.   | 865     |
| (in NICE guidelines | 21 | (radioisotope\$ adj4 (irradiat\$ or therap\$ or treat\$)).ti,ab.   | 1274    |
| SLR) (Q4)           | 22 | ((seed\$ or permanent\$) adj2 implant\$).ti,ab.  | 14677   |
|                     | 23 | or/15-22   | 651557  |
|                     | 24 | *Brachytherapy/  | 32353   |
|                     | 25 | brachytherap\$.ti,ab.  | 45778   |
|                     | 26 | (hyperfraction\$ or hyper fraction\$ or hypofraction\$ or hypo<br>fraction\$).ti,ab.   | 15018   |
|                     | 27 | ((optim\$ or fraction\$ or respons\$ or relation\$ or dependence\$ or<br>effect\$ or scheme\$ or curve\$) adj4 (dose\$ or dosage or<br>schedule\$)).ti,ab.     | 602818  |
|                     | 28 | ((high\$ or full\$ or maximum\$ or larg\$ or escalat\$ or supplement\$ or<br>low\$ or minimum\$ or small\$) adj4 (dose\$ or dosage\$ or<br>schedule\$)).ti,ab. | 1011884 |
|                     | 29 | (HDR or LDR).ti,ab.  | 15021   |
|                     | 30 | or/24-29   | 1452221 |
|                     | 31 | 23 and 30  | 127573  |

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| Term group           | #  | Search terms   | Results |
|----------------------|----|--|---------|
|                      | 32 | 10 or 14 or 31   | 297556  |
|                      |    | *High-Intensity Focused Ultrasound Ablation/ or *High Intensity                    | 4731    |
|                      | 33 | Focused Ultrasound/ or *Ultrasound, High-Intensity Focused,                        |         |
|                      |    | Transrectal/ or *Transrectal High Intensity Focused Ultrasound/                    |         |
|                      | 34 | ((ultrasonograp\$ or ultrasound) adj2 (high intensity or high-                     | 7909    |
|                      | 34 | intensity)).ti,ab.   |         |
|                      | 35 | HIFU.ti,ab.  | 5853    |
|                      | 36 | *Ablation therapy/ or *Catheter Ablation/  | 44599   |
|                      | 37 | ablati\$ therapy.ti,ab.  | 8853    |
|                      | 38 | (radiofrequency ablation\$ or radio frequency ablation\$ or catheter               | 68442   |
|                      | 30 | ablation\$ or rfta or RFA).ti,ab.  |         |
|                      |    | (thermoablation\$ or thermo ablation or thermo destruc\$ or thermal                | 9405    |
|                      | 39 | destruc\$ or thermo coag\$ or thermal coag\$ or electrocoag\$ or                   |         |
|                      | _  | transvenous ablation\$).ti,ab.   |         |
|                      |    | *Androgen deprivation therapy/ or *Antineoplastic agent/ or *Androgen              | 331618  |
| Intervention terms   | 40 | antagonist/ or *Antiandrogen/ or *Antineoplastic agents/ or *Androgen              |         |
| (not in NICE         |    | antagonists/ or *Antiandrogens/  |         |
| guidelines SLR) (Q4) | 41 | antiandrogen\$.ti,ab.  | 11747   |
|                      | 42 | ((androgen\$ or hormon\$) adj3 (ablati\$ or block\$ or withdraw\$ or               | 46595   |
|                      | 42 | depriv\$ or suppress\$)).ti,ab.  |         |
|                      | 43 | (gonadotrophin releasing hormone analogue\$ or GRHA or luteini\$ing                | 14717   |
|                      | -0 | hormone-releasing hormone or LHRH).ti,ab.  |         |
|                      |    | *Goserelin/ or *Cyproterone/ or *Estrogen/ or *Estrogens/ or                       | 109988  |
|                      | 44 | *Leuprolide/ or *Leuprorelin/ or *Flutamide/ or *Diethylstilbestrol/ or            |         |
|                      |    | *Progestins/ or *Gestagen/ or *Finasteride/ or *Bicalutamide/ or                   |         |
|                      |    | *Nilutamide/ or *Megesterol/   |         |
|                      |    | (Goserelin or Cyproterone or Leuprolide or Leuprorelin or Flutamide or             | 388205  |
|                      |    | Diethylstilbestrol or Progestin\$ or Gestagen or Finasteride or                    |         |
|                      | 45 | bicalutamide or oestrogen\$ or estrogen\$ or leuprorelin or enantone or            |         |
|                      |    | a-43818 or lupron or tap-144 or niftolid\$ or zoladex or eulexin or                |         |
|                      | _  | casodex or nilutamide or nilandrone or megestrol or proscar).ti,ab.                |         |
|                      | 46 | or/33-45   | 893468  |
|                      | 47 | Randomized Controlled Trials as Topic/   | 228510  |
|                      | 48 | Randomized Controlled Trial/   | 1056169 |
|                      | 49 | Random Allocation/   | 180386  |
|                      | 50 | Randomization/   | 184123  |
|                      | 51 | Double Blind Method/   | 284385  |
| Study design terms:  | 52 | Single Blind Method/   | 61717   |
| RCTs and non-RCTs    | 53 | Single Blind Procedure/  | 36423   |
|                      | 54 | Double Blind Procedure/  | 165026  |
|                      | 55 | Crossover Procedure/   | 60512   |
|                      | 56 | ((singl\$ or doubl\$ or treb\$ or tripl\$) adj (blind\$3 or mask\$3)).ab,ti,kw,kf. | 395293  |
|                      | 57 | exp Clinical Trial/  | 2260726 |
|                      | 58 | Clinical trial, phase i.pt.  | 19251   |

| Term group         | #        | Search terms  | Results |
|--------------------|----------|---|---------|
|                    | 59       | Clinical trial, phase ii.pt.                              | 31078   |
|                    | 60       | Clinical trial, phase iii.pt.                             | 15457   |
|                    | 61       | Clinical trial, phase iv.pt.                              | 1741    |
|                    | 62       | Phase 1 Clinical Trial/ or exp Clinical trial, phase I/   | 73316   |
|                    | 63       | Phase 2 Clinical Trial/ or exp Clinical trial, phase II/  | 106337  |
|                    | 64       | Phase 3 Clinical Trial/ or exp Clinical trial, phase III/ | 57724   |
|                    | 65       | Phase 4 Clinical Trial/ or exp Clinical trial, phase IV/  | 5320    |
|                    | 66       | Controlled clinical trial.pt.                             | 93253   |
|                    | 67       | Randomized controlled trial.pt.                           | 488336  |
|                    | 68       | Multicenter study.pt.                                     | 255701  |
|                    | 69       | Clinical trial.pt.  | 517688  |
|                    | 70       | Clinical Trials as Topic/                                 | 263030  |
|                    | 71       | trial\$.ti.   | 643311  |
|                    | 72       | (clinical adj trial\$).ab,ti,kw,kf.                       | 851732  |
|                    | 73       | Placebos/   | 318505  |
|                    | 74       | Placebo/  | 340976  |
|                    | 75       | placebo\$.ab,ti,kw,kf.                                    | 504376  |
|                    | 76       | randomly allocated.ab,ti,kw,kf.                           | 60262   |
|                    | 77       | (allocated adj2 random\$).ab,ti,kw,kf.                    | 67249   |
|                    | 78       | random allocation.ab,ti,kw,kf.                            | 3702    |
|                    | 79       | random assignment.ab,ti,kw,kf.                            | 5022    |
|                    | 80       | randomized.ti,ab.   | 1193166 |
|                    | 81       | randomised.ti,ab.   | 241527  |
|                    | 82       | randomisation.ab,ti,kw,kf.                                | 20608   |
|                    | 83       | randomization.ab,ti,kw,kf.                                | 68607   |
|                    | 84       | randomly.ti,ab.   | 738625  |
|                    | 85       | RCT.ab,ti,kw,kf.  | 55985   |
|                    | 86       | Open-label trial\$.ab,ti,kw,kf.                           | 8978    |
|                    | 87       | Open-label stud\$.ab,ti,kw,kf.                            | 20696   |
|                    | 88       | Non-blinded stud\$.ab,ti,kw,kf.                           | 299     |
|                    | 89       | or/47-88  | 4479557 |
|                    | 90       | Cohort Studies/   | 616210  |
|                    | 91       | Cohort Analysis/  | 748574  |
|                    | 92       | cohort analy\$.ab,ti,kw,kf.                               | 19696   |
|                    | 93       | (cohort adj (study or studies)).ab,ti,kw,kf.              | 460433  |
|                    | 94       | Cross-sectional studies/                                  | 489137  |
| tudy design terms: | 95       | (cross-sectional adj (study or studies)).ab,ti,kw,kf.     | 346377  |
| Ion-RCTs and       | 96       | Longitudinal Studies/ or exp Longitudinal study/          | 256105  |
| bservational       | 90<br>97 | Longitudinal.ab,ti,kw,kf.                                 | 541456  |
| tudies             | 97       | Follow-Up Studies/  | 1614648 |
|                    | 90       | Follow-Up/  | 1450352 |
|                    |          |   | 1450352 |
|                    | 100      | (follow up adj (study or studies)).ab,ti,kw,kf.           |         |
|                    | 101      | Prospective Studies/ or exp Prospective study/            | 1059315 |
|                    | 102      | (Prospective adj (study or studies)).ab,ti,kw,kf.         | 415795  |

| Term group                       | #   | Search terms   | Results  |
|----------------------------------|-----|--|----------|
|                                  | 103 | (evaluation adj (study or studies)).ab,ti,kw,kf.   | 14158    |
|                                  | 104 | Retrospective Studies/ or exp Retrospective study/   | 1585707  |
|                                  | 105 | retrospective\$.ti,ab.   | 1807553  |
|                                  | 106 | (chart adj3 review).ab,ti,kw,kf.   | 111837   |
|                                  | 107 | Observational studies/ or exp Observational study/   | 247630   |
|                                  | 108 | (observational adj (study or studies)).ab,ti,kw,kf.  | 248797   |
|                                  | 109 | ((single arm or single-arm) adj3 (study or studies or trial\$)).ab,ti,kw,kf.                                 | 14444    |
|                                  | 110 | or/90-109  | 6413342  |
| Exclusion terms                  | 111 | ("Conference Abstract" or "Conference Review" or comment or letter or editorial or note or case reports).pt. | 9637783  |
|                                  | 112 | (case stud\$ or case report\$).ti.   | 619911   |
|                                  | 113 | Letter/ or historical article/ or case study/  | 4313520  |
|                                  | 114 | Animals/ not Humans/   | 5545185  |
|                                  | 115 | or/111-114   | 15770497 |
|                                  | 116 | 6 and 32 and 89  | 6804     |
|                                  | 117 | 6 and 46 and 89  | 4492     |
|                                  | 118 | 6 and (32 or 46) and 110   | 16296    |
|                                  | 119 | 116 not 115  | 5120     |
| Combined                         | 120 | 117 not 115  | 3380     |
|                                  | 121 | 118 not 115  | 11055    |
|                                  | 122 | limit 119 to yr=2018-current   | 475      |
|                                  | 123 | limit 120 to yr=2014-current   | 940      |
|                                  | 124 | limit 121 to yr=2014-current   | 3951     |
| Total PCTa                       | 125 | 122 or 123   | 1292     |
| Total RCTs                       | 126 | remove duplicates from 125   | 808      |
| Total non-<br>RCTs/observational | 127 | remove duplicates from 124   | 2561     |

# Table 31. Search strategy for the Cochrane Library databases (Searched via the Wiley Online platform; Questions 1–3)

| Term group               | # | Search terms  | Results |
|--------------------------|---|---|---------|
| Prostate cancer<br>terms | 1 | [mh "Prostatic Neoplasms"]  | 4984    |
|                          | 2 | (prostat* NEAR/4 (neoplas* or cancer* or carcinoma* or<br>adenocarcinom* or tumour* or tumor* or malignan* or metasta* or<br>angiosarcoma* or sarcoma* or teratoma* or lymphoma* or blastoma*<br>or microcytic* or leiomyosarcoma* or lump*)):ti,ab | 11843   |
|                          | 3 | PIN:ti,ab,kw  | 1076    |
|                          | 4 | {or #1-#3}  | 13347   |
|                          | 5 | [mh ^"mandatory testing"] or [mh ^"mass screening"]   | 2984    |
| Screening terms          | 6 | [mh ^"Sensitivity and Specificity"] or (detect* or identif* or diagnos* or<br>test* or screen*).ti or (sensitiv* or specific* or accura* or precis* or NPV<br>or PPV or "predictive value*" or "likelihood ratio*"):ti,ab                           | 672027  |
|                          | 7 | {or #5-#6}  | 672027  |
|                          | 8 | ((screen* or test*) NEAR/2 prostat*):ti,ab  | 909     |
|                          |   |   | _       |

| Term group | #  | Search terms  | Results |
|------------|----|---|---------|
|            | 9  | [mh ^"Prostate-Specific Antigen"]   | 1249    |
|            | 10 | ("prostate specific antigen" or psa):ti,ab  | 6756    |
|            | 11 | [mh ^"Magnetic Resonance Imaging"]  | 6850    |
|            | 12 | (magnet* NEAR/2 (resonance* or imag* or scan* or<br>spectroscop*)):ti,ab  | 15490   |
|            | 13 | (MR NEAR/2 (resonance* or imag* or scan* or spectroscop*)):ti,ab  | 2282    |
|            | 14 | ("Dynamic contrast* enhanc*" NEAR/2 (MR* or magnet*)):ti,ab   | 0       |
|            | 15 | (contrast* NEAR/2 (imag* or scan*)):ti,ab   | 843     |
|            | 16 | ((MRI or MRSI or MP-MR* or MPMR*) NEAR/4 prostat*):ti,ab  | 220     |
|            | 17 | "turbo spin echo*":ti,ab  | 85      |
|            | 18 | ((diffusion* or weight*) NEAR/2 imag*):ti,ab  | 1663    |
|            | 19 | ((DWI or DCE-MRI or T2W or TSE or "T2-weighted MRI*") NEAR/3<br>prostat*):ti,ab   | 8       |
|            | 20 | (Multi-parametric or multiparametric* or biparametric* or bi-<br>parametric*):ti,ab   | 382     |
|            | 21 | [mh ^"Digital Rectal Examination"]  | 41      |
|            | 22 | ("digital rectal examination" or DRE):ti,ab   | 592     |
|            | 23 | (("transrectal ultrasound*" or "trans-rectal ultrasound*" or TRUS or<br>TRUSB) NEAR/4 prostat*):ti,ab                         | 494     |
|            | 24 | [mh ^"Biomarkers"] or [mh ^"Genetic Testing"]   | 13557   |
|            | 25 | ((biological or serum) NEAR/2 (marker* or biomarker*)):ti,ab  | 3392    |
|            | 26 | (urine NEXT (measur* or analy* or test* or collect*)):ti,ab   | 3273    |
|            | 27 | (urinalys* or pca3 or "pca 3" or dd3 or 4kscore or "4k score" or<br>"prostate health index" or "four-kallikrein panel"):ti,ab | 2308    |
|            | 28 | [mh ^"Risk assessment"] or [mh ^"Risk factors"]   | 29554   |
|            | 29 | {or #8-#28}   | 75919   |
|            | 30 | #7 and #29  | 53163   |
|            | 31 | #4 and #30  | 4809    |
| ombined    | 32 | limit #31 to Cochrane Library publication data from Jan 2014 to Dec 2019, in Cochrane Reviews                                 | 6       |
|            |    | limit #31 to publication year from 2014 to 2019, in Trials  | 2325    |

# Table 32. Search strategy for the Cochrane Library databases (Searched via the WileyOnline platform; Question 4)

| Term group      | # | Search terms  |        |
|-----------------|---|---|--------|
|                 | 1 | [mh "Prostatic Neoplasms"]  | 4984   |
|                 |   | (prostat* NEAR/4 (neoplas* or cancer* or carcinoma* or                  | 11843  |
|                 | 2 | adenocarcinom* or tumour* or tumor* or malignan* or metasta* or         |        |
| Prostate cancer | 2 | angiosarcoma* or sarcoma* or teratoma* or lymphoma* or blastoma*        |        |
|                 |   | or microcytic* or leiomyosarcoma* or lump*)):ti,ab                      |        |
| terms           | 3 | PIN:ti,ab,kw  | 1076   |
|                 | 4 | {or #1-#3}  | 13347  |
|                 | 5 | ("stage I" or "stage II" or "stage 1" or "stage 2" or early or local or | 166628 |
|                 | 5 | localised or localized):ti,ab   |        |

| Term group                          | #  | Search terms   |        |  |  |
|-------------------------------------|----|--|--------|--|--|
|                                     | 6  | #4 and #5  | 3521   |  |  |
|                                     | 7  | [mh ^"Watchful Waiting"]   | 275    |  |  |
|                                     | 0  | ((active* or watch* or expect* or conservat*) NEXT (surveillan* or   | 3589   |  |  |
|                                     | 8  | monitor* or observat* or wait* or manag*)):ti,ab   |        |  |  |
|                                     | 9  | ((deferr* or delay*) NEXT (treat* or therap*)):ti,ab   | 1085   |  |  |
|                                     | 10 | {or #7-#9}   | 4782   |  |  |
|                                     | 11 | [mh ^"prostatic neoplasm"/su]  | 688    |  |  |
|                                     | 12 | [mh ^Prostatectomy]  | 1317   |  |  |
|                                     | 13 | (radical NEAR/4 prostatectom*):ti,ab   |        |  |  |
|                                     | 14 | {or #11-#13}   | 3166   |  |  |
|                                     | 15 | [mh ^Radiotherapy]   | 1155   |  |  |
|                                     | 16 | radiotherap*:ti,ab   | 21030  |  |  |
|                                     | 17 | (radiat* NEAR/4 (therap* or treatment*)):ti,ab   | 9747   |  |  |
|                                     | 18 | ((external* or conformal*) NEAR/4 (irradiat* or therap* or treat*)):ti,ab  | 2353   |  |  |
|                                     | 40 | ((interstitial* or intracavit* or implant* or surface* or internal*) NEAR/4  | 433    |  |  |
| Intervention terms                  | 19 | (irradiat* or radiation*)):ti,ab   |        |  |  |
| in NICE guidelines                  | 20 | curietherap*:ti,ab   | 18     |  |  |
| SLR) (Q4)                           | 21 | (radioisotope* NEAR/4 (irradiat* or therap* or treat*)):ti,ab  | 25     |  |  |
|                                     | 22 | ((seed* or permanent*) NEAR/2 implant*):ti,ab  | 438    |  |  |
|                                     | 23 | {or #15-#22}   | 29540  |  |  |
|                                     | 24 | [mh ^Brachytherapy]  | 669    |  |  |
|                                     | 25 | brachytherap*:ti,ab  | 1617   |  |  |
|                                     | 26 | (hyperfraction* or "hyper fraction*" or hypofraction* or "hypo<br>fraction*"):ti,ab  | 1386   |  |  |
|                                     | 27 | ((optim* or fraction* or respons* or relation* or dependence* or effect*<br>or scheme* or curve*) NEAR/4 (dose* or dosage or schedule*)):ti,ab   | 48993  |  |  |
|                                     | 28 | ((high* or full* or maximum* or larg* or escalat* or supplement* or low*<br>or minimum* or small*) NEAR/4 (dose* or dosage* or schedule*)):ti,ab | 86887  |  |  |
|                                     | 29 | (HDR or LDR):ti,ab   | 423    |  |  |
|                                     | 30 | {or #24-#29}   | 117871 |  |  |
|                                     | 31 | #23 and #30  | 6067   |  |  |
|                                     | 32 | #10 or #14 or #31  | 13669  |  |  |
|                                     | 33 | [mh ^"High-Intensity Focused Ultrasound Ablation"] or [mh<br>^"Ultrasound, High-Intensity Focused, Transrectal"]                                 | 65     |  |  |
|                                     | 34 | ((ultrasonograp* or ultrasound) NEAR/2 ("high intensity")):ti,ab   | 184    |  |  |
|                                     | 35 | HIFU:ti,ab   | 162    |  |  |
|                                     | 36 | [mh ^"Catheter Ablation"]  | 1387   |  |  |
| ntervention terms                   | 37 | ("ablati* therapy"):ti,ab  | 1      |  |  |
| not in NICE<br>guidelines SLR) (Q4) | 38 | ("radiofrequency ablation*" or "radio frequency ablation*" or "catheter<br>ablation*" or rfta or RFA):ti,ab                                      | 3007   |  |  |
|                                     |    | (thermoablation* or "thermo ablation" or "thermo destruc*" or "thermal   | 270    |  |  |
|                                     | 39 | destruc*" or "thermo coag*" or "thermal coag*" or electrocoag* or<br>"transvenous ablation*"):ti,ab  | 210    |  |  |
|                                     | 40 | [mh ^"Antineoplastic agents"] or [mh ^"Androgen antagonists"]  | 7271   |  |  |
|                                     | 40 | Inni Anuneoplasuo agents Joi Inni Anunogen antagonists J   | 1211   |  |  |

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| Term group | #  | Search terms  | Results |
|------------|----|---|---------|
|            | 41 | antiandrogen*:ti,ab   | 796     |
|            | 42 | ((androgen* or hormon*) NEAR/3 (ablat* or block* or withdraw* or<br>depriv* or suppress*)):ti,ab  | 2902    |
|            | 43 | ("gonadotrophin releasing hormone analogue*" or GRHA or "luteini*ing hormone-releasing hormone" or LHRH):ti,ab  | 886     |
|            | 44 | [mh ^Goserelin] or [mh ^Cyproterone] or [mh ^Estrogens] or [mh ^Leuprolide] or [mh ^Flutamide] or [mh ^Diethylstilbestrol] or [mh ^Progestins] or [mh ^Finasteride]   | 3703    |
|            | 45 | (Goserelin or Cyproterone or Leuprolide or Leuprorelin or Flutamide or<br>Diethylstilbestrol or Progestin* or Gestagen or Finasteride or<br>bicalutamide or oestrogen* or estrogen* or leuprorelin or enantone or<br>"a-43818" or lupron or "tap-144" or niftolid* or zoladex or eulexin or<br>casodex or nilutamide or nilandrone or megestrol or proscar):ti,ab | 15384   |
|            | 46 | {or #33-#45}  | 29332   |
|            | 47 | #6 and #32 with Cochrane Library publication date Between Jan 2018<br>and Dec 2019, in Cochrane Reviews   | 3       |
| Combined   | 48 | #6 and #32 with Publication Year from 2018 to 2019, in Trials   | 161     |
| Combined   | 49 | #6 and #46 with Cochrane Library publication date Between Jan 2014 and Dec 2019, in Cochrane Reviews  | 3       |
|            | 50 | #6 and #46 with Publication Year from 2014 to 2019, in Trials   | 458     |
| Total      | 51 | #47 or #48 or #49 or #50 in Cochrane Reviews, Trials  | 579     |

## Table 33. Search strategy for Database of Abstracts of Reviews of Effects (Searched via the Centre for Reviews and Dissemination website; Questions 1–4)

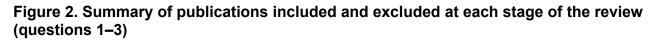
| Term group               | # | Search terms  | Results |
|--------------------------|---|---|---------|
|                          | 1 | MeSH DESCRIPTOR Prostatic Neoplasms EXPLODE ALL TREES   | 709     |
| Prostate cancer<br>terms | 2 | ((prostat* NEAR4 (neoplas* or cancer* or carcinoma* or<br>adenocarcinom* or tumour* or tumor* or malignan* or metasta* or<br>angiosarcoma* or sarcoma* or teratoma* or lymphoma* or blastoma*<br>or microcytic* or carcino* or leiomyosarcoma* or lump*)) or ((neoplas*<br>or cancer* or carcinoma* or adenocarcinom* or tumour* or tumor* or<br>malignan* or metasta* or angiosarcoma* or sarcoma* or teratoma* or<br>lymphoma* or blastoma* or microcytic* or carcino* or leiomyosarcoma*<br>or lump*) NEAR4 prostat*)) | 909     |
|                          | 3 | (PIN)   | 32      |
|                          | 4 | (#1 or #2 or #3) IN DARE FROM 2014 TO 2019  | 103     |

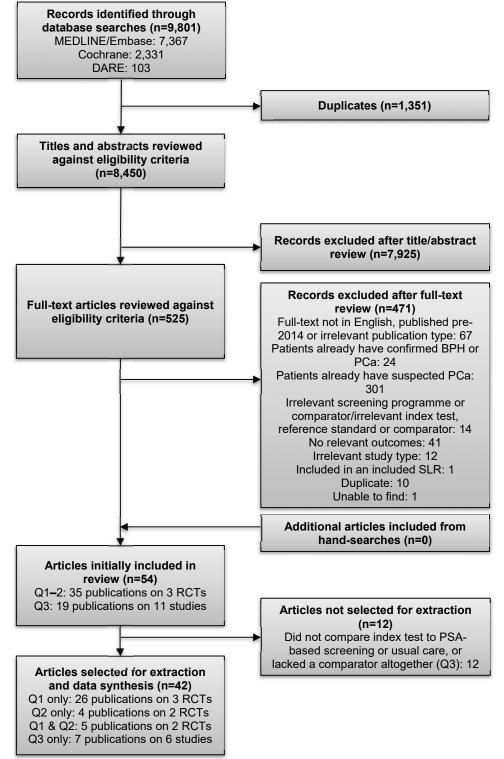
Results were imported into EndNote and de-duplicated.

## Appendix 2 — Included and excluded studies

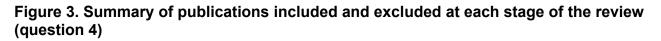
### **PRISMA** flowcharts

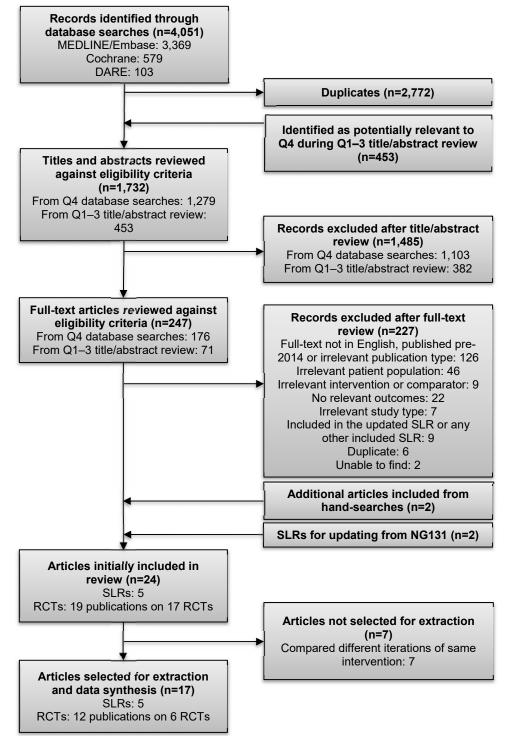
Figure 2 and Figure 3 summarise the volume of publications included and excluded at each stage of the review for questions 1–3 and question 4, respectively. For questions 1–3, a total of 54 publications were ultimately judged to be relevant to one or more review questions and were considered for extraction, 42 of which were ultimately extracted and synthesised. For question 4, a total of 24 publications were ultimately judged to be relevant to the review question and were considered for extraction, 17 of which were ultimately extracted and synthesised. Publications that were included or excluded after the review of full-text articles are detailed below.





**Abbreviations:** BPH, benign prostatic hyperplasia; DARE, Database of Abstracts of Reviews of Effects; PCa, prostate cancer; PSA, prostate-specific antigen; Q1/2/3, questions 1/2/3; RCT, randomised controlled trial; SLR, systematic literature review.





**Abbreviations**: DARE, Database of Abstracts of Reviews of Effects; NG131, National Institute for Health and Care Excellence (NICE) guidance document 131; Q1/2/3/4, questions 1/2/3/4; RCT, randomised controlled trial; SLR, systematic literature review.

#### Publications included after review of full-text articles

The 54 publications included after review of full-texts for questions 1–3 are summarised in Table 34 and the 24 publications included after review of full-texts for question 4 are summarised in Table 35 below. Studies were prioritised for extraction and data synthesis. The following criteria were applied after assessing the overall volume of evidence identified in the review:

- 1. For questions 1 and 2, all included studies were extracted.
- 2. For question 3, an included study was extracted if it compared a relevant index test to PSAbased screening alone or usual care, whereas studies with no comparator or another comparator (e.g. a study comparing 2 nomograms) were deprioritised and not extracted.
- 3. For question 4, an included study was extracted if it compared one relevant intervention to a different relevant intervention or to 'no treatment', whereas studies that compared different iterations of the same intervention (e.g. different drugs to achieve androgen deprivation or different approaches to performing prostatectomy) were deprioritised and not extracted.

Publications not selected for extraction and data synthesis are clearly detailed in Table 34 and Table 35 below.

| Study                           | Question | Intervention (Q1–2), index test (Q3)<br>or reason for deprioritisation | Study name |
|---------------------------------|----------|--|------------|
|                                 |          | STUDIES SELECTED FOR EXTRACTION  |            |
| Schroder 2014 <sup>70</sup>     | Q1       | PSA-based screening vs usual care                                      | ERSPC      |
| Buzzoni 2015 <sup>126</sup>     | Q1       | PSA-based screening vs usual care                                      | ERSPC      |
| Carlsson 2019 <sup>127</sup>    | Q1       | PSA-based screening vs usual care                                      | ERSPC      |
| Hakama 2017 <sup>128</sup>      | Q1       | PSA-based screening vs usual care                                      | ERSPC      |
| Walter 2017 <sup>129</sup>      | Q1       | PSA-based screening vs usual care                                      | ERSPC      |
| Hugosson 2018                   | Q1       | PSA-based screening vs usual care                                      | ERSPC      |
| Bokhorst 2014 <sup>11</sup>     | Q1       | PSA-based screening vs usual care                                      | ERSPC      |
| Bokhorst 2015 <sup>132</sup>    | Q1       | PSA-based screening vs usual care                                      | ERSPC      |
| Neupane 2018 <sup>133</sup>     | Q1       | PSA-based screening vs usual care                                      | ERSPC      |
| Kilpelainen 2015 <sup>134</sup> | Q1       | PSA-based screening vs usual care                                      | ERSPC      |
| Kilpelainen 2017 <sup>14</sup>  | Q1       | PSA-based screening vs usual care                                      | ERSPC      |
| Lindberg 2019 <sup>136</sup>    | Q1       | PSA-based screening vs usual care                                      | ERSPC      |
| Pakarainen 2016 <sup>137</sup>  | Q1       | PSA-based screening vs usual care                                      | ERSPC      |
| Pakarainen 2019 <sup>138</sup>  | Q1       | PSA-based screening vs usual care                                      | ERSPC      |
| Luján 2015                      | Q1       | PSA-based screening vs usual care                                      | ERSPC      |
| Luján 2014 <sup>140</sup>       | Q1       | PSA-based screening vs usual care                                      | ERSPC      |
| Pinsky 2019 <sup>13</sup>       | Q1       | PSA-based screening vs usual care                                      | PLCO       |
| Pinsky 2019                     | Q1       | PSA-based screening vs usual care                                      | PLCO       |
| Pinsky 2019 <sup>142</sup>      | Q1       | PSA-based screening vs usual care                                      | PLCO       |

## Table 34. Summary of publications included after review of full-text articles for questions 1– 3

| Official                               | 0        | Intervention (Q1–2), index test (Q3)                  | Otrada a succ                          |
|--|----------|---|--|
| Study                                  | Question | or reason for deprioritisation                        | Study name                             |
| Pinsky 2017 <sup>143</sup>             | Q1       | PSA-based screening vs usual care                     | PLCO                                   |
| Lewicki 2017 <sup>144</sup>            | Q1       | PSA-based screening vs usual care                     | PLCO                                   |
| Shoag 2016 <sup>145</sup>              | Q1       | PSA-based screening vs usual care                     | PLCO                                   |
| Prorok 2018 <sup>18</sup>              | Q1       | PSA-based screening vs usual care                     | PLCO                                   |
| Kelly 2017 <sup>146</sup>              | Q1       | PSA-based screening vs usual care                     | PLCO                                   |
| Martin 2018                            | Q1       | PSA-based screening vs usual care                     | CAP                                    |
| Tsodikov 2017 <sup>147</sup>           | Q1       | PSA-based screening vs usual care                     | ERSPC and PLCO                         |
| Chiu 2017                              | Q2       | PSA-based screening vs usual care                     | ERSPC                                  |
| Pashayan 2015 <sup>19</sup>            | Q2       | PSA-based screening vs usual care                     | ERSPC                                  |
| Booth 2014 <sup>22</sup>               | Q2       | PSA-based screening vs usual care                     | ERSPC                                  |
| Miller 2018 <sup>17</sup>              | Q2       | PSA-based screening vs usual care                     | PLCO                                   |
| Auvinen 2016 <sup>125</sup>            | Q1 & Q2  | PSA-based screening vs usual care                     | ERSPC                                  |
| Hugosson 2019                          | Q1 & Q2  | PSA-based screening vs usual care                     | ERSPC                                  |
| Arnsrud Godtman<br>2015 <sup>130</sup> | Q1 & Q2  | PSA-based screening vs usual care                     | ERSPC                                  |
| Kilpelainen 2016                       | Q1 & Q2  | PSA-based screening vs usual care                     | ERSPC                                  |
| Pinsky 2014 <sup>20</sup>              | Q1 & Q2  | PSA-based screening vs usual care                     | PLCO                                   |
| Grenabo Bergdahl<br>2016 <sup>24</sup> | Q3       | PSA with MRI  | Göteborg                               |
| Halpern 2017 <sup>157</sup>            | Q3       | DRE   | PLCO                                   |
| Rubio-Briones 2014 <sup>25</sup>       | Q3       | PSA with DRE and PCA3                                 | NR                                     |
| Ankerst 2016 <sup>158</sup>            | Q3       | Percent-free PSA                                      | SABOR                                  |
| Gronberg 2015                          | Q3       | STHLM3 predictive model                               | STHLM3                                 |
| Strom 2018 <sup>27</sup>               | Q3       | STHLM3 predictive model                               | STHLM3                                 |
| Nam 2016 <sup>26</sup>                 | Q3       | MRI   | NR                                     |
|  | ST       | TUDIES NOT SELECTED FOR EXTRACTION                    |  |
| Ankerst 2014 <sup>159</sup>            | Q3       | Does not compare index test to PSA-based<br>screening | PBCG, SABOR and EDRN                   |
| Ankerst 2019 <sup>160</sup>            | Q3       | Does not compare index test to PSA-based screening    | Michigan cohort with PCPTRC            |
| Ankerst 2014 <sup>161</sup>            | Q3       | Does not compare index test to PSA-based<br>screening | Prostate Biopsy Collaborative<br>Group |
| Ankerst 2018 <sup>162</sup>            | Q3       | Does not compare index test to PSA-based screening    | European and North American cohorts    |
| Kim 2017 <sup>163</sup>                | Q3       | Does not compare index test to PSA-based<br>screening | PLCO                                   |
| Shoaibi 2017 <sup>164</sup>            | Q3       | Does not compare index test to PSA-based screening    | PLCO                                   |
| Roobol 2017 <sup>165</sup>             | Q3       | Does not compare index test to PSA-based<br>screening | ERSPC, RC3                             |
| Vedder 2014 <sup>166</sup>             | Q3       | Does not compare index test to PSA-based screening    | ERSPC, RC3                             |
| Verbeek 2019 <sup>167</sup>            | Q3       | Does not compare index test to PSA-based<br>screening | ERSPC, RC3                             |
| Verbeek 2019 <sup>168</sup>            | Q3       | Does not compare index test to PSA-based screening    | ERSPC, RC3                             |

| Study                             | Question | Intervention (Q1–2), index test (Q3)<br>or reason for deprioritisation | Study name |
|-----------------------------------|----------|--|------------|
| van der Leest 2019 <sup>169</sup> | Q3       | Does not compare index test to PSA-based screening                     | NR         |
| Nieboer 2015 <sup>204</sup>       | Q3       | Does not compare index test to PSA-based screening                     | NR         |

CAP, Cluster Randomized Trial of PSA Testing for Prostate Cancer; EDRN, Early Detection Research Network; ERSPC, European Randomised study of Screening for Prostate Cancer; NR, not reported; PBCG, Prostate Biopsy Collaborative Group; PCPTRC, Prostate Cancer Prevention Trial Risk Calculator; PLCO, Prostate, Lung, Colorectal and Ovarian (Cancer Screening Trial); RC3, risk calculator 3; SABOR, San Antonio Biomarkers Of Risk; STHLM3, Stockholm-3.

#### Table 35. Summary of publications included after review of full-text articles for question 4

| <u>Study</u>                     | Question | Treatment comparison   | Study nome   |
|----------------------------------|----------|--|--|
| Study                            | Question | or reason for deprioritisation   | Study name   |
|                                  |          | STUDIES SELECTED FOR EXTRACTION  |  |
| NG131 [C] 2019 <sup>113</sup>    | Q4       | Hypofractionated RT vs conventional RT   | NA (SLR)   |
| NG131 [G] 2019 <sup>160</sup>    | Q4       | Active surveillance vs RT vs radical<br>prostatectomy                                    | NA (SLR)   |
| Ng 2019 <sup>185</sup>           | Q4       | Prostatectomy and/or RT vs watchful<br>waiting/observation/active monitoring             | NA (SLR)   |
| Yin 2019 <sup>205</sup>          | Q4       | Moderate hypofractionated RT vs conventional<br>fractionated RT                          | NA (SLR)   |
| Chin 2017 <sup>186</sup>         | Q4       | Dose-escalated EBRT vs standard<br>brachytherapy   | NA (SLR)   |
| Bill-Axelson 2018 <sup>166</sup> | Q4       | Radical prostatectomy vs watchful waiting  | SPCG-4   |
| Lane 2016 <sup>193</sup>         | Q4       | Active monitoring vs surgery vs RT   | ProtecT  |
| Lane 2014 <sup>206</sup>         | Q4       | Active monitoring vs surgery vs RT   | ProtecT  |
| Royce 2017 <sup>191</sup>        | Q4       | RT + full ADT (leuprolide/goserelin and<br>flutamide) vs RT + partial ADT vs RT + no ADT | NR (NCT00116220)   |
| Sanford 2017 <sup>190</sup>      | Q4       | RT + full ADT (leuprolide/goserelin and<br>flutamide) vs RT + partial ADT vs RT + no ADT | NR (NCT00116220)   |
| McPartlin 2016 <sup>189</sup>    | Q4       | Bicalutamide + dose-escalated EBRT vs dose-<br>escalated EBRT alone                      | PMH 9907   |
| Bolla 2016 <sup>188</sup>        | Q4       | Androgen suppresion + RT vs RT alone   | EORTC 22991  |
| Hackman 2019 <sup>112</sup>      | Q4       | Radical prostatectomy + adjuvant RT vs radical<br>prostatectomy alone                    | FinnProstate and Finnish<br>Radiation Oncology Groups<br>(NCT02668718) |
| Voog 2016 <sup>176</sup>         | Q4       | RT + hormone therapy vs RT alone   | RTOG 9408  |
| Lennernas 2014 <sup>207</sup>    | Q4       | HDR brachytherapy + RT vs open surgery   | NR   |
| Hoffman 2018 <sup>208</sup>      | Q4       | Conventionally fractionated IMRT vs dose-<br>escalated hypofractionated IMRT             | NR   |
| Johansson 2018 <sup>209</sup>    | Q4       | Radical prostatectomy (with or without ADT) vs watchful waiting (with or without ADT)    | NR   |
|                                  | SI       | IUDIES NOT SELECTED FOR EXTRACTION   |  |

|                                   |          | Treatment comparison                                  |            |
|-----------------------------------|----------|---|------------|
| Study                             | Question | or reason for deprioritisation                        | Study name |
| Michalski 2018 <sup>195</sup>     | Q4       | Compares different iterations of same intervention    | RTOG 0126  |
| Morton 2017 <sup>196</sup>        | Q4       | Compares different iterations of same intervention    | NR         |
| Bratt 2019 <sup>197</sup>         | Q4       | Compares different iterations of same<br>intervention | SAMS       |
| Asimakopoulos 2019 <sup>198</sup> | Q4       | Compares different iterations of same intervention    | NR         |
| Yaxley 2016 <sup>199</sup>        | Q4       | Compares different iterations of same<br>intervention | NR         |
| Gaudet 2016 <sup>200</sup>        | Q4       | Compares different iterations of same intervention    | NR         |
| Zapatero 2015 <sup>201</sup>      | Q4       | Compares different iterations of same intervention    | AADLPC     |

AADLPC, Adjuvant Androgen Deprivation in Localized Prostate Cancer; ADT, androgen deprivation therapy; EBRT, external beam radiation therapy; EORTC, European Organisation for Research and Treatment of Cancer; HDR, high dose-rate; IMRT, intensity-modulated radiation therapy; NA, not applicable; NR, not reported; PMH, Princess Margaret Hospital; ProtecT, Prostate Testing for Cancer and Treatment; RT, radiotherapy; RTOG, radiation therapy oncology group; SAMS, Study of Active Monitoring in Sweden; SLR, systematic literature review; SPCG-4, Scandinavian Prostate Cancer Group Study Number 4.

#### Table 36. Unavailable publications not reviewed for eligibility at full-text stage

| Question(s) | Reference   |
|-------------|---|
| 1–3         | Pabame HK, Simo RT, Kamdje AHN, et al. Interests in the use of rapid prostate antigen screening test in the North-Cameroon. Journal of Analytical Oncology 2018;7:43-46.            |
| 4           | Isrctn. Partial prostate Ablation versus Radical prosTatectomy. Http://www.who.int/trialsearch/trial2.aspx?<br>Trialid=isrctn99760303 2014.   |
| 4           | Jprn U. Study of the usefulness of neoadjuvant chemo-hormone therapy for high-risk prostate cancer.<br>Http://www.who.int/trialsearch/trial2.aspx? Trialid=jprn-umin000030346 2017. |

### Publications excluded after review of full-text articles

Of the 772 publications included after the review of titles and abstracts (525 for questions 1-3 and 247 for question 4), 16 were found to be duplicates at the full-text review stage (10 for questions 1-3 and 6 for question 4), while 3 full-texts could not be found (1 for questions 1-3 and 2 for question 4; see Table 36 above). Of the remaining 753 publications, 679 were ultimately judged not to be relevant to this review (460 for questions 1-3 and 219 for question 4). These publications, along with reasons for exclusion, are listed in Table 37 and Table 38 below.

## Table 37. Publications excluded after review of full-text articles for questions 1–3

| Reference   | Reason for exclusion   |
|---|--|
| Abd-Alazeez M, Ahmed HU, Arya M, et al. Can multiparametric magnetic resonance imaging predict upgrading of transrectal ultrasound biopsy results at more definitive histology? Urologic Oncology: Seminars and Original Investigations 2014;32:741-747.  | Patients already have<br>suspected PCa   |
| Abraham NE, Mendhiratta N, Taneja SS. Patterns of repeat prostate biopsy in contemporary clinical practice. Journal of Urology 2015;193:1178-1184.  | Patients already have<br>suspected PCa   |
| Adhyatma KP, Warli SM. Diagnostic value of platelet-to-lymphocyte ratio in prostate cancer.<br>Open Access Macedonian Journal of Medical Sciences 2019;7:1093-1096.   | Patients already have<br>suspected PCa   |
| Adhyatma KP, Prapiska FF, Siregar GP, et al. Systemic inflammatory response in predicting prostate cancer: The diagnostic value of neutrophil-To-Lymphocyte Ratio. Open Access Macedonian Journal of Medical Sciences 2019;7:1628-1630.   | Patients already have<br>suspected PCa   |
| Akizhanova M, Iskakova EE, Kim V, et al. PSA and Prostate Health Index based prostate cancer screening in a hereditary migration complicated population: Implications in precision diagnosis. Journal of Cancer 2017;8:1223-1228.   | Patients already have<br>suspected PCa   |
| Alberts AR, Roobol MJ, Verbeek JFM, et al. Prediction of High-grade Prostate Cancer<br>Following Multiparametric Magnetic Resonance Imaging: Improving the Rotterdam European<br>Randomized Study of Screening for Prostate Cancer Risk Calculators. European Urology<br>2019;75:310-318.   | Patients already have<br>suspected PCa   |
| Alberts AR, Schoots IG, Bokhorst LP, et al. Characteristics of Prostate Cancer Found at Fifth<br>Screening in the European Randomized Study of Screening for Prostate Cancer Rotterdam:<br>Can We Selectively Detect High-grade Prostate Cancer with Upfront Multivariable Risk<br>Stratification and Magnetic Resonance Imaging? European Urology 2018;73:353-360. | Irrelevant screening<br>programme or<br>comparator/irrelevant index<br>test, reference standard or<br>comparator |
| Alberts AR, Schoots IG, Bokhorst LP, et al. Risk-based Patient Selection for Magnetic Resonance Imaging-targeted Prostate Biopsy after Negative Transrectal Ultrasound-guided Random Biopsy Avoids Unnecessary Magnetic Resonance Imaging Scans. European Urology 2016;69:1129-1134.  | Patients already have<br>suspected PCa   |
| Aliukonis P, Letauta T, Briediene R, et al. The role of different PI-RADS versions in prostate multiparametric magnetic resonance tomography assessment. Acta Medica Lituanica 2017;24:44-50.   | Patients already have<br>suspected PCa   |
| Amini E, Pishgar F, Ayati M, et al. Transition Zone Prostate-specific Antigen Density Could<br>Better Guide the Rebiopsy Strategy in Men With Prostate Inflammation at Initial Biopsy.<br>Urology 2015;86:985-90.   | Patients already have<br>suspected PCa   |
| Aminsharifi A, Howard L, Wu Y, et al. Prostate Specific Antigen Density as a Predictor of<br>Clinically Significant Prostate Cancer When the Prostate Specific Antigen is in the Diagnostic<br>Gray Zone: Defining the Optimum Cutoff Point Stratified by Race and Body Mass Index.<br>Journal of Urology 2018;200:758-766.   | Patients already have<br>suspected PCa   |
| An JY, Sidana A, Holzman SA, et al. Ruling out clinically significant prostate cancer with negative multi-parametric MRI. International Urology and Nephrology 2018;50:7-12.  | Patients already have<br>suspected PCa   |
| Anastasiadis E, Charman SC, Arumainayagam N, et al. What Burden of Prostate Cancer Can<br>Radiologists Rule Out on Multiparametric Magnetic Resonance Imaging? A Sensitivity Analysis<br>Based on Varying the Target Condition in Template Prostate Mapping Biopsies. Urology<br>2015;86:544-551.   | Patients already have<br>suspected PCa   |
| Anonymous. Correction: Absolute Effect of Prostate Cancer Screening: Balance of Benefits and Harms by Center within the European Randomized Study of Prostate Cancer Screening. Clinical Cancer Research 2016;22:3702.  | Full-text not in English,<br>published pre-2014 or<br>irrelevant publication type                                |
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| prostate-specific antigen (PSA) in Chinese men with serum PSA levels of 4.0-10.0 ng/ml. PLoS         suspected PCa           ONE 2015;10(6) (no pagnianton).         Patients already have         suspected PCa           Chen R, Xie L, Cai X, et al. Percent free prostate-specific antigen for prostate Cancer         Patients already have           Chen R, Xie L, We W, et al. Development and external multicenter validation of Chinese         Patients already have           Prostate Cancer Consortium prostate cancer risk calculator for initial prostate biopsy. Unclogic         Patients already have           Oncology, Seminars and Original Investigation S2016;34:416:61-416:67.         Patients already have           Prostate Cancer Consortium Prostate Cancer Differentiation and Aggressiveness: Assessment         Patients already have           Supportate Cancer detection: A prospective cohord in a Tertary Medical Center. Journal of the Prostate Cancer Prostate Cancer in a Cohord of 3073 men undergoing initial prostate biopsy. Journal of Urology 2014;191:1743-1748.         Patients already have           Chen Y, EL M, Wi Waiter P, et al. Urinary PCA3 as a precitor of prostate cancer in a cohord of 3073 men undergoing initial prostate biopsy. Journal of Urology 2014;191:1743-1748.         Patients already have           Chui PK, Rocho JM, Too HY, et al. Prostate cancer in the Chinese population and therole of the prostate cancer in a cohord of 3073 men undergoing initial prostate biopsy. Journal of Urology 2014;73:47:43.         Patients already have           Support Prostate Cancer Chinoby, et al. Noticenter Evaluation of the Role of the Pro  |   |  |
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| Cheng YT, Chiang CH, Pu YS, et al. The application of p2PSA% and prostate health index in prostate concer detection. A prospective cohort in a Tertiary Medical Center. Journal of the Suspected PCa       Patients already have suspected PCa         Chevit KK, Duff M, Walter P, et al. Urinary PCA3 as a predictor of prostate cancer in a cohort of prostate cancer in the Chinese population and the role of digital rectal examination-estimated prostate value. International Urology 2014;191:1743-1748.       Patients already have suspected PCa         Chu PKF, Roobol MJ, Teoh JY, et al. Prostate health index (PHI) and prostate-specific antigon and the role of digital rectal examination-estimated prostate concer in the Chinese population and the role of digital rectal examination estimated prostate value. International Urology and Nephrology 2014;51:633-611.       Patients already have suspected PCa         Chu PK, Roobol MJ, Nieboer D, et al. Adaptation and external validation of the European randomised study of screening for prostate cancer risk calculator for the Chinese population.       Patients already have suspected PCa         Chiu PK, Roobol MJ, Nieboer D, et al. Adaptation and external validation of the European randomised study of screening for prostate cancer risk calculator for the Chinese population.       Patients already have suspected PCa         Chiu PK, Tooh JY, Chan SY, et al. Extended use of Prostate Health Index 20 ng/mL and suspected PCa       Patients already have suspected PCa         Chu PK, Tanseco PP, Mendoza JS, et al. Configuration and validation of a novel prostate cancer in a cohord with the risk of prostate cancer on prostate biopsy. Unorgy 2016;57:336-42.       Patients already have suspected PCa       Patients already have suspected  | Chen T, Li M, Gu Y, et al. Prostate Cancer Differentiation and Aggressiveness: Assessment<br>With a Radiomic-Based Model vs. PI-RADS v2. Journal of Magnetic Resonance Imaging  | -  |
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| Services Task Force Recommendation Statement. JAMA 2018;319:1901-1913.         published pre-2014 or irrelevant publication type           Frantund M. Annsrud Godman R. Carlsson SV, et al. Prostate cancer risk assessment in men with an initial PS A, below Andm. Tesulis from the Goteborg randomized population.         No relevant outcomes           Franzi M. Gomez Gomez E, Biance Pardergosa A, et al. CE-MS-based unnary biomarkers to distinguish non-significant from significant prostate cancer. British Journal of Cancer         Patients already have suspected PCa           Priedersdoff, F. Manus P. Miller K, et al. Serum testosterone improves the accuracy of Prostate fragents in detection of prostate cancer. Clinical Biochemistry 2014;47:916-20.         Patients already have suspected PCa           Pried A, Stang K, Bauer W, et al. Prostate-specific Anigen Parameters and Prostate Health Index for the detection of prostate cancer. Clinical Biochemistry 2014;47:916-20.         Patients already have suspected PCa           Puturya K, Kawahara T, Narahara M, et al. Measurement of serum isoform [-2]proPSA         Patients already have suspected PCa           Prostate cancer in patients with a total prostate-specific Anigen analysis of dynamic contrast enhanced imaging. 2016;34:380-454.         Patients already have suspected PCa           Prostate Cancer Treatment and Research Communications 2017;10:40-45.         Patients already have suspected PCa           Carlota A, Giannarin G, Laurini L, et al. Clinical validation of the typ involving 426         Patients already have suspected PCa           Patients already have suspected PCa         Suspected PCa         Suspec   | Prostate Cancer (ERSPC) risk calculators significantly outperform the Prostate Cancer<br>Prevention Trial (PCPT) 2.0 in the prediction of prostate cancer: a multi-institutional study. BJU<br>International 2016;118:706-713.  |                       |
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| Voo S, Suh CH, Eastham JA, et al. Comparison of Magnetic Resonance Imaging-stratified<br>Clinical Pathways and Systematic Transrectal Ultrasound-guided Biopsy Pathway for the<br>Detection of Clinically Significant Prostate Cancer: A Systematic Review and Meta-analysis of<br>Clandomized Controlled Trials. European urology oncology. 2019;13. | Patients already have<br>suspected PCa   |
| Voo S, Suh CH, Kim SY, et al. Head-To-Head Comparison Between High- and Standard-b-<br>alue DWI for Detecting Prostate Cancer: A Systematic Review and Meta-Analysis. AJR.<br>merican Journal of Roentgenology 2018;210:91-100.   | Patients already have<br>confirmed BPH or PCa  |
| Vu YS, Fu XJ, Na R, et al. Phi-based risk calculators performed better in the prediction of rostate cancer in the Chinese population. Asian journal of andrology. 2019;22.  | Patients already have<br>suspected PCa   |
| Vu YS, Wu XB, Zhang N, et al. Evaluation of PSA-age volume score in predicting prostate ancer in Chinese population. Asian Journal of Andrology 2018;20:324-329.  | Patients already have<br>suspected PCa   |
| Vulaningsih W, Astuti Y, Matsuguchi T, et al. Circulating Prostate-Specific Antigen and<br>elomere Length in a Nationally Representative Sample of Men Without History of Prostate<br>Cancer. Prostate 2017;77:22-32.   | No relevant outcomes   |
| Kie SW, Dong BJ, Xia JG, et al. The utility and limitations of contrast-enhanced transrectal<br>Itrasound scanning for the detection of prostate cancer in different area of prostate. Clinical<br>Hemorheology and Microcirculation 2018;70:281-290.   | Patients already have<br>suspected PCa   |

| Reference   | Reason for exclusion  |
|---|---|
| Xu G, Feng L, Yao M, et al. A new 5-grading score in the diagnosis of prostate cancer with real-time elastography. International journal of clinical and experimental pathology 2014;7:4128-4135.   | Patients already have<br>suspected PCa  |
| Xu N, Wu YP, Chen DN, et al. Can Prostate Imaging Reporting and Data System Version 2 reduce unnecessary prostate biopsies in men with PSA levels of 4-10 ng/ml? Journal of Cancer Research and Clinical Oncology 2018;144:987-995.   | Patients already have<br>suspected PCa  |
| Xue WJ, Ying XL, Jiang JH, et al. Prostate cancer antigen 3 as a biomarker in the urine for prostate cancer diagnosis: A meta-analysis. Journal of Cancer Research and Therapeutics 2014;10:C218-C221.  | Full-text not in English,<br>published pre-2014 or<br>irrelevant publication type |
| Yanai Y, Kosaka T, Hongo H, et al. Evaluation of prostate-specific antigen density in the diagnosis of prostate cancer combined with magnetic resonance imaging before biopsy in men aged 70 years and older with elevated PSA. Molecular and Clinical Oncology 2018;9:656-660.   | Patients already have<br>suspected PCa  |
| Yao YH, Wang H, Li BG, et al. Evaluation of the TMPRSS2: ERG fusion for the detection of prostate cancer: a systematic review and meta-analysis. Tumor Biology 2014;35:2157-2166.   | Full-text not in English,<br>published pre-2014 or<br>irrelevant publication type |
| Yeboah FA, Acheampong E, Gyasi-Sarpong CK, et al. Nomogram for predicting the probability of the positive outcome of prostate biopsies among Ghanaian men. African Journal of Urology 2018;24:45-53.  | Patients already have suspected PCa   |
| Yerramilli D, Walsh E, Turner E, et al. Cancer-related morbidity at the end of life in men with prostate cancer. Journal of clinical oncology 2018;36.  | Full-text not in English,<br>published pre-2014 or<br>irrelevant publication type |
| Yilmaz H, Ciftci S, Yavuz U, et al. Percentage of free prostate-specific antigen (PSA) is a useful method in deciding to perform prostate biopsy with higher core numbers in patients with low PSA cut-off values. Kaohsiung Journal of Medical Sciences 2015;31:315-319.         | Patients already have suspected PCa   |
| Yoneyama T, Tobisawa Y, Kaneko T, et al. Clinical significance of the LacdiNAc-glycosylated prostate-specific antigen assay for prostate cancer detection. Cancer Science 2019;110:2573-2589.   | Patients already have<br>suspected PCa  |
| Yong L, Xin G, Peng H, et al. Prostate cancer antigen 3 test for prostate biopsy decision: a systematic review and meta analysis. Chinese Medical Journal 2014;127:1768-1774.   | Full-text not in English,<br>published pre-2014 or<br>irrelevant publication type |
| Yoshida R, Yoshizako T, Katsube T, et al. Computed diffusion-weighted imaging using 1.5-T magnetic resonance imaging for prostate cancer diagnosis. Clinical Imaging 2017;41:78-82. Yu GP, Na R, Ye DW, et al. Performance of the Prostate Health Index in predicting prostate    | Patients already have<br>confirmed BPH or PCa<br>Patients already have            |
| biopsy outcomes among men with a negative digital rectal examination and transrectal<br>ultrasonography. Asian Journal of Andrology 2016;18:633-638.<br>Yuri P, Wangge G, Abshari F, et al. Indonesian prostate cancer risk calculator (IPCRC): an                                | suspected PCa<br>Patients already have  |
| application for predicting prostate cancer risk (a multicenter study). Acta medica Indonesiana 2015;47:95-103.  | confirmed BPH or PCa  |
| Zambon JP, Almeida FG, Conceicao RD, et al. Prostate-specific antigen testing in men<br>between 40 and 70 years in Brazil: database from a check-up program. International braz j urol<br>: official journal of the Brazilian Society of Urology 2014;40:745-752.                 | Patients already have<br>suspected PCa  |
| Zhang L, Chang H, Strauss GM. PSA (Prostate-Specific-Antigen) screening to improve outcome in prostate cancer (PC): reanalysis of the Prostate-Lung-Colorectal- Ovary (PLCO) randomized controlled trial (RCT). Journal of clinical oncology 2018;36.                             | Full-text not in English,<br>published pre-2014 or<br>irrelevant publication type |
| Zhang X, Li G, Hu L, et al. Resistive index of prostatic capsular arteries as a predictor of prostate cancer in patients undergoing initial prostate biopsy. Medical Oncology 2014;31:297. Zhang X, Quan X, Lu S, et al. The clinical value of dynamic contrast-enhanced magnetic | Patients already have<br>suspected PCa<br>Patients already have                   |
| resonance imaging at 3.0T to detect prostate cancer. Journal of International Medical<br>Research 2014;42:1077-84.<br>Zheng Y, Huang Y, Cheng G, et al. Developing a new score system for patients with PSA   | suspected PCa<br>Patients already have  |
| ranging from 4 to 20 ng/mL to improve the accuracy of PCa detection. Springerplus 2016;5:1484.  | suspected PCa   |
| Zhu Y, Han CT, Zhang GM, et al. Development and external validation of a prostate health index-based nomogram for predicting prostate cancer. Scientific reports 2015;5:15341.  | Patients already have<br>suspected PCa  |

# Table 38. Publications excluded after review of full-text articles for question 4

| Reference  | Reason for exclusion  |
|--|---|
| Actrn. Randomised phase 3 trial of enzalutamide in androgen deprivation therapy with radiation therapy for high risk, clinically localised, prostate cancer.<br>Http://www.who.int/trialsearch/trial2.aspx? Trialid=actrn12614000126617 2014.  | Full-text not in English,<br>published pre-2014 or<br>irrelevant publication type |
| Actrn. Randomised Study Assessing Urinary Continence following Robotic Radical   | Full-text not in English,   |
| Prostatectomy with or without an intraoperative 'RoboSling'.<br>http://www.who.int/trialsearch/Trial2.aspx?TrialID=ACTRN12618002058257 2018.<br>Adam S, Feller A, Rohrmann S, et al. Health-related quality of life among long-term (>=5years)<br>prostate cancer survivors by primary intervention: A systematic review. Health and Quality of<br>Life Outcomes 2018;16 (1) (no pagination).  | published pre-2014 or<br>irrelevant publication type<br>Irrelevant study type     |
| Ahlberg MS, Adami HO, Beckmann K, et al. PCASTt/SPCG-17-A randomised trial of active   | Full-text not in English,   |
| surveillance in prostate cancer: Rationale and design. BMJ Open 2019;9 (8) (no pagination).  | published pre-2014 or<br>irrelevant publication type                              |
| Alder R, Zetner D, Rosenberg J. Incidence of inguinal hernia after radical prostatectomy: a systematic review and meta-analysis. The Journal of urology 2019:101097JU00000000000313.   | Full-text not in English,<br>published pre-2014 or<br>irrelevant publication type |
| Aluwini S, Pos F, Schimmel E, et al. Hypofractionated versus conventionally fractionated radiotherapy for patients with prostate cancer (HYPRO): acute toxicity results from a randomised non-inferiority phase 3 trial. The lancet. Oncology 2015;16:274-283.   | Irrelevant patient population   |
| Aluwini S, Pos F, Schimmel E, et al. Hypofractionated versus conventionally fractionated radiotherapy for patients with prostate cancer (HYPRO): late toxicity results from a randomised, non-inferiority, phase 3 trial. The Lancet Oncology 2016;17:464-474.   | Irrelevant patient population   |
| Amin MB, Lin DW, Gore JL, et al. The critical role of the pathologist in determining eligibility for active surveillance as a management option in patients with prostate cancer: consensus statement with recommendations supported by the College of American Pathologists, International Society of Urological Pathology, Association of Directors of Anatomic and Surgical Pathology, the New Zealand Society of Pathologists, and the Prostate Cancer Foundation. Archives of pathology & laboratory medicine 2014;138:1387-1405. | Full-text not in English,<br>published pre-2014 or<br>irrelevant publication type |
| Arcangeli G, Arcangeli S, Pinzi V, et al. Optimal scheduling of hypofractionated radiotherapy for localized prostate cancer: A systematic review and metanalysis of randomized clinical trials. Cancer Treatment Reviews 2018;70:22-29.  | Full-text not in English,<br>published pre-2014 or<br>irrelevant publication type |
| Asimakopoulos AD, Topazio L, De Angelis M, et al. Retzius-sparing versus standard robot-<br>assisted radical prostatectomy: a prospective randomized comparison on immediate<br>continence rates. Surgical endoscopy 2018;(no pagination).   | Irrelevant intervention or comparator   |
| Bahl A, Challapalli A, Greenwood R, et al. Quality of life evaluation of the effect of decapeptyl compared with zoladex preradiotherapy: final results of randomised controlled trial. Journal of clinical oncology 2017;35.   | Full-text not in English,<br>published pre-2014 or<br>irrelevant publication type |
| Benelli A, Varca V, Rosso M, et al. 3D versus 2D laparoscopic radical prostatectomy for organ confined prostate cancer: our experience. Journal of clinical urology 2018.  | Irrelevant intervention or<br>comparator  |
| Bhattacharya IS, Taghavi Azar Sharabiani M, Alonzi R, et al. Hypoxia and angiogenic biomarkers in prostate cancer after external beam radiotherapy (EBRT) alone or combined with high-dose-rate brachytherapy boost (HDR-BTb). Radiotherapy and Oncology 2019;137:38-44.   | Irrelevant patient population   |
| Bill-Axelson A, Holmberg L, Garmo H, et al. Radical prostatectomy or watchful waiting in early prostate cancer. New England Journal of Medicine 2014;370:932-942.  | Included in the updated SLR or<br>any other included SLR                          |
| Bokhorst LP, Zappa M, Carlsson SV, et al. Correlation between stage shift and differences in mortality in the European Randomised study of Screening for Prostate Cancer (ERSPC). BJU International 2016;118:677-680.  | No relevant outcomes  |
| Bove P, lacovelli V, Celestino F, et al. 3D vs 2D laparoscopic radical prostatectomy in organ-<br>confined prostate cancer: comparison of operative data and pentafecta rates: a single cohort<br>study. BMC urology 2015;15:12.   | Irrelevant intervention or<br>comparator  |
| Carles J, Gallardo E, Domenech M, et al. Phase 2 Randomized Study of Radiation Therapy<br>and 3-Year Androgen Deprivation With or Without Concurrent Weekly Docetaxel in High-Risk<br>Localized Prostate Cancer Patients. International Journal of Radiation Oncology Biology<br>Physics 2019;103:344-352.   | Irrelevant patient population   |
| Carneiro A, Deeke Sasse A, Aurel Wagner A, et al. Cardiovascular events associated with<br>androgen deprivation therapy in patients with prostate cancer: a systematic review and meta-<br>analysis. World Journal of Urology 2014:epub.   | Full-text not in English,<br>published pre-2014 or<br>irrelevant publication type |

| Reference   | Reason for exclusion  |
|---|---|
| Carvalho IT, Baccaglini W, Claros OR, et al. Genitourinary and gastrointestinal toxicity among patients with localized prostate cancer treated with conventional versus moderately hypofractionated radiation therapy: systematic review and meta-analysis. Acta Oncologica 2018;57:1003-1010.  | Full-text not in English,<br>published pre-2014 or<br>irrelevant publication type |
| Catton CN, Lukka H, Gu CS, et al. Randomized trial of a hypofractionated radiation regimen for<br>the treatment of localized prostate cancer. Journal of Clinical Oncology 2017;35:1884-1890.<br>Chandra RA, Chen MH, Zhang D, et al. Age, Comorbidity, and the Risk of Prostate Cancer-<br>Specific Mortality in Men with Biopsy Gleason Score 4+3: Implications on Patient Selection for<br>Multiparametric MRI. Clinical Genitourinary Cancer 2015;13:400-405. | Included in the updated SLR or<br>any other included SLR<br>No relevant outcomes  |
| Chang K, Qin XJ, Zhang HL, et al. Comparison of two adjuvant hormone therapy regimens in patients with high-risk localized prostate cancer after radical prostatectomy: Primary results of study CU1005. Asian Journal of Andrology 2016;18:452-455.  | Irrelevant patient population   |
| Chen CH, Pu YS. Adjuvant androgen-deprivation therapy following prostate total cryoablation<br>in high-risk localized prostate cancer patients - Open-labeled randomized clinical trial.<br>Cryobiology 2018;82:88-92.  | Irrelevant patient population   |
| Cheng KKF, Lim EYT, Kanesvaran R. Quality of life of elderly patients with solid tumours undergoing adjuvant cancer therapy: A systematic review. BMJ Open 2018;8 (1) (no pagination).  | Full-text not in English,<br>published pre-2014 or<br>irrelevant publication type |
| Chi Cl. The efficiency and safety of transperitoneal versus extraperitoneal robotic-assisted laparoscopic radical prostatectomy for patients with prostate cancer: a single-center, prospective, randomized controlled trial. Http://www.who.int/trialsearch/trial2.aspx? Trialid=chictr-inr-17011299 2017.   | Full-text not in English,<br>published pre-2014 or<br>irrelevant publication type |
| Correction to High-dose radiotherapy with short-term or long-term androgen deprivation in localised prostate cancer (DART01/05 GICOR): a randomised, controlled, phase 3 trial. Lancet oncology 2015;16:e262.   | Full-text not in English,<br>published pre-2014 or<br>irrelevant publication type |
| Coughlin GD, Yaxley JW, Chambers SK, et al. Robot-assisted laparoscopic prostatectomy versus open radical retropubic prostatectomy: 24-month outcomes from a randomised controlled study. Lancet oncology 2018;19:1051-1060.  | Irrelevant patient population   |
| Crawford ED, Shore ND, Moul JW, et al. Long-term tolerability and efficacy of degarelix: 5-year results from a phase III extension trial with a 1-arm crossover from leuprolide to degarelix. Urology 2014;83:1122-1128.  | Irrelevant patient population   |
| Ctri. Prostate Radiotherapy In high risk and node positive disease comparing Moderate & Extreme hypofractionation. Http://www.who.int/trialsearch/trial2.aspx?<br>Trialid=ctri/2018/05/014054 2018.   | Full-text not in English,<br>published pre-2014 or<br>irrelevant publication type |
| Dal Moro F, Crestani A, Valotto C, et al. Anesthesiologic effects of transperitoneal versus extraperitoneal approach during robot-assisted radical prostatectomy: results of a prospective randomized study. International braz j urol 2015;41:466-472.   | Irrelevant intervention or<br>comparator  |
| D'Amico AV, Chen MH, Renshaw A, et al. Long-term Follow-up of a Randomized Trial of<br>Radiation With or Without Androgen Deprivation Therapy for Localized Prostate Cancer. JAMA<br>2015;314:1291-3.   | Full-text not in English,<br>published pre-2014 or<br>irrelevant publication type |
| De Carlo F, Celestino F, Verri C, et al. Retropubic, laparoscopic, and robot-assisted radical prostatectomy: surgical, oncological, and functional outcomes: a systematic review. Urologia Internationalis 2014;93:373-383.   | Full-text not in English,<br>published pre-2014 or<br>irrelevant publication type |
| Dearnaley D, Syndikus I, Mossop H, et al. Conventional versus hypofractionated high-dose intensity-modulated radiotherapy for prostate cancer: 5-year outcomes of the randomised, non-inferiority, phase 3 CHHiP trial. The Lancet Oncology 2016;17:1047-1060.  | Included in the updated SLR or any other included SLR                             |
| Dearnaley DP, Jovic G, Syndikus I, et al. Escalated-dose versus control-dose conformal radiotherapy for prostate cancer: Long-term results from the MRC RT01 randomised controlled trial. The Lancet Oncology 2014;15:464-473.  | Irrelevant patient population   |
| Demanes DJ, Ghilezan MI. High-dose-rate brachytherapy as monotherapy for prostate cancer.<br>Brachytherapy 2014;13:529-541.   | Full-text not in English,<br>published pre-2014 or<br>irrelevant publication type |
| Donis Canet F, Sanchez Gallego MD, Arias Funez F, et al. Cryotherapy versus high-intensity focused ultrasound for treating prostate cancer: Oncological and functional results. Actas Urologicas Espanolas 2017;14:14.  | Full-text not in English,<br>published pre-2014 or<br>irrelevant publication type |
| Donovan JL, Hamdy FC, Lane JA, et al. Patient-Reported Outcomes after Monitoring, Surgery, or Radiotherapy for Prostate Cancer. New England journal of medicine 2016;375:1425-1437.   | Included in the updated SLR or any other included SLR                             |

| Reference  | Reason for exclusion  |
|--|---|
| Dosani M, Morris J, Tyldesley S, et al. The relationship between hot flashes and testosterone recovery following 12 months of androgen suppression for men with localized prostate cancer in a randomized trial. International journal of radiation oncology 2016;96:S126  | Full-text not in English,<br>published pre-2014 or<br>irrelevant publication type |
| Dosani M, Morris WJ, Tyldesley S, et al. The Relationship between Hot Flashes and<br>Testosterone Recovery after 12 Months of Androgen Suppression for Men with Localised<br>Prostate Cancer in the ASCENDE-RT Trial. Clinical oncology 2017;29:696-701.   | Full-text not in English,<br>published pre-2014 or<br>irrelevant publication type |
| Drks. Prospective Randomized Study to Compare a Limited versus Extended Pelvine<br>Lymphadenectomy during Prostatectomy - AP 77/13 of AUO.<br>Http://www.who.int/trialsearch/trial2.aspx? Trialid=drks00012763 2017.   | Full-text not in English,<br>published pre-2014 or<br>irrelevant publication type |
| Drks. Randomized, multicenter study comparing robot-assisted and conventional laparoscopic radical prostatectomy. Http://www.who.int/trialsearch/trial2.aspx? Trialid=drks00007138 2014.   | Full-text not in English,<br>published pre-2014 or<br>irrelevant publication type |
| Dubray BM, Salleron J, Guerif SG, et al. Does short-term androgen depletion add to high dose radiotherapy (80 Gy) in localized intermediate risk prostate cancer? Final analysis of GETUG 14 randomized trial (EU-20503/ NCT00104741). Journal of clinical oncology 2016;34.   | Full-text not in English,<br>published pre-2014 or<br>irrelevant publication type |
| Eade T, Hruby G, Booth J, et al. Results of a Prospective Dose Escalation Study of Linear<br>Accelerator-Based Virtual Brachytherapy (BOOSTER) for Prostate Cancer; Virtual HDR<br>Brachytherapy for Prostate Cancer. Advances in Radiation Oncology. 2019.  | Irrelevant study type   |
| Efstathiou E, Davis JW, Pisters L, et al. Clinical and Biological Characterisation of Localised<br>High-risk Prostate Cancer: Results of a Randomised Preoperative Study of a Luteinising<br>Hormone-releasing Hormone Agonist with or Without Abiraterone Acetate plus Prednisone.<br>European Urology. 2019.   | Irrelevant patient population   |
| Efstathiou E, Davis JW, Titus MA, et al. Neoadjuvant enzalutamide (ENZA) and abiraterone acetate (AA) plus leuprolide acetate (LHRHa) versus AA+ LHRHa in localized high-risk prostate cancer (LHRPC). Journal of clinical oncology 2016;34.   | Full-text not in English,<br>published pre-2014 or<br>irrelevant publication type |
| Euctr FI. A study of efficacy and safety of CAM2032 q1m compared to Eligard® in patients with prostate cancer. Http://www.who.int/trialsearch/trial2.aspx? Trialid=euctr2014-001074-34-fi 2014.  | Full-text not in English,<br>published pre-2014 or<br>irrelevant publication type |
| Euctr FR. A phase II study in low risk prostate cancer patients to compare active surveillance with versus without an antiandrogenic treatment. Http://www.who.int/trialsearch/trial2.aspx? Trialid=euctr2016-001266-29-fr 2017.   | Full-text not in English,<br>published pre-2014 or<br>irrelevant publication type |
| Euctr GB. Research study that is testing a new treatment combination for localised prostate cancer that is going to be treated with radiotherapy. This study is looking at combining a new drug, enzalutamide, with the current best available treatments in order to improve outcomes for men in this situation. This is a randomised controlled trial which means that half the  | Full-text not in English,<br>published pre-2014 or<br>irrelevant publication type |
| participants on the trial will get enzalutamide and the other half will get current standard of care. Http://www.who.int/trialsearch/trial2.aspx? Trialid=euctr2014-003191-23-gb 2014.   |   |
| Euctr LT. Research study to determine whether an investigational product Liprocaî Depot single injected into the prostate is safe, tolerable and effective in treatment of localized prostate cancer for patients assigned to active surveillance and who are at high risk for disease progression – using a single blind and two-stage dose finding study design followed by an open label extension. http://www.who.int/trialsearch/Trial2.aspx?TrialID=EUCTR2016-002504-43-LT 2018. | Full-text not in English,<br>published pre-2014 or<br>irrelevant publication type |
| Euctr SE. An Efficacy and Safety Study of JNJ56021927 (ARN509) in High-risk Prostate<br>Cancer Subjects Receiving Primary Radiation Therapy: ATLAS.<br>Http://www.who.int/trialsearch/trial2.aspx? Trialid=euctr2015-003007-38-se 2015.  | Full-text not in English,<br>published pre-2014 or<br>irrelevant publication type |
| Faure Walker NA, Norris JM, Shah TT, et al. A comparison of time taken to return to baseline erectile function following focal and whole gland ablative therapies for localized prostate cancer: A systematic review. Urologic Oncology: Seminars and Original Investigations 2018;36:67-76.   | Irrelevant study type   |
| Fazeli F, Nowroozi MR, Ayati M, et al. Comparison of the efficacy of two brands of triptorelin (Microrelin and Diphereline) in reducing prostate-specific antigen and serum testosterone in prostate cancer: A double-blinded randomized clinical trial. Nephro-Urology Monthly 2015;7 (3) (no pagination).  | Irrelevant patient population   |
| Fenton JJ, Weyrich MS, Durbin S, et al. Prostate-specific antigen-based screening for prostate cancer evidence report and systematic review for the us preventive services task force. JAMA - Journal of the American Medical Association 2018;319:1914-1931.  | Irrelevant study type   |
| Ferreira ASS, Guerra MR, Lopes HE, et al. Brachytherapy and radical prostatectomy in patients with early prostate cancer. Revista da Associacao Medica Brasileira 2015;61:431-439.   | Irrelevant patient population   |

| Reference  | Reason for exclusion  |
|--|---|
| Feutren T, Herrera FG. Prostate irradiation with focal dose escalation to the intraprostatic dominant nodule: a systematic review. Prostate International 2018;6:75-87.  | Irrelevant study type   |
| Fonteyne V, Sarrazyn C, Swimberghe M, et al. 4 Weeks Versus 5 Weeks of Hypofractionated High-dose Radiation Therapy as Primary Therapy for Prostate Cancer: Interim Safety Analysis of a Randomized Phase 3 Trial. International Journal of Radiation Oncology Biology Physics 2018;100:866-870. | Irrelevant patient population   |
| Frey AU, Sonksen J, Fode M. Neglected side effects after radical prostatectomy: a systematic review. Journal of Sexual Medicine 2014;11:374-385.   | Full-text not in English,<br>published pre-2014 or<br>irrelevant publication type |
| Giacalone NJ, Wu J, Chen MH, et al. Prostate-Specific Antigen Failure and Risk of Death Within Comorbidity Subgroups Among Men With Unfavorable-Risk Prostate Cancer Treated in a Randomized Trial. Journal of Clinical Oncology 2016;34:3781-3786.  | No relevant outcomes  |
| Giganti F, Gambarota G, Moore CM, et al. Prostate cancer detection using quantitative T2 and T2-weighted imaging: the effects of 5-alpha-reductase inhibitors in men on active surveillance. Journal of magnetic resonance imaging 2017;(no pagination).   | No relevant outcomes  |
| Gilbert SM, Dunn RL, Miller DC, et al. Functional Outcomes Following Nerve Sparing<br>Prostatectomy Augmented with Seminal Vesicle Sparing Compared to Standard Nerve Sparing<br>Prostatectomy: Results from a Randomized Controlled Trial. Journal of Urology 2017;198:600-<br>607.             | Irrelevant intervention or<br>comparator  |
| Golan R, Bernstein AN, McClure TD, et al. Partial Gland Treatment of Prostate Cancer Using<br>High-Intensity Focused Ultrasound in the Primary and Salvage Settings: A Systematic Review.<br>Journal of Urology 2017;198:1000-1009.  | Full-text not in English,<br>published pre-2014 or<br>irrelevant publication type |
| Greco C, Pimentel N, Pares O, et al. Single-dose radiotherapy (SDRT) in the management of intermediate risk prostate cancer: early results from a phase II randomized trial. Journal of clinical oncology 2018;36.   | Full-text not in English,<br>published pre-2014 or<br>irrelevant publication type |
| Habl G, Hatiboglu G, Edler L, et al. Ion Prostate Irradiation (IPI) - a pilot study to establish the safety and feasibility of primary hypofractionated irradiation of the prostate with protons and carbon ions in a raster scan technique. BMC cancer 2014;14:202.                             | Full-text not in English,<br>published pre-2014 or<br>irrelevant publication type |
| Hackshaw-Mcgeagh LE, Penfold CM, Walsh E, et al. Physical activity, alcohol consumption,<br>BMI and smoking status before and after prostate cancer diagnosis in the ProtecT trial:<br>opportunities for lifestyle modification. International journal of cancer 2015;137:1509-1515.             | No relevant outcomes  |
| Hamdy FC, Donovan JL, Lane JA, et al. 10-Year Outcomes after Monitoring, Surgery, or Radiotherapy for Localized Prostate Cancer. New England journal of medicine 2016;375:1415-1424.   | Included in the updated SLR or any other included SLR                             |
| Hoffman KE, Voong KR, Levy LB, et al. Randomized Trial of Hypofractionated, Dose-<br>Escalated, Intensity-Modulated Radiation Therapy (IMRT) versus conventionally fractionated<br>IMRT for localized prostate cancer. Journal of Clinical Oncology 2018;36:2943-2949.                           | Included in the updated SLR or any other included SLR                             |
| Hoskin PJ, Rojas AM, Ostler PJ, et al. Dosimetric predictors of biochemical control of prostate cancer in patients randomised to external beam radiotherapy with a boost of high dose rate brachytherapy. Radiotherapy and oncology 2014;110:110-113.  | Irrelevant patient population   |
| Hou Z, Li G, Bai S. High dose versus conventional dose in external beam radiotherapy of prostate cancer: a meta-analysis of long-term follow-up. Journal of Cancer Research and Clinical Oncology 2014:epub.   | Full-text not in English,<br>published pre-2014 or<br>irrelevant publication type |
| Huang RC, Auvinen A, Hakama M, et al. Effect of intervention on decision making of treatment for disease progression, prostate-specific antigen biochemical failure and prostate cancer death. Health expectations 2014;17:776-783.  | Irrelevant patient population   |
| Hussain M, Tangen CM, Thompson IM, et al. Phase III intergroup trial of adjuvant androgen deprivation with or without mitoxantrone plus prednisone in patients with high-risk prostate cancer after radical prostatectomy: SWOG S9921. Journal of Clinical Oncology 2018;36:1498-1504.           | Irrelevant patient population   |
| Ilic D, Evans SM, Allan CA, et al. Laparoscopic and robot-assisted vs open radical prostatectomy for the treatment of localized prostate cancer: a Cochrane systematic review. BJU International 2018;121:845-853.   | Full-text not in English,<br>published pre-2014 or<br>irrelevant publication type |
| Ilic D, Evans SM, Allan CA, et al. Laparoscopic and robotic-assisted versus open radical prostatectomy for the treatment of localised prostate cancer. Cochrane Database of Systematic Reviews 2017.   | Full-text not in English,<br>published pre-2014 or<br>irrelevant publication type |
| Incrocci L, Wortel RC, Alemayehu WG, et al. Hypofractionated versus conventionally<br>fractionated radiotherapy for patients with localised prostate cancer (HYPRO): final efficacy  | Included in the updated SLR or<br>any other included SLR                          |

| Reference  | Reason for exclusion   |
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| results from a randomised, multicentre, open-label, phase 3 trial. The Lancet Oncology   |  |
| 2016;17:1061-1069.   | Full tout not in English                                     |
| Incrocci L, Wortel RC, Aluwini S, et al. Hypofractionated versus conventionally fractionated   | Full-text not in English,                                    |
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Full-text not in English, published pre-2014 or irrelevant publication type Irrelevant patient population

Full-text not in English, published pre-2014 or irrelevant publication type Full-text not in English, published pre-2014 or irrelevant publication type Included in the updated SLR or any other included SLR

Full-text not in English, published pre-2014 or irrelevant publication type Full-text not in English, published pre-2014 or irrelevant publication type Full-text not in English, published pre-2014 or irrelevant publication type Full-text not in English, published pre-2014 or irrelevant publication type

# Appendix 3 — Summary and appraisal of individual studies

## Data Extraction

# Questions 1 and 2

## Table 39a. CAP, Martin 2018

| <u>Study</u><br><u>Reference</u> | CAP (Martin 2018)  |
|----------------------------------|--|
|                                  | Study name<br>Cluster Randomized Trial of PSA Testing for Prostate Cancer (CAP)  |
|                                  | Design<br>Cluster randomised controlled trial  |
| Study Design                     | Objective<br>To determine the effects of a low-intensity, single invitation PSA test and standardised diagnostic pathway on prostate cancer-specific and<br>all-cause mortality while minimising over-detection and overtreatment. |
|                                  | <u>Dates</u><br>2001–2009  |
|                                  | <u>Country</u><br>UK   |
|                                  | Setting  |
|                                  | 911 primary care practices located near 8 hospital centres in England and Wales  |
|                                  | Patient recruitment and eligibility<br>NR  |
|                                  | <b>Inclusion</b><br>Men aged 50 to 69 years in each of the randomised primary care practices.  |
| Population<br>Characteristics    | Exclusion<br>A history of prostate cancer on or before the randomisation date and patient registration with the practice on a temporary or emergency<br>basis.   |
|                                  | Other<br>NR  |
|                                  | <u>Sample size</u><br>N screened/invited = NR<br>N eligible = 415,357 (intervention 195,912, control 219,445)  |
|                                  |  |

| <u>Study</u><br>Reference | CAP (Martin 2018)         N enrolled = 408,825 (Intervention 189,386; control 219,439)         N excluded (with reason) = 4,225 men excluded from randomised practices (2026 in intervention group, 2199 in control group). Reasons:         Diagnosed with prostate cancer prior to randomisation: intervention 1433, control 1688; no record of registration with NHS Digital         Organisation: intervention 257, control 127; died prior to randomisation: intervention 176, control 286; unable to identify with NHS         organisation: intervention 160; control 95; refused to participate: control 3.         6,532 men excluded from primary analysis at or before randomisation (6526 in intervention group; 6 in control group). Reasons: Refused         participate: intervention 6311, control 0; Did not give informed consent; intervention 198, control 0; Died or diagnosed with prostate cancer         or, control 2; Date of birth missing: intervention 7, control 0; Record removed from NHS Digital Organisation per patient request; interventio         2; control 1.         N lost to follow-up = NR         N completed = 408,825 (Intervention 189,386; control 219,439)         N included in analysis = 408,825 (intervention 189,386; control 219,439) |                           |                         |  |  |  |  |
|---------------------------|---|---------------------------|-------------------------|--|--|--|--|
|                           |   |                           |                         |  |  |  |  |
|                           | Demographics<br>Parameter   | Screening arm (n=189,386) | Control arm (n=219,439) |  |  |  |  |
|                           | Individual  |                           |                         |  |  |  |  |
|                           | Age at<br>recruitment/randomisation,<br>median (IQR), years   | 58.5 (54.3–63.5)          | 58.6 (54.3–63.5)        |  |  |  |  |
|                           | Ethnicity   | NR                        | NR                      |  |  |  |  |
|                           | Previous PSA test   | NR                        | NR                      |  |  |  |  |
|                           | Previous biopsy   | NR                        | NR                      |  |  |  |  |
|                           | Family history of prostate cancer   | NR                        | NR                      |  |  |  |  |
|                           | Socioeconomic status (e.g. education)   | NR                        | NR                      |  |  |  |  |
|                           | BMI   | NR                        | NR                      |  |  |  |  |
|                           | Weight  | NR                        | NR                      |  |  |  |  |
|                           | Comorbidity index   | NR                        | NR                      |  |  |  |  |
|                           | Diabetes  | NR                        | NR                      |  |  |  |  |
|                           | Primary Care Practice   |                           |                         |  |  |  |  |
|                           | No. of practices  | 271                       | 302                     |  |  |  |  |
|                           | No. of individuals per practice, median (IQR)   | 6300 (4150–9107)          | 6300 (3793–9000)        |  |  |  |  |
|                           | Located in urban area, n (%)  | 244 (90)                  | 267 (88)                |  |  |  |  |
|                           | Multiple partners within practice, n (%)  | 242 (89)                  | 267 (88)                |  |  |  |  |
|                           | Quality and Outcomes<br>Framework <sup>a</sup>  |                           |                         |  |  |  |  |
|                           | No. of practices in England   | 224                       | 266                     |  |  |  |  |
|                           | Percentage of total points achieved, median (IQR)   | 98.9 (97.4–99.6)          | 99.0 (97.4–99.7)        |  |  |  |  |

| <u>Study</u><br>Reference | CAP (Martin 2018) |
|---------------------------|-------------------|
|---------------------------|-------------------|

| Index of Multiple Deprivation <sup>b</sup>                |                  |                  |
|---|------------------|------------------|
| No. of practice in England                                | 231              | 271              |
| Median (IQR)  | 21.8 (12.7–44.1) | 23.6 (13.3–46.7) |
| No. of practices in Wales                                 | 40               | 31               |
| Median (IQR)  | 18.8 (11.9–22.9) | 20.1 (7.6–34.5)  |
| Prevalence across practices,<br>mean (SD), % <sup>c</sup> |                  |                  |
| All types of cancer                                       | 0.6 (0.3)        | 0.5 (0.2)        |
| Diabetes  | 3.6 (1.0)        | 3.7 (1.0)        |
| Obesity   | 8.0 (2.8)        | 7.8 (2.8)        |
| Coronary heart disease                                    | 4.1 (1.4)        | 3.9 (1.3)        |

<sup>a</sup> A system for the performance management and payment of primary care clinicians based on the quality of their care. <sup>b</sup> A measure of relative deprivation for small areas; a higher score indicates more deprivation (range, 0-100). English and Welsh scores are not directly comparable; therefore, they are reported separately. <sup>c</sup> Calculated as (No. of individuals registered with a health condition at each practice/total No. of individuals registered at each practice) × 100

#### Duration of follow-up

10 years

#### **Randomisation**

Primary care practices were randomised to the intervention and control groups prior to practice recruitment and obtaining consent. Randomisation was stratified within geographical groups and block sizes of 10 to 12 neighbouring practices using a computerized random number generator. Because randomisation preceded practices being invited to take part in the study and because the invitation was tailored to the group (intervention or control) to which the practice had been randomised, it was not possible to conceal randomisation while practices decided whether to participate. Characteristics of the practices that agreed to participate were compared.

#### Screening Arm

In the intervention group, men aged 50 to 69 years received a single invitation to a nurse-led clinic appointment. At the appointment, men were provided with information about PSA testing. After giving consent, men were offered the PSA test. Men with PSA levels of 3.0 ng/mL or greater were offered a standardised 10-core transrectal ultrasound–guided biopsy. Those diagnosed with clinically localised prostate cancer and who met the eligibility criteria were recruited to participate in the ProtecT trial to receive treatment.

Methods

#### Control Arm

The control practices provided standard National Health Service management, and information about PSA testing was provided only to men who requested it.

#### Data Collection

Cases of prostate cancer that were detected among men in the intervention group who did not attend the nurse-led PSA clinic appointment and among men in the control group were managed by the same clinicians as those who attended the PSA clinic in the intervention group. Men were linked to the National Health Service Digital Organisation and the Office for National Statistics for deaths and cancer registrations. There were only 639 men (0.15%) unable to be linked or who were not registered. Prostate cancer stage and Gleason grade at diagnosis were obtained from Public Health England and Public Health Wales, and supplemented with routine hospital data from the study centres. Study personnel were unable to abstract good quality data on metastases from routine records. Study data were collected using the REDCap (Research Electronic Data Capture) electronic data capture tool (a secure, web-based application designed to support data capture for research studies) hosted at the University of Bristol.

| <u>Study</u><br><u>Reference</u> | CAP (Martin 2   | 018)  |  |  |   |   |   |   |   |   |
|----------------------------------|---|---|--|--|---|---|---|---|---|---|
|                                  | was of<br>• The s<br>Prost<br>secon   | determined<br>secondary<br>ate cancer<br>ndary end  | by an indeper  | ndent caus<br>e all-cause<br>mortality<br>not reporte  | se of death ev<br>e mortality, pro<br>at 15 years, h<br>ed in this articl   | ention-related prost<br>aluation committee<br>ostate cancer stage<br>ealth-related quality<br>le.   | that was l<br>, and Glea  | olinded to trial g<br>son grade at pr   | roup assignment<br>ostate cancer dia  | agnosis.  |
|                                  | <ul> <li>Kapla<br/>were<br/>regres<br/>rando<br/>period<br/>larger<br/>basel</li> <li>A pre<br/>interv<br/>Presp<br/>and s</li> <li>The o<br/>Engla<br/>men i<br/>morta<br/>35% a<br/>effect</li> </ul> | ry analysis<br>n-Meier plu<br>used to co<br>ssion, whic<br>mization s<br>ds defined<br>to comper<br>ne rate ap<br>specified sub<br>ocioeconol<br>riginal pow<br>nd and Wa<br>n each gro<br>lity RR of (<br>and 50%, t | mpare prostate<br>h allows for clu<br>trata. Because<br>by his age usin<br>sate for fewen<br>plies to each g<br>econdary anal<br>ose accepting<br>ogroup analyse<br>mic status usin<br>ver calculations<br>ales, assuming<br>up would yield<br>0.87 to be detect<br>his correspond<br>of ever underg | to display<br>e cancer ir<br>ustering of<br>the incide<br>ng a lexis of<br>events). V<br>roup sepa<br>ysis was e<br>the PSA of<br>es investig<br>g a likelih<br>s were bas<br>a plausib<br>1720 pro-<br>toted with<br>s to RRs | cumulative in<br>ncidence and i<br>f men within p<br>ence of prosta<br>diagram appro<br>With a separa<br>arately.<br>estimation (usi<br>clinic invitatior<br>ated the effec<br>ood ratio test<br>sed on the est<br>le between-pri<br>state cancer d<br>80% power at<br>between 0.62 | iple.<br>cidence of the prima<br>mortality in interven<br>rimary care practice<br>te cancer varies gre<br>bach (≤59, 60-64, 64<br>te mean baseline ration<br>in and attending the<br>ts of PSA testing or<br>for interaction.<br>imated 10-year inclu-<br>actice coefficient of<br>eaths during a med<br>a significance leve<br>and 0.73 among m<br>follow-up in the co | tion vs col<br>es and of r<br>eatly by ag<br>5-69, 70-7<br>ate for eac<br>on as an in<br>clinic, usir<br>n prostate<br>dence of p<br>variation of<br>lian follow<br>I of .05. As<br>en actually | ntrol practices u<br>neighbouring prin<br>e, each man's fu<br>4 and ≥75 years<br>h age group, the<br>astrumental varia<br>g a generalized<br>cancer–specific<br>prostate cancer r<br>of 0.2. Calculatio<br>-up of 10 years,<br>ssuming an upta<br>y undergoing PS | sing mixed-effec<br>mary care practic<br>ollow-up was div<br>s; the youngest a<br>e assumption of a<br>able) of the effec<br>method of mom<br>mortality by bas<br>nortality using 19<br>ons predicted tha<br>and allow a pros<br>ke in PSA testing. Estim | ts Poisson<br>ces within<br>ided into<br>ge stratum was<br>a constant<br>t of the trial<br>tents estimator.<br>eline age group<br>994 data for<br>at 209<br>state cancer<br>g of between<br>ates of the |
| Mortality<br>and/or              | <u>Mortality during</u><br>Outcome  | Scre  | ening arm<br>89,386) <sup>a</sup><br>Rate/1000<br>Person-<br>Years   | Cor  | htrol arm<br>219,439) <sup>b</sup><br>Rate/1000<br>Person-<br>Years   | Rate<br>Difference/1000<br>Person-Years<br>(95% CI)   | Rate<br>Ratio<br>(95%<br>CI) <sup>c</sup>   | p-value   | Rate Ratio<br>(95% CI) <sup>d</sup>   | p-value   |
| Morbidity<br>Outcomes            | Prostate<br>cancer<br>mortality <sup>e</sup>  | 549   | (95% CI)<br>0.30 (0.27–<br>0.32)   | 647  | (95% CI)<br>0.31<br>(0.29–<br>0.33)   | -0.013 (-0.047-<br>0.002)   | 0.96<br>(0.85–<br>1.08)   | 0.50  | 0.93 (0.67–<br>1.29)  | 0.66  |
|                                  | All-cause<br>mortality  | 25,459  | 13.74<br>(13.57–<br>13.91)   | 28,306   | 13.51<br>(13.35–<br>13.67)  | 0.229 (-0.001-<br>0.460)  | 0.99<br>(0.94–<br>1.03)   | 0.49  | 1.07 (0.93–<br>1.23)  | 0.35  |

| Study<br>Reference | CAP (Martin 2018)   |  |  |  |   |  |  |  |
|--------------------|---|--|--|--|---|--|--|--|
|                    | <sup>a</sup> There were 1,853,167 per<br>the time until death or cens<br>groups), adjusted for rando<br>PSA testing clinic using a g<br>definite, probable or interve | oring. <sup>c</sup> Likelihood rat<br>misation cluster and a<br>eneralised method of | io test of the null hyp<br>age stratum. <sup>d</sup> Analys<br>f moments estimator | othesis (i.e. no difference<br>is to obtain the causal e<br>with random allocation | ce in prostate cancer r<br>effect of screening am<br>as an instrumental var | nortality between the<br>ong those attending the<br>iable. <sup>e</sup> Defined as |  |  |
|                    | Prostate cancer mortality ra  | ate ratios were also re  | eported according to a   | age and deprivation sco  | ores.   |  |  |  |
|                    | <u>Morbidity outcomes</u><br>NR   |  |  |  |   |  |  |  |
|                    | Characteristics of prostate   | cancer cases at diagr  | nosis (including prost   | ate cancer incidence) a  |   |  |  |  |
|                    |   |  | Screening arm  |  | Control arm   | Between-group  |  |  |
|                    |   | Total (n=189,386)  | Attended PSA<br>clinic (n=75,707)  | Did not attend PSA clinic (n=113,679)  | (n=219,439)   | difference (95% CI)  |  |  |
|                    | Prostate cancer, No. (%)  | 8054 (4.3)   | 4687 (6.2)   | 3367 (3.0)   | 7853 (3.6)  | -  |  |  |
|                    | Person-years of follow-<br>up <sup>a</sup>  | 1808031  | 750573   | 1057458  | 2063912   | -  |  |  |
|                    | Incidence rate per 1,000 person-years   | 4.45 (4.36–4.55)   | 6.24 (6.07–6.43)   | 3.18 (3.08–3.29)   | 3.80 (3.72–3.89)  | 0.65 (0.52–0.78) <sup>b</sup><br>P<0.01  |  |  |
|                    | Age, median (IQR), y  | 66.3 (62.1–70.0)   | 65.3 (61.2–69.0)   | 67.9 (63.7–71.5)   | 67.7 (63.6–71.6)  | -1.37 (-1.56 to -1.19)   |  |  |
|                    | Time from<br>randomisation to<br>diagnosis, median<br>(IQR), y  | 4.3 (0.8–7.9)  | 1.2 (0.5–7.0)  | 6.2 (3.4–8.7)  | 6.2 (3.6–8.4)   | -1.49 (-1.61 to -1.37)   |  |  |
|                    | Gleason grade<br>recorded, No./total (%)  | 7276/8054 (90.3)   | 4388/4678 (93.6)   | 2888/3367 (85.8)   | 6899/7853 (87.9)  | -  |  |  |
|                    | ≤6  | 3263/189386 (1.7)  | 2297/75707 (3.0)   | 966/113679 (0.8)   | 2440/219439 (1.1)   | 6.11 (5.38–6.84) <sup>d</sup>  |  |  |
|                    | 7   | 2710/189386 (1.4)  | 1526/75707 (2.0)   | 1184/113679 (1.0)  | 2823/219439 (1.3)   | 1.44 (0.73–2.16) <sup>d</sup>  |  |  |
|                    | ≥8  | 1303/189386 (0.7)  | 565/75707 (0.7)  | 738/113679 (0.6)   | 1636/219439 (0.7)   | -0.58 (-1.09 to -0.06)   |  |  |
|                    | Cancer stage recorded,<br>No./total (%)   | 7197/9054 (89.4)   | 4299/4687 (91.7)   | 2898/3367 (86.1)   | 7009/7853 (89.3)  | -  |  |  |
|                    | T1 or T2  | 4938/189386 (2.6)  | 3308/75707 (4.4)   | 1630/113679 (1.4)  | 4192/219439 (1.9)   | 6.97 (6.05–7.89) <sup>d</sup>  |  |  |
|                    | Т3  | 1329/189386 (0.7)  | 690/75707 (0.9)  | 639/113679 (0.6)   | 1540/219439 (0.7)   | 0 (–0.51 to 0.51) <sup>d</sup>   |  |  |
|                    | T4, N1 or M1  | 930/189386 (0.5)   | 301/75707 (0.4)  | 629/113679 (0.6)   | 1277/219439 (0.6)   | -0.91 (-1.36 to -0.46)   |  |  |

<sup>a</sup> Person-years of follow-up were calculated as the time until diagnosis, death or censoring. These figures are lower than those in the previous table because they exclude person-years after diagnosis. <sup>b</sup> Difference in incidence rate. <sup>c</sup> Difference in medians calculated using the generalised Hodges-Lehmann method. <sup>d</sup> Difference per 1,000 men.

| <u>Study</u><br><u>Reference</u> | CAP (Martin 2018)   |
|----------------------------------|---|
| Authors'<br>Conclusions          | Among practices randomised to a single PSA screening intervention vs standard practice without screening, there was no significant difference in prostate cancer mortality after a median follow-up of 10 years, but the detection of low-risk prostate cancer cases increased. Although longer-term follow-up is under way, the findings do not support single PSA testing for population-based screening. |

**Abbreviations**: BMI, body mass index; CAP, Cluster Randomized Trial of PSA Testing for Prostate Cancer; CI: confidence interval; IQR, interquartile range; NR: not reported; PSA: prostate-specific antigen; RR: risk ratio; SD: standard deviation.

| <u>Study</u><br>Reference | ERSPC (Hugosson 2019/Auvinen 2016)<br>Linked records: Carlsson 2019; Hakama 2017; Walter 2017; Buzzoni 2015; Schröder 2014   |
|---------------------------|--|
|                           | Study name<br>European Randomized study of Screening for Prostate Cancer (ERSPC)   |
|                           | Design<br>Randomised controlled trial  |
|                           | <u>Objective</u>   |
|                           | Hugosson 2019 To determine whether PSA screening decreases PCa mortality for up to 16 years and to assess results following adjustment for nonparticipation and the number of screening rounds attended  |
| Study Design              | Auvinen 2016 To relate indicators of mortality reduction and overdetection by centre within the ERSPC  |
| otady boolgi              |  |
|                           | Initiation/recruitment: 1991–1999 (France later in 2003)<br>Maximum follow-up: 2014 (different for different centres)  |
|                           | <u>Country</u><br>8 European countries: Belgium, Finland, Italy, The Netherlands, Spain, Sweden, Switzerland, France (French centres excluded from this<br>analysis due to inability to comply with quality criteria and short follow-up)        |
|                           | Setting  |
|                           | International multicentre  |
|                           | Patient recruitment and eligibility  |
|                           | Eligible subjects were identified from population registries and individually randomised on the basis of random numbers (1:1 allocation, except from Finland where a fixed size of the screening arm led to a screening/control ratio of ~1:1.5) |
| Population                | Men received regular screening invitations (most centres at a 4-year interval, Sweden and France at a 2-year interval and Belgium at a 7-<br>year interval)  |
| Characteristics           | Inclusion<br>Men aged 55–69 years (the core age group which was common to all centres although note that age ranged from 50–74 across the different<br>centres)  |
|                           | Exclusion<br>NR  |

## Table 39b. ERSPC Multiple Centres, Hugosson 2019/Auvinen 2016

| <u>Study</u><br>Reference | ERSPC (Hugosson 2019/Auvinen 2016)         Linked records: Carlsson 2019; Hakama 2017; Walter 2017; Buzzoni 2015; Schröder 2014         Other         The median follow-up for the two French centres, Herault and Tarn, was too short for their data to be included in this analysis and screening participation was <50% (uncompliant with a primary criterion) |   |   |                                   |                                     |                                |                                   |                                   |                              |
|---------------------------|---|---|---|-----------------------------------|-------------------------------------|--------------------------------|-----------------------------------|-----------------------------------|------------------------------|
|                           |   |   |   |                                   |                                     |                                |                                   |                                   |                              |
|                           | Sample size<br>N screened/invited = NR<br>N eligible = NR<br>N enrolled (underwent rand<br>N excluded (with reason) =<br>("outside core age group")<br>N in the intervention group<br>N in the control group = 89<br>N lost to follow-up = NR<br>N completed = NR<br>N excluded from analysis =<br>N included in analysis = NF                                    | 86,379 (mei<br>= 72,890<br>351<br>NR                      | n from French                             | centres exclu                     |                                     | pility to comply               | with quality cr                   | iteria/short follov               | w-up); 19,77                 |
|                           | Characteristics of the study  |   | ·   | <u>6 year follow</u>              |                                     |                                |                                   |                                   |                              |
|                           | Parameter   | Belgium   | Finland                                   | Italy                             | The<br>Netherlands                  | Spain                          | Sweden                            | Switzerland                       | Total                        |
|                           | Age at randomisation, yr<br>(IQR)   | 63 (60.2,<br>66.2)  | 59 (54.8,<br>62.7)                        | 62 (58.4,<br>65.9)                | 62 (58.0,<br>65.6)                  | 60 (57.4,<br>64.2)             | 60 (57.2,<br>62.4)                | 61 (57.8,<br>65.1)                | 60 (57.1,<br>64.2)           |
|                           | Randomised, n   | 8562  | 80379                                     | 14515                             | 34833                               | 2197                           | 11852                             | 9903                              | 162241                       |
|                           | Screening, n (%)  | 4307 (50)   | 31970 (40)                                | 7265 (50)                         | 17443 (50)                          | 1056 (48)                      | 5901 (50)                         | 4948 (50)                         | 72890 (45                    |
|                           | Control, n (%)  | 4255 (50)   | 48409 (60)                                | 7250 (50)                         | 17390 (50)                          | 1141 (52)                      | 5951 (50)                         | 4955 (50)                         | 89351 (55                    |
|                           | Follow-up, yr (IQR)   | 16 (11.1,<br>16.0)  | 16 (13.8,<br>16.0)                        | 15 (13.2,<br>16.0)                | 16 (13.8,<br>16.0)                  | 16 (15.1,<br>15.9)             | 16 (13.9,<br>16.0)                | 13 (11.6,<br>14.2)                | 16 (13.0,<br>16.0)           |
|                           | Screening rounds per man, mean n  | 1.5   | 1.6                                       | 1.8                               | 2.3                                 | 1.7                            | 2.6                               | 2.4                               | 1.9                          |
|                           | Men with positive tests, n<br>(%)   | 914 (21)  | 4635 (14)                                 | 1054 (15)                         | 6793 (39)                           | 326 (31)                       | 1537 (26)                         | 1729 (35)                         | 16988 (23                    |
|                           |   |   |   | 902                               | 8541                                | 263                            | 2509                              | 2027                              | 20398                        |
|                           | Biopsies, n   | 752   | 5404                                      |                                   |                                     |                                |                                   | -                                 |                              |
|                           | Biopsies/positive tests, %  | 71.1  | 91.2                                      | 902<br>62.5                       | 89.4                                | 74.3                           | 86.6                              | 78.0                              | 85.6                         |
|                           |   | 71.1  | 91.2<br>p                                 | 62.5                              | 89.4                                | 74.3                           |                                   | 78.0                              |                              |
|                           | Biopsies/positive tests, %<br>Prostate cancer cases – so<br>Overall, n  | 71.1<br><b>reening grou</b><br>482                        | 91.2<br>p<br>3500                         | 62.5<br>560                       | 89.4<br>2376                        | 74.3<br>92                     | 86.6<br>814                       | 78.0<br>620                       | 8444                         |
|                           | Biopsies/positive tests, %<br>Prostate cancer cases – so  | 71.1<br>reening grou                                      | 91.2<br>p                                 | 62.5<br>560<br>197                | 89.4<br>2376<br>1868                | 74.3<br>92<br>60               | 86.6                              | 78.0                              |                              |
|                           | Biopsies/positive tests, %<br>Prostate cancer cases – so<br>Overall, n<br>Screen-detected, n<br>Interval and cancers<br>among non-attendees, n  | 71.1<br><b>reening grou</b><br>482                        | 91.2<br>p<br>3500                         | 62.5<br>560                       | 89.4<br>2376                        | 74.3<br>92                     | 86.6<br>814                       | 78.0<br>620                       | 8444                         |
|                           | Biopsies/positive tests, %<br>Prostate cancer cases – so<br>Overall, n<br>Screen-detected, n<br>Interval and cancers  | 71.1<br>reening grou<br>482<br>188                        | 91.2<br>p<br>3500<br>1632                 | 62.5<br>560<br>197                | 89.4<br>2376<br>1868<br>508<br>21.9 | 74.3<br>92<br>60               | 86.6<br>814<br>576                | 78.0<br>620<br>436<br>184<br>21.5 | 8444<br>4957                 |
|                           | Biopsies/positive tests, %<br>Prostate cancer cases – sc<br>Overall, n<br>Screen-detected, n<br>Interval and cancers<br>among non-attendees, n<br>Screen-detected/biopsy, %<br>Cumulative incidence, %  | 71.1<br>reening grou<br>482<br>188<br>294<br>25.0<br>11.2 | 91.2<br>p<br>3500<br>1632<br>1868         | 62.5<br>560<br>197<br>363         | 89.4<br>2376<br>1868<br>508         | 74.3<br>92<br>60<br>32         | 86.6<br>814<br>576<br>238         | 78.0<br>620<br>436<br>184         | 8444<br>4957<br>3487         |
|                           | Biopsies/positive tests, %<br>Prostate cancer cases – so<br>Overall, n<br>Screen-detected, n<br>Interval and cancers<br>among non-attendees, n<br>Screen-detected/biopsy, %   | 71.1<br>reening grou<br>482<br>188<br>294<br>25.0<br>11.2 | 91.2<br>9<br>3500<br>1632<br>1868<br>30.2 | 62.5<br>560<br>197<br>363<br>21.8 | 89.4<br>2376<br>1868<br>508<br>21.9 | 74.3<br>92<br>60<br>32<br>22.8 | 86.6<br>814<br>576<br>238<br>23.0 | 78.0<br>620<br>436<br>184<br>21.5 | 8444<br>4957<br>3487<br>24.3 |
|                           | Biopsies/positive tests, %<br>Prostate cancer cases – sc<br>Overall, n<br>Screen-detected, n<br>Interval and cancers<br>among non-attendees, n<br>Screen-detected/biopsy, %<br>Cumulative incidence, %  | 71.1<br>reening grou<br>482<br>188<br>294<br>25.0<br>11.2 | 91.2<br>9<br>3500<br>1632<br>1868<br>30.2 | 62.5<br>560<br>197<br>363<br>21.8 | 89.4<br>2376<br>1868<br>508<br>21.9 | 74.3<br>92<br>60<br>32<br>22.8 | 86.6<br>814<br>576<br>238<br>23.0 | 78.0<br>620<br>436<br>184<br>21.5 | 8444<br>4957<br>3487<br>24.3 |

Methods

Randomisation

Across centre, two types of randomisation using computer-generated random numbers, were used:

• Randomisation before consent (Zelen-type, effectiveness design) – Sweden, Finland, Italy

| Study     | ERSPC (Hugosson 2019/Auvinen 2016)  |
|-----------|---|
| Reference | Linked records: Carlsson 2019; Hakama 2017; Walter 2017; Buzzoni 2015; Schröder 2014  |
|           | Randomisation after consent (efficacy design) – Belgium, The Netherlands, Spain, Switzerland  |
|           | Duration of follow-up   |
|           | Hugosson 2019 Maximum 16 years  |
|           | Auvinen 216 Maximum of 13 years (e.g. Sweden truncated at 10 years follow-up)   |
|           | Outcomes  |
|           | Mortality/morbidity outcomes  |
|           | Primary extraction  |
|           | <ul> <li>Hugosson 2019: PCa mortality (also assessed with adjustment for nonparticipation and the number of screening rounds<br/>attended) – medical records evaluated by a cause of death committee using a standardised flowchart to establish the<br/>cause of death; PCa incidence; NNI; NND</li> </ul> |
|           | <ul> <li>Linked studies: PCa mortality; incidence rate ratios; metastasis; PCa deaths by treatment allocation; efficacy and effectiveness of<br/>screening</li> </ul>   |
|           | Harms of PSA screening outcomes   |
|           | Primary extraction  |
|           | <ul> <li>Auvinen 2016: PCa mortality, overdiagnosis (as NNO)</li> </ul>   |
|           | Definitions of absolute measures of screening benefits:   |
|           | <ul> <li>NNI, number needed to invite to avert one PCa death: calculated as the inverse of the absolute risk difference in PCa mortality<br/>between the arms to indicate the mortality reduction by screening (NNI = 1/(M<sub>c</sub>-M<sub>s</sub>))</li> </ul>   |
|           | • NNO, number needed for overdetection: represents the absolute risk of overdiagnosis (NNO = $1/(I_s - I_c)$ )  |
|           | <ul> <li>NND, number needed to detect: a measure of the overall impact (benefits and harms) as the ratio of the reduction in PCa mortality<br/>to the excess PCa incidence (NND = NNI/NNO)</li> </ul>   |

#### Outcomes from Hugosson 2019

Cumulative PCa-Specific Incidence

|               | Outcome                | Screening arm<br>(n=72890) | Control arm<br>(n=89351) | Rate or risk ratio<br>(95% CI) | Rate or risk difference/1000<br>person-years or men (95% CI) |
|---------------|------------------------|----------------------------|--------------------------|--------------------------------|--|
|               | Years 1–9              |                            |                          |                                |  |
|               | Prostate cancer, n     | 6172                       | 4154                     | -                              | -  |
|               | Person years           | 584776                     | 735777                   | -                              | -  |
| Mortality     | Rate/1000 person-years | 10.55                      | 5.65                     | 1.90 (1.83–1.98)               | 5.00 (4.69–5.31)   |
| and/or        | Risk/1000 men          | 85.16                      | 46.71                    | 1.85 (1.78–1.93)               | 39.15 (36.65–41.65)  |
| Morbidity     | Years 1–11             |                            |                          |                                |  |
| Outcomes (Q1) | Prostate cancer, n     | 6852                       | 5333                     | -                              | -  |
|               | Person years           | 695850                     | 877302                   | -                              | -  |
|               | Rate/1000 person-years | 9.85                       | 6.08                     | 1.65 (1.59–1.71)               | 3.86 (3.58–4.14)   |
|               | Risk/1000 men          | 94.54                      | 59.97                    | 1.60 (1.54–1.66)               | 35.41 (32.71–38.12)  |
|               | Years 1–13             |                            |                          |                                |  |
|               | Prostate cancer, n     | 7655                       | 6384                     | -                              | -  |
|               | Person years           | 797774                     | 1007337                  | -                              | -  |
|               | Rate/1000 person-years | 9.60                       | 6.34                     | 1.54 (1.49–1.59)               | 3.35 (3.09–3.61)   |
|               | Risk/1000 men          | 105.62                     | 71.79                    | 1.49 (1.44–1.54)               | 34.82 (31.93–37.72)  |

<u>Study</u> Reference

## ERSPC (Hugosson 2019/Auvinen 2016)

Linked records: Carlsson 2019; Hakama 2017; Walter 2017; Buzzoni 2015; Schröder 2014

| Years 1–16             |        |         |                  |                     |
|------------------------|--------|---------|------------------|---------------------|
| Prostate cancer, n     | 8444   | 7732    | -                | -                   |
| Person years           | 918300 | 1162062 | -                | -                   |
| Rate/1000 person-years | 9.20   | 6.65    | 1.41 (1.36–1.45) | 2.66 (2.42–2.90)    |
| Risk/1000 men          | 116.51 | 86.95   | 1.36 (1.32–1.41) | 31.15 (28.05–34.25) |

Nelson-Aalen estimates of cumulative PCa-specific incidence at 16 years: screening arm: 13.3%; control arm: 10.3%

## Mortality Outcomes

| Outcome                   | Screening arm<br>(n=72890) | Control arm<br>(n=89351) | Rate or risk ratio (95% CI)                            | P value               | Rate or risk difference/1000<br>person-years or men (95% CI) |
|---------------------------|----------------------------|--------------------------|--|-----------------------|--|
| Years 1–9                 |                            |                          |  |                       |  |
| Prostate cancer deaths, n | 191                        | 280                      | -  | -                     | -  |
| Person years              | 612723                     | 749801                   | -  | -                     | -  |
| Rate/1000 person-years    | 0.31                       | 0.37                     | Total: 0.84 (0.70–1.00)<br>Attenders: 0.78 (0.63–0.96) | 0.053<br><b>0.022</b> | -0.06 (-0.12-0.00)   |
| Risk/1000 men             | 2.64                       | 3.15                     | 0.84 (0.70–1.00)                                       | -                     | -0.51 (-1.04-0.01)   |
| NNI (95% CI)              | 1947 (963–inf)             |                          | -  | -                     | -  |
| NND                       | 76                         |                          | -  | -                     | -  |
| Years 1–11                |                            |                          |  |                       | ·  |
| Prostate cancer deaths, n | 268                        | 419                      | -  | -                     | -  |
| Person years              | 735205                     | 899370                   | -  | -                     | -  |
| Rate/1000 person-years    | 0.36                       | 0.47                     | Total: 0.78 (0.67–0.91)<br>Attenders: 0.72 (0.60–0.86) | 0.001<br><0.001       | -0.10 (-0.170.04)  |
| Risk/1000 men             | 3.70                       | 4.71                     | 0.78 (0.67–0.91)                                       | -                     | -1.04 (-1.670.41)  |
| NNI (95% CI)              | 962 (598-2463)             |                          | -  | -                     | -  |
| NND                       | 34                         |                          | -  | -                     | -  |
| Years 1–13                |                            |                          |  |                       |  |
| Prostate cancer deaths, n | 371                        | 570                      | -  | -                     | -  |
| Person years              | 848802                     | 1038723                  | -  | -                     | -  |
| Rate/1000 person-years    | 0.44                       | 0.55                     | Total:0.79 (0.69–0.90)<br>Attenders: 0.73 (0.63–0.85)  | <0.001<br><0.001      | -0.12 (-0.180.05)  |
| Risk/1000 men             | 5.12                       | 6.41                     | 0.79 (0.70-0.90)                                       | -                     | -1.35 (-2.090.61)  |
| NNI (95% CI)              | 742 (478–1650)             |                          | -  | -                     | -  |
| NND                       | 26                         |                          | -  | -                     | -  |
| Years 1–16                |                            |                          |  |                       |  |
| Prostate cancer deaths, n | 520                        | 793                      | -  |                       | -  |
| Person years              | 985382                     | 1207411                  | -  |                       | -  |
| Rate/1000 person-years    | 0.53                       | 0.66                     | Total: 0.80 (0.72–0.89)<br>Attenders: 0.75 (0.66–0.85) | <0.001<br><0.001      | -0.13 (-0.200.07)  |
| Risk/1000 men             | 7.17                       | 8.92                     | 0.80 (0.72–0.90)                                       | -                     | -1.76 (-2.630.88)  |
| NNI (95% CI)              | 570 (380–1137)             |                          | -  | -                     | -  |
| NND                       | 18                         |                          | -  | -                     | -  |

# Study<br/>ReferenceERSPC (Hugosson 2019/Auvinen 2016)Linked records: Carlsson 2019; Hakama 2017; Walter 2017; Buzzoni 2015; Schröder 2014

# Effectiveness of screening at 16 years by ERSPC centre

| Centre      | Prostate cancer<br>incidence rate ratio (95%<br>CI) (screening vs control) | p-value | Prostate cancer mortality<br>rate ratio (95% CI)<br>(screening vs control) | p-value | NNI              | NND |
|-------------|--|---------|--|---------|------------------|-----|
| Belgium     | 1.22 (1.07 - 1.40)   | 0.003   | 0.78 (0.44 - 1.34)   | 0.364   | 678 (209 -Inf)   | 13  |
| Finland     | 1.19 (1.14 - 1.24)   | 0.000   | 0.91 (0.77 - 1.06)   | 0.210   | 1206 (471 -Inf)  | 19  |
| Italy       | 1.24 (1.10 - 1.41)   | 0.001   | 0.99 (0.66 - 1.49)   | 0.958   | 44232 (369 -Inf) | 673 |
| Netherlands | 1.89 (1.77 - 2.03)   | 0.000   | 0.67 (0.53 - 0.85)   | 0.001   | 303 (191 - 731)  | 18  |
| Spain       | 1.72 (1.24 - 2.39)   | 0.001   | 0.65 (0.13 - 2.63)   | 0.550   | 647 (153 - Inf)  | 22  |
| Sweden      | 1.44 (1.30 - 1.60)   | 0.000   | 0.63 (0.44 - 0.88)   | 0.008   | 189 (109 - 703)  | 7   |
| Switzerland | 1.78 (1.57 - 2.03)   | 0.000   | 0.84 (0.47 - 1.50)   | 0.556   | 1244 (285 -Inf)  | 65  |

## Effectiveness of one single PSA test on PCa mortality (assuming various effects)

| Assumed RR for men<br>attending exactly once | RR for men attending<br>at least twice (95% CI) |
|--|---|
| 0.75   | 0.75 (0.60 - 0.92)                              |
| 0.80   | 0.67 (0.55 - 0.82)                              |
| 0.85   | 0.62 (0.50 - 0.75)                              |
| 0.90   | 0.57 (0.47 - 0.70)                              |
| 0.95   | 0.54 (0.44 - 0.66)                              |
| 1.00   | 0.52 (0.42 - 0.63)                              |

# Outcomes from Auvinen 2016

Prostate biopsy method (if applicable) N/A

## Cumulative incidence of PCa by arm, with excess incidence and NNO by ERSPC centre (13 year follow-up)

| Harms of PSA-<br>Based<br>Screening (Q2) | Centre      | Cumulative PC<br>Screening, I <sub>s</sub> | a incidence, %<br>Control, I <sub>c</sub> | Excess incidence (I <sub>s</sub> –I <sub>c</sub> ), % | NNO<br>(1/(I <sub>s</sub> –I <sub>c</sub> ))ª |
|--|-------------|--|---|---|---|
|  | Belgium     | 9.7  | 7.5                                       | 2.1   | 47  |
|  | Finland     | 9.4  | 7.5                                       | 2.0   | 51  |
|  | Italy       | 5.5  | 4.0                                       | 1.5   | 69  |
|  | Netherlands | 12.5                                       | 6.2                                       | 6.3   | 16  |
|  | Spain       | 8.2  | 4.6                                       | 3.7   | 28  |

| <u>leference</u> |  | on 2019; Hakama 2017; W  |  | ·   |   |                                     |
|------------------|--|--|--|---|---|-------------------------------------|
|                  | Sweden   | 12.5   | 7.9  | 4.6   | 22  |                                     |
|                  | Switzerland  | 11.6   | 6.0  | 5.6   | 18  |                                     |
|                  | <sup>a</sup> Expressed as an integer,  | , with rounding upward   |  |   |   |                                     |
|                  | from 22 to 41), Finla<br>extent in Belgium (fr<br>Spanish results alm  | and (from 51 to 137), and<br>rom 47 to 62), but the dif<br>ost unaltered because o | d Switzerland (from 18<br>fference was not subst<br>f infrequent use of acti | extent of overdetection was stron<br>to 34), where active surveillance<br>antial in the other centres (in the<br>ive surveillance).<br>centre (13 year follow-up) | was commonly us                             | ed, and also to some                |
|                  |  | Cumulative PC  |  |   |   |                                     |
|                  | Centre   |  |  | Mortality reduction (M <sub>s</sub> –M <sub>c</sub> ),  | NNI   |                                     |
|                  | Centre   | Screening, M₅  | Control, M <sub>c</sub>  | %   | (1/Ms–Mc)) <sup>a</sup>                     | NND<br>(NNI/NNO)⁵                   |
|                  | Belgium  | 0.42   | 0.54   | 0.12  | 816 (243–ND)                                | 18                                  |
|                  | Finland  | 0.53   | 0.59   | 0.05  | 1821 (631–ND)                               | 37                                  |
|                  | Italy  | 0.36   | 0.44   | 0.08  | 1198 (349–ND)                               | 29                                  |
|                  | Netherlands  | 0.49   | 0.72   | 0.24  | 422 (253–1381)                              | 27                                  |
|                  | Spain  | 0.19   | 0.35   | 0.16  | 621 (419–ND)                                | 23                                  |
|                  | Sweden   | 0.64   | 1.04   | 0.40  | 252 (140–1534)                              | 12                                  |
|                  | Switzerland<br><sup>a</sup> Expressed as an integer,   | 0.32   | 0.28   | -0.04   | ND°   | ND°                                 |
|                  | <sup>e</sup> No mortality reduction, N<br>The ratio the mortali<br>was smallest for Sw<br>largest for Finland (I | ity reduction to excess ir   | ncidence (mean NND,<br>ng that the number of o                               | calculated as NNI/NNO) for the c<br>excess cases per averted PCa do   |   |                                     |
|                  | Hugosson 2019  |  |  |   |   |                                     |
|                  |  |  |  | e effect of screening on PCa mor  | tality increases with                       | n longer follow-up.                 |
|                  | <ul> <li>The PCa n</li> </ul>  | nortality reduction seem   | s to be related to the d   | easing but is still rather high.<br>luration of screening, and a one-t<br>ool of more advanced disease in   |   |                                     |
|                  | major bene   |  | ute to a prevalence po   |   |   |                                     |
|                  | Auvinen 2016   | onto.  |  |   |   |                                     |
| ithors'          |  | s of follow-up, on average   | be 12 to 36 excess PC  | a cases have to be detected to a  | vert one death from                         | n the disease                       |
| onclusions       | <ul> <li>In compari<br/>that with th<br/>unavoidab</li> </ul>  | son between the ERSP<br>ne current screening reg<br>le increase in the harmf       | C centres, a direct corr<br>imens, any efforts to ir<br>ul effects.          | relation was observed between s<br>ncrease the effectiveness of scree   | creening benefit an<br>ening are likely acc | d harm. This suggest<br>ompanied by |
|                  | does not a   | llow a sharp distinction   | of lethal and inconsequ  | oution among fatal and overdiagn<br>uential prostate cancer in the ear<br>younger age—compared with cli   | ly preclinical phase                        | of the disease due to               |

| Reference   | Linked records: Carlsson 2019;   |  |  |   | <u> </u>  |   |  |
|---|--|--|--|---|---|---|--|
|   |  |  |  | nefits and harms of PCa<br>ted PCa death relative t   |   |   |  |
|   | gauge the trade-of   |  |  |   | o unnecessary u   | aynosis anu n   |  |
|   | Buzzoni 2015   |  |  |   |   |   |  |
|   | Cumulative incidence rate ra   | ntio (PaP) by rick   | category for the c   | viginal data and after d  | ata imputation at r   | 13 years of fo  | llow-up  |
|   |  |  | Original data  | -   | -   | After data imp  |  |
|   | Pick cotogon/  |  |  |   |   |   |  |
|   | Risk category  | Screening, n<br>(%)  | Control, n (%)   | Rate ratio (95% CI)   | Screening, %  | Control, %  | Rate ratio (95% C  |
|   | Low risk   | 4442 (60)  | 2543 (42)  | 2.29 (2.18–2.42)  | 65  | 47  | 2.14 (2.03–2.25)   |
|   | Intermediate risk  | 1625 (22)  | 1711 (28)  | 1.27 (1.18–1.37)  | 24  | 30  | 1.24 (1.16–1.34  |
|   | High risk  | 519 (7)  | 667 (11)   | 1.02 (0.90–1.15)  | 8   | 12  | 1.00 (0.89–1.13  |
|   | M1 and/or PSA 100+ risk  | 252 (3)  | 586 (10)   | 0.56 (0.48–0.65)  | 4   | 11  | 0.60 (0.52–0.70  |
|   | Missing values   | 570 (8)  | 600 (10)   | 1.01 (0.90–1.13)  | -   | -   | -  |
|   | Total  | 7408 (100)   | 6107 (100)   | 1.56 (1.50–1.62)  | 100   | 100   | 1.56 (1.51–1.62  |
|   | The present results confirm<br>mortality reduction by almos<br>determinant of the reduction<br><u>Carlsson 2019</u>  | t three years. The   | ese results strong   | ly suggest that a decrea  | se of metastatic  | disease at diag   | nosis is a major   |
| esults/<br>onclusions                                     | mortality reduction by almos determinant of the reduction  | t three years. The<br>of PCa mortality<br>ferences to the o  | ese results strong<br>in the ERSPC tria  | ly suggest that a decrea<br>al, although we cannot e<br>ality reduction between t   | se of metastatic o<br>exclude additional  | disease at diag<br>contributions  | nosis is a major<br>from other factors   |
| esults/<br>onclusions<br>om Linked                        | mortality reduction by almos<br>determinant of the reduction<br><u>Carlsson 2019</u><br><u>Contribution of treatment dif</u><br><u>up in the Finland, Netherlan</u><br>The difference in estimated<br>model to the screening arm   | t three years. The<br>of PCa mortality<br><u>ferences to the o</u><br><u>ds, Sweden and</u><br>and observed nu<br>and 0.01% [95%   | ese results strong<br>in the ERSPC tria<br>bserved PC morta<br>Switzerland centre<br>mbers of PCa dea<br>CI 0.3%, 0.2%] w  | ly suggest that a decrea<br>al, although we cannot e<br>al <u>ity reduction between t</u><br>es<br>aths was very small (0.0<br>hen applying the screer  | se of metastatic of exclude additional<br>exclude additional<br><u>he screening and</u><br>5% [95% CI 0.1%<br>ning arm model to   | disease at diag<br>contributions<br>control arm at<br>0,0.2%] when a<br>the control arm   | nosis is a major<br>from other factors<br>t <b>16 years of follow</b><br>applying the control<br>ms, had the two gro   |
| esults/<br>onclusions<br>om Linked                        | mortality reduction by almos<br>determinant of the reduction<br><u>Carlsson 2019</u><br><u>Contribution of treatment dif</u><br><u>up in the Finland, Netherlan</u><br>The difference in estimated   | t three years. The<br>of PCa mortality<br><u>ferences to the o</u><br><u>ds, Sweden and</u><br>and observed nu<br>and 0.01% [95%<br>eatment, given th<br>ntial treatment ex  | ese results strong<br>in the ERSPC tria<br>bserved PC morta<br>Switzerland centre<br>mbers of PCa dea<br>CI 0.3%, 0.2%] w<br>eir clinical charact  | ly suggest that a decrea<br>al, although we cannot e<br><u>ality reduction between t</u><br>es<br>aths was very small (0.0<br>hen applying the screer<br>teristics). As the observe   | se of metastatic of exclude additional<br>exclude additional<br><u>he screening and</u><br>5% [95% CI 0.1%<br>ning arm model to<br>ed difference betw   | disease at diag<br>contributions<br>control arm at<br>0,0.2%] when a<br>the control arm<br>veen trial arms  | nosis is a major<br>from other factors<br><b><u>16 years of follow</u></b><br>applying the control a<br>ms, had the two gro<br>s was 4.2%, our   |
| esults/<br>onclusions<br>om Linked                        | mortality reduction by almost<br>determinant of the reduction<br>Carlsson 2019<br>Contribution of treatment diff<br>up in the Finland, Netherlan<br>The difference in estimated<br>model to the screening arm<br>received identical primary tre<br>findings suggest that different<br>complete case-only analysist<br>Author's conclusions   | t three years. The<br>of PCa mortality<br><u>ferences to the o<br/>ds, Sweden and</u><br>and observed nu<br>and 0.01% [95%<br>eatment, given th<br>ntial treatment ex  | ese results strong<br>in the ERSPC tria<br><u>bserved PC morta</u><br><u>Switzerland centra</u><br>mbers of PCa dea<br>Cl 0.3%, 0.2%] w<br>eir clinical charact<br>plains only a trivia  | ly suggest that a decrea<br>al, although we cannot e<br>a <u>lity reduction between t</u><br>es<br>aths was very small (0.0<br>hen applying the screer<br>teristics). As the observe<br>al proportion of the main   | exclude additional<br>exclude additional<br><u>he screening and</u><br>5% [95% CI 0.1%<br>ing arm model to<br>ed difference bety<br>findings of ERSF  | disease at diag<br>contributions f<br><u>control arm at</u><br>b,0.2%] when a<br>the control arm<br>veen trial arms<br>C. Similar find  | nosis is a major<br>from other factors<br><b>16 years of follow</b><br>applying the control<br>ms, had the two gro<br>was 4.2%, our<br>lings were seen in a  |
| esults/<br>onclusions<br>om Linked                        | mortality reduction by almost<br>determinant of the reduction<br><u>Carlsson 2019</u><br><u>Contribution of treatment diff<br/>up in the Finland, Netherlan</u><br>The difference in estimated<br>model to the screening arm<br>received identical primary tre<br>findings suggest that different<br>complete case-only analysis   | t three years. The<br>of PCa mortality<br><u>ferences to the of</u><br><u>ds, Sweden and</u><br>and observed nu<br>and 0.01% [95%<br>eatment, given the<br>ntial treatment ex<br>primary treatment<br>was extremely s  | ese results strong<br>in the ERSPC tria<br><u>bserved PC morta</u><br><u>Switzerland centra</u><br>mbers of PCa dea<br>CI 0.3%, 0.2%] w<br>eir clinical charact<br>plains only a trivia  | ly suggest that a decrea<br>al, although we cannot e<br><u>ality reduction between t</u><br><u>es</u><br>aths was very small (0.0<br>hen applying the screer<br>teristics). As the observe<br>al proportion of the main<br>eening and control arm<br>ags suggest that the effe                        | texclude additional<br>exclude additional<br><u>he screening and</u><br>5% [95% CI 0.1%<br>ing arm model to<br>ed difference betw<br>findings of ERSF<br>were minimal, an<br>ectiveness of PSA          | disease at diag<br>contributions f<br><u>control arm at</u><br>b,0.2%] when a<br>the control arm<br>veen trial arms<br>C. Similar find<br>d the potential<br>screening in r   | gnosis is a major<br>from other factors<br><b>16 years of follow</b><br>applying the control<br>ms, had the two gro<br>was 4.2%, our<br>lings were seen in a<br>effect of these<br>reducing PCa morta      |
| dditional<br>esults/<br>onclusions<br>om Linked<br>ecords | mortality reduction by almost<br>determinant of the reduction<br>Carlsson 2019<br>Contribution of treatment diff<br>up in the Finland, Netherlan<br>The difference in estimated<br>model to the screening arm<br>received identical primary tre-<br>findings suggest that differen<br>complete case-only analysis<br>Author's conclusions<br>Differences in the receipt of<br>differences on PCa mortality<br>in the ERSPC trial was large   | t three years. The<br>of PCa mortality<br><u>ferences to the of</u><br><u>ds, Sweden and</u><br>and observed nu<br>and 0.01% [95%<br>eatment, given the<br>ntial treatment ex<br>primary treatment<br>was extremely s  | ese results strong<br>in the ERSPC tria<br><u>bserved PC morta</u><br><u>Switzerland centra</u><br>mbers of PCa dea<br>CI 0.3%, 0.2%] w<br>eir clinical charact<br>plains only a trivia  | ly suggest that a decrea<br>al, although we cannot e<br><u>ality reduction between t</u><br><u>es</u><br>aths was very small (0.0<br>hen applying the screer<br>teristics). As the observe<br>al proportion of the main<br>eening and control arm<br>ags suggest that the effe                        | texclude additional<br>exclude additional<br><u>he screening and</u><br>5% [95% CI 0.1%<br>ing arm model to<br>ed difference betw<br>findings of ERSF<br>were minimal, an<br>ectiveness of PSA          | disease at diag<br>contributions f<br><u>control arm at</u><br>b,0.2%] when a<br>the control arm<br>veen trial arms<br>C. Similar find<br>d the potential<br>screening in r   | applying the control a<br>magnetic state of the two groups was 4.2%, our<br>lings were seen in a<br>effect of these<br>reducing PCa mortal   |
| esults/<br>onclusions<br>om Linked                        | mortality reduction by almost<br>determinant of the reduction<br>Carlsson 2019<br>Contribution of treatment diff<br>up in the Finland, Netherlan<br>The difference in estimated<br>model to the screening arm<br>received identical primary tre<br>findings suggest that differen<br>complete case-only analysis<br>Author's conclusions<br>Differences in the receipt of<br>differences on PCa mortality<br>in the ERSPC trial was large<br>between trial arms<br>Hakama 2017   | t three years. The<br>of PCa mortality<br><u>des Sweden and</u><br>and observed nu<br>and 0.01% [95%<br>eatment, given the<br>ntial treatment ex<br>primary treatment<br>was extremely sely due to early de  | ese results strong<br>in the ERSPC tria<br><u>Switzerland centra</u><br>mbers of PCa dea<br>Cl 0.3%, 0.2%] w<br>eir clinical charact<br>plains only a trivia   | ly suggest that a decrea<br>al, although we cannot e<br><u>ality reduction between t</u><br><u>es</u><br>aths was very small (0.0<br>hen applying the screen<br>teristics). As the observe<br>al proportion of the main<br>eening and control arm<br>the suggest that the effective manageme          | exclude additional<br><u>he screening and</u><br>5% [95% CI 0.1%<br>ing arm model to<br>ed difference betw<br>findings of ERSF<br>were minimal, an<br>ectiveness of PSA<br>nt, and was not a            | disease at diag<br>contributions f<br><u>control arm at</u><br>b,0.2%] when a<br>the control arm<br>veen trial arms<br>c. Similar find<br>d the potential<br>screening in r<br>ttributable to d                           | applying the control a<br>magnetic stress of the two groups and the two groups and the two groups was 4.2%, our<br>lings were seen in a<br>effect of these<br>reducing PCa mortal<br>ifferential treatment |
| esults/<br>onclusions<br>om Linked                        | mortality reduction by almost<br>determinant of the reduction<br><b>Carlsson 2019</b><br><u>Contribution of treatment diff<br/>up in the Finland, Netherlan</u><br>The difference in estimated<br>model to the screening arm<br>received identical primary tre-<br>findings suggest that difference<br>complete case-only analysis<br><u>Author's conclusions</u><br>Differences in the receipt of<br>differences on PCa mortality<br>in the ERSPC trial was larged<br>between trial arms  | t three years. The<br>of PCa mortality<br><u>derences to the of</u><br><u>ds, Sweden and</u><br>and observed nu<br>and 0.01% [95%<br>eatment, given the<br>ntial treatment ex<br>primary treatment<br>was extremely selved us to early de<br>of the effect of so | ese results strong<br>in the ERSPC tria<br><u>Switzerland centra</u><br>mbers of PCa dea<br>Cl 0.3%, 0.2%] w<br>eir clinical charact<br>plains only a trivia<br>to between the scre<br>small. These findin<br>etection, allowing                       | ly suggest that a decrea<br>al, although we cannot e<br><u>ality reduction between t</u><br><u>es</u><br>aths was very small (0.0<br>hen applying the screer<br>teristics). As the observe<br>al proportion of the main<br>eening and control arm<br>for effective manageme<br>for effective manageme | the screening and<br>be screening and<br>5% [95% CI 0.1%<br>ing arm model to<br>ed difference betw<br>findings of ERSF<br>were minimal, an<br>ectiveness of PSA<br>nt, and was not a<br>years of follow | disease at diag<br>contributions f<br><u>control arm at</u><br>b,0.2%] when a<br>the control arm<br>veen trial arms<br>c. Similar find<br>d the potential<br>screening in r<br>ttributable to d                           | applying the control a<br>magnetic stress of the two gross<br>was 4.2%, our<br>lings were seen in a<br>effect of these<br>reducing PCa morta<br>ifferential treatment                                      |
| esults/<br>onclusions<br>om Linked                        | mortality reduction by almost<br>determinant of the reduction<br>Carlsson 2019<br>Contribution of treatment diff<br>up in the Finland, Netherlam<br>The difference in estimated<br>model to the screening arm<br>received identical primary tre-<br>findings suggest that difference<br>complete case-only analysis<br>Author's conclusions<br>Differences in the receipt of<br>differences on PCa mortality<br>in the ERSPC trial was larged<br>between trial arms<br>Hakama 2017<br>Design-corrected estimates<br>randomisation [effectiveness | t three years. The<br>of PCa mortality<br><u>derences to the of</u><br><u>ds, Sweden and</u><br>and observed nu<br>and 0.01% [95%<br>eatment, given the<br>ntial treatment ex<br>primary treatment<br>was extremely selved us to early de<br>of the effect of so | ese results strong<br>in the ERSPC tria<br><u>Switzerland centra</u><br>mbers of PCa dea<br>CI 0.3%, 0.2%] w<br>eir clinical charact<br>plains only a trivia<br>to between the scrismall. These findin<br>etection, allowing the<br>creening on PCa no | ly suggest that a decrea<br>al, although we cannot e<br><u>ality reduction between t</u><br><u>es</u><br>aths was very small (0.0<br>hen applying the screer<br>teristics). As the observe<br>al proportion of the main<br>eening and control arm<br>for effective manageme<br>for effective manageme | the screening and<br>be screening and<br>5% [95% CI 0.1%<br>ing arm model to<br>ed difference betw<br>findings of ERSF<br>were minimal, an<br>ectiveness of PSA<br>nt, and was not a<br>years of follow | disease at diag<br>contributions i<br><u>control arm at</u><br>b,0.2%] when a<br>the control arm<br>veen trial arms<br>C. Similar find<br>d the potential<br>screening in r<br>ttributable to d<br><b>up</b> in 6 centres | applying the control<br>ms, had the two gro<br>was 4.2%, our<br>lings were seen in a<br>effect of these<br>reducing PCa morta<br>ifferential treatment   |

Rate per 1000 person-years

<u>Study</u> Reference

# ERSPC (Hugosson 2019/Auvinen 2016)

Linked records: Carlsson 2019; Hakama 2017; Walter 2017; Buzzoni 2015; Schröder 2014

|                | Screening     | Control | Rate Ratio (95%<br>CI) |      | % mortality reduction | % mortality reduction<br>(95% CI) |
|----------------|---------------|---------|------------------------|------|-----------------------|-----------------------------------|
| Pre-consent ra | andomisation  |         |                        |      |                       | •                                 |
| Finland        | 0.47          | 0.51    | 0.91 (0.75–1.10)       | 0.65 | 9                     | 15 (–18– 37)                      |
| Italy          | 0.32          | 0.39    | 0.81 (0.48–1.35)       | 0.68 | 19                    | 26 (-43-56)                       |
| Sweden         | 0.55          | 0.89    | 0.62 (0.41-0.92)       | 0.62 | 38                    | 52 (15–73)                        |
| Total          |               |         | 0.58 (0.72-0.99)       |      | 15                    | 26 (2-43)*                        |
| Post-consent   | randomisation |         |                        |      |                       | · · · ·                           |
| Belgium        | 0.38          | 0.50    | 0.77 (0.41–1.42)       | 0.88 | n.e.                  | 24 (-45-56)                       |
| Netherlands    | 0.43          | 0.63    | 0.67 (0.51–0.88)       | 0.95 | n.e.                  | 35 (13–52)                        |
| Switzerland    | 0.33          | 0.29    | 1.14 (0.56-2.33)       | 0.96 | n.e.                  | -14 (-135-45)                     |
| Total          |               |         | 0.73 (0.57-0.92)       |      | n.e.                  | 29 (9–45)                         |
| Overall total  | 0.43          | 0.54    | 0.79 (0.69–0.91)       | 0.76 |                       | 28 (13–40)*                       |

\*With adjustment for the control population in Finland

n.e. not estimable

#### Author's conclusions

The correction for study design did not reduce the variation between individual centres, suggesting that centre-specific variation in the mortality reduction could not be accounted for by the randomisation method

#### Schröder 2014

Prostate cancer incidence in the intervention and control arms during 3 time periods truncated – all centres, core age group, France excluded except for years 1–9

|                                    |                         | Screening       |                                |                         | Control         |                                |                       | Rate<br>difference per                         | Rate<br>difference           |
|------------------------------------|-------------------------|-----------------|--------------------------------|-------------------------|-----------------|--------------------------------|-----------------------|--|------------------------------|
|                                    | Prostate<br>cancer<br>N | Person<br>years | Rate /1000<br>person-<br>years | Prostate<br>cancer<br>N | Person<br>years | Rate /1000<br>person-<br>years | (95% CI) <sup>1</sup> | 1000 person-<br>years (95%<br>CI) <sup>1</sup> | per 1000<br>men <sup>1</sup> |
| Years 1–9<br>(including<br>France) | 7902                    | 835353          | 9.46                           | 5726                    | 984993          | 5.81                           | 1.64 (1.58–<br>1.69)  | 3.69 (3.42–<br>3.95)                           | 26.5                         |
| Years 1–9                          | 6147                    | 585627          | 10.50                          | 4127                    | 736688          | 5.60                           | 1.91 (1.83–<br>1.99)  | 5.00 (4.68–<br>5.32)                           | 39.0                         |
| Years 1–<br>11                     | 6797                    | 692186          | 9.82                           | 5262                    | 873415          | 6.02                           | 1.66 (1.60–<br>.73)   | 3.90 (3.61–<br>4.20)                           | 35.5                         |
| Years 1–<br>13                     | 7408                    | 775527          | 9.55                           | 6107                    | 980474          | 6.23                           | 1.57 (1.51–<br>1.62)  | 3.44 (3.16–<br>3.72)                           | 34.8                         |

<sup>1</sup>Control group for Finland weighted by 1:1.5

Prostate cancer mortality in the intervention and control arms during 3 time periods truncated – all centres, core age group, France excluded except for years 1–9

| Screening |
|-----------|
|-----------|

#### Study Reference

ERSPC (Hugosson 2019/Auvinen 2016) Linked records: Carlsson 2019; Hakama 2017; Walter 2017; Buzzoni 2015; Schröder 2014

|               | Prostate<br>cancer<br>deaths<br>N | Person<br>years | Rate<br>/1000<br>person-<br>years | Prostate<br>cancer<br>deaths<br>N | Person<br>years | Rate /1000<br>person-<br>years | Rate ratio (95%<br>CI) <sup>1</sup> | Rate<br>difference per<br>1000 person-<br>years (95%<br>CI) <sup>1</sup> | Rate<br>difference<br>per 1000<br>men <sup>1</sup> | Adjusted rate<br>ratio in<br>attenders |
|---------------|-----------------------------------|-----------------|-----------------------------------|-----------------------------------|-----------------|--------------------------------|-------------------------------------|--|--|--|
| Years<br>1–9  | 193                               | 614590          | 0.31                              | 278                               | 751777          | 0.37                           | 0.85 (0.70–1.03)<br>p=0.10          | -0.06 (-0.12-<br>+0.01)  | -0.46  |  |
| Years<br>1–11 | 265                               | 732133          | 0.35                              | 415                               | 896367          | 0.46                           | 0.78 (0.66–0.91)<br>p=0.002         | -0.10 (-0.17-<br>-0.04)  | -1.02  | 0.71 (0.58–<br>0.88) p=0.001           |
| Years<br>1–13 | 355                               | 825018          | 0.43                              | 545                               | 1011192         | 0.54                           | 0.79 (0.69–0.91)<br>p=0.001         | -0.11 (-0.18-<br>-0.05)  | -1.28  | 0.73 (0.61–<br>0.88) p<0.001           |

<sup>1</sup>Control group for Finland weighted by 1:1.5

#### NNI and NND per follow-up period: core age group

|                        | 11 years o     | f follow-up  | 13 years of follow-up |              |  |
|------------------------|----------------|--------------|-----------------------|--------------|--|
|                        | NNI (95% CI)   | NND (95% CI) | NNI (95% CI)          | NND (95% CI) |  |
| Total excluding France | 979 (594–2770) | 35 (21–96)   | 781 (490–1929)        | 27 (17–66)   |  |

Also reports randomisation, participants and results of screening for all centres with data truncated at 13 years of follow-up and all cause and PCa mortality by age at randomisation (excluding France)

## Author's conclusions

With data truncated at 13 years of follow-up, out study continues to demonstrate a significant 21% relative PCa mortality reduction in favour of screening, with one PCa death averted per 781 men invited and 27 excess cases detected. The relative risk reduction in men actually screened was 27% after adjustment for selected effects. In spite of these findings further quantification of harms and their reduction are still considered as pre-requirements for the introduction of population based screening

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#### Correcting for adjudication inaccuracies in 5 centres and assessing whether this modifies the study results

|             | Estimation method (odds ratios for prostate cancer death between screening and control arm) |   |   |  |  |  |  |  |  |
|-------------|---|---|---|--|--|--|--|--|--|
| Country     | Empirical <sup>a</sup>  | Empirical, corrected using overall estimates of adjudicator accuracy <sup>b</sup> | Empirical, corrected using differential estimates of adjudicator accuracy by study arm <sup>c</sup> | Directly from latent<br>class model <sup>d</sup> |  |  |  |  |  |
| Netherlands | 0.342   | 0.35  | 0.337   | 0.328  |  |  |  |  |  |
| Belgium     | 0.759   | 0.904   | 0.866   | 0.902  |  |  |  |  |  |
| Sweden      | 0.355   | 0.381   | 0.395   | 0.368  |  |  |  |  |  |
| Finland     | 0.52  | 0.575   | 0.568   | 0.556  |  |  |  |  |  |
| Switzerland | 0.625   | 0.5   | 0.259   | 0.437  |  |  |  |  |  |

<sup>a</sup> Estimated from cross-tabulation of adjudication consensus by study arm

<sup>b</sup> Estimated proportions of prostate cancer deaths in each study arm were corrected using estimated false positive and false negative adjudication ratees in latent class model 2. Odds ratios were then calculated from these corrected proportions

° Similar to approach (b), except that adjudicator accuracy was estimated from latent class model 3

<sup>d</sup> Based on latent class model 2 estimates of the association of study arm with the latent variable (prostate cancer death)

| <u>Study</u>     | ERSPC (Hugosson 2019/Auvinen 2016)  |
|------------------|---|
| <u>Reference</u> | Linked records: Carlsson 2019; Hakama 2017; Walter 2017; Buzzoni 2015; Schröder 2014  |
|                  | We can conclude that observer variation, while demonstrably present, was unlikely to have had a strong influence on the main study results.<br>Hence, we conclude that the ERSPC results are not attributable to biased or unreliable cause of death adjudication, and one possible source<br>of bias that could explain a mortality reduction associated with PCa screening can be effectively ruled out |

**Abbreviations**: ERSPC, European Randomized Study of Screening for Prostate Cancer; I<sub>c</sub>, incidence in control arm; IQR, interquartile range; I<sub>s</sub>, incidence in screening arm; M<sub>c</sub>, mortality in control arm; M<sub>s</sub>, mortality in screening arm; ND, not detected; NND, number needed to detect; NNI, number needed to invite; NNO, number needed for overdiagnosis; NR, not reported; PCa, prostate cancer; PSA, prostate-specific antigen

# Table 39c. Göteborg Screening Study (Swedish ERSPC), Arnsrud Godtman 2015

| <u>Study</u><br><u>Reference</u> | Göteborg Screening Study (Swedish ERSPC) (Arnsrud Godtman 2015)<br>Linked records: Hugosson 2018   |
|----------------------------------|--|
|                                  | Study name<br>Göteborg Screening Study (Swedish ERSPC)   |
|                                  | Design<br>Randomised controlled trial  |
| Study Design                     | <u>Objective</u><br>To compare the ability to reduce PCa mortality and the risk of overdiagnosis between organised and opportunistic screening<br><u>Dates</u><br>Initiation/recruitment: 1995<br>Maximum follow-up: 2012  |
|                                  | <u>Country</u><br>Sweden   |
|                                  | <u>Setting</u><br>NR   |
|                                  | Patient recruitment and eligibility<br>20,000 of the men recorded in the population register as living in Gothenburg (born 1930–1944) were computer-randomised, 10,000 to a<br>screening group and 10,000 to a control group. Men in the screening group received written information about PSA screening together with<br>an invitation to participate every 2 years. Men with PSA above a threshold (2.5 ng/mL since 2005) were recommended further urological<br>work-up including prostate biopsy. |
| Population<br>Characteristics    | <b>Inclusion</b><br>At recruitment, men were aged 50–64 years. The upper age limit for invitation was 67–71 years (mean 69 years)  |
|                                  | Exclusion<br>NR  |
|                                  | <u>Sample size</u><br>N screened/invited = N/A<br>N eligible = 32,298 men in Göteborg  |

| <u>Study</u><br>Reference | Göteborg Screening Study (Swedish ERSPC) (Arnsrud Godtman 2015)         Linked records: Hugosson 2018         N enrolled (underwent randomisation) = 20,000 (screening:control in 1:1 ratio)         N excluded (with reason) = screening: 50 (28 men with prevalent PCa, 22 men who had emigrated or died); control: 51 (27 men with prevalent PCa, 24 men who had emigrated, died or were excluded due to other reasons)         N in the intervention group = 9,950 (invited every 2 years for a PSA test)         N in the control group = 9,949 (not invited)         N lost to follow-up = NR         N completed = 7,647 (77%) attended at least one screening         N excluded from analysis = NR         N included in analysis = NR |  |                     |                        |                              |  |  |  |  |
|---------------------------|---|--|---------------------|------------------------|------------------------------|--|--|--|--|
|                           |   |  |                     |                        |                              |  |  |  |  |
|                           | Prostate cancers diagnosed in the study group   |  |                     |                        |                              |  |  |  |  |
|                           | Parameter   | Scre   | eening              | Col                    | ntrol                        |  |  |  |  |
|                           | Men randomised, n   | 10   | 0000                | 10                     | 000                          |  |  |  |  |
|                           | Men invited, n  |  | 950                 |                        | 949                          |  |  |  |  |
|                           | PCa cases, n  |  | 396                 |                        | 62                           |  |  |  |  |
|                           | Median age at diagnosis, yr<br>(IQR)  |  | 2.2–68.3)           | ·                      | 4.2–71.3)                    |  |  |  |  |
|                           | Median PSA at diagnosis, ng/mL  |  | 4.9                 | 8                      |                              |  |  |  |  |
|                           | Prostate cancer cases, n (%)  | Screen-detected  | Not screen-detected | Screen-detected        | Not screen-detected          |  |  |  |  |
|                           | Number<br>Low risk <sup>c</sup>   | <u>1022</u> <sup>a</sup><br>613 (60)   | 374<br>84 (22)      | <u>361</u><br>128 (35) | 601 <sup>b</sup><br>125 (21) |  |  |  |  |
|                           | Intermediate risk <sup>d</sup>  | 331 (32)   | 138 (37)<br>73 (19) | 128 (33)               | 125 (21)<br>192 (32)         |  |  |  |  |
|                           | High risk <sup>e</sup>  | 63 (6.2)   |                     | 42 (12)                | 127 (21)                     |  |  |  |  |
|                           | Advanced <sup>f</sup>   | 12 (1.3)   | 54 (14)             | 10 (2.8)               | 107 (18)                     |  |  |  |  |
|                           | Unknown   | 2 (0.2)  | 25 (6.7)            | 13 (3.6)               | 50 (8.3)                     |  |  |  |  |
|                           | PCa deaths  |  | 79                  | 1                      | 22                           |  |  |  |  |
|                           | <sup>b</sup> Includes 8 cases diagnosed at autopsy<br><sup>c</sup> T1, not N1 or M1, Gleason score ≤6 and F<br><sup>d</sup> T1–2, not N1 or M1, Gleason score ≥7 an<br><sup>e</sup> T1–4, not N1 or M1, Gleason score ≥8 an<br><sup>f</sup> N1 and/or M1 and/or PSA ≥100 ng/mL<br><u>Randomisation</u><br>Computer-randomised   | e <sup>-</sup> T1, not N1 or M1, Gleason score ≤6 and PSA <10 ng/mL<br>d <sup>-</sup> T1–2, not N1 or M1, Gleason score ≤7 and/or PSA <20 ng/mL<br>e <sup>-</sup> T1–4, not N1 or M1, Gleason score ≥8 and/or PSA <100 ng/mL<br><u>fN1 and/or M1 and/or PSA ≥100 ng/mL</u><br><u>Randomisation</u><br><u>Computer-randomised</u> |                     |                        |                              |  |  |  |  |
| ethods                    | Randomisation before consent (Zelen-type, effectiveness design)      Duration of follow-up     18 years     Note that the control arm involved opportunistic screening. <u>Outcomes</u>   |  |                     |                        |                              |  |  |  |  |
|                           | Mortality/morbidity outcomes <ul> <li>Primary extraction</li> </ul>   |  |                     |                        |                              |  |  |  |  |

| <u>Study</u><br>Reference  | Göteborg Screening Study (Swedish ERSPC) (Arnsrud Godtman 2015)<br>Linked records: Hugosson 2018   |  |                               |  |                    |                    |                    |  |  |
|----------------------------|--|--|-------------------------------|--|--------------------|--------------------|--------------------|--|--|
|                            | method); 6<br>1990–199<br>• Linked studies: PC<br>Harms of PSA screening o<br>• Primary extraction   | Sodtman 2015: PCa<br>expected PCa incide<br>(pre-PSA era])<br>a mortality with resp<br>outcomes<br>Sodtman 2015: NNI a | ence and mortality r          | ates in the absend<br>aphic inequality     | e of PSA testing ( | calculated using h | storical data from |  |  |
|                            | Incidence (18 year follow-up   | <u>)</u>   |                               |  |                    |                    |                    |  |  |
|                            | Outcome  |  | Screening arm (r              | n=9950) Co                                 | ontrol arm (n=9949 | ) P value          |                    |  |  |
|                            | Median time from randomis diagnosis  | sation to  | 8.6 yr                        |  | 10.3 yr            | <0.001             |                    |  |  |
|                            | Observed cumulative incide   | ence of PCa  | 16%                           |  | 11%                |                    |                    |  |  |
|                            | Expected PCa incidence   |  | 6.8%                          |  | 6.9%               |                    |                    |  |  |
|                            | Mortality (18 year follow-up)  |  |                               |  |                    |                    |                    |  |  |
|                            | Outcome  | Screening ar   | m (n=9950)                    | Control arm (n=9949)                       |                    |                    |                    |  |  |
|                            | Observed cumulative PCa  | 0.98   |                               | 1.5%                                       |                    |                    |                    |  |  |
|                            | Expected PCa mortality   | 1.7  |                               | 1.7%                                       |                    |                    |                    |  |  |
|                            | Absolute mortality reduction   |  | 0.72% (95% CI                 |  |                    |                    |                    |  |  |
|                            | Relative risk reduction (fror  |  | 42% (95% CI                   |  |                    |                    |                    |  |  |
| Mortality<br>Ind/or        | Exposure to an increasing rate of opportunistic PSA screening, as documented in previous reports relevant to the control group, did not result in any significant difference between the observed and expected PCa mortality during any period of the follow-up (absolute reduction of 0.20% [95% CI –0.06% to 0.47%], relative risk reduction 12% [95% CI –5% to 26%]). |  |                               |  |                    |                    |                    |  |  |
| Norbidity<br>Dutcomes (Q1) | PCa incidence rate, mortality rate and rate ratio at different lengths of follow-up for the screening and control groups, stratified by age  |  |                               |  |                    |                    |                    |  |  |
| utcomes (QT)               | (Hugosson 2018)  |  |                               |  |                    |                    |                    |  |  |
|                            |  |  | erson-years (95%<br>CI)       | Rate ratio (screening vs control) (95% CI) |                    |                    | CI)                |  |  |
|                            |  | Screening arm  | Control arm                   | All men                                    | 50–54 yr           | 55–59 yr           | 60–64 yr           |  |  |
|                            | 14 year follow-up  |  |                               |  |                    |                    |                    |  |  |
|                            | N PCa/person-years   | 1140/132199  | 722/136840                    | -  | -                  | -                  | -                  |  |  |
|                            | PCa incidence  | 8.6 (8.1–9.1)  | 5.3 (4.9–5.7)                 | 1.63 (1.49–1.80)                           | 1.85 (1.56–2.20)   | 1.67 (1.43–1.97)   | 1.43 (1.22–1.69)   |  |  |
|                            | N PCa deaths/person-years  | 44/125973  | 78/125914                     | -  | -                  | -                  | -                  |  |  |
|                            | PCa mortality  | 0.35 (0.26–0.47)   | 0.62 (0.50-0.77)              | 0.56 (0.38–0.83)                           | 0.62 (0.16–2.15)   | 0.35 (0.16–0.68)   | 0.77 (0.45–1.31)   |  |  |
|                            | 16 year follow-up  | 4000/404000  | 000/400047                    |  | Γ                  | I                  | I                  |  |  |
|                            | N PCa/person-years<br>PCa incidence  | 1288/131683  | 860/136317                    | -  | -                  | -                  |                    |  |  |
|                            | r Ca incluence   | 9.8 (9.3–10.3)   | 6.3 (5.9–6.7)                 | 1.55 (1.42–1.69)                           | 1.80 (1.55–2.10)   | 1.45 (1.25–1.69)   | 1.43 (1.22–1.67)   |  |  |
|                            |  |  |                               |  |                    |                    |                    |  |  |
|                            | N PCa deaths/person-years<br>PCa mortality   | 60/141118<br>0.43 (0.33–0.55)  | 98/141035<br>0.69 (0.57–0.85) | - 0.61 (0.44–0.85)                         | 0.54 (0.18–1.44)   | 0.35 (0.18–0.65)   | 0.90 (0.57–1.43)   |  |  |

| <u>Study</u><br>Reference | Göteborg Screening St<br>Linked records: Hugosson 2  |  | (Arnsrud Godtma  | n 2015)   |  |  |   |  |  |  |  |
|---------------------------|--|--|--|---|--|--|---|--|--|--|--|
|                           | N PCa/person-years   | 1396/143776  | 962/149129   | -   | -  | -  | -   |  |  |  |  |
|                           | PCa incidence  | 9.7 (9.2–10.2)   | 6.5 (6.1–6.9)  | 1.51 (1.39–1.64)  | 1.77 (1.54–2.04)   | 1.37 (1.19–1.59)   | 0.47 (0.28-0.79)  |  |  |  |  |
|                           | N PCa deaths/person-yea  | rs 79/155374   | 122/155245   | - 1   | -  | -  | · -   |  |  |  |  |
|                           | PCa mortality  | 0.51 (0.41–0.64)   | 0.79 (0.66-0.94)   | 0.65 (0.48-0.87)  | 0.50 (0.20-1.16)   | 0.47 (0.28-0.79)   | 0.85 (0.56-1.28)  |  |  |  |  |
|                           | Prostate biopsy method (if applicable)<br>N/A<br>NNI and NND for different follow-up lengths   |  |  |   |  |  |   |  |  |  |  |
|                           |  | Screening a  | arm  |   | Control arm  |  |   |  |  |  |  |
|                           | Follow-up length   | NNI  | NND  | NNI <sup>a</sup>  | N  | ND   |   |  |  |  |  |
| Harms of PSA-             | 12 years   | 461  | 36   | -   |  | -  |   |  |  |  |  |
| Based                     | 13 years   | 400  | 34   | _   |  | _  |   |  |  |  |  |
| Screening (Q2)            | 14 years   | 261  | 22   |   |  | -  |   |  |  |  |  |
|                           | 15 years   | 216  | 19   | 1053  |  | 16   |   |  |  |  |  |
|                           | 16 years   | 188  | 17   | 1190  | 55   |  |   |  |  |  |  |
|                           | 17 years   | 164  | 15   | 820   |  | 39   |   |  |  |  |  |
|                           |  |  | 13   | 493   | 23   |  |   |  |  |  |  |
|                           | 18 years         139         13         493         23           aNNI could not be assessed before 15 years of follow-up because no mortality reduction was discernible before that point in time         23   |  |  |   |  |  |   |  |  |  |  |
| Authors'<br>Conclusions   | <ul> <li>reporting modest ministudy start</li> <li>In the screening ground large discrepancy in screening.</li> <li>More important is the to save one man frou (NND 23 vs 13).</li> <li>When the screening data show that the bamount of overdiagr opportunistic screen older at diagnosis ar</li> <li>Results indicate that after 18 years of following the series of the ser</li></ul> | ed through opportunistic<br>cturition symptoms were<br>up, NNI to prevent one I<br>NNI shows the differen<br>e difference in NND as i<br>m dying from PC with of<br>group was instead com<br>background use of PSA<br>hosis (as NND) when the<br>ing detects tumours at a<br>hd screen-detected tumo<br>torganised intense scre<br>ow-up, 13 men must be | e also actually scree<br>PCa death was 139<br>ce in the ability to re<br>it reflects the rate of<br>pportunistic screenin<br>pared to the control<br>testing in the control<br>e screening group is<br>a later stage when co<br>ours in the control g<br>ening effectively rec<br>diagnosed to preve | an-detected. The in<br>at 18 years. The c<br>educe PCa mortalit<br>overdiagnosis. Alr<br>ng compared to me<br>group, NNI and N<br>group results in u<br>compared to the c<br>ompared to organi<br>roup were more ac<br>duces PCa mortalit<br>nt one PCa death | crease in incidence<br>corresponding value<br>y between organis<br>most twice the nur<br>en offered an orga<br>ND were 190 and<br>nderestimation of<br>control group. Our<br>sed screening, as<br>dvanced than thos<br>y but is associated<br>compared to a situ | the was apparent by<br>the in the control grissed and opportunis<br>mber of men needen<br>ised biennial scro<br>9, respectively at<br>both the mortality<br>results also sugge<br>the men in the co<br>e in the screening<br>d with considerable<br>uation with no PSA | y 3 yr after the<br>oup was 493. Th<br>stic PSA<br>ed to be diagnos<br>eening program<br>18 years. These<br>reduction and th<br>est that<br>ntrol group were<br>group<br>e overdiagnosis;<br>t testing. |  |  |  |  |
| Additional<br>Results/    |  | esting had little if any efi<br>, as estimated by NND.   |  | y, and was associa  | ated with greater c  | overdiagnosis in co  | mparison to   |  |  |  |  |

| <u>Study</u>           | Göteborg Screening Study (Swedish ERSPC) (Arnsrud Godtman 2015)   |
|------------------------|---|
| Reference              | Linked records: Hugosson 2018   |
| from Linked<br>Records | Reports PCa incidence and PCa-specific mortality among attendees in sociodemographic subgroups at 18-year follow-up. This data is not extracted because results are not compared between screening and control arms |

**Abbreviations**: ERSPC, European Randomized Study of Screening for Prostate Cancer; IQR, interquartile range; N/A, not applicable; NND, number needed to detect; NNI, number needed to invite; NR, not reported; PCa, prostate cancer; PSA, prostate-specific antigen

# Table 39d. ERSPC Rotterdam, Bokhorst 2015/Chiu 2017

| <u>Study</u><br>Reference | ERSPC Rotterdam (Bokhorst 2015/Chiu 2017)<br>Linked records: Bokhorst 2014  |
|---------------------------|---|
|                           | Study name         ERSPC Rotterdam         Design         Randomised controlled trial   |
|                           | Objective<br>Bokhorst 2015: To assess differences in treatment between the screening and control arms of ERSPC Rotterdam and study whether<br>possible treatment differences could explain the positive study outcome   |
| Study Design              | Chiu 2017: To investigate biopsy complications and hospital admissions that could be reduced by the use of ERSPC risk calculators <a href="https://www.complections.org">Dates</a> Initiation/recruitment: 1993–1999 Maximum follow-up: 2010 for outcomes; 2015 for biopsy complications  |
|                           | <u>Country</u><br>The Netherlands<br>Setting  |
|                           | NR  |
|                           | Patient recruitment and eligibility<br>In the screening arm, men were invited for PSA testing every 4 years until age 75 years. In the first half of the first screening round men were<br>offered prostate biopsy if PSA was ≥4 ng/mL or DRE was abnormal. After the first half of the first screening round PSA ≥3 ng/mL was the<br>only indication for prostate biopsy in all subsequent screening rounds. |
| Population                | Inclusion<br>At recruitment, men were aged 55–74 years.   |
| Characteristics           | Exclusion<br>NR   |
|                           | Sample size<br>N screened/invited = N/A<br>N eligible = NR<br>N enrolled (underwent randomisation) = 42,376 (21,210 in the screening arm; 21,166 in the control arm [17,443 and 17,390 respectively in<br>the core age group of 55–69])   |

| <u>Study</u><br>Reference | ERSPC Rotterdam (Bokhorst 2015/Chiu 2017)<br>Linked records: Bokhorst 2014 |   |                      |                         |
|---------------------------|--|---|----------------------|-------------------------|
|                           | N excluded (with reason) = NR<br>N in the intervention group = NR          |   |                      |                         |
|                           | N in the control group = NR<br>N lost to follow-up = NR                    |   |                      |                         |
|                           | N completed = NR   |   |                      |                         |
|                           | N excluded from analysis = NR  |   |                      |                         |
|                           | N included in analysis = NR  |   |                      |                         |
|                           | Prostate cancers diagnosed in the study group                              |   |                      |                         |
|                           | Parameter  | Screening                                     | Control              |                         |
|                           | Cases, n   | 2699  | 1444                 |                         |
|                           | Median age at diagnosis, yr (IQR)  | 68.7 (64.8–72.5)                              | 72.1 (67.5–75.8)     |                         |
|                           | Median PSA at diagnosis, ng/mL (IQR)                                       | 5.2 (3.5–9.1)                                 | 11.8 (7.2–27.5)      |                         |
|                           | cT stage at diagnosis, n (%)   |   |                      |                         |
|                           | 1  | 1504 (55.7)                                   | 700 (48.5)           |                         |
|                           | 2  | 819 (30.3)                                    | 379 (26.2)           |                         |
|                           | 3  | 319 (11.8)                                    | 272 (18.8)           |                         |
|                           | 4<br>Minging   | 28 (1)  | 65 (4.5)             |                         |
|                           | Missing<br>Gleason Score at diagnosis, n (%)                               | 29 (1.1)                                      | 28 (1.9)             |                         |
|                           | Sieason Score at diagnosis, n (%)<br>≤6                                    | 1863 (69)                                     | 701 (48.5)           |                         |
|                           | 7  | 592 (21.9)                                    | 426 (29.5)           |                         |
|                           | >8   | 223 (8.3)                                     | 292 (20.2)           |                         |
|                           | Missing  | 21 (0.8)                                      | 25 (1.7)             |                         |
|                           | M stage at diagnosis, n (%)  |   |                      |                         |
|                           | 0,X  | 2643 (97.9)                                   | 1312 (90.9)          |                         |
|                           | 1  | 56 (2.1)                                      | 132 (9.1)            |                         |
|                           | Risk group at diagnosis, n (%)   |   |                      |                         |
|                           | Low  | 1386 (51.4)                                   | 350 (24.2)           |                         |
|                           | Intermediate   | 553 (20.5)                                    | 371 (25.7)           |                         |
|                           | High   | 647 (24)                                      | 512 (35.5)           |                         |
|                           | Metastatic<br>Missing  | 73 (2.7)<br>40 (1.5)                          | 182 (12.6)<br>29 (2) |                         |
|                           | Deaths, n (%)  | 40 (1.5)                                      | 29 (2)               |                         |
|                           | All causes   | 781 (28.9)                                    | 490 (33.9)           |                         |
|                           | PCa-specific   | 151 (5.6)                                     | 188 (13)             |                         |
|                           | *In 141 men in screening arm and 22 controls with missing Glea             | ason Score (3.9% of all with PĆa) tumour grad |                      | ore groups of ≤6, 7 and |
|                           | respectively, to enable more complete analysis while 46 had m              | issing Gleason Score and tumour grade.        |                      |                         |
|                           | Randomisation<br>Computer-randomised                                       |   |                      |                         |
|                           | <ul> <li>Randomisation after consent (efficacy d</li> </ul>                | esign)  |                      |                         |
| lethods                   | Duration of follow-up  | colgit/                                       |                      |                         |
|                           | Median 12.8 years  |   |                      |                         |

| <u>Study</u><br>Reference | ERSPC Rotterdam (Bokhorst 2015/Chiu 2017)<br>Linked records: Bokhorst 2014  |                       |                      |             |                        |                 |       |                       |  |  |
|---------------------------|---|-----------------------|----------------------|-------------|------------------------|-----------------|-------|-----------------------|--|--|
|                           | Outcomes         Mortality/morbidity outcomes         •       Primary extraction         •       Bokhorst 2015: PCa-specific mortality (analysed in entire cohort and core age group of 55–69 years), incidence         •       Linked studies: PCa-specific mortality after adjustment for nonattendance and contamination         Harms of PSA screening outcomes       •         •       Primary extraction         •       Chiu 2017: biopsy complications and hospital admissions (prospectively recorded in questionnaires that were completed 2 weeks after biopsy). All biopsies (N=10,970 from 7,422 men) performed between 1993 and 2015 were included. Complications after prostate biopsy included fever, haematuria, haematospermia, pain (persistent pain on 10–14 <sup>th</sup> day after biopsy), and any hospital admission within the first 2 weeks; proportion of biopsies, complications and admissions that could be avoided by applying the ERSPC risk calculators to men with a PSA value ≥3.0 ng/mL at initial and repeat biopsy. |                       |                      |             |                        |                 |       |                       |  |  |
|                           | Prostate cance  | r incidence and morta | ality in screening a | and control | arms by risk group     |                 |       |                       |  |  |
|                           | Diek group  | Overall incidence     |                      |             | Ove                    | erall mortality |       | P value (RR incidence |  |  |
|                           | Risk group  | Screening, n (%)      | Control, n (%)       | RR          | Screening, n (%)       | Control, n (%)  | RR    | vs mortality)         |  |  |
|                           | Overall   | 2699 (12.7)           | 1444 (6.8)           | 1.87        | 151 (0.71)             | 188 (0.89)      | 0.80  | <0.001                |  |  |
| Mortality                 | Missing   | 40 (0.2)              | 29 (0.1)             | 1.38        | 0 Ó                    | 1 (0.005)       | -     | -                     |  |  |
| and/or                    | Low   | 1386 (6.5)            | 350 (1.7)            | 3.95        | 15 (0.07)              | 1 (0.005)       | 14.97 | 0.198                 |  |  |
| Morbidity                 | Intermediate  | 553 (2.6)             | 371 (1.8)            | 1.49        | 14 (0.07)              | 10 (0.05)       | 1.40  | 0.881                 |  |  |
| Outcomes (Q1)             | High  | 647 (3.1)             | 512 (2.4)            | 1.26        | 87 (0.41)              | 82 (0.39)       | 1.06  | 0.287                 |  |  |
|                           | Metastatic  | 73 (0.3)              | 182 (0.9)            | 0.40        | 35 (0.17)              | 94 (0.44)       | 0.37  | 0.758                 |  |  |
|                           | The slope of the regression line of ln(RR) PCa incidence on ln(RR) PCa mortality in the intermediate, high and metastatic risk group was 1.00 (95% CI 0.30–1.74, R <sup>2</sup> =0.94). This means that incidence and mortality were 1:1 related in these groups and 94% of the changes in morality could be explained by differences in incidence. Adding the low risk group did not change the results (slope 1.06, 95% CI 0.44–1.68, R <sup>2</sup> =0.91)   |                       |                      |             |                        |                 |       |                       |  |  |
|                           | <u>Prostate biopsy method (if applicable)</u><br>Systemic sextant biopsy of the prostate with a TRUS-guided approach according to the ERSPC protocol  |                       |                      |             |                        |                 |       |                       |  |  |
|                           |   |                       |                      |             |                        |                 |       |                       |  |  |
|                           | Biopsy complications and baseline characteristics of those with biopsies (N=10,747 with biopsy complication data available) Total biopsies (N=10747)  |                       |                      |             |                        |                 |       |                       |  |  |
|                           |   |                       |                      | <u> </u>    | , ,                    |                 |       |                       |  |  |
| Harms of PSA-             | Median age, y   |                       |                      |             | (64.0–71.5)            |                 |       |                       |  |  |
| Based                     |   | ate volume, mL (IQR)  |                      |             | (34.0–59.4)            |                 |       |                       |  |  |
| Screening (Q2)            |   | ous biopsy, n (%)     |                      |             | 00 (0.9)               |                 |       |                       |  |  |
|                           | Diabetes, n (%  | -                     |                      |             | 92 (6.4)               |                 |       |                       |  |  |
|                           | Heart disease   | · · · /               |                      |             | 83 (17.5)              |                 |       |                       |  |  |
|                           | Any complic   | ations, n (%)         |                      |             | 94 (67.9)              |                 |       |                       |  |  |
|                           | Fever, n (%)  | n (9/)                |                      |             | 24 (3.9)               |                 |       |                       |  |  |
|                           | Haematuria,<br>Haematospe   |                       |                      |             | 33 (25.4)<br>69 (50.0) |                 |       |                       |  |  |
|                           | naematospe  | 1111a, 11 (%)         |                      | 53          | 09 (00.0)              |                 |       |                       |  |  |

| Reference  |  |   |   |   |                 |
|--|--|---|---|---|-----------------|
|  | Pain, n (%)  |   | (4.6)   |   |                 |
|  | Hospital admission, n (%)  | 92 (  | (0.9)   |   |                 |
|  | Biopsies, complications and admissions (N=7,704)   | that could be reduced by a  | voiding biopsies in applying ER   | SPC risk calculators 3 and 4 (RC  | <u>;4)</u>      |
|  |  | Whole cohort (RC3 or  | RC3 for 1 <sup>st</sup> round of  | RC4 for 2 <sup>nd–5<sup>th</sup> rounds of</sup>  |                 |
|  | Events reduced by avoiding biopsy  | RC4) N=7704,  | screening and without   | screening and/or previous   |                 |
|  | if RC3 or RC4: PCa risk <12.5%<br>and HGPCa risk <3%   | % (n/N)   | previous biopsies N=3083  | negative biopsy N=4621  |                 |
|  | and HGPCa risk <3%   | <i>/////////////////////////////////////</i>  | % (n/N)   | % (n/N)   |                 |
|  | Biopsy   | 35.8 (2757/7704)  | 27.1 (837/3083)   | 41.5 (1920/4621)  |                 |
|  | Any complication   | 37.4 (1972/5268)  | 28.2 (564/2000)   | 43.1 (1408/3268)  |                 |
|  | Fever  | 39.4 (128/325)  | 31.5 (39/124)   | 44.3 (89/201)   |                 |
|  | Haematuria   | 43.3 (893/2063)   | 32.1 (224/698)  | 49.0 (669/1365)   |                 |
|  | Haematospermia   | 35.8 (1363/3810)  | 27.4 (407/1483)   | 41.1 (956/2327)   |                 |
|  | Pain   | 39.0 (141/362)  | 33.3 (48/144)   | 42.7 (93/218)   |                 |
|  | Hospital admissions  | 42.3 (30/71)  | 15.4 (2/13)   | 48.3 (25/58)  |                 |
|  | consistent with the changes in<br>with subsequent earlier treatm   | PCa incidence per risk gro<br>ent as the main reason for  | up initiated through screening.<br>lower PCa mortality in the scree   | otterdam. The changes in mortalit<br>This observation supports a stage<br>ning arm or ERSPC Rotterdam, e<br>rms   | shift           |
|  | <ul> <li>A favourable stage shift with le consistent with the changes in with subsequent earlier treatm a large effect on the primary o</li> <li>Chiu 2017</li> <li>A significant proportion of biop were carried out on the basis of the start of the start</li></ul>    | PCa incidence per risk gro<br>ent as the main reason for<br>utcome of observed differen<br>sy complications, hospital a<br>of an individual multivariate  | up initiated through screening. T<br>lower PCa mortality in the scree<br>nces in treatment between the a<br>admissions and associated cost<br>risk assessment using the ERS   | This observation supports a stage<br>ning arm or ERSPC Rotterdam, e   | shift<br>exclud |
|  | <ul> <li>A favourable stage shift with le consistent with the changes in with subsequent earlier treatm a large effect on the primary o</li> <li>Chiu 2017</li> <li>A significant proportion of biop</li> </ul>  | PCa incidence per risk gro<br>ent as the main reason for<br>utcome of observed differen<br>sy complications, hospital a<br>of an individual multivariate  | up initiated through screening. T<br>lower PCa mortality in the scree<br>nces in treatment between the a<br>admissions and associated cost<br>risk assessment using the ERS   | This observation supports a stage<br>ning arm or ERSPC Rotterdam, e<br>rms.<br>s could be reduced if biopsy decis   | shift<br>exclud |
|  | <ul> <li>A favourable stage shift with le consistent with the changes in with subsequent earlier treatm a large effect on the primary o</li> <li>Chiu 2017         <ul> <li>A significant proportion of biop were carried out on the basis of prominent in men who had under the second sec</li></ul></li></ul> | PCa incidence per risk gro<br>ent as the main reason for<br>utcome of observed differen<br>by complications, hospital a<br>of an individual multivariate<br>dergone multiple biopsy ses   | up initiated through screening.<br>lower PCa mortality in the scree<br>nces in treatment between the a<br>admissions and associated cost<br>risk assessment using the ERS<br>ssions.  | Γhis observation supports a stage<br>ning arm or ERSPC Rotterdam, e<br>rms.<br>s could be reduced if biopsy decis<br>PC risk calculators. This effect wa                                      | sions as mo     |
|  | <ul> <li>A favourable stage shift with le consistent with the changes in with subsequent earlier treatm a large effect on the primary of Chiu 2017         <ul> <li>A significant proportion of biop were carried out on the basis of prominent in men who had und Bokhorst 2014             </li> </ul> </li> </ul>   | PCa incidence per risk gro<br>ent as the main reason for<br>utcome of observed differen<br>by complications, hospital a<br>of an individual multivariate<br>dergone multiple biopsy ses   | up initiated through screening.<br>lower PCa mortality in the scree<br>nces in treatment between the a<br>admissions and associated cost<br>risk assessment using the ERS<br>ssions.  | Γhis observation supports a stage<br>ning arm or ERSPC Rotterdam, e<br>rms.<br>s could be reduced if biopsy decis<br>PC risk calculators. This effect wa                                      | sions           |
| onclusions   | A favourable stage shift with le consistent with the changes in with subsequent earlier treatm a large effect on the primary of <b>Chiu 2017</b> A significant proportion of biop were carried out on the basis of prominent in men who had und <b>Bokhorst 2014</b> Reduction of PCa-specific mortality from contamination*)     Intention to screen  | PCa incidence per risk gro<br>ent as the main reason for<br>utcome of observed difference<br>by complications, hospital a<br>of an individual multivariate<br>dergone multiple biopsy ses<br>in screening (for the intention<br>RR<br>0.68  | up initiated through screening. T<br>lower PCa mortality in the screences in treatment between the a<br>admissions and associated costs<br>risk assessment using the ERS<br>ssions.<br><u>n to screen analysis, correction</u><br><u>95% Cl P value</u><br>0.53–0.89 0.004  | Γhis observation supports a stage<br>ning arm or ERSPC Rotterdam, e<br>rms.<br>s could be reduced if biopsy decis<br>PC risk calculators. This effect wa                                      | sions           |
| dditional  | A favourable stage shift with le consistent with the changes in with subsequent earlier treatm a large effect on the primary of <b>Chiu 2017</b> A significant proportion of biop were carried out on the basis of prominent in men who had und <b>Bokhorst 2014</b> Reduction of PCa-specific mortality from contamination*)     Intention to screen     Correction for nonattenders  | PCa incidence per risk gro<br>ent as the main reason for<br>utcome of observed difference<br>by complications, hospital a<br>of an individual multivariate<br>dergone multiple biopsy ses<br><u>in screening (for the intention</u><br>RR<br>0.68<br>0.67                           | up initiated through screening. T<br>lower PCa mortality in the screences in treatment between the a<br>admissions and associated coster<br>risk assessment using the ERS<br>ssions.<br><u>n to screen analysis, correction</u><br><u>95% Cl P value</u><br>0.53–0.89 0.004<br>0.51–0.88 0.004                                      | Γhis observation supports a stage<br>ning arm or ERSPC Rotterdam, e<br>rms.<br>s could be reduced if biopsy decis<br>PC risk calculators. This effect wa                                      | sions as mo     |
| onclusions<br>dditional<br>esults/<br>onclusions   | A favourable stage shift with le consistent with the changes in with subsequent earlier treatm a large effect on the primary of <b>Chiu 2017</b> A significant proportion of biop were carried out on the basis of prominent in men who had und <b>Bokhorst 2014</b> Reduction of PCa-specific mortality from contamination*)     Intention to screen     Correction for nonattenders     Correction for PSA contamination   | PCa incidence per risk gro<br>ent as the main reason for<br>utcome of observed differen-<br>by complications, hospital a<br>of an individual multivariate<br>dergone multiple biopsy ses<br><u>in screening (for the intention</u><br>RR<br>0.68<br>0.67<br>0.61                    | up initiated through screening. T<br>lower PCa mortality in the screences in treatment between the a<br>admissions and associated costs<br>risk assessment using the ERS<br>ssions.<br><u>n to screen analysis, correction</u><br><u>95% Cl P value</u><br>0.53–0.89 0.004<br>0.51–0.88 0.004<br>0.42–0.88 0.008                    | Γhis observation supports a stage<br>ning arm or ERSPC Rotterdam, e<br>rms.<br>s could be reduced if biopsy decis<br>PC risk calculators. This effect wa                                      | sions as mo     |
| additional<br>tesults/<br>conclusions<br>rom Linked  | A favourable stage shift with le consistent with the changes in with subsequent earlier treatm a large effect on the primary of <b>Chiu 2017</b> A significant proportion of biop were carried out on the basis of prominent in men who had une <b>Bokhorst 2014</b> Reduction of PCa-specific mortality from contamination*)     Intention to screen     Correction for nonattenders     Correction for PSA contamination     Correction for biopsy contamination   | PCa incidence per risk gro<br>ent as the main reason for<br>utcome of observed differen-<br>by complications, hospital a<br>of an individual multivariate<br>dergone multiple biopsy ses<br><u>in screening (for the intention</u><br>RR<br>0.68<br>0.67<br>0.61<br>0.53            | up initiated through screening. T<br>lower PCa mortality in the screences in treatment between the a<br>admissions and associated costs<br>risk assessment using the ERS<br>ssions.<br><u>95% CI P value</u><br>0.53–0.89 0.004<br>0.51–0.88 0.004<br>0.42–0.88 0.008<br>0.32–0.88 0.014  | Γhis observation supports a stage<br>ning arm or ERSPC Rotterdam, e<br>rms.<br>s could be reduced if biopsy decis<br>PC risk calculators. This effect wa                                      | sions as mo     |
| Additional<br>Results/<br>Conclusions<br>rom Linked  | A favourable stage shift with le consistent with the changes in with subsequent earlier treatm a large effect on the primary of <b>Chiu 2017</b> A significant proportion of biop were carried out on the basis of prominent in men who had une <b>Bokhorst 2014</b> Reduction of PCa-specific mortality from contamination*)     Intention to screen     Correction for nonattenders     Correction for PSA contamination   | PCa incidence per risk gro<br>ent as the main reason for<br>utcome of observed differen-<br>sy complications, hospital a<br>of an individual multivariate<br>dergone multiple biopsy ses<br>n screening (for the intention<br>RR<br>0.68<br>0.67<br>0.61<br>0.53<br>0.58            | up initiated through screening. T<br>lower PCa mortality in the screences in treatment between the a<br>admissions and associated costs<br>risk assessment using the ERS<br>ssions.<br><u>95% CI P value</u><br>0.53–0.89 0.004<br>0.51–0.88 0.004<br>0.42–0.88 0.008<br>0.32–0.88 0.014<br>0.39–0.86 0.007                         | Γhis observation supports a stage<br>ning arm or ERSPC Rotterdam, e<br>rms.<br>s could be reduced if biopsy decis<br>PC risk calculators. This effect wa                                      | sions as mo     |
| Additional<br>Results/<br>Conclusions<br>rom Linked  | A favourable stage shift with le consistent with the changes in with subsequent earlier treatm a large effect on the primary of <b>Chiu 2017</b> A significant proportion of biop were carried out on the basis of prominent in men who had une <b>Bokhorst 2014</b> Reduction of PCa-specific mortality from contamination*)     Intention to screen     Correction for nonattenders     Correction for PSA contamination     Correction for nonattenders plus PSA     Correction for nonattenders plus biops   | PCa incidence per risk gro<br>ent as the main reason for<br>utcome of observed differen-<br>sy complications, hospital a<br>of an individual multivariate<br>dergone multiple biopsy ses<br>n screening (for the intention<br>RR<br>0.68<br>0.67<br>0.61<br>0.53<br>0.58            | up initiated through screening. T<br>lower PCa mortality in the screences in treatment between the a<br>admissions and associated costs<br>risk assessment using the ERS<br>ssions.<br><u>95% CI P value</u><br>0.53–0.89 0.004<br>0.51–0.88 0.004<br>0.42–0.88 0.008<br>0.32–0.88 0.014  | Γhis observation supports a stage<br>ning arm or ERSPC Rotterdam, e<br>rms.<br>s could be reduced if biopsy decis<br>PC risk calculators. This effect wa                                      | sions as mo     |
| Authors'<br>Conclusions<br>Additional<br>Results/<br>Conclusions<br>from Linked<br>Records | A favourable stage shift with le consistent with the changes in with subsequent earlier treatm a large effect on the primary of Chiu 2017     A significant proportion of biop were carried out on the basis of prominent in men who had une Bokhorst 2014     Reduction of PCa-specific mortality from contamination*)     Intention to screen     Correction for nonattenders     Correction for PSA contamination     Correction for nonattenders plus PSA  | PCa incidence per risk gro<br>ent as the main reason for<br>utcome of observed differen-<br>sy complications, hospital a<br>of an individual multivariate<br>dergone multiple biopsy ses<br>n screening (for the intention<br>RR<br>0.68<br>0.67<br>0.61<br>0.53<br>0.58            | up initiated through screening. T<br>lower PCa mortality in the screences in treatment between the a<br>admissions and associated costs<br>risk assessment using the ERS<br>ssions.<br><u>95% CI P value</u><br>0.53–0.89 0.004<br>0.51–0.88 0.004<br>0.42–0.88 0.008<br>0.32–0.88 0.014<br>0.39–0.86 0.007                         | Γhis observation supports a stage<br>ning arm or ERSPC Rotterdam, e<br>rms.<br>s could be reduced if biopsy decis<br>PC risk calculators. This effect wa                                      | sion<br>as n    |
| Additional<br>Results/<br>Conclusions<br>from Linked                                       | A favourable stage shift with le consistent with the changes in with subsequent earlier treatm a large effect on the primary of <b>Chiu 2017</b> A significant proportion of biop were carried out on the basis of prominent in men who had une <b>Bokhorst 2014</b> Reduction of PCa-specific mortality from contamination*)     Intention to screen     Correction for nonattenders     Correction for PSA contamination     Correction for nonattenders plus PSA     Correction for nonattenders plus biops   | PCa incidence per risk gro<br>ent as the main reason for<br>utcome of observed different<br>by complications, hospital a<br>of an individual multivariate<br>dergone multiple biopsy ses<br>in screening (for the intention<br>RR<br>0.68<br>0.67<br>0.61<br>0.53<br>0.58<br>y 0.49 | up initiated through screening. T<br>lower PCa mortality in the screen<br>nees in treatment between the a<br>admissions and associated costs<br>risk assessment using the ERS<br>ssions.<br><u>95% Cl P value</u><br>0.53–0.89 0.004<br>0.51–0.88 0.004<br>0.42–0.88 0.004<br>0.42–0.88 0.014<br>0.39–0.86 0.007<br>0.27–0.87 0.015 | This observation supports a stage<br>ning arm or ERSPC Rotterdam, or<br>rms.<br>s could be reduced if biopsy decis<br>PC risk calculators. This effect wa<br>for nonattendance and correction | sions<br>as mo  |

Abbreviations: DRE, digital rectal examination; ERSPC, European Randomized Study of Screening for Prostate Cancer; HGPCa, high-grade prostate cancer; IQR, interquartile range; N/A, not applicable; PCa, prostate cancer; PSA, prostate-specific antigen; RR, risk reduction; TRUS, transrectal ultrasound

# Table 39e. FinRSPC (Finnish ERSPC), Neupane 2018/Pashayan 2015/Booth 2014

| <u>Study</u><br>Reference | <b>IRSPC (Finnish ERSPC),</b> Neupane 2018/Pashayan 2015/Booth 2014<br><b>FinRSPC (Finnish ERSPC) (Neupane 2018/Pashayan 2015/Booth 2014)</b><br>Linked records: Kilpeläinen 2017; Kilpeläinen 2016; Kilpeläinen 2015; Lindberg 2019; Pakarainen 2019; Pakarainen 2016 |
|---------------------------|--|
|                           | Study name<br>FinRSPC  |
|                           | Design<br>Randomised controlled trial  |
|                           | Objective  |
|                           | Neupane 2018: To identify the prognostic factors of prostate cancer death among patients enrolled in a Finnish prostate cancer screening trial   |
| Study Design              | Pashayan 2015: To estimate mean sojourn time and sensitivity and then use these estimates to derive the probability of overdiagnosis by polygenic risk   |
|                           | Booth 2014: To quantify the long-term HRQoL impact associated with screening for PCa   |
|                           | Dates<br>Initiation/recruitment: 1996–1999   |
|                           | Maximum follow-up: 2013  |
|                           | <u>Country</u><br>Finland  |
|                           | Setting<br>2 study centres in Helsinki and Tampere   |
|                           | Patient recruitment and eligibility<br>Men were identified from the Finnish Population Registry. A random sample of 8,000 men was annually allocated to the screening arm, and<br>the remaining men formed the control arm without any intervention.                   |
|                           | Inclusion<br>At recruitment, men were aged 55–67 years   |
| Population                | <b>Exclusion</b><br>Men aged >71 years, those diagnosed with PCa and men who had emigrated from the study area were no longer invited.   |
| Characteristics           | Sample size<br>N screened/invited = N/A<br>N eligible = NR   |
|                           | N enrolled (underwent randomisation) = 80,176 (screening arm: 31,866; control arm: 48,278)<br>N excluded (with reason) = NR  |
|                           | N in the intervention group = NR<br>N in the control group = NR  |
|                           | N lost to follow-up = NR   |
|                           | N lost to follow-up = NR<br>N completed = NR   |

<u>Study</u> Reference

## FinRSPC (Finnish ERSPC) (Neupane 2018/Pashayan 2015/Booth 2014)

Linked records: Kilpeläinen 2017; Kilpeläinen 2016; Kilpeläinen 2015; Lindberg 2019; Pakarainen 2019; Pakarainen 2016

N excluded from analysis = NR N included in analysis = NR

# Characteristics of the PCa cases and PCa deaths by trial arm

| Parameter                         |             | PCa cases   |        | PCa death            |            |       |  |
|-----------------------------------|-------------|-------------|--------|----------------------|------------|-------|--|
|                                   | Screening   | Control     | Р      | Screening            | Control    | Р     |  |
|                                   | (n=3473)    | (n=4475)    | value  | (n=456) <sup>¯</sup> | (n=278)    | value |  |
| Age at randomisation, n (%)       |             |             | 0.35   |                      |            | 0.93  |  |
| 55 years                          | 829 (23.9)  | 1035 (23.1) |        | 41 (14.8)            | 67 (14.7)  |       |  |
| 59 years                          | 897 (25.8)  | 1154 (25.8) |        | 65 (23.4)            | 102 (22.4) |       |  |
| 63 years                          | 925 (26.6)  | 1152 (25.7) |        | 76 (27.3)            | 119 (26.1) |       |  |
| 67 years                          | 822 (23.7)  | 1134 (25.7) |        | 96 (34.5)            | 168 (36.8) |       |  |
| Median age at diagnosis, yr (IQR) | 67 (55–83)  | 69 (55–83)  |        | 67 (55–81)           | 68 (55–81) |       |  |
| Median PSA at diagnosis, ng/mL    | 8.0 (0.5–   | 9.8 (0.4–   |        | 32.8 (2.1–           | 40.3 (1.2– |       |  |
| (IQR)                             | 2700)       | 8930)       |        | 2370)                | 8430)      |       |  |
| Biopsy Gleason sum, n (%)         |             |             | <0.001 |                      |            | 0.12  |  |
| 2–6                               | 2071 (59.6) | 2034 (45.5) |        | 64 (23.0)            | 78 (17.1)  |       |  |
| 7                                 | 877 (25.3)  | 1478 (33.0) |        | 75 (27.0)            | 113 (24.8) |       |  |
| 8–10                              | 464 (13.4)  | 836 (18.7)  |        | 126 (45.3)           | 230 (50.4) |       |  |
| Missing                           | 61 (1.8)    | 127 (2.8)   |        | 13 (4.7)             | 35 (7.7)   |       |  |
| Risk group, n (%)                 |             |             | <0.001 |                      |            | 0.07  |  |
| Low                               | 995 (28.6)  | 1160 (25.9) |        | 9 (3.2)              | 17 (3.7)   |       |  |
| Intermediate                      | 764 (22.0)  | 1398 (31.2) |        | 33 (11.9)            | 40 (8.8)   |       |  |
| High                              | 651 (18.8)  | 1155 (25.8) |        | 80 (28.8)            | 121 (26.5) |       |  |
| Advanced                          | 238 (6.9)   | 520 (11.6)  |        | 121 (43.5)           | 262 (57.5) |       |  |
| Missing                           | 825 (23.7)  | 242 (5.3)   |        | 35 (12.6)            | 16 (3.5)   |       |  |

#### Characteristics of the PCa cases and PCa deaths by method of detection in the screening arm (compared to control)

| Parameter                            |                                 | PCa c                               | ases                |         | PCa death                     |                                       |                    |         |  |
|--------------------------------------|---------------------------------|-------------------------------------|---------------------|---------|-------------------------------|---------------------------------------|--------------------|---------|--|
|                                      | Screen-<br>detected<br>(n=1633) | Not screen-<br>detected<br>(n=1840) | Control<br>(n=4475) | P value | Screen-<br>detected<br>(n=93) | Not<br>screen-<br>detected<br>(n=185) | Control<br>(n=278) | P value |  |
| Age at randomisation, n (%)          |                                 |                                     |                     | 0.001;  |                               |                                       |                    | 0.90;   |  |
| 55 years                             | 358 (21.9)                      | 471 (25.6)                          | 1035 (23.1)         | 0.10    | 13 (14.0)                     | 28 (15.1)                             | 67 (14.7)          | 0.78    |  |
| 59 years                             | 458 (28.1)                      | 439 (23.9)                          | 1154 (25.8)         |         | 18 (19.4)                     | 47 (25.4)                             | 102 (22.4)         |         |  |
| 63 years                             | 474 (29.0)                      | 451 (24.5)                          | 1152 (25.7)         |         | 27 (29.0)                     | 49 (26.5)                             | 119 (26.1)         |         |  |
| 67 years                             | 343 (21.0)                      | 479 (26.0)                          | 1134 (25.7)         |         | 35 (37.6)                     | 61 (33.0)                             | 168 (36.8)         |         |  |
| Median age at diagnosis, yr<br>(IQR) | 67 (55–72)                      | 69 (55–83)                          | 69 (55–83)          |         | 67 (55–72)                    | 68 (58–81)                            | 68 (55–81)         |         |  |
| Median PSA at diagnosis,             | 5.6 (2-123)                     | 9.6 (0.5–                           | 9.8 (0.4–           |         | 9.1 (3.6–                     | 37.9 (4.7–                            | 40.3 (1.2-         |         |  |
| ng/mL (IQR)                          | . ,                             | 2700)                               | 8930)               |         | 1185)                         | 2370)                                 | 8430)              |         |  |
| Biopsy Gleason sum, n (%)            |                                 |                                     |                     | <0.001; |                               |                                       |                    | <0.001; |  |
| 2–6                                  | 1195 (73.2)                     | 876 (47.6)                          | 2034 (45.5)         | 0.12    | 38 (40.9)                     | 26 (14.1)                             | 78 (17.1)          | 0.51    |  |

| Study               | FinRSPC (Finnish ERSPC) (Neupane 2018/Pashayan 2015/Booth 2014)  |  |  |  |   |   |   |                                |            |  |  |
|---------------------|--|--|--|--|---|---|---|--------------------------------|------------|--|--|
| Reference           | Linked records: Kilpeläinen 2017; I  | Kilpeläinen 2016; Ki   | peläinen 2015; L   | indberg 2019; P  | akarainen 2   | 019; Pakarainer                                   | 2016  |                                |            |  |  |
|                     | 7  | 318 (19.5)   | 559 (30.4)   | 1478 (33.0)  |   | 31 (33.3)   | 44 (23.8)   | 113 (24.8)                     |            |  |  |
|                     | 8–10   | 117 (7.2)  | 347 (18.9)   | 836 (18.7)   |   | 24 (25.8)   | 102 (55.1)  | 230 (50.4)                     |            |  |  |
|                     | Missing  | 3 (0.2)  | 58 (3.2)   | 127 (2.8)  |   | 0   | 13 (7.0)  | 35 (7.7)                       |            |  |  |
|                     | Risk group, n (%)  |  |  |  | <0.001;   |   |   |                                | <0.001;    |  |  |
|                     | Low  | 520 (31.8)   | 475 (25.8)   | 1160 (25.9)  | 0.74  | 6 (6.5)   | 3 (1.6)   | 17 (3.7)                       | 0.53       |  |  |
|                     | Intermediate   | 200 (12.3)   | 564 (30.7)   | 1398 (31.2)  |   | 14 (15.1)   | 19 (10.3)   | 40 (8.8)                       |            |  |  |
|                     | High   | 215 (13.2)   | 436 (23.7)   | 1155 (25.8)  |   | 31 (33.3)   | 49 (26.5)   | 121 (26.5)                     |            |  |  |
|                     | Advanced   | 35 (2.1)   | 203 (11.0)   | 520 (11.6)   |   | 15 (16.1)   | 106 (57.3)  | 262 (57.5)                     |            |  |  |
|                     | Missing  | 663 (40.6)   | 162 (8.8)  | 242 (5.3)  |   | 27 (29.0)   | 8 (4.3)   | 16 (3.5)                       |            |  |  |
|                     | Randomisation  |  |  |  |   |   |   |                                |            |  |  |
|                     | Computer-randomised  |  |  |  |   |   |   |                                |            |  |  |
|                     | <ul> <li>Randomisation before</li> </ul>   | consent (Zelen-t   | ype, effectiven  | ess design)  |   |   |   |                                |            |  |  |
|                     | Duration of follow-up  |  |  |  |   |   |   |                                |            |  |  |
|                     | 16 years (Neupane 2018)  |  |  |  |   |   |   |                                |            |  |  |
|                     | 13 years (Pashayan 2015; Boo   | th 2014)   |  |  |   |   |   |                                |            |  |  |
|                     | • • • •  | ,  |  |  |   |   |   |                                |            |  |  |
|                     | Outcomes   |  |  |  |   |   |   |                                |            |  |  |
|                     | Mortality/morbidity outcomes   | 5  |  |  |   |   |   |                                |            |  |  |
|                     | Primary extraction   | 10, DCa anasifia   | mortality (agua  | as of dooth abi  | tained from   | Statiatica Fiel                                   | and) Mathad   | f DCa dataati                  | 00.000     |  |  |
|                     |  | 18: PCa-specific<br>screen-detected a  |  |  |   |   |   |                                |            |  |  |
|                     |  | ancers among no  |  |  |   |   |   | , as well as ill               | leivai     |  |  |
| Methods             | Harms of PSA screening out   | •  | n-participarits i  |  | ing ann.  |   |   |                                |            |  |  |
|                     | Primary extraction   | comes  |  |  |   |   |   |                                |            |  |  |
|                     |  | )15: overdiagnosi  | s (probability d   | erived by poly   | nenic risk)   |   |   |                                |            |  |  |
|                     |  | ygenic risk score  |  |  |   | otypes of 66 k                                    | nown PCa loci                                       | for 4 967 me                   | n from the |  |  |
|                     |  | hish section of the  |  |  |   |   |   |                                |            |  |  |
|                     |  | below the media  |  | ,  |   |   |   |                                |            |  |  |
|                     | <ul> <li>Me</li> </ul>   | an sojourn time a  | nd sensitivity o   | f PSA were es  | timated by  | the Walter and                                    | d Day (1993) m                                      | nethod                         |            |  |  |
|                     |  | each polygenic r   |  |  |   |   |   |                                | losed wei  |  |  |
|                     |  |  |  |  |   |   |   |                                |            |  |  |
|                     | est  | estimated from the difference between the observed and expected number of screen-detected cancers<br>o Booth 2014: HRQoL, ascertained by postal questionnaire surveys (conducted in 1998, 2000, 2004 and 2011) (RAND 36- |  |  |   |   |   |                                |            |  |  |
|                     | o Booth 2014:  | HRQoL, ascertai  |  |  |   |   |   |                                |            |  |  |
|                     | <ul> <li>Booth 2014:<br/>Item Short F</li> </ul>   | HRQoL, ascertai<br>orm Health Surve  | y, which can b   | e used to prod   | uce SF-6D   | ; 15D health s                                    | tate description                                    | n system and                   |            |  |  |
|                     | o Booth 2014:<br>Item Short F<br>instrument) (   | HRQoL, ascertai<br>orm Health Surve<br>among men in Fin  | y, which can b   | e used to prod   | uce SF-6D   | ; 15D health s                                    | tate description                                    | n system and                   |            |  |  |
|                     | <ul> <li>Booth 2014:<br/>Item Short F</li> </ul>   | HRQoL, ascertai<br>orm Health Surve<br>among men in Fin  | y, which can b   | e used to prod   | uce SF-6D   | ; 15D health s                                    | tate description                                    | n system and                   |            |  |  |
|                     | <ul> <li>Booth 2014:<br/>Item Short F<br/>instrument) (</li> </ul>   | HRQoL, ascertai<br>orm Health Surve<br>among men in Fin<br>n=2,200).   | y, which can b   | e used to prod   | uce SF-6D   | ; 15D health s                                    | tate description                                    | n system and                   |            |  |  |
| Mortality           | o Booth 2014:<br>Item Short F<br>instrument) :<br>population (i  | HRQoL, ascertai<br>orm Health Surve<br>among men in Fin<br>n=2,200).   | y, which can b   | e used to prod   | uce SF-6D   | ; 15D health s                                    | tate description                                    | n system and                   |            |  |  |
| Mortality<br>and/or | o Booth 2014:<br>Item Short F<br>instrument) a<br>population (r<br><u>Cause-specific survival from ra</u>  | HRQoL, ascertai<br>orm Health Surve<br>among men in Fir<br>1=2,200).<br>ndomisation  | y, which can b<br>RSPC diagnos                                     | e used to prod<br>sed with PCa (1                                      | uce SF-6D<br>total n=7,0                            | ; 15D health s<br>11) and amon <u>c</u>           | tate description<br>a random sub                    | n system and<br>osample of the | e trial    |  |  |
| and/or<br>Morbidity | <ul> <li>Booth 2014:<br/>Item Short F<br/>instrument) a<br/>population (r</li> <li><u>Cause-specific survival from ra</u><br/>Screening vs control arm</li> </ul>                                | HRQoL, ascertai<br>orm Health Surve<br>among men in Fir<br>1=2,200).<br>ndomisation<br>ses in the screeni  | y, which can b<br>RSPC diagnos<br>ng arm compai                    | e used to prod<br>sed with PCa (i<br>red with the co                   | uce SF-6D<br>total n=7,0<br>ntrol arm (I            | ; 15D health s<br>11) and amon <u>c</u>           | tate description<br>a random sub                    | n system and<br>osample of the | e trial    |  |  |
| and/or              | <ul> <li>Booth 2014:<br/>Item Short F<br/>instrument) a<br/>population (r</li> <li><u>Cause-specific survival from ra</u><br/>Screening vs control arm</li> <li>Higher among the case</li> </ul> | HRQoL, ascertai<br>orm Health Surve<br>among men in Fir<br>=2,200).<br>ndomisation<br>ses in the screeni<br>15 years; age-ac   | y, which can b<br>RSPC diagnos<br>ng arm compan<br>ljusted HR 0.79 | e used to prod<br>sed with PCa (i<br>red with the co<br>9 [95% CI 0.74 | uce SF-6D<br>total n=7,0<br>ntrol arm (ł<br>–0.84]) | ; 15D health s<br>11) and among<br>Kaplan-Meier s | tate description<br>a random sub<br>survival estima | n system and<br>osample of the | e trial    |  |  |

| <u>Study</u><br>Reference |   | FinRSPC (Finnish ERSPC) (Neupane 2018/Pashayan 2015/Booth 2014)<br>Linked records: Kilpeläinen 2017; Kilpeläinen 2016; Kilpeläinen 2015; Lindberg 2019; Pakarainen 2019; Pakarainen 2016   |               |                                    |                           |                        |  |  |  |  |
|---------------------------|---|--|---------------|------------------------------------|---------------------------|------------------------|--|--|--|--|
|                           | 0.03 vs 0.05 at 10 years  | <ul> <li>A survival advantage was also seen for screen-detected cases compared with other cancers (Kaplan–Meier mortality estimates<br/>0.03 vs 0.05 at 10 years and 0.06 vs 0.09 at 15 years; HR 0.58, 95% CI 0.53–0.64 for the entire follow up) with 33% lower risk of<br/>PCa mortality among screen detected cases</li> </ul> |               |                                    |                           |                        |  |  |  |  |
|                           | Impact of prognostic factors  |  |               |                                    |                           |                        |  |  |  |  |
|                           | Screening vs control arm  |  |               |                                    |                           |                        |  |  |  |  |
|                           | <ul> <li>Most prognostic factors f</li> <li>PSA 6–10 carried a poor<br/>(HR 1.08, 95% CI 0.69 to</li> </ul> |  |               | ning arm (HR 1.65, 95 <sup>0</sup> | % CI 0.86 to 3.17), but r | not in the control arm |  |  |  |  |
|                           | <ul> <li>Gleason score of 8–10 h<br/>7.39, 95% CI 5.70 to 9.56</li> </ul>                                   | ad a stronger effect in the s  | screening a   | rm (HR 9.10, 95% CI 6              | .76 to 12.25) compared    | with the control (HR   |  |  |  |  |
|                           |   | 6 CI 0.93 to 1.66) – the diff  | ference betw  | ween the arms was not              | significant (P=0.41)      | , ,                    |  |  |  |  |
|                           |   | prognostic determinant in t<br>portion at 15 years in the so   |               |                                    |                           | lvanced disease in th  |  |  |  |  |
|                           | Prostate biopsy method (if applica  |  | 0             |                                    | ,                         |                        |  |  |  |  |
|                           | N/A   |  |               |                                    |                           |                        |  |  |  |  |
|                           | Overdiagnosis (Pashayan 2015)   |  |               |                                    |                           |                        |  |  |  |  |
|                           | Proportion of the study population  | with PRS and of those in the   | he higher ri  | sk aroup                           |                           |                        |  |  |  |  |
|                           |   |  | le nighter ni | N (%) with PRS                     | N (%) with PRS in th      | ne.                    |  |  |  |  |
|                           |   |  |               |                                    | higher risk group         |                        |  |  |  |  |
|                           | Screening arm   |  |               |                                    |                           |                        |  |  |  |  |
|                           | Men with no PCa (N=21,030)  |  |               | 3877 (18.4)                        | 1930 (49.8)               |                        |  |  |  |  |
|                           | Screen-detected cancer at first ro  |  |               | 173 (25.2)                         | 131 (75.7)                |                        |  |  |  |  |
|                           | Screen-detected cancer at subse   | quent rounds of screening  | (N=960)       | 406 (42.3)                         | 285 (70.2)                |                        |  |  |  |  |
|                           | Interval cancer (N=525)   |  |               | 115 (21.9)                         | 92 (80.0)                 |                        |  |  |  |  |
| Harms of PSA-             | Clinically diagnosed cancer >4 ye   |  | 65)           | 34 (6.0)                           | 25 (73.5)                 |                        |  |  |  |  |
| Based                     | Non-participants with clinically dia  |  |               | 22 (3.9)                           | 17 (77.3)                 |                        |  |  |  |  |
| Screening (Q2)            | Hon paracipanto marino cancor (   | liagnosis (N=7,542)  |               | 0 (0.0)                            | 0 (0.0)                   |                        |  |  |  |  |
|                           | Control arm   |  |               | 220 (0.0)                          | 050 (70.0)                |                        |  |  |  |  |
|                           | Clinically diagnosed cancer   |  |               | <u>339 (8.2)</u><br>1 (0.0)        | 250 (73.8)<br>1 (100.0)   |                        |  |  |  |  |
|                           | No cancer   |  |               | T (0.0)                            | I (100.0)                 |                        |  |  |  |  |
|                           | Proportion of screen-detected can   | Proportion of screen-detected cancers which are likely to be overdiagnosed by polygenic risk   |               |                                    |                           |                        |  |  |  |  |
|                           | N scree   | ning N screen-   | Exp           | ected N of non-                    | % overdiagnosis           |                        |  |  |  |  |
|                           | Screening round episod  | les detected cancer  |               | agnosed screen-<br>tected cancer   | (95% ČI)                  |                        |  |  |  |  |
|                           | Overall   |  |               |                                    |                           |                        |  |  |  |  |
|                           | Screening round 1 2377  |  |               | 504                                | 42 (37–52)                |                        |  |  |  |  |
|                           | Screening round 2 1804  | 4 596  |               | 272                                |                           |                        |  |  |  |  |

#### Study Poforonc

# FinRSPC (Finnish ERSPC) (Neupane 2018/Pashayan 2015/Booth 2014)

Reference

Linked records: Kilpeläinen 2017; Kilpeläinen 2016; Kilpeläinen 2015; Lindberg 2019; Pakarainen 2019; Pakarainen 2016

| Screening round 3 | 10328 | 364  | 173 |            |
|-------------------|-------|------|-----|------------|
| Total             | 52143 | 1646 | 949 |            |
| PRS risk groups   |       |      |     |            |
| Lower risk group  |       |      |     |            |
| Screening round 1 | 11938 | 167  | 101 | 58 (54–65) |
| Screening round 2 | 9062  | 178  | 55  |            |
| Screening round 3 | 5187  | 108  | 35  |            |
| Total             | 26186 | 453  | 191 |            |
| Higher risk group |       |      |     |            |
| Screening round 1 | 11833 | 519  | 402 | 37 (31–47) |
| Screening round 2 | 8982  | 418  | 217 |            |
| Screening round 3 | 5141  | 256  | 139 |            |
| Total             | 25957 | 1193 | 758 |            |

## HRQoL (Booth 2014)

Response to questionnaires

|                    |   | Screen       | ing arm          |      | Control arm |      |      |      |  |  |  |  |  |
|--------------------|---|--------------|------------------|------|-------------|------|------|------|--|--|--|--|--|
|                    | 1998  | 1999         | 2003             | 2011 | 1998        | 1999 | 2003 | 2011 |  |  |  |  |  |
| Men diagnosed with | Men diagnosed with PCa (recruited over the course of the trial) |              |                  |      |             |      |      |      |  |  |  |  |  |
| Unit response      | 148   | 272          | 891              | 1587 | 36          | 97   | 536  | 1706 |  |  |  |  |  |
| Unit nonresponse   | 12  | 20           | 86               | 430  | 3           | 6    | 80   | 613  |  |  |  |  |  |
| No address or dead | 4   | 14           | 89               | 145  | 1           | 3    | 55   | 177  |  |  |  |  |  |
| 15D responses      | 144   | 260          | 879              | 1539 | 34          | 94   | 530  | 1644 |  |  |  |  |  |
| EQ-5D responses    | N/A   | N/A          | N/A              | 1536 | N/A         | N/A  | N/A  | 1632 |  |  |  |  |  |
| SF-6D responses    | 142   | 245          | 828              | 1423 | 32          | 88   | 486  | 1521 |  |  |  |  |  |
| Random sample of ' | 1,100 men dra   | wn from each | trial arm (in 19 | 998) |             |      |      |      |  |  |  |  |  |
| Unit response      | 740   | 748          | 683              | 549  | 733         | 752  | 690  | 539  |  |  |  |  |  |
| Unit nonresponse   | 354   | 326          | 328              | 279  | 361         | 326  | 316  | 301  |  |  |  |  |  |
| No address or dead | 6   | 26           | 89               | 272  | 6           | 22   | 94   | 260  |  |  |  |  |  |
| 15D responses      | 735   | 736          | 682              | 534  | 729         | 742  | 688  | 522  |  |  |  |  |  |
| EQ-5D responses    | N/A   | N/A          | N/A              | 519  | N/A         | N/A  | N/A  | 514  |  |  |  |  |  |
| SF-6D responses    | 700   | 697          | 653              | 486  | 688         | 697  | 656  | 483  |  |  |  |  |  |

Cross-sectional analysis (2011 survey)

Men diagnosed with PCa (screening vs control arm)

- 15D: 0.872 vs 0.866 (p=0.14)
- EQ-5D: 0.852 vs 0.831 (p=0.03)
- SF-6D: 0.763 vs 0.756 (p=0.06)

Men from trial subsample

• 15D: 0.889 vs 0.892 (p=0.62)

| <u>Study</u><br>Reference  | FinRSPC (Finnish ERSPC) (Neupane 2018/Pa<br>Linked records: Kilpeläinen 2017; Kilpeläinen 2016; Ki  |  |  | Pakarainen 2016  |   |
|----------------------------|---|--|--|--|---|
|                            | <ul> <li>EQ-5D: 0.831 vs 0.852 (p=0.08)</li> <li>SF-6D: 0.775 vs 0.777 (p=0.88)</li> <li>Comparison of both</li> <li>The decrement in the mean HRQoL sc control arm than in the screening arm</li> </ul>  |  | vith PCa relative to the trial subs<br>asures were lower for men with  |  |   |
|                            |   |  |  |  | han men in trial subsample (but   |
|                            | <ul> <li>Men from trial subsample</li> <li>Age and socioeconomic status were stallocalities)</li> </ul>   | atistically sigr   | ificant determinants of the 15D  | score (no differ   | rences between trial arms or  |
|                            | Men with PCa <ul> <li>Mean 15D scores in all surveys were h         age, domicile and socioeconomic statu</li> </ul>  |  | creening arm (by increment of 0  | .01) than in cor   | ntrol arm after adjustment for  |
| Authors'<br>Conclusions    | <ul> <li>Neupane 2018 <ul> <li>Screen-detected cancers have a better</li> <li>The screening arm had a 20% reduced</li> <li>Advanced disease is associated with pread-time is eliminated.</li> <li>Minor differences were found for species</li> <li>A high diagnostic PSA was related to prease the screening resulted in earlier treatments trage, Gleason score and PSA at diagreemas.</li> </ul> </li> <li>Pashayan 2015 <ul> <li>Targeting screening to men at higher preases.</li> </ul> </li> <li>Booth 2014 <ul> <li>This study shows a small advantage in PCa. These differences were small and up.</li> <li>Using these HRQoL measures, this study between the trial arms for the trial population.</li> </ul> </li> </ul> | d risk of PCa<br>poorer outcom<br>ific prognostic<br>poor outcome<br>ent among th<br>nosis remains<br>oolygenic risk<br>the mean HF<br>d not detected<br>udy provides li | mortality compared with the con<br>les in cases outside of screening<br>factors.<br>, especially among the cases de<br>e cases in the screening arm. N<br>s the major prognostic determina<br>could reduce the proportion of c<br>QOL scores for the screening an<br>I by all of the generic indicators<br>ttle evidence than mean health- | trols.<br>g than screen-c<br>etected outside<br>levertheless, th<br>ant for PCa det<br>ancers overdia<br>rm over the cor<br>at all times in th | of screening. This indicates that<br>e prognostic risk group based on<br>ected by screening and other<br>gnosed<br>htrol arm for men diagnosed with<br>he course of the 13-year follow- |
|                            | Kilpeläinen 2016<br>Crosstabulations of causes of death by official S   |  |  |  | ommittee (the gold standard) in   |
| Additional<br>Results/     | the Finnish Randomized Study of Screening for<br>Statistics Finland   | Prostate Can   | cer (1996–2014) at <b>16 years of</b><br>Cause of death committee  | tollow up  |   |
| Conclusions<br>from Linked | -   | PCa death  | Other cause of death   | Total  |   |
| Records                    | Screening arm   |  |  |  |   |
|                            | PCa death 6   | 1  | 7  | 68   |   |
|                            | Other cause of death 4  |  | 133  | 137  |   |

| Study     |
|-----------|
| Reference |

FinRSPC (Finnish ERSPC) (Neupane 2018/Pashayan 2015/Booth 2014)

Linked records: Kilpeläinen 2017; Kilpeläinen 2016; Kilpeläinen 2015; Lindberg 2019; Pakarainen 2019; Pakarainen 2016

| Total death                | 65  | 140                     | 205 |
|----------------------------|-----|-------------------------|-----|
| Sensitivity (=61/(61+4))   |     | 0.94 (95% CI 0.84–0.98) |     |
| Specificity (+133/(133+7)) |     | 0.95 (95% CI 0.90–0.98) |     |
| PPV (=61/(61+7))           |     | 0.90 (95% CI 0.79–0.95) |     |
| NPV (=133/(133+4))         |     | 0.97 (95% CI 0.92–0.99) |     |
| Agreement                  |     | 94.6%                   |     |
| Expected agreement         |     | 56.2%                   |     |
| Карра                      |     | 0.88 (95% CI 0.82–0.94) |     |
| Correcting factor          |     | 0.956                   |     |
| Control arm                |     |                         |     |
| PCa death                  | 105 | 7                       | 112 |
| Other cause of death       | 4   | 121                     | 125 |
| Total death                | 109 | 128                     | 237 |
| Sensitivity (=61/(61+4))   |     | 0.96 (95% CI 0.90–0.99) |     |
| Specificity (+133/(133+7)) |     | 0.95 (95% CI 0.89–0.98) |     |
| PPV (=61/(61+7))           |     | 0.94 (95% CI 0.87–0.97) |     |
| NPV (=133/(133+4))         |     | 0.97 (95% CI 0.92–0.99) |     |
| Agreement                  |     | 95.4%                   |     |
| Expected agreement         |     | 50.2%                   |     |
| Карра                      |     | 0.91 (95% CI0.86–0.95)  |     |
| Correcting factor          |     | 0.973                   |     |

#### Author's conclusions

There appears to be a small but real differential misclassification bias in the FinRSPC. This is probably caused by attribution bias as the screened men are more likely to be diagnosed with PCa. The PCa diagnosis can eventually be recorded as an official underlying cause of death, even when the chain of events leading to death is ultimately caused by another disease. In any screening trial with disease-specific mortality as an endpoint, this attribution bias should preferably be controlled with reviewing all deaths in those disease to maximize precision, although the benefit may remain minimal

#### Kilpeläinen 2017

By the first 4, 8 and 12 years of follow-up, 18.1%, 47.7% and 62.7% of men in the control arm had undergone PSA testing at least once and in the screening arm the proportions were 69.8%, 81.1% and 85.2%, respectively. The cumulative incidence of T1c PCa was 6.1% in the screening arm and 4.5% in the control arm (RR 1.21, 95% CI 1.13, 1.30)

A large proportion of men in the control arm had undergone a PSA test during the 15-year follow-up. Contamination is likely to dilute differences in PCa mortality between the arms in the Finnish screening trial

#### Kilpeläinen 2015

Investigating which had the largest impact on PCa deaths in the screening arm: non-participation, interval cancers or PSA threshold with 15 years of follow-up

Hazard ratios after exclusion of specific subgroups from the screening arm

#### <u>Study</u> Reference

# FinRSPC (Finnish ERSPC) (Neupane 2018/Pashayan 2015/Booth 2014)

e Linked records: Kilpeläinen 2017; Kilpeläinen 2016; Kilpeläinen 2015; Lindberg 2019; Pakarainen 2019; Pakarainen 2016

|                                      |             | Screening arm    |                        |             | Control arm      |                        |                |
|--------------------------------------|-------------|------------------|------------------------|-------------|------------------|------------------------|----------------|
|                                      | N of<br>men | Person-<br>years | N of PCa<br>deaths (%) | N of<br>men | Person-<br>years | N of PCa<br>deaths (%) |                |
| All men (ITS analysis)               | 31866       | 426827           | 241 (0.76)             | 48278       | 646118           | 410 (0.85)             | 0.89 (0.76–1.0 |
| Correcting only the screening arm    |             |                  |                        |             |                  |                        |                |
| Excluding the non-participants       | 23771       | 334115           | 153 (0.64)             | 48278       | 646118           | 410 (0.85)             | 0.71 (0.59–0.8 |
| Excluding men with                   |             |                  |                        |             |                  |                        |                |
| PSA 3.0–3.99 ng/mL and PC            | 31378       | 419532           | 218 (0.69)             | 48278       | 646118           | 410 (0.85)             | 0.85 (0.72-1.  |
| Interval cancers                     | 31630       | 423482           | 218 (0.69)             | 48278       | 646118           | 410 (0.85)             | 0.81 (0.69-0.  |
| Correcting both screening arm and co | ntrol arm   |                  |                        |             |                  |                        |                |
| Excluding the non-participants       | 23771       | 334115           | 153 (0.64)             | 36014       | 482181           | 277 (0.77)             | 0.78 (0.64-0.  |
| Excluding men with                   |             |                  |                        |             |                  |                        |                |
| PSA 3.0–3.99 ng/mL and PC            | 31378       | 419532           | 218 (0.69)             | 47539       | 635410           | 375 (0.79)             | 0.88 (0.74-1.  |
| Interval cancers                     | 31630       | 423482           | 218 (0.69)             | 47920       | 640974           | 375 (0.78)             | 0.88 (0.74-1.  |

# Author's conclusions

Of the relevant subgroups in the SA, especially the nonparticipant population in the screening arm had a substantial impact on PC mortality. Avoidance of interval cancers and lower screening threshold would have also enhanced the relative mortality effect, but to a lesser extent. Despite the acceptable participation proportion achieved in the Finnish trial, special attention needs to be given to the high-risk men who tend to opt out from population-based screening programs.

# Lindberg 2019

|                         | Co             | rrected s | creening arm                                 |                | Conti | rol arm                        | Difference in cases      | Risk ratio (95% CI) |
|-------------------------|----------------|-----------|--|----------------|-------|--------------------------------|--------------------------|---------------------|
|                         | N <sup>1</sup> | Cases     | Cases per 1000<br>men (95% Cl <sup>2</sup> ) | N <sup>1</sup> | Cases | Cases per 1000<br>men (95% CI) | per 1000 men (95%<br>Cl) |                     |
| Stage                   |                |           |  |                |       |                                |                          |                     |
| Local                   | 16284          | 1738      | 406.7 (101.9–<br>111.3)                      | 24672          | 1568  | 63.6 (60.5–66.7)               | 43.2 (37.5–48.8)         | 1.68 (1.57–1.79)    |
| Progressed              | 16284          | 126       | 7.7 (6.5–9.2)                                | 24672          | 364   | 14.8 (13.3–16.3)               | -7.0 (-9.05.0)           | 0.52 (0.43-0.64)    |
| Metastatic <sup>1</sup> | 16284          | 41        | 2.5 (1.7–3.3)                                | 24672          | 155   | 6.3 (5.4–7.3)                  | -3.8 (-5.02.5)           | 0.40 (0.28–0.56)    |
| Gleason score           |                |           |  |                |       |                                | · · ·                    |                     |
| ≤6                      | 16273          | 1361      | 83.6 (79.5–87.9)                             | 24636          | 952   | 38.6 (36.4-41.2)               | 45.0 (40.0–50.0)         | 2.16 (2.00–2.34)    |
| 3 + 4                   | 16273          | 223       | 13.7 (11.9–15.5)                             | 24636          | 441   | 17.9 (16.4–19.7)               | -4.2 (-6.71.7)           | 0.77 (0.65–0.90)    |
| 4 + 3                   | 16273          | 167       | 10.3 (8.8–12.0)                              | 24636          | 312   | 12.7 (11.3–14.2)               | -2.4 (-4.50.3)           | 0.81 (0.67–0.98)    |
| 4 + 4                   | 16273          | 90        | 5.5 (4.5–6.8)                                | 24636          | 189   | 7.7 (6.6–8.8)                  | -2.1 (-3.80.5)           | 0.72 (0.55-0.92)    |
| >8                      | 16273          | 91        | 5.6 (4.5–6.8)                                | 24636          | 208   | 8.4 (7.3–9.6)                  | -2.9 (-4.51.2)           | 0.66 (0.51–0.84)    |
| Risk group <sup>3</sup> |                |           |  |                |       |                                |                          |                     |
| Low                     | 16264          | 1117      | 68.7 (64.9–72.6)                             | 24593          | 539   | 21.9 (20.2–23.9)               | 46.8 (42.4–51.1)         | 3.13 (2.83–3.47)    |
| Intermediate            | 16264          | 458       | 28.2 (25.6–30.7)                             | 24593          | 691   | 28.1 (26.1–30.2)               | 0.1 (-3.2-3.4)           | 1.00 (0.89–1.13)    |

| <br>1 Back                      | 40004   | 000                     | 40.0 (40.0.00.4)   | 04500          | 500       |  |                                       | 0.70 (0.00, 0.00)                     |
|---------------------------------|---|-------------------------|--|----------------|-----------|--|---------------------------------------|---------------------------------------|
| High                            | 16264   | 293                     | 18.0 (16.0–20.1)   | 24593          | 566       | 23.0 (21.3–25.0)                               | -5.0 (-7.82.1)                        | 0.78 (0.68–0.90)                      |
| Metastatic <sup>1</sup>         | 16264   | 62                      | 3.8 (2.9–4.7)<br>ses but the risk group me                   | 24593          | 239       | 9.7 (8.6–11.1)                                 | -5.9 (-7.44.2)                        | 0.39 (0.29–0.52)                      |
| <sup>3</sup> ERSPC prognostic r | <sup>2</sup> 95% Cl = 95% bias-corrected Cl based on 5000 bootstrap samples<br><sup>3</sup> ERSPC prognostic risk group based on TNM stage, PSA and Gleason<br>Correcting for noncompliance and contamination – PCa mortality with <b>15 years of follow-up</b> |                         |  |                |           |  |                                       |                                       |
|                                 | Co  | Corrected screening arm |  |                | Contr     | rol arm  | Difference in cases per 1000 men (95% | Risk ratio (95% CI)                   |
| Age group                       |   | <u> </u>                | Cases per 1000   | NI 1           | <u> </u>  | 0 1000   |                                       |                                       |
| Age group                       | N <sup>1</sup>  | Cases                   | Cases per 1000<br>men (95% Cl <sup>2</sup> )                 | N <sup>1</sup> | Cases     | Cases per 1000<br>men (95% CI)                 | CI)                                   |                                       |
| Total                           | N <sup>1</sup><br>16287   | Cases                   |  | 24677          | Lases     |  | CI)<br>-1.4 (-2.8-0.0)                | 0.77 (0.58–1.01)                      |
|                                 |   |                         | men (95% Cl <sup>2</sup> )                                   |                |           | men (95% CI)                                   | ,                                     | 0.77 (0.58–1.01)<br>0.79 (0.37–1.51)  |
| Total                           | 16287   | 77                      | men (95% Cl <sup>2</sup> )<br>4.7 (3.8–5.9)                  | 24677          | 152       | men (95% CI)<br>6.2 (5.3–7.2)                  | -1.4 (-2.8-0.0)                       | · · · · · · · · · · · · · · · · · · · |
| Total<br>55                     | 16287<br>5656   | 77<br>13                | men (95% Cl <sup>2</sup> )<br>4.7 (3.8–5.9)<br>2.3 (1.4–3.9) | 24677<br>8589  | 152<br>25 | men (95% CI)<br>6.2 (5.3–7.2)<br>2.9 (1.7–4.0) | -1.4 (-2.8-0.0)<br>-0.6 (-2.3-1.1)    | 0.79 (0.37–1.51)                      |

#### Author's conclusions

Undergoing screening in accordance with the Finnish trial protocol is associated with an overall increase in risk of PCa by 3%, a 1% reduction in risk of advanced PCa, and a 0.1–0.2% reduction in risk of PCa death at 15 years

#### Pakarainen 2019

## Incidence by number of screening rounds attended

| Screening round   | Prostate cancer cases | Incidence | HR (95% CI)      |
|-------------------|-----------------------|-----------|------------------|
| Screening round 0 | 563                   | 499       | 0.75 (0.69–0.82) |
| Screening round 1 | 1125                  | 960       | 1.57 (1.47–1.68) |
| Screening round 2 | 1038                  | 1058      | 1.22 (1.14–1.31) |
| Screening round 3 | 615                   | 987       | 1.38 (1.26–1.51) |
| Control arm       | 4264                  | 706       | 1 (reference)    |

# Mortality by number of screening rounds attended - age-adjusted HR for PCa-related death (95% CI)

| Screening round   |                  | l death (95% CI) |                  |                  |
|-------------------|------------------|------------------|------------------|------------------|
|                   | 0–4 years        | 4–8 years        | 8–15 years       | Overall          |
| Screening round 0 | 1.25 (0.60–2.60) | 1.68 (1.06–2.67) | 1.57 (1.17–2.11) | 1.58 (1.25–2.00) |
| Screening round 1 | 0.52 (0.24–1.13) | 2.06 (1.33–3.18) | 1.95 (1.46–2.61) | 1.68 (1.33–2.12) |
| Screening round 2 | - <sup>a</sup>   | 0.23 (0.10–0.49) | 0.64 (0.45-0.90) | 0.48 (0.35–0.66) |
| Screening round 3 | - <sup>a</sup>   | _a               | 0.17 (0.09–0.33) | 0.17 (0.09–0.33) |
| Overall           | 0.75 (0.42–1.35) | 0.99 (0.71–1.38) | 0.89 (0.73–1.09) | 0.90 (0.77–1.06) |
| Control arm       | 1                | 1                | 1                | 1                |

<sup>a</sup> Not estimable, due to lack of follow-up prior to 4 years for the second round and 8 years for the third round

| <u>Study</u><br><u>Reference</u> | FinRSPC (Finnish ERSPC) (Neupane 2018/Pashayan 2015/Booth 2014)<br>Linked records: Kilpeläinen 2017; Kilpeläinen 2016; Kilpeläinen 2015; Lindberg 2019; Pakarainen 2019; Pakarainen 2016   |
|----------------------------------|--|
|                                  | <u>Author's conclusions</u><br>Our findings indicate that repeated PSA-based screening is associated with diminished prostate cancer mortality. However,<br>a single screening round is insufficient to achieve it and a minimum of two rounds is required. Excess incidence was comparable for men<br>screened 1–3 times when the age difference was taken into account. This suggests a more favourable balance of benefits-to harms with<br>continued screening |
|                                  | Pakarainen 2016<br>Further screening round outcomes from 2016. The main conclusions were that the post screening PC incidence is reduced after attending<br>three screening rounds, but not after only one or two rounds. Thus, the increased cancer detection at screening is compensated by a<br>subsequent risk reduction only after repeated screening cycles.   |

**Abbreviations**: ERSPC, European Randomized Study of Screening for Prostate Cancer; HRQoL, health-related quality of life; IQR, interquartile range; ITS, intention-to-screen; N/A, not applicable; NPV, negative predictive value; PCa, prostate cancer; PPV, positive predictive value; PRS, polygenic risk score; PSA, prostate-specific antigen

# Table 39f. Spanish ERSPC, Luján 2015

| <u>Study</u><br>Reference | Spanish ERSPC (Luján 2015)<br>Linked records: Luján 2014  |
|---------------------------|---|
|                           | Study name<br>Spanish ERSPC   |
|                           | Design<br>Randomised controlled trial   |
| Study Design              | Objective         To present the long-term results of the Spanish branch of the ERPSC         Dates         Initiation/recruitment: 1996–1999         Maximum follow-up: 2013 (mortality data until and including 2011)   |
|                           | <u>Country</u><br>Spain<br><u>Setting</u><br>Getafe and Parla (two cities in the industrial belt of Madrid)   |
| Population                | Patient recruitment and eligibility<br>18,612 males between 45 and 70 years old and registered in the census of the municipalities of Getafe and Parla were invited (by mailed<br>invitation) to participate in the study |
| Characteristics           | Inclusion<br>At recruitment, men were aged 45–70 years  |
|                           | Exclusion   |

| <u>Study</u><br>Reference | Spanish ERSPC (Luján<br>Linked records: Luján 2014   | 2015)                |                         |                         |                         |                      |                         |         |   |
|---------------------------|--|----------------------|-------------------------|-------------------------|-------------------------|----------------------|-------------------------|---------|---|
|                           | Men with a life expectanc  | y of <10 years       | 3                       |                         |                         |                      |                         |         |   |
|                           | Sample size<br>N invited = 18,612<br>N eligible = 18,612 invited from registry lists<br>N excluded prior to randomisation = 993 (life expectancy <10 years)<br>N enrolled (underwent randomisation) = NR<br>N excluded after randomisation (with reason) = 2 (PCa detected prior to randomisation); 5,200 (men randomised before invitation [early<br>phase])<br>N in the intervention group = 2,415<br>N in the control group = 1,861<br>N lost to follow-up = NR<br>N completed = NR<br>N excluded from analysis = NR<br>N included in analysis = NR |                      |                         |                         |                         |                      |                         |         |   |
|                           | Characteristics of men inc<br>Parameter  | N                    | Minimum                 | Maximum                 | Mean                    | SE of mean           | Median                  | P value | 1 |
|                           | Age, years<br>Screening<br>Control<br>Total  | 2415<br>1861<br>4276 | 45.48<br>45.38<br>45.38 | 71.02<br>70.79<br>71.02 | 57.72<br>57.88<br>57.79 | 0.11<br>0.14<br>0.09 | 56.90<br>57.12<br>56.98 | 0.522   |   |
|                           | PSA, ng/mL<br>Screening<br>Control<br>Total  | 2415<br>0<br>2415    | 0.00                    | 68.90<br>68.90          | 1.59                    | 0.06                 | 0.90                    | N/A     |   |
|                           | Follow-up time, years<br>Screening<br>Control<br>Total   | 2415<br>1861<br>4276 | 0.58<br>0.03<br>0.03    | 18.12<br>17.94<br>18.12 | 15.16<br>14.94<br>15.06 | 0.06<br>0.07<br>0.05 | 15.92<br>15.75<br>15.83 | <0.001  |   |
|                           | Randomisation<br>Computer-randomised<br>• Randomisation  | after consent        | (efficacy desig         | n)                      |                         |                      |                         |         |   |
|                           | <u>Duration of follow-up</u><br>Median 15.8 years  |                      |                         |                         |                         |                      |                         |         |   |
| Methods                   | Outcomes<br>Mortality/morbidity outco<br>Primary extraction  |                      |                         |                         |                         |                      |                         |         |   |

Lujan 2015: incidence, mortality (including cause of death) – identification and follow-up of PCa detected cases were
performed with crossing of databases with the Patologic Anatomy departments of the University Hospital of Getafe and the
University Hospital Infanta Cristina (Parla). The date and main cause of death were obtained from the registered data of
the death certificates, through agreement with the Statistics National Institute. The cause of death in PCa-diagnosed

Haematological, n (%)

Head and neck, n (%)

Brain, n (%)

Kidney and renal pelvis, n (%)

| <u>Study</u><br>Reference                         | Spanish ERSPC (Luján 2015)<br>Linked records: Luján 2014  |  |                             |                         |           |  |  |  |
|---|---|--|-----------------------------|-------------------------|-----------|--|--|--|
|   |   | d with the clinical history data<br>purpose, according to the gu<br>and disease-specific mortality |                             |                         | causes of |  |  |  |
|   | Incidence (median 15.8 year follow-up)  |  |                             |                         |           |  |  |  |
|   | <ul> <li>Total diagnosed cases: 242 cases of PCa were diagnosed throughout monitoring (5.7% of males in the study)         <ul> <li>Screening arm: 162 (6.7%); control arm: 80 (4.3%) (p&lt;0.001)</li> </ul> </li> <li>Probability of remaining PCa-diagnosis free in 15 years         <ul> <li>Screening arm: 93%; control arm: 95.4%</li> </ul> </li> </ul>  |  |                             |                         |           |  |  |  |
|   | Clinical stage at diagnosis of detected tur   | mours (Chi-square test p=0.02  | <u>24)</u>                  |                         |           |  |  |  |
|   | Outcome   | Screening arm (n=162)  | Control arm (n=80)          | Total                   | 1         |  |  |  |
|   | Clinical stage, n (%)   |  |                             |                         | 1         |  |  |  |
|   | Organ confined  | 148 (91.4)   | 66 (82.5)                   | 214 (88.4)              | 1         |  |  |  |
|   | Extracapsular   | 6 (3.7)  | 8 (10.0)                    | 14 (5.8)                | 1         |  |  |  |
|   | Regional (N+)   | 4 (2.5)  | 0 (0.0)                     | 4 (1.7)                 |           |  |  |  |
|   | Metastatic (M+)   | 4 (2.5)  | 4 (5.0)                     | 8 (3.3)                 |           |  |  |  |
|   | Unknown   | 0 (0.0)  | 2 (2.5)                     | 2 (0.8)                 |           |  |  |  |
|   | Out of the 242 diagnosed PCa cases, 18  | evolved to advanced disease  | (10 screening arm, 8 contro | l arm; p=0.938)         |           |  |  |  |
| Mortality<br>and/or<br>Morbidity<br>Outcomes (Q1) | <ul> <li>Mortality (12 years of available mortality data)</li> <li>Total mortality: 618 (14.5%) deaths         <ul> <li>Screening arm: 340 (14.1%); control arm: 278 (14.9%) (no significant difference)</li> </ul> </li> <li>Main causes of death: neoplasm (54.0%); cardiovascular (17.6%); respiratory (8.7%); digestive (4.0%) – no significant difference between arms</li> <li>Probability of dying from prostate cancer log-rank test p=0.907</li> </ul> |  |                             |                         |           |  |  |  |
|   | Causes of cancer-related death (n=334)  | Screening arm (n=185)  | Control arm (n=149)         | Total (n=334)           | l.        |  |  |  |
|   |   |  |                             | × 7                     | I         |  |  |  |
|   | Lung and bronchi, n (%)<br>Colon and rectum, n (%)  | <u>63 (34.1)</u><br>27 (14.6)  | 44 (29.5)<br>25 (16.8)      | 107 (32.0)<br>52 (15.6) | 1         |  |  |  |
|   | Pancreas, n (%)   | 9 (4.9)  | 8 (5.4)                     | 17 (5.1)                | 1         |  |  |  |
|   | Liver, n (%)  | 7 (3.8)  | 6 (4.0)                     | 13 (3.9)                |           |  |  |  |
|   | Stomach, n (%)  | 11 (5.9)   | 17 (11.4)                   | 28 (8.4)                |           |  |  |  |
|   | Oesophagus, n (%)   | 2 (1.1)  | 4 (2.7)                     | 6 (1.8)                 |           |  |  |  |
|   | Urinary bladder, n (%)  | 6 (3.2)  | 8 (5.4)                     | 14 (4.2)                |           |  |  |  |
|   | Hacmatological n (%)  |  | 9 (5 4)                     | 26 (7.8)                |           |  |  |  |

18 (9.7)

5 (2.7)

9 (4.9)

5 (2.7)

8 (5.4)

3 (2.0)

5 (3.4)

4 (2.7)

26 (7.8)

8 (2.4) 14 (4.2) 9 (2.7)

| <u>Study</u><br>Reference                                       | Spanish ERSPC (Luján 2015)<br>Linked records: Luján 2014  |          |          |          |  |  |
|---|---|----------|----------|----------|--|--|
|   | Prostate, n (%)   | 7 (3.8)  | 5 (3.4)  | 12 (3.6) |  |  |
|   | Other, n (%)  | 16 (8.6) | 12 (8.1) | 28 (8.4) |  |  |
| Harms of PSA-<br>Based<br>Screening (Q2)                        | No outcomes   |          |          |          |  |  |
| Authors'<br>Conclusions   | <ul> <li>Although we have verified that PCa screening produces a migration of the diagnosis of the disease to earlier stages, in our experience it has not produced a benefit in terms of global or cancer-specific survival after more than 15 years of monitoring. The low mortality for this disease in our environment could be one of the main factors to explain the results</li> </ul> |          |          |          |  |  |
| Additional<br>Results/<br>Conclusions<br>from Linked<br>Records | No additional results   |          |          |          |  |  |

Abbreviations: ERSPC, European Randomized Study of Screening for Prostate Cancer; N/A, not applicable; NR, not reported; PCa, prostate cancer; PSA, prostate-specific antigen; SE, standard error

# Table 39g. PLCO, Pinsky 2019/Pinsky 2014

| <u>Study</u><br>Reference     | PLCO, Pinsky 2019a/Pinsky 2014<br>Linked records; Pinsky 2019b, Pinsky 2017, Miller 2018, Kelly 2017, Lewicki 2017, Shoag 2016, Boniol 2015   |
|-------------------------------|---|
| Study Design                  | Study name         Prostate, Lung, Colorectal and Ovarian (PLCO) cancer screening trial         Design         Randomised, population-based trial         Objective         Pinsky 2019a: To examine prostate cancer incidence and mortality by arm in the randomised PLCO screening trial         Pinsky 2019a: To examined mortality after prostate biopsy and compare rates with those of men with negative prostate screens. To also analyse complication rates, and examine factors associated with complications.         Dates         1993–2001         Country         US         Setting         10 screening centres |
| Population<br>Characteristics | Patient recruitment and eligibility<br>Patients were recruited from 10 institutions and randomised to either screening or usual care.<br>Inclusion  |

| <u>Study</u><br>Reference | PLCO, Pinsky 2019a/Pinsky 2014         Linked records; Pinsky 2019b, Pinsky 2017, Miller 2018, Kelly 2017, Lewicki 2017, Shoag 2016, Boniol 2015         Men aged 55–74 years at baseline with no previous reports of a PLCO cancer or current treatment         Exclusion         History of a PLCO cancer or current cancer treatment. From 1995, having had more than one PSA blood test in the prior 3 years was also ar exclusion criterion.         Other         NR |                          |                       |   |  |  |  |  |
|---------------------------|--|--------------------------|-----------------------|---|--|--|--|--|
|                           |  |                          |                       |   |  |  |  |  |
|                           |  |                          |                       |   |  |  |  |  |
|                           |  |                          |                       |   |  |  |  |  |
|                           | <u>Sample size</u><br>N randomised: intervention: 3834   | 40; usual care: 38343    |                       |   |  |  |  |  |
|                           | Demographics   |                          |                       |   |  |  |  |  |
|                           | Parameter  | Screening arm (n=38,340) | Usual care (n=38,343) |   |  |  |  |  |
|                           | Age at baseline, n (%)   |                          |                       | 1 |  |  |  |  |
|                           | 55–59 years  | 12,387 (32.3)            | 12,372 (32.3)         |   |  |  |  |  |
|                           | 60–64 years  | 12,012 (31.3)            | 12,015 (31.3)         |   |  |  |  |  |
|                           | 65–69 years  | 8,877 (23.2)             | 8,885 (23.2)          |   |  |  |  |  |
|                           | 70–74 years  | 5,064 (13.2)             | 5,071 (13.2)          |   |  |  |  |  |
|                           | Race/ethnicity, n (%)  |                          |                       |   |  |  |  |  |
|                           | Non-Hispanic white   | 33,043 (88.3)            | 32,136 (88.3)         |   |  |  |  |  |
|                           | Non-Hispanic black   | 1,713 (4.6)              | 1,657 (4.6)           |   |  |  |  |  |
|                           | Hispanic   | 816 (2.2)                | 787 (2.2)             |   |  |  |  |  |
|                           | Asian  | 1,532 (4.1)              | 1,476 (4.1)           |   |  |  |  |  |
|                           | Other  | 322 (0.9)                | 329 (0.9)             |   |  |  |  |  |
|                           | Previous PSA test  | · · ·                    |                       |   |  |  |  |  |
|                           | Once   | 13,252 (38.8)            | 13,135 (39.5)         |   |  |  |  |  |
|                           | More than once   | 3,588 (10.5)             | 3,760 (11.3)          |   |  |  |  |  |
|                           | Previous biopsy  | NR                       | NR                    |   |  |  |  |  |
|                           | Family history of prostate cancer, n (%)   | 2,737 (7.5)              | 2,589 (7.3)           |   |  |  |  |  |
|                           | Education, n (%)   |                          |                       |   |  |  |  |  |
|                           | College graduate   | 15,294 (40.9)            | 14,656 (40.5)         | ] |  |  |  |  |
|                           | BMI  | NR                       | NR                    | ] |  |  |  |  |
|                           | Weight   | NR                       | NR                    | ] |  |  |  |  |
|                           | Comorbidity index  | NR                       | NR                    | ] |  |  |  |  |
|                           | Diabetes   | NR                       | NR                    | ] |  |  |  |  |

| <u>Study</u><br>Reference | <b>PLCO, Pinsky 2019a/Pinsky 2014</b><br>Linked records; Pinsky 2019b, Pinsky 2017, Miller 2018, Kelly 2017, Lewicki 2017, Shoag 2016, Boniol 2015   |
|---------------------------|--|
|                           | Randomisation<br>Screening arm: Men randomised to the screening arm had their PSA levels measured at baseline and annually for the following 5 years. The<br>trial also included an annual DRE at baseline and for the following 3 years. Men with PSA levels >4 ng/mL or had an abnormality identified<br>by DRE were considered to have a positive test and referred for follow-up with their primary physician.<br>Usual care: Men were not part of an organised screening regimen but could be screened through usual care under their physician. To<br>assess screening in the usual care arm, a Health Status Questionnaire (HSQ) was administered to a sample of men during the active<br>screening period of the trial. The HSQ was also administered to a sample of men from body study arms during the post-screening period.  |
|                           | <u>Duration of follow-up</u><br>Median follow-up for mortality was 16.9 (intervention) and 16.7 years (usual care)   |
| Methods                   | <ul> <li>Outcomes         <ul> <li>The primary endpoint was PCa-specific mortality.</li> <li>Secondary outcomes:                 <ul> <li>Overall mortality: Ascertainment of deaths changed during the study. During the original analysis period (through 2010), participant deaths were identified either through reports from next-of-kin, which were confirmed with a death certificate, or through linkages with the National Death Index (NDI). In 2011, participants were re-consented in the study and could choose to be actively or passively followed or refuse further follow-up. For participants choosing to be followed passively (approximately 18%), deaths after the original analysis period were ascertained only through linkage with the NDI.</li> <li>PCa incidence: Incidence cases of PCa were primarily ascertained through annual study update questionnaires or follow-up of positive screening tests.</li> <li>PCa characteristics: Diagnostic confirmation and tumour characteristics were determined through review of medical records by trained medical record abstractors.</li> </ul> </li> </ul></li></ul> |
|                           | Mortality<br>Prostate cancer mortality rate and incidence, over a median follow-up of 17 years, as reported by Pinsky 2019. Pinsky 2017 reports on these<br>outcomes at a follow-up of 12 years.   |

|                     | outcomes at a ronow         | ap of 12 years. |  |  |                             |         |                  |         |
|---------------------|-----------------------------|-----------------|--|--|-----------------------------|---------|------------------|---------|
|                     | Outcome                     | Follow-up       | Screening arm<br>(533,014<br>person-years) | Control arm<br>(529,860<br>person-years) | Rate Ratio (RR)<br>(95% CI) | p-value | HR (95% CI)      | p-value |
|                     | Ducatata aguagu             | Years 0–8       | 72 (22.8)                                  | 70 (21.3)                                | 1.07 (0.78–1.48)            | 0.68    | 1.07 (0.77–1.48) | 0.69    |
| Mortality           | Prostate cancer<br>deaths N | Years 0–10      | 113 (28.6)                                 | 114 (28.9)                               | 0.99 (0.77-1.29)            | 0.93    | 0.99 (0.76-1.28) | 0.99    |
| and/or<br>Morbidity | (rate/100.00                | Years 0–12      | 165 (36.2)                                 | 164 (36.1)                               | 1.003 (0.81–                | 0.98    | 1.001 (0.80-     | 0.99    |
|                     | person-years)               |                 |  |  | 1.25)                       |         | 1.25)            |         |
| Outcomes            | person-years)               | 15 years        | 255 (47.8)                                 | 244 (46.0)                               | 1.04 (0.87–1.24)            | 0.67    | 1.03 (0.87–1.23) | 0.72    |
|                     | All-cause deaths            | 15 years        | 9212 (1728.3)                              | 9369 (1769.3)                            | 0.977 (0.950-               | 0.11    | 0.973 (0.945–    | 0.06    |
|                     | N (rate/100,00              |                 |  |  | 1.004)                      |         | 1.001)           |         |
|                     | person-                     |                 |  |  |                             |         |                  |         |
|                     | years)100,000               |                 |  |  |                             |         |                  |         |
|                     | person-years                | Follow          | Sorooning orm                              | Control arm                              |                             |         |                  |         |
|                     | Outcome                     | Follow-up       | Screening arm<br>(N=38,340)                | (N=38,343)                               | RR (95% CI)                 | p-value | HR (95% CI)      | p-value |

| <u>Study</u><br><u>Reference</u> | PLCO, Pinsky 2019a<br>Linked records; Pinsk                  |          | xy 2017, Miller 201 | 8, Kelly 2017, Lewi | cki 2017, Shoag 201 | 6, Boniol 2 | 2015 |    |
|----------------------------------|--|----------|---------------------|---------------------|---------------------|-------------|------|----|
|                                  | Prostate cancer<br>deaths N<br>(rate/100,00<br>person-years) | 17 years | 333 (5.5)           | 352 (5.9)           | 0.93 (0.81–1.08)    | 0.38        | NR   | NR |

## Causes of death by arm at 15-year follow-up (Pinksy 2017)

| Outcome   | Screening arm, n (%) | Control arm, n (%) |
|---|----------------------|--------------------|
| Prostate cancer                                     | 255 (2.8)            | 244 (2.6)          |
| Other cancer (excluding lung and colorectal cancer) | 1,933 (21.0)         | 1,882 (20.1)       |
| Ischaemic heart disease                             | 1,699 (18.4)         | 1,650 (17.6)       |
| Cerebrovascular accident                            | 454 (4.9)            | 513 (5.5)          |
| Other circulatory disease                           | 1,317 (14.3)         | 1,364 (14.6)       |
| Respiratory disease                                 | 1,028 (11.2)         | 1,069 (11.4)       |
| Digestive disease                                   | 302 (3.3)            | 303 (3.2)          |
| Infectious disease                                  | 187 (2.0)            | 175 (1.9)          |
| Endocrine and metabolic                             | 334 (3.6)            | 371 (4.0)          |
| Nervous system                                      | 438 (4.8)            | 470 (5.0)          |
| Accidental  | 463 (5.0)            | 482 (5.1)          |
| Other   | 802 (8.7)            | 852 (9.1)          |
| Total (excluding lung and colorectal cancer)        | 9,212                | 9,375              |

# Incidence Outcomes

| Outcome                              | Screening arm (38,340) | Control arm (38,343)                  | RR (95% CI)      | p-value |
|--------------------------------------|------------------------|---------------------------------------|------------------|---------|
| Follow-up: 15 years (Pinsky          | / 2017)                | · · · · · · · · · · · · · · · · · · · |                  |         |
| Total person-years                   | 466,079                | 463,950                               | -                | -       |
| N (rate/10,000 person-<br>years)     | -                      | -                                     | -                | -       |
| Metastatic disease at<br>diagnosis   | 115 (2.47)             | 126 (2.72)                            | 0.91 (0.70–1.17) | NR      |
| Progression to<br>metastatic disease | 105 (2.25)             | 98 (2.11)                             | 1.07 (0.81–1.41) | NR      |
| Total metastatic disease             | 220 (4.72)             | 224 (4.83)                            | 0.98 (0.81–1.18) | NR      |
| Follow-up: 17 years (Pinsky          | / 2019)                | • • •                                 | · · · · ·        |         |
| N (rate/10,000 person-<br>years)     | -                      | -                                     | -                | -       |
| All prostate cancer                  | 5574 (106.4)           | 5287 (101.2)                          | 1.05 (1.01–1.09) | <0.001  |
| Gleason 2–6 (biopsy)                 | 3,095 (59.0)           | 2,648 (50.6)                          | 1.17 (1.11–1.23) | <0.001  |
| Gleason 7 (biopsy)                   | 1,510 (28.8)           | 1,511 (28.9)                          | 1.00 (0.93–1.07) | 0.92    |

PLCO, Pinsky 2019a/Pinsky 2014

#### Study Reference

Linked records; Pinsky 2019b, Pinsky 2017, Miller 2018, Kelly 2017, Lewicki 2017, Shoag 2016, Boniol 2015

|   | Gleason 8–10 (biopsy)     | 630 (12.0) | 708 (13.6) | 0.89 (0.80–0.99) | 0.03 |
|---|---------------------------|------------|------------|------------------|------|
| Ē | Gleason 8–10 (best)       | 654 (12.5) | 749 (14.3) | 0.87 (0.78–0.97) | 0.01 |
|   | Metastatic (at diagnosis) | 134 (2.6)  | 158 (3.0)  | 0.85 (0.67–1.06) | 0.15 |

#### Incidence of prostate cancer: aggression (Kelly 2017)

| Disease Incidence                     | Screening arm (n=34,207) | Usual care (n=35,666) | Rate Ratio (RR) (95% CI) |
|---------------------------------------|--------------------------|-----------------------|--------------------------|
| Incident prostate cancer<br>(n=7,822) | 3,649 (46.7)             | 4,173 (53.3)          |                          |
| Non-aggressive PCa (n=4,587)          | 2,031 (44.3)             | 2,556 (55.7)          |                          |
| Aggressive PCa (n=3,078)              | 1,548 (50.3)             | 1,530 (49.7)          | 0.91 (0.70–1.17)         |

Distribution of prostate cancer cases according to PSA levels were also reported by Boniol 2015.

Shoag 2016 analysed 13-year screening and outcomes data from the 151 participants who died of prostate cancer in the screening arm of the trial only, in order to better understand how randomisation to screening failed to prevent prostate cancer in these men.

Morbidity Outcomes

NR

Prostate biopsy method (if applicable)

#### NR

Mortality after bionsy

|                |   | Biopsy<br>group | No biopsy<br>(negative<br>screen) | Relative Risk<br>Univariate analysis | (RR) (95% CI)<br>Multivariate analysis <sup>b</sup> |
|----------------|---|-----------------|-----------------------------------|--------------------------------------|---|
|                | 120 days  |                 |                                   |                                      |   |
|                | Number of biopsies/number of negative screens         | 6295            | 139,931                           | -                                    | -   |
|                | Number of deaths                                      | 6 <sup>a</sup>  | 255ª                              | -                                    | -   |
| Harms of PSA-  | Rate per 1000 biopsies/rate per 1000 negative screens | 0.95            | 1.8                               | 0.52 (0.2–1.2)                       | 0.49 (0.2–1.1)                                      |
| Based          | 180 days  |                 |                                   |                                      |   |
| Screening (Q2) | Number of biopsies/number of negative screens         | 6295            | 139,931                           | -                                    | -   |
|                | Number of deaths                                      | 14              | 411                               | -                                    | -   |
|                | Rate per 1000 biopsies/1000 negative screens          | 2.2             | 2.9                               | 0.76 (0.4–1.3)                       | 0.70 (0.4–1.2)                                      |

<sup>a</sup> The six deaths within 120 days were from pancreatic cancer, ischaemic heart disease, other heart disease and chronic airway obstruction. Eight additional deaths in the 120-180 day period were from other heart disease, other respiratory disease, lung cancers, pneumonia and accidents/injury. Deaths from prostate cancer were excluded. <sup>b</sup> Model included age (5-year groups), marital status, black race, college education, smoking status, modified CCI score and study year.

#### **Complications**

 Of 3706 positive screens with a single follow-up biopsy and no accompanying prostate cancer diagnosis during that study year, a total of 75 biopsies had reported complications, 63 (84%) of which occurred within 30 days of biopsy.

| <u>Study</u><br><u>Reference</u> | PLCO, Pinsky 2019a/<br>Linked records; Pinsky              |                       |                    | 2018, Kelly 20 | 017, Lewicki 20 <sup>-</sup> | 17, Shoag 2016, Bon   | iol 2015             |                   |
|----------------------------------|--|-----------------------|--------------------|----------------|------------------------------|-----------------------|----------------------|-------------------|
|                                  | Of the 48 biop<br>complications                            |                       | on-infectious com  | plications, 19 | ) had urinary-rel            | lated complications a | nd 14 had bleeding-r | elated            |
|                                  |  | Total                 | All complication   | ns, N=75       | Infectious cor               | mplications, N=29     | Non-infectious con   | nplications, N=48 |
|                                  |  | biopsies <sup>a</sup> | Rate (per<br>1000) | p-value        | Rate (per<br>1000)           | p-value               | Rate (per 1000)      | p-value           |
|                                  | All  | 3,706                 | 20.2               | -              | 7.8                          | -                     | 13.0                 | -                 |
|                                  | Covariate  |                       |                    |                |                              |                       |                      |                   |
|                                  | Under age 70<br>years <sup>b</sup>                         | 2821                  | 17.7               | 0.06           | 6.4                          | 0.09                  | 11.7                 | 0.23              |
|                                  | Age ≥70 years <sup>b</sup>                                 | 885                   | 28.2               |                | 12.4                         |                       | 16.9                 |                   |
|                                  | Year 1994–<br>1998 <sup>b</sup>                            | 1965                  | 25.4               | 0.02           | 7.6                          | 0.88                  | 18.3                 | 0.003             |
|                                  | Year 1999–<br>2006 <sup>b</sup>                            | 1741                  | 13.5               |                | 8.0                          |                       | 6.9                  |                   |
|                                  | Non-black race   | 3564                  | 19.1               | 0.02           | 6.5                          | < 0.001               | 13.2                 | 0.53              |
|                                  | Black race   | 142                   | 49.3               |                | 42.3                         |                       | 7.0                  |                   |
|                                  | CCI score=0°   | 2753                  | 17.8               | 0.08           | 7.6                          | 0.82                  | 10.9                 | 0.06              |
|                                  | CCI score ≥1°  | 953                   | 27.3               |                | 8.4                          |                       | 18.9                 |                   |
|                                  | No prostate<br>inflammation or<br>enlargement <sup>d</sup> | 2325                  | 12.5               | <0.001         | 3.9                          | 0.001                 | 8.6                  | 0.003             |
|                                  | Prostate<br>inflammation or<br>enlargement <sup>d</sup>    | 1381                  | 33.3               |                | 14.5                         |                       | 20.3                 |                   |
|                                  | First biopsy   | 2969                  | 22.6               | 0.07           | 7.7                          | 0.91                  | 15.2                 | 0.03              |
|                                  | Repeat biopsy  | 737                   | 10.9               |                | 8.1                          |                       | 4.1                  |                   |

<sup>a</sup> Restricted to subjects with a single biopsy in the study year and no corresponding prostate cancer diagnosis in that study year. <sup>b</sup> Age/year at time of biopsy. <sup>c</sup> Modified CCI score, assessed at baseline. <sup>d</sup> Assessed at baseline. P values are for null hypotheses of equal rates across covariate categories.

| ~      |        |      |       |
|--------|--------|------|-------|
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| Incidence                                | Black | White | RR (95% CI)       |
|--|-------|-------|-------------------|
| Overall                                  | 494   | 7314  | NA                |
| Screening arm                            | 252   | 3891  | NA                |
| Usual care arm                           | 242   | 3423  | NA                |
| Overdiagnosis rate (screen-detected)     | 1.3%  | 20.6% | 19.3 (-11.1–3.36) |
| Overdiagnosis rate (screened population) | 0.1%  | 1.2%  | 1.1 (-6.3–8.2)    |

Distribution of false-positive test results by race in the screening arm of the PLCO trial, at a follow-up of up to 19 years from randomisation

|                         | Outcome  | Black   | White  | p-value   |
|-------------------------|--|---|--|---|
|                         | False positive test (first positive result), n (%)   |   |  |   |
|                         | PSA+(any DRE result)   | 228 (14.5)  | 3,915 (12.4)   | 0.02  |
|                         | PSA+/DRE-ª   | 215 (13.6)  | 3,508 (11.1)   | 0.002   |
|                         | DRE+(any PSA result)   | 172 (10.9)  | 4,462 (14.2)   | <0.001  |
|                         | DRE+/PSA-  | 162 (10.3)  | 4,195 (13.3)   | <0.001  |
|                         | PSA+ or DRE+   | 377 (23.9)  | 7,703 (24.5)   | 0.60  |
|                         | <sup>a</sup> Denominator excludes men with posit   | ive DRE or PSA tests, respec  | lively   |   |
| Authors'<br>Conclusions | <ul> <li>usual care arm. There was a sintervention compared with the</li> <li>Mortality rates were not found several risk factors identified.</li> <li>There was evidence that false outcomes, and tumour character</li> </ul> | significant increase in Gleasor<br>e usual care arm.<br>to be higher after prostate bio<br>-positive test results differed b<br>teristics were all more unfavor<br>en, along with shifting recomm | nificant reduction in PCa mortality in the 2–6 disease and a significant reduction of the PLCO trial and complication of the PLCO trial and complication of the and screening test. Consistent urable in black men. Given the dispropendations to discuss the benefits and take an informed decision | on in Gleason 8–10 disease in t<br>ns were relatively infrequent, wi<br>with previous studies, cancer<br>portionate prostate cancer burde |

**Abbreviations**: CCI, Charlson comorbidity index; CI, confidence interval; BMI, body mass index; DRE, digital rectal examination; HR, hazard ratio; HSQ, Health Status Questionnaire; NDI, National Death Index; NR, not reported; PCa, prostate cancer; PLCO, Prostate, Lung, Colorectal and Ovarian cancer screening trial; PSA, prostate-specific antigen; RR, relative risk/rate ratio

# Table 39h. Tsodikov 2017 (Analysis of ERSPC and PLCO)

| <u>Study</u><br><u>Reference</u> | Tsodikov 2017 (Analysis of ERSPC and PLCO)<br>Linked records: no linked records   |
|----------------------------------|---|
| Study Design                     | <u>Study name</u><br>ERSPC and PLCO (analysis)  |
|                                  | Design<br>Randomised controlled trials  |
|                                  | <u>Objective</u><br>To evaluate whether effects of screening on PC mortality relative to no screening differed between the ERSPC and PLCO<br><u>Dates</u><br>NR |
|                                  | Country<br>Europe and the US  |

| <u>Study</u><br>Reference | <b>Tsodikov 2017</b> (Analysis of ERSPC and PLCO)<br>Linked records: no linked records   |                 |                  |                 |                 |  |  |  |  |
|---------------------------|--|-----------------|------------------|-----------------|-----------------|--|--|--|--|
|                           | Setting<br>Multicentre   |                 |                  |                 |                 |  |  |  |  |
|                           | Patient recruitment and eligibility<br>NR, but see eligibility criteria from PLCO and ERSPC extractions  |                 |                  |                 |                 |  |  |  |  |
|                           | Sample size<br>N randomised to the intervention group = ERSPC: 72,473; PLCO: 38,340<br>N randomised to the control group = ERSPC: 88,921; PLCO: 38,343<br>N lost to follow-up = NR<br>N completed = NR<br>N excluded from analysis = NR<br>N included in analysis = NR |                 |                  |                 |                 |  |  |  |  |
|                           | Characteristics of men included<br>Parameter   | PLCO            |                  |                 |                 |  |  |  |  |
|                           |  | Control         | SPC<br>Screening | Control         | Screening       |  |  |  |  |
|                           | N participants   | 88921           | 72473            | 38343           | 38340           |  |  |  |  |
| opulation                 | Age at randomisation, yrs, median (range)  | 59 (55–69)      | 60 (55–69)       | 62 (55–74)      | 62 (55–74)      |  |  |  |  |
| haracteristic             | All available follow-up  |                 |                  |                 |                 |  |  |  |  |
|                           | Follow-up from randomisation, yrs, median (range)  | 11.0 (0.4–17.5) | 11.1 (0.4–17.3)  | 12.5 (0.0–13.0) | 12.5 (0.0–13.0) |  |  |  |  |
|                           | N prostate cancer cases  | 5398            | 6967             | 4040            | 4430            |  |  |  |  |
|                           | Person-years of follow-up for incidence  | 933854          | 740775           | 403955          | 400008          |  |  |  |  |
|                           | N deaths total   | 17019           | 13652            | 7149            | 6940            |  |  |  |  |
|                           | N deaths due to other causes   | 16557           | 13353            | 7003            | 6788            |  |  |  |  |
|                           | N deaths due to PCa  | 462             | 299              | 146             | 152             |  |  |  |  |
|                           | Person-years of follow-up for mortality  | 990678          | 827148           | 426720          | 427824          |  |  |  |  |
|                           | Restricted to 11 years of follow-up  |                 |                  |                 |                 |  |  |  |  |
|                           | Follow-up from randomisation, yrs, median (range)  | 11.0 (0.4–11.0) | 11.0 (0.4–11.0)  | 11.0 (0.0–11.0) | 11.0 (0.0–11.0) |  |  |  |  |
|                           | N prostate cancer cases  | 4961            | 6586             | 3641            | 4038            |  |  |  |  |
|                           | Person-years of follow-up for incidence  | 868834          | 686766           | 368844          | 365129          |  |  |  |  |
|                           | N deaths total   | 13207           | 10397            | 5880            | 5798            |  |  |  |  |
|                           | N deaths due to other causes   | 12822           | 10150            | 5771            | 5687            |  |  |  |  |
|                           | N deaths due to PCa  | 385             | 247              | 109             | 111             |  |  |  |  |
|                           | Person-years of follow-up for mortality  | 890581          | 725997           | 387027          | 387861          |  |  |  |  |
| lethods                   | Randomisation<br>See extractions for PLCO and ERSPC trials<br>Duration of follow-up  |                 |                  |                 |                 |  |  |  |  |
| letious                   | Cut off at 11 years Outcomes (and methods of analysis)   |                 |                  |                 |                 |  |  |  |  |
|                           | Mortality/morbidity outcomes   |                 |                  |                 |                 |  |  |  |  |

| <u>Study</u><br>Reference                | Tsodikov 2017 (Analysis of ERSPC and PLCO)<br>Linked records: no linked records  |  |  |   |  |   |   |  |  |  |
|--|--|--|--|---|--|---|---|--|--|--|
|  | contro<br>betwe<br>• Exten<br>intens   | ol arms adjusting for pa<br>een trials<br>ded analyses conducto<br>sity"] in each trial arm,<br>MLTs reflect the ma<br>thus capturing diffe<br>MLTs were estimat<br>assessment of robu | s that combined data from<br>inticipant age and trial setti-<br>ed to overcome this limitati<br>which was operationalised<br>agnitude of increased PCa<br>rences in both design and<br>ed both empirically and us<br>ustness of results to this ur<br>stimated mortality reduction | ng. Questionable ar<br>on (accounted for v<br>using MLTs)<br>incidence relative t<br>adherence.<br>ing analytic or micro<br>ncertain quantity | nalysis due to<br>ariable scree<br>o a baseline<br>osimulation n | o remaining differe<br>ming and diagnost<br>level expected in t | nces in im<br>tic workup<br>the absend<br>iple approa | plementation<br>["screening<br>ce of screening,<br>aches allowed |  |  |
|  | Cox regression analysis  |  |  |   | Setting of ERSPC   |   | Setting of PLCO                                       |  |  |  |
|  |  |  |  | intervention arm  |  | intervention arm  |   |  |  |  |
|  | C  | covariate  | HR (95% CI)  | P value   | MLT  | Reduction<br>(95% CI)   | MLT   | Reduction<br>(95% CI)  |  |  |
|  | Traditional a  | nalysis  | I  |   |  | -   |   |  |  |  |
|  | PLCO setting <sup>1</sup>  |  | 0.53 (0.45-0.62)   | < 0.0001  |  |   |   |  |  |  |
|  | Age <sup>2</sup>   |  | 1.13 (1.11–1.14)   | <0.0001   |  |   |   |  |  |  |
|  | Intervention arm <sup>3</sup>  |  | 0.84 (0.73–0.96)   | 0.0099  | N/A  | 16% (4–27)  | N/A   | 16% (4–27)   |  |  |
| Mortality                                | Extended and   | alyses   |  |   |  |   |   |  |  |  |
| and/or                                   | Empirical  | PLCO setting   | 0.57 (0.48–0.67)   | <0.0001   | 3.96   | 29% (11–43)   | 4.02  | 29% (11–44)  |  |  |
| Morbidity                                |  | Age  | 1.13 (1.11–1.14)   | <0.0001   |  |   |   |  |  |  |
| Outcomes (Q1)                            |  | Intervention arm   | 0.92 (0.87–0.97)   | 0.0027  |  |   |   |  |  |  |
|  | FHCRC  | PLCO setting   | 0.58 (0.49–0.69)   | <0.0001   | 4.00   | 27% (10-40)   | 4.10  | 27% (10–41)  |  |  |
|  |  | Age  | 1.13 (1.11–1.14)   | <0.0001   |  |   |   |  |  |  |
|  |  | Intervention arm   | 0.93 (0.88–0.97)   | 0.0029  |  |   |   |  |  |  |
|  |  | PLCO setting   | 0.63 (0.51–0.77)   | <0.0001   | 3.49   | 25% (9–38)  | 4.62  | 32% (12–47)  |  |  |
|  | MISCAN   | Age  | 1.13 (1.11–1.14)   | <0.0001   | 4  |   |   |  |  |  |
|  |  | Intervention arm   | 0.92 (0.87–0.97)   | 0.0032  | 0.00   |   |   |  |  |  |
|  | UMICH  | PLCO setting   | 0.57 (0.48–0.68)   | < 0.0001  | 3.83   | 31% (12–45)   | 4.01  | 32% (12–47)  |  |  |
|  |  | Age  | 1.13 (1.11–1.14)   | < 0.0001  |  |   |   |  |  |  |
|  | <sup>1</sup> PLCO setting = in   | Intervention arm   | 0.91 (0.85–0.97)   | 0.0029  | rick of PCa door   | th l  |   |  |  |  |
|  | <ul> <li><sup>1</sup> PLCO setting = indicator of PLCO setting relative to the ERSPC setting to account for differential baseline risk of PCa death</li> <li><sup>2</sup> Age = participant age at randomisation (continuous)</li> <li><sup>3</sup> Intervention arm = indicator of randomisation to intervention arm</li> </ul> |  |  |   |  |   |   |  |  |  |
| Harms of PSA-<br>Based<br>Screening (Q2) | No outcomes  |  |  |   |  |   |   |  |  |  |
| Authors'<br>Conclusions                  |  |  | e two screening trials do no<br>after accounting for differer  |   |  |   | e to no scr   | eening) differed   |  |  |

#### UK NSC external review – Screening for prostate cancer [June 2020]

| <u>Study</u><br>Reference                                       | Tsodikov 2017 (Analysis of ERSPC and PLCO)<br>Linked records: no linked records  |  |  |  |
|---|--|--|--|--|
|   | <ul> <li>Out estimation results of the common effect of screening suggest that screening can significantly reduce the risk of PCa death. However,<br/>as for all interventions, the benefit of screening must be weighed against its potential harms for informed clinical and shared decision<br/>making</li> </ul> |  |  |  |
| Additional<br>Results/<br>Conclusions<br>from Linked<br>Records | No additional results  |  |  |  |

**Abbreviations:** CI, confidence interval; ERSPC, European Randomised Study of Screening for Prostate Cancer; FHCRC, Fred Hutchinson Cancer Research Center; HR, hazard ratio; MISCAN, Erasmus University Medical Center MIcrosimulation SCreening ANalysis; MLT, mean lead time; PLCO, Prostate, Lung, Colorectal and Ovarian cancer screening trial; UMICH, University of Michigan

# **Question 3**

# Table 40a. SABOR Cohort Study, Ankerst 2016

| <u>Study</u><br>Reference     | San Antonio Biomarkers Of Risk (SABOR) Cohort Study (Ankerst 2016)  |                                      |                                      |                                   |  |
|-------------------------------|---|--------------------------------------|--------------------------------------|-----------------------------------|--|
|                               | <u>Study name</u><br>San Antonio Biomarkers Of Risk (SABOR) Cohort Study  |                                      |                                      |                                   |  |
|                               | <u>Design</u><br>Prospective cohort study   |                                      |                                      |                                   |  |
|                               | <u>Objective</u><br>To characterise the diagnostic prop   | erties of serial percent-free PSA ir | relation to PSA in a multi-ethnic, m | ulti-racial cohort of healthy men |  |
| Study Design                  | <u>Dates</u><br>2007–2010   |                                      |                                      |                                   |  |
|                               | <u>Country</u><br>USA   |                                      |                                      |                                   |  |
|                               | <u>Setting</u><br>National Cancer Institute (NCI) sponsored clinical validation centre recruiting men from San Antonio and South Texas  |                                      |                                      |                                   |  |
|                               | <u>Patient recruitment and eligibility</u><br>Men in the San Antonio and South Texas area without a prior diagnosis of prostate cancer  |                                      |                                      |                                   |  |
|                               | Inclusion<br>Not reported   |                                      |                                      |                                   |  |
|                               | Exclusion<br>Prior diagnosis of prostate cancer   |                                      |                                      |                                   |  |
|                               | Other<br>N/A  |                                      |                                      |                                   |  |
| Population<br>Characteristics | <u>Sample size</u><br>2,183 (1,625 men who never underwent biopsy, 497 who underwent one or more biopsies negative for prostate cancer, and 61 diagnosed<br>with prostate cancer) |                                      |                                      |                                   |  |
|                               | Demographics<br>Parameter   | No biopsy (n=1,625)                  | Negative biopsy (n=497)              | Prostate cancer (n=61)            |  |
|                               | Age at entry (median, min, max)   | 61.7 (45.1, 84.7)                    | 67.9 (45.5, 84.9)                    | 65.5 (53.8, 78.6)                 |  |
|                               | Race (n, %)<br>White<br>Black<br>Other  | 1443 (88.8)<br>179 (11)<br>3 (0.2)   | 445 (89.5)<br>52 (10.5)<br>0 (0)     | 49 (80.3)<br>12 (19.7)<br>0 (0)   |  |
|                               | Ethnicity (n, %)<br>Non-Hispanic  | 1017 (62.6)                          | 361 (72.6)                           | 51 (83.6)                         |  |

| <u>Study</u><br>Reference | San Antonio Biomarkers Of Risk (S   | , ,                               | •                                     |                                 |  |
|---------------------------|---|-----------------------------------|---------------------------------------|---------------------------------|--|
|                           | Hispanic Mexican  | 570 (35.1)                        | 125 (25.2)                            | 9 (14.8)                        |  |
|                           | Hispanic Other  | 38 (2.3)                          | 11 (2.2)                              | 1 (1.6)                         |  |
|                           | Previous PSA test   | NR                                | NR                                    | NR                              |  |
|                           | Previous biopsy (n, %)  |                                   |                                       |                                 |  |
|                           | 0   | 1625 (100)                        | 1 (0.2)                               | 36 (59)                         |  |
|                           | 1   | 0 (0)                             | 335 (67.4)                            | 12 (19.7)                       |  |
|                           | ≥2  | 0 (0)                             | 161 (32.4)                            | 13 (21.3)                       |  |
|                           | Family history of prostate cancer (n, %)  | 300 (18.5)                        | 146 (29.4)                            | 23 (37.7)                       |  |
|                           | Socioeconomic status (e.g. education)   | NR                                | NR                                    | NR                              |  |
|                           | BMI   | NR                                | NR                                    | NR                              |  |
|                           | Weight  | NR                                | NR                                    | NR                              |  |
|                           | Comorbidity index   | NR                                | NR                                    | NR                              |  |
|                           | Diabetes  | NR                                | NR                                    | NR                              |  |
| <i>l</i> ethods           | PSA test (no details of procedure reported)<br><u>Measures of test accuracy</u> Computed the number of cases for which percent-free PSA would have spared a biopsy by testing negative in the presence of a positive PSA test, as well as the number of instances of cancer that would have been detected by percent-free PSA but not PSA. For the purposes analysis, a measurement of ≥4 ng/mL was considered indicative of abnormal PSA<br><u>Disease-related outcomes</u> NR   |                                   |                                       |                                 |  |
| Test Accuracy             | <ul> <li>Would percent-free PSA have prevented a negative biopsy prompted by PSA?</li> <li>417 men had one or more biopsies performed that proved to be negative. For the 79 men who had a PSA ≥4 ng/mL, 25 (31.6%) and 52 (65.8%) would have tested negative by the percent-free PSA test by exceeding the thresholds 25% and 15%, respectively. These numbers were 58 (45.7%) and 110 (86.6%), respectively, among the 127 men with PSA 2–4 ng/mL, and 142 (67.3%) and 195 (92.4%), respectively, among the 211 men with PSA &lt;2 ng/mL</li> <li>Would percent-free PSA have caught a cancer missed by PSA?</li> <li>Among the 41 cancer cases that had a PSA &lt;4 ng/mL, 35 (85.4%) had a percent-free PSA &lt;25%, while 18 (43.9%) had a percent-free PSA</li> </ul> |                                   |                                       |                                 |  |
| Authors'<br>Conclusions   | <15%<br>Percent-free PSA as a stand-alone bi<br>as a reflex marker in the setting of PS<br>65.8% of unnecessary biopsies, whic  | SA testing demonstrated quite hig | h levels of performance in this study | y, with the capability to spare |  |

| <u>Study</u><br><u>Reference</u> | San Antonio Biomarkers Of Risk (SABOR) Cohort Study (Ankerst 2016)   |  |  |  |  |
|----------------------------------|--|--|--|--|--|
|                                  | PSA should accompany PSA testing in order to potentially spare unnecessary biopsies or detect cancer earlier. When near the threshold, |  |  |  |  |
|                                  | both tests should be repeated due to commonly observed fluctuation   |  |  |  |  |

**Abbreviations**: BMI, body mass index; DRE, digital rectal examination; N/A, not applicable; NCI, National Cancer Institute; NR, not reported; PSA, prostate-specific antigen; SABOR, San Antonio Biomarkers Of Risk cohort study

# Table 40b. Nam 2016

| <u>Study</u><br>Reference | Nam 2016   |
|---------------------------|--|
|                           | Study name<br>NR   |
|                           | Design<br>Screening pilot study  |
| Study Design              | Objective<br>The role of MRI as a first line screening test for prostate cancer is unknown. A pilot study to was performed to evaluate the feasibility of<br>prostate MRI as the primary screening test for prostate cancer  |
|                           | Dates<br>Not reported  |
|                           | <u>Country</u><br>Canada   |
|                           | <u>Setting</u><br>Sunnybrook Health Sciences Centre, Sunnybrook Research Institute, University of Toronto  |
|                           | Patient recruitment and eligibility<br>Unselected men were recruited from the general population using a news advertisement in a large local newspaper in the Greater Toronto<br>Area for one week. Volunteers were solicited to undergo MRI for biopsy irrespective of PSA level. |
|                           | <b>Inclusion</b><br>1) age between 50 and 75 years, 2) no family history of prostate cancer, 3) no history of previous prostate biopsy   |
| Population                | <b>Exclusion</b><br>1) previous history of any cancer other than non-melanomatous cutaneous cancer, 2) unable to speak English   |
| Characteristics           | Other<br>There were no incentives for patients to participate  |
|                           | Sample size<br>N screened/invited = 319<br>N eligible = 120<br>N enrolled = 50<br>N completed = 47   |

| <u>Study</u><br>Reference | Nam 2016  |   |  |  |  |
|---------------------------|---|---|--|--|--|
|                           | N included in analysis = 47   |   |  |  |  |
|                           | Demographics  |   |  |  |  |
|                           | Parameter   | Underwent MRI and prostate<br>biopsy (n=47) |  |  |  |
|                           | Age at recruitment (median, IQR)  | 61 (55–68)                                  |  |  |  |
|                           | Ethnicity   | NR  |  |  |  |
|                           | Previous PSA test   | NR  |  |  |  |
|                           | Previous biopsy (%)   | 0   |  |  |  |
|                           | Family history of prostate cancer (%)   | 0   |  |  |  |
|                           | Socioeconomic status (e.g. education)   | NR  |  |  |  |
|                           | BMI   | NR  |  |  |  |
|                           | Weight  | NR  |  |  |  |
|                           | Comorbidity index   | NR  |  |  |  |
|                           | Diabetes  | NR  |  |  |  |
| Methods                   | <ul> <li>extensive MRI experience identified all lesions. The presence or absence of up to 4 cancer targets was scored on a 5-point scale according to recent European Consensus Guidelines</li> <li><u>Reference standard</u></li> <li>Prostate biopsy. Patients with no identifiable lesion on prostate MRI underwent transrectal ultrasound guided prostate biopsy using a random 12-core pattern in the lateral and medial zones of the prostate gland. Patients in whom a prostate lesion was found on MRI underwent targeted biopsy using cognitive co-registration, in addition to random 12-core biopsy. A maximum of 4 cores was allowed for the primary target. All biopsies were performed using an 18 gauge, spring loaded, needle core biopsy gun</li> </ul> |   |  |  |  |
|                           | At the time of evaluation a blood sample was drawn to determine PSA (no details of procedure reported). A measurement of ≥4 ng/mL was considered indicative of abnormal PSA   |   |  |  |  |
|                           | <u>Measures of test accuracy</u><br>Prostate cancer PPV and NPV were calculated based on MRI scores. ROC curves were constructed and AUC analysis was done between<br>MRI and PSA tests for prostate cancer detection   |   |  |  |  |
|                           | Disease-related outcomes<br>Not reported  |   |  |  |  |
| Test Accuracy             | Area under the curve (AUC)<br>When comparing the performance of MRI and PSA to predict prostate cancer, MRI score had a higher AUC than PSA (0.81, 95% CI 0.67–<br>0.94 vs 0.67, 95% CI 0.52–0.84). Compared to PSA, the ROC curves showed better performance at all MRI scores   |   |  |  |  |

| <u>Study</u><br><u>Reference</u> | Nam 2016   |
|----------------------------------|--|
|                                  | Positive predictive value (PPV) and Negative predictive value (NPV)  |
|                                  | Prostate cancer was diagnosed in 9 of the 30 men (30.0%) with normal PSA. The PPV in patients with a MRI score of 4 or more was 66.7% (6 of 9) and the NPV in patients with a MRI score of 3 or less was 85.7% (18 of 21, chi-square test p = 0.004). Nine of the 17 patients (52.9%) with PSA 4.0 ng/ml or greater had prostate cancer. The PPV in patients with a MRI score of 4 or greater was 75.0% (6 of 8) and the NPV in patients with a MRI score of 3 or less was 66.7% (6 of 9, chi-square test p = 0.08). |
| Authors'<br>Conclusions          | This pilot study determined the feasibility of using multiparametric prostate MRI as the primary screening test for prostate cancer. Initial results showed that prostate MRI was better at predicting prostate cancer than PSA in an unselected sample of the general population. Prostate MRI should be further evaluated in a larger prostate cancer screening study  |

**Abbreviations**: AUC, area under the curve; BMI, body mass index; IQR, interquartile range; MRI, magnetic resonance imaging; NPV, negative predictive value; NR, not reported; PPV, positive predictive value; PSA, prostate-specific antigen; ROC, receiver operating characteristic

| <u>Study</u><br>Reference     | Rubio-Briones 2014  |
|-------------------------------|---|
|                               | Study name<br>Not reported  |
|                               | Design<br>Prospective randomised controlled study   |
| Study Design                  | Objective<br>To evaluate the use of PCA3 as a second-line biomarker after PSA and rectal examination (DRE) performed by a urologist. The primary<br>objective was to assess the potential reduction in the number of biopsies. The secondary objectives were to evaluate the false negative rates<br>for PCA3 and their prognostic value within opportunistic screening |
|                               | Dates<br>September 2010–September 2012  |
|                               | <u>Country</u><br>Spain   |
|                               | <u>Setting</u><br>Valencian Foundation Institute of Oncology, Valencia  |
|                               | Patient recruitment and eligibility Opportunistic screening program   |
| Population<br>Characteristics | <b>Inclusion</b><br>Healthy men aged 40–75 years, with more than 10 years of life expectancy, with no prior biopsy, who freely committed to the protocol and signed the informed consent form   |
|                               | <b>Exclusion</b><br>Men who had already been biopsied or who had a history of prostatitis or urinary infections during the previous year were excluded  |
|                               | Other   |

# Table 40c. Rubio-Briones 2014

| <u>Study</u><br>Reference | Rubio-Briones 2014  |   |   |
|---------------------------|---|---|---|
|                           | E.g. N/A  |   |   |
|                           | Sample size<br>N screened/invited = 2,422<br>N eligible = 2,366<br>N included = 2,366<br>N excluded (did not meet eligibility<br>N included in analysis = 2,366 | criteria) = 56  |   |
|                           | <u>Demographics</u>   |   |   |
|                           | Parameter   | Included participants (n=2,366)   |   |
|                           | Age at recruitment (mean, SD)<br>Age at recruitment (median,<br>range)  | 57.5 (6.2)<br>57 (40–74)  |   |
|                           | Ethnicity   | NR  | -   |
|                           | Previous PSA test   | NR  |   |
|                           | Previous biopsy (%)   | 0   | ]   |
|                           | Family history of prostate<br>cancer  | NR  |   |
|                           | Socioeconomic status (e.g. education)   | NR  |   |
|                           | BMI   | NR  |   |
|                           | Weight  | NR  |   |
|                           | Comorbidity index   | NR  |   |
|                           | Diabetes  | NR  |   |
|                           | and/or abnormal DRE results had to<br>PSA and DRE monitoring at 1, 2, 3<br>with PSA ≥3 ng/mL and/or abnorma   | heir PCA3 levels determined. Men<br>or 4 years if their PSA level was 2<br>al DRE results had their PCA3 leve | n a urologist performed the DRE. Participants with PSA ≥3 ng/mL<br>with normal DRE and PSA results (<3 ng/mL) proceeded to repeat<br>-3, $1-2$ , $0.5-1$ or < $0.5$ ng/mL, respectively. At repeat visits, participants<br>als determined. At initial or repeat visits, men with PCA3 levels ≥35 were<br>CA3 levels < $35$ were blindly randomized 1:1 to 12-core initial biopsy or |
| Methods                   | Index test<br>PCA3 levels determined with the Pl  | rogensaTMPCA3 test (Genetics P  | robe-Hologic, San Diego, USA)   |
|                           | <u>Reference standard</u><br>12-core initial biopsy (no details of  | procedure reported)   |   |
|                           | <u>Comparator</u><br>PSA test alone (no details of proce<br>considered indicative of abnormal F   |   | gh not explicitly stated) that a measurement of ≥3 ng/mL was  |

| <u>Study</u><br>Reference | Rubio-Briones 2014   |  |   |  |
|---------------------------|--|--|---|--|
|                           |  | the curve (AUC) of the as used and a p-value   |   | naracteristic (ROC) for PCA3 and PSA were compared with the De Long test. A<br>d statistically significant. Sensitivity and specificity were calculated for PCA3 at  |
|                           | 289 (12.2%) mer<br>abnormal PSA a<br>≥35 and underwor<br>randomised to pr<br><u>Area under the c</u><br>The AUC was 0. | nd/or DRE at a repeat<br>ent prostate biopsy, of<br>rostate biopsy, of which<br>curve (AUC), sensitivity<br>601 for PSA (95% CI: 0 | visit. Hence, 321 (13.0<br>which 43 (39.1%) had<br>n 12 (11.9%) had pros<br><u>and specificity</u><br>0.514–0.689) and 0.74 | onormal PSA and/or DRE at the initial visit. 32 more had a PCA3 test due to<br>5%) men were tested for PCA3. Of these, 110 (34.3%) men had PCA3 levels<br>prostate cancer. Of the 211 (65.7%) men with PCA3 levels <35, 101 were<br>tate cancer<br>48 for PCA3 (95% CI: 0.677–0.819), showing a statistically significant difference<br>sitivity and 57.1% specificity |
| Test Accuracy             | PCA3 cut-off   | Sensitivity (%)  | Specificity (%)   |  |
|                           | ≥10  | 100.0  | 26.9  |  |
|                           | ≥15  | 94.5   | 33.3  |  |
|                           | ≥20  | 87.3   | 41.0  |  |
|                           | ≥25  | 83.6   | 47.4  |  |
|                           | ≥30  | 80.0   | 53.2  |  |
|                           | ≥35  | 78.2   | 57.1  |  |
|                           | ≥40<br>≥45   | 70.9<br>63.6   | 63.5<br>70.5  |  |
|                           | ≥45<br>≥50   | 56.4   | 70.5  |  |
|                           |  |  |   | r could reduce initial biopsies by 65.7%, with a false negative rate of  |
| Authors'<br>Conclusions   |  | 2%. A longer follow-up   |   | nd its true value as a diagnostic and prognostic tool and thereby weigh the rate   |

**Abbreviations**: AUC, area under the curve; BMI, body mass index; DRE, digital rectal examination; N/A, not applicable; NR, not reported; PCA3, prostate cancer antigen 3; PSA, prostate-specific antigen; ROC, receiver operating characteristic; SD, standard deviation

# Table 40d. Göteborg Randomised Screening Trial, Grenabo Bergdahl 2016

| <u>Study</u><br>Reference | Göteborg Randomised Screening Trial (Grenabo Bergdahl 2016) |
|---------------------------|---|
| Study Design              | <u>Study name</u><br>Göteborg Randomised Screening Trial    |

| <u>Study</u><br>Reference | Göteborg Randomised Screening Trial (Grenabo Bergdahl 2016)   |
|---------------------------|---|
|                           | Design<br>Pilot study nested within the Göteborg Randomised Screening Trial   |
|                           | <u>Objective</u><br>To compare the efficacy of sequential screening (PSA + MRI) with conventional PSA screening for prostate cancer   |
|                           | <u>Dates</u><br>2013–14   |
|                           | <u>Country</u><br>Sweden  |
|                           | Setting<br>Not reported   |
|                           | Patient recruitment and eligibility<br>The pilot study was nested within the 10th and last screening round of the Göteborg randomised screening trial, in which 20,000 men aged<br>50–64 years were randomised to a screening and a control group in 1995. Men in the screening group received invitations to PSA-screening<br>biennially until an upper age limit (average 69 years) |
|                           | Inclusion<br>Not reported   |
|                           | Evolution   |

Exclusion

Not reported

Other

E.g. N/A

<u>Sample size</u> N invited = 596 N attended = 384

PopulationN attended = 30CharacteristicsDemographics

| Parameter                             | Included participants (n=384) |
|---------------------------------------|-------------------------------|
| Age at recruitment (median, IQR)      | 69.3 (69.0–69.6)              |
| Ethnicity                             | NR                            |
| Previous PSA test (%)                 | 98                            |
| Previous biopsy                       | NR                            |
| Family history of prostate<br>cancer  | NR                            |
| Socioeconomic status (e.g. education) | NR                            |
| BMI                                   | NR                            |
| Weight                                | NR                            |
| Comorbidity index                     | NR                            |

| <u>Study</u><br>Reference | Göteborg Randomised Screening Trial (Grenabo Bergdahl 2016)  |  |  |   |   |   |  |            |  |
|---------------------------|--|--|--|---|---|---|--|------------|--|
|                           | Diabetes   | NR   |  |   |   |   |  |            |  |
|                           | Trial design<br>Men with PSA <1.8ng/mL underwen<br>positive MRI and/or those with PSA<br>MRI results. The MRI results were th<br>In the final analysis, three different s<br>scan, and targeted prostate biopsy i<br>the event of a positive MRI scan  | of ≥3.0 ng/ml were<br>nen revealed, and M<br>creening strategies | referred for biops<br>IRI-targeted biop<br>were compared | sy. A TRUS-<br>sy was perfo<br>(1) PSA ≥3.0 | guided systemation<br>formed on men wi<br>Dng/mL and system | c biopsy wa<br>th cancer-su<br>ematic biops | s sampled first, blinded t<br>uspicious findings on MR<br>sy; (2) PSA ≥3.0ng/mL, M | ri.<br>Mri |  |
|                           | Index test<br>All MRI examinations were performed using a 3Tesla system (Philips Achieva 3.0, Philips Healthcare, Best, the Netherlands). During the first<br>part of the study, a SENSE Cardiovascular Array Coil with 32 overlapping elements was used. During the study period the system was<br>upgraded and a digital coil system (dStream Torso with integrated anterior and posterior coils) was used (no endorectal coil). Suspicious<br>lesions were according to the validated Prostate Imaging Reporting and Data System for each sequence, ranging from 1 to 5 according to<br>the likelihood of significant prostate cancer presence. A score in any sequence of ≥3 (equivocal) was regarded as positive. All images were<br>read in consensus by three radiologists of whom two had several years' experience of MRI-reading |  |  |   |   |   |  |            |  |
| Methods                   | Reference standard<br>TRUS-guided biopsy was performed<br>positive MRI result. The systematic<br>posterior sectors of which 10 poster<br>with cancer-suspicious findings on M  | prostate biopsy was<br>or were sampled ro                        | a 10-core TRUS<br>utinely. The targ                      | S-guided sys                                | tematic biopsy us<br>biopsy was an I                        | sing a scher<br>MRI-targete                 | ne with 12 anterior and 1<br>d biopsy performed on m                               |            |  |
|                           | <u>Comparator</u><br>Strategy 1 (PSA ≥3.0ng/mL and sys   | tematic prostate bio   | psy) was consid  | ered the 'refe                              | erence strategy'  |   |  |            |  |
|                           | <u>Measures of test accuracy</u><br>Point estimates for the statistics sen<br>percentages of the two-by-two table<br>the method described by Moskowitz   | s. A p-value for com   |  |   |   |   |  | ng         |  |
|                           | <u>Disease-related outcomes</u><br>Not reported  |  |  |   |   |   |  |            |  |
|                           | Estimated test performance for pros  | tate cancer detectio   | n of three differe                                       | nt screening                                | <u>strategies</u>   |   |  |            |  |
|                           |  |  | 1: PSA ≥3.0 +  |   | 2: PSA ≥3.0 +   |   | 3: PSA ≥1.8 +  |            |  |
|                           | Parameter  |  | natic biopsy   |   | rgeted biopsy   |   | rgeted biopsy  |            |  |
| Test Accuracy             |  | %  | 95% CI   | %   | 95% CI  | %   | 95% CI   |            |  |
| -                         | Sensitivity  | 0.64   | 0.47-0.82  | 0.46  | 0.27-0.65   | 0.73  | 0.56-0.90  |            |  |
|                           | Specificity  | 0.52   | 0.43-0.62  | 0.92  | 0.86-0.97   | 0.79  | 0.70-0.87  |            |  |
|                           | PPV  | 0.27   | 0.16-0.37  | 0.60  | 0.39-0.81   | 0.48  | 0.32-0.63  |            |  |
|                           | NPV  | 0.84   | 0.75-0.93  | 0.87  | 0.80-0.93   | 0.92  | 0.86-0.98  |            |  |

| Study<br>Reference      | Göteborg Randomised Screening Trial (Grenabo Bergdahl 2016) |                             |                                 |                  |   |  |  |  |  |  |  |  |
|-------------------------|---|-----------------------------|---------------------------------|------------------|---|--|--|--|--|--|--|--|
|                         | Comparison betwee   | <u>n screening strategi</u> | <u>es for significant diffe</u> |                  |   |  |  |  |  |  |  |  |
|                         | Parameter   | Strategy 1 vs. 2            | Strategy 1 vs. 3                | Strategy 2 vs. 3 |   |  |  |  |  |  |  |  |
|                         | Sensitivity   | 0.21                        | 0.47                            | 0.008            |   |  |  |  |  |  |  |  |
|                         | Specificity   | <0.001                      | 0.001                           | <0.001           |   |  |  |  |  |  |  |  |
|                         | PPV   | <0.001                      | 0.006                           | 0.09             |   |  |  |  |  |  |  |  |
|                         | NPV   | 0.55                        | 0.17                            | 0.03             |   |  |  |  |  |  |  |  |
| Authors'<br>Conclusions | 0 01  |                             | ,                               | <b>U</b> I I     | sy in MRI-positive men seems to increase the detection of ibute to a paradigm shift in future screening |  |  |  |  |  |  |  |

Abbreviations: BMI, body mass index; IQR, interquartile range; MRI, magnetic resonance imaging; N/A, not applicable; NPV, negative predictive value; NR, not reported; PPV, positive predictive value; TRUS, transrectal ultrasound

| <u>Study</u><br><u>Reference</u> | Prostate, Lung, Colorectal and Ovarian (PLCO) Cancer Screening Trial (Halpern 2017)   |
|----------------------------------|---|
|                                  | <u>Study name</u><br>Prostate, Lung, Colorectal and Ovarian (PLCO) Cancer Screening Trial   |
| Study Design                     | Design<br>Randomised controlled trial   |
|                                  | Objective<br>The absence of definitive data or explicit guidelines regarding the use of DRE for prostate cancer screening may lead to confusion for<br>physicians and patients alike. This study evaluated the prognostic value of abnormal DRE and PSA following the widespread dissemination<br>of PSA testing in the USA |
|                                  | <u>Dates</u><br>Randomisation in 1993–2001, with up to 13 years of follow-up  |
|                                  | <u>Country</u><br>USA   |
|                                  | Setting<br>NR   |
| Population                       | Patient recruitment and eligibility<br>The PLCO Cancer Screening Trial was a national, randomised controlled trial of prostate cancer screening that has been described<br>previously   |
| Characteristics                  | Inclusion<br>Men 55 to 74 years old   |
|                                  | Exclusion   |

# Table 40e. PLCO Trial, Halpern 2017

Study

| <u>Study</u><br>Reference | Prostate, Lung, Colorectal and Ovarian (PLCO) Cancer Screening Trial (Halpern 2017)   |  |   |   |  |  |  |  |  |  |
|---------------------------|---|--|---|---|--|--|--|--|--|--|
|                           |   | Men with a history of prostate cancer or prostatectomy, ongoing treatment for malignancy, use of finasteride within 6 months or more than 1 PSA test in the last 3 years were excluded from analysis |   |   |  |  |  |  |  |  |
|                           | <b>Other</b><br>N/A   |  |   |   |  |  |  |  |  |  |
|                           | <u>Sample size</u><br>N randomised to the screening arm = 38,340<br>N died before screening = 6<br>N excluded (did not undergo DRE despite randomisation to screening) = 2,984<br>N included in analysis = 35,350   |  |   |   |  |  |  |  |  |  |
|                           | Demographics  |  |   |   |  |  |  |  |  |  |
|                           | Parameter   | Suspicious DRE (n=3,265)   | Normal DRE (n=32,085)   |   |  |  |  |  |  |  |
|                           | Age at baseline (median, IQR)   | 64 (60–68)   | 62 (58–66)  | 7   |  |  |  |  |  |  |
|                           | Ethnicity   | NR   | NR  |   |  |  |  |  |  |  |
|                           | Previous PSA test (n, %)  | 1,589 (53.5)   | 14,287 (49.3)   |   |  |  |  |  |  |  |
|                           | Previous biopsy   | NR   | NR  |   |  |  |  |  |  |  |
|                           | Family history of prostate<br>cancer  | NR   | NR  |   |  |  |  |  |  |  |
|                           | Socioeconomic status (e.g. education)   | NR   | NR  |   |  |  |  |  |  |  |
|                           | BMI   | NR   | NR  |   |  |  |  |  |  |  |
|                           | Weight  | NR   | NR  |   |  |  |  |  |  |  |
|                           | Comorbidity index   | NR   | NR  |   |  |  |  |  |  |  |
|                           | Diabetes  | NR   | NR  |   |  |  |  |  |  |  |
|                           | <u>Trial design</u><br>Participants were randomised to ro<br>annual DRE and PSA for the first 4<br>men were excluded from the analys<br>man was determined by his individu<br>coordinators actively tracked trial pa<br>points  | and 6 years of the trial, respectivel<br>sis. Following a positive screening e<br>ual physician. Follow-up was obtain  | y. As men in the usual care arm die<br>encounter, the subsequent diagnos<br>ed for 13 years after trial initiation, | d not undergo routine DRE, these<br>stic and therapeutic course of each<br>throughout which study |  |  |  |  |  |  |
| Methods                   | Index test<br>The presence or absence of suspicious abnormality on DRE was determined by the screening clinician (physician, physician assistant or<br>nurse). Non-physician examiners were trained and supervised by a licensed physician, typically a urologist. DRE was considered positive o<br>suspicious in the presence of induration, nodularity, significant asymmetry or loss of anatomical landmarks as determined by the examiner |  |   |   |  |  |  |  |  |  |
|                           | <u>Reference standard</u><br>Prostate biopsy (no details of proce   | dure reported)   |   |   |  |  |  |  |  |  |
|                           | <u>Comparator</u><br>PSA test (no details of procedure re   | eported). A measurement of ≥4 ng/r   | nL was considered indicative of ab  | onormal PSA   |  |  |  |  |  |  |

| <u>Study</u><br>Reference | Prostate, Lung, Colorectal and Ovarian (PLCO) Cancer Screening Trial (Halpern 2017)   |                             |  |                            |                                      |                                    |                |                 |  |  |  |
|---------------------------|---|-----------------------------|--|----------------------------|--------------------------------------|------------------------------------|----------------|-----------------|--|--|--|
|                           | Measures of test accuracy         Not reported         Disease-related outcomes         Prostate cancer specific mortality was a primary endpoint. The occurrence of death was determined via routine follow-up and cause of death, when applicable, was determined by death certificate with confirmation via study specific adjudication process. Median follow-up was 10.5 years. Univariable and multivariable competing risks regression models were used to identify the role of DRE as a prognostic tool of prostate cancer specific mortality |                             |  |                            |                                      |                                    |                |                 |  |  |  |
|                           |   |                             |  |                            |                                      |                                    |                |                 |  |  |  |
|                           | Prostate cancer specific mortality<br>During follow-up there were 64 prostate ca<br>cancer specific mortality (HR 3.49, 95% C<br>DRE remained associated with prostate ca<br>abnormal PSA was associated with prostate  | I 1.96–6.23<br>ancer specit | , p <0.001). On ı<br>fic mortality (HR | nultivariable<br>2.54, 95% | e analysis, adjus<br>Cl 1.41–4.58, p | sting for age ar<br>= 0.002). On r | nd intra-study | PSA, suspicious |  |  |  |
| Test Accuracy             | Multivariable hazard regression   |                             |  |                            |                                      |                                    |                |                 |  |  |  |
| -                         |   | Suspicious DRE              |  |                            | Abnormal PSA                         |                                    |                |                 |  |  |  |
|                           | Parameter   |                             |  |                            |                                      |                                    |                |                 |  |  |  |
|                           |   | HR                          | 95% CI                                 | p-value                    | HR                                   | 95% CI                             | p-value        |                 |  |  |  |
|                           | Prostate cancer specific mortality  | 2.54                        | 1.41, 4.58                             | 0.002                      | 5.23                                 | 3.08, 8.88                         | <0.001         |                 |  |  |  |
| Authors'<br>Conclusions   | Suspicious DRE and abnormal PSA on ro<br>specific mortality. These findings support a<br>screening regimens. However, additional i<br>between these two tests   | a continued                 | role for DRE an                        | d PSA in th                | e context of sha                     | red decision m                     | naking and in  | dividualised    |  |  |  |

**Abbreviations**: BMI, body mass index; CI, confidence interval; DRE, digital rectal examination; HR, hazard ratio; IQR, interquartile range; N/A, not applicable; NR, not reported; PLCO, Prostate, Lung, Colorectal and Ovarian cancer screening trial; PSA, prostate-specific antigen

# Table 40f. Stockholm 3 (STHLM3) Study, Grönberg 2015

| <u>Study</u><br><u>Reference</u> | Stockholm 3 (STHLM3) Study (Grönberg 2015)<br>Linked records: Strom 2018   |
|----------------------------------|--|
|                                  | Study name<br>Stockholm 3 (STHLM3) Study   |
| Study Dosign                     | Design<br>Prospective, diagnostic study following a paired, screen-positive design   |
| Study Design                     | <u>Objective</u><br>To develop and validate a model to identify high-risk prostate cancer (with a Gleason score of at least 7) with better test characteristics than<br>that provided by PSA screening alone |
|                                  | Dates  |

| <u>Study</u><br>Reference     | Stockholm 3 (STHLM3) Study (Grönberg 2015)<br>Linked records: Strom 2018  |                                 |                                      |
|-------------------------------|---|---------------------------------|--------------------------------------|
|                               | Training cohort was recruited in May 2012 to May 2013. Validation   | n cohort was recruited in Augus | st 2013 to December 2014             |
|                               | <u>Country</u><br>Sweden  |                                 |                                      |
|                               | Setting<br>Community-based/primary care/records   |                                 |                                      |
|                               | Patient recruitment and eligibility<br>The study recruited men aged 50–69 years from Stockholm, Swed<br>randomly selected by date of birth from the Swedish Population Re<br>them   |                                 |                                      |
|                               | Inclusion<br>Aged 50–69 years with a residential address in Stockholm   |                                 |                                      |
|                               | Exclusion<br>Previous prostate cancer diagnosis   |                                 |                                      |
|                               | <b>Other</b><br>The study consisted of a training cohort and a validation cohort. The algorithm. The validation cohort was used to prospectively test the   |                                 | train and predefine the STHLM3 model |
| Population<br>Characteristics | Sample size<br>N invited to participate in training cohort = 145,905<br>N excluded from training cohort (prevalent prostate cancer) = 1,63<br>N recruited to training cohort = 11,130<br>N invited to participate in validation cohort = 113,082<br>N excluded from validation cohort (prevalent prostate cancer) = 1,<br>N recruited to validation cohort = 47,688 |                                 |                                      |
|                               | Demographics  |                                 |                                      |
|                               | Parameter   | Validation cohort<br>(n=47,688) |                                      |
|                               | Age at recruitment (n, %)   |                                 |                                      |
|                               | 50–54   | 11,723 (25)                     |                                      |
|                               | 55–59   | 10,924 (23)                     |                                      |
|                               | 60–64<br>65–69  | 11,159 (23)                     |                                      |
|                               | Ethnicity   | 13,882 (29)<br>NR               | -                                    |
|                               | Previous PSA test within 10 years of inclusion (n, %)   | 31,435 (66)                     | -                                    |
|                               | Previous negative biopsy within 10 years of inclusion (n, %)  | 1,739 (4)                       |                                      |
|                               | First-degree relative with prostate cancer (n, %)   | 5,872 (12)                      | 1                                    |
|                               | Socioeconomic status (e.g. education)   | NR                              | ]                                    |
|                               | BMI   | NR                              |                                      |
|                               | Weight  | NR                              |                                      |

Biomarkers

Genetic score

| <u>Study</u><br>Reference | Stockholm 3 (STHLM3) Study (Grönberg 2015)<br>Linked records: Strom 2018  |  |   |   |   |  |                              |                      |           |  |  |  |
|---------------------------|---|--|---|---|---|--|------------------------------|----------------------|-----------|--|--|--|
|                           | Comorbidity index   |  |   |   | NR  |  |                              |                      |           |  |  |  |
|                           | Diabetes  |  |   |   | NR  |  |                              |                      |           |  |  |  |
|                           | Index test<br>The STHLM3 model is a test consisting of a combination of plasma protein biomarkers (PSA, free PSA, intact PSA, hK2, MSMB, and MIC1<br>genetic markers (232 SNPs), clinical variables (age, family history, previous prostate biopsy) and a prostate exam (DRE and prostate<br>volume).   |  |   |   |   |  |                              |                      |           |  |  |  |
|                           | PSA levels were analysed in all participants and in those with a PSA concentration of ≥1 ng/mL, genetic and plasma protein biomarkers we analysed. A genetic score was computed for each participant by summing the number of risk alleles at each of the 232 SNPs multiplied by the logarithm of each SNP's odds ratio estimated from published studies. The biomarker score was computed for each participant by combining the genetic score and five plasma biomarkers (MSMB, MIC1, free PSA, intact PSA and hK2) using logistic regression. If the STHLM3 model indicated ≥10% risk of high-grade prostate cancer, patients were referred to a urologist, who performed DREs, prostate volume measurements, and transrectal prostate biopsy |  |   |   |   |  |                              |                      |           |  |  |  |
| ethods                    | <u>Reference standard</u><br>According to a standardised biopsy protocol, 10 core biopsies were taken if the prostate volume was less than 35 cm and 12 core biopsies<br>were taken if the volume was greater or equal to 35 cm. A single pathologist blinded to the results of PSA concentration and biomarker leve<br>assessed all biopsies to reduce inter-observer variance   |  |   |   |   |  |                              |                      |           |  |  |  |
|                           | <u>Comparator</u><br>PSA test alone. A measurement of ≥3 ng/mL was considered indicative of abnormal PSA. Men with PSA ≥3 ng/ml were biopsied regardless<br>of STHLM3 results   |  |   |   |   |  |                              |                      |           |  |  |  |
|                           |   |  | <u>Measures of test accuracy</u><br>For model comparisons, the area under the curve (AUC) was determined with 95% CI calculated using the<br>from DeLong's test was used for differences in AUC. Men with PSA ≥10, 5-α-reductase inhibitor use or inc |   |   |  |                              |                      |           |  |  |  |
|                           | Disease-related outcomes<br>Not reported  |  |   |   |   |  |                              |                      |           |  |  |  |
|                           |   |  |   |   |   |  |                              |                      | luded fro |  |  |  |
|                           |   | <u>for predict</u><br>ILM3 valida                | ion of prostate   | cancers wi  | <u>th a Gleason sc</u><br>17 biopsies done                            | <u>ore ≥7</u><br>e in men ag               | ged 50–69 yea                | rs                   | luded fro |  |  |  |
|                           | Not reported Performance of the STHLM3 model These results are based on the STH   | ILM3 valida                                      | ion of prostate<br>ation cohort inc<br>univariate)  | luding 4,94<br>AUC                                    | t <u>h a Gleason sc</u><br>7 biopsies done<br>(stepwise<br>tivariate) | e in men ag                                | ged 50–69 year<br>lative AUC |                      | luded fro |  |  |  |
| est Accuracy              | Not reported Performance of the STHLM3 model  | ILM3 valida                                      | ation cohort inc  | luding 4,94<br>AUC                                    | 7 biopsies done<br>(stepwise  | e in men ag                                |                              | rs<br>p-value        | luded fro |  |  |  |
| est Accuracy              | Not reported Performance of the STHLM3 model These results are based on the STH   | ILM3 valida                                      | ation cohort inc<br>univariate)   | luding 4,94<br>AUC<br>mul                             | 7 biopsies done<br>(stepwise<br>tivariate)                            | e in men aç<br>Cumu                        | lative AUC                   |                      | luded fro |  |  |  |
| est Accuracy              | Not reported         Performance of the STHLM3 model         These results are based on the STH         Parameter   | AUC (  | ation cohort inc<br>(univariate)<br>95% Cl  | luding 4,94<br>AUC<br>mul<br>AUC                      | 7 biopsies done<br>(stepwise<br>tivariate)<br>95% Cl                  | e in men ag<br>Cumu<br>AUC                 | lative AUC<br>95% Cl         | p-value              | luded fro |  |  |  |
| est Accuracy              | Not reported         Performance of the STHLM3 model         These results are based on the STH         Parameter         Total PSA         Risk factors         Age  | ILM3 valida<br>AUC (<br>AUC<br>0.56<br>-<br>0.54 | ation cohort inc<br>(univariate)<br>95% Cl<br>0.54–0.59<br>0.52–0.56  | luding 4,94<br>AUC<br>Mul<br>AUC<br>0.56<br>-<br>0.57 | 7 biopsies done<br>(stepwise<br>tivariate)<br>95% Cl<br>0.54–0.59     | e in men ag<br>Cumu<br>AUC<br>0.56         | 95% CI                       | p-value<br>Reference | luded fro |  |  |  |
| est Accuracy              | Not reported         Performance of the STHLM3 model         These results are based on the STH         Parameter         Total PSA         Risk factors  | AUC (<br>AUC (<br>AUC<br>0.56                    | ation cohort inc<br>(univariate)<br>95% Cl<br>0.54–0.59   | luding 4,94<br>AUC<br>MUC<br>0.56                     | 7 biopsies done<br>(stepwise<br>tivariate)<br>95% Cl<br>0.54–0.59     | e in men ag<br>Cumu<br>AUC<br>0.56<br>0.58 | 95% CI                       | p-value<br>Reference | luded fro |  |  |  |

-

0.60

-

0.54

-

0.52-0.56

Page 231

0.70

-

-

0.58-0.62

0.68-0.72

-

< 0.0001

-

| <u>Study</u><br><u>Reference</u> | Stockholm 3 (STHLM3) Study (Gro<br>Linked records: Strom 2018 | onberg 20 <sup>.</sup> | 15)       |      |           |  |  |
|----------------------------------|---|------------------------|-----------|------|-----------|--|--|
|                                  | NONE  | 0.54                   | 0 50 0 50 | 0.04 | 0 50 0 00 |  |  |

| MSMB                       | 0.54 | 0.52-0.56 | 0.61 | 0.59-0.63 | -    | -         | -       |
|----------------------------|------|-----------|------|-----------|------|-----------|---------|
| MIC1                       | 0.53 | 0.51-0.56 | 0.62 | 0.60-0.64 | -    | -         | -       |
| Free PSA                   | 0.55 | 0.53-0.57 | 0.66 | 0.64-0.68 | -    | -         | -       |
| Intact PSA                 | 0.52 | 0.50-0.55 | 0.69 | 0.67-0.71 | -    | -         | -       |
| hK2                        | 0.55 | 0.53-0.57 | 0.70 | 0.68-0.72 | -    | -         | -       |
| Prostate exam <sup>a</sup> | -    | -         | -    | -         | 0.74 | 0.72-0.75 | <0.0001 |
| DRE                        | 0.57 | 0.56-0.59 | 0.72 | 0.70-0.74 | -    | -         | -       |
| Prostate volume            | 0.62 | 0.60-0.64 | 0.74 | 0.72-0.75 | -    | -         | -       |
|                            |      |           |      |           |      |           |         |

<sup>a</sup>Because all blood-based markers are used to refer men to a urological assessment (DRE and transrectal ultrasound), they are added to the model before adding DRE and prostate volume as predictors. Prostate volume and DRE were only assessed in men who had biopsy samples taken

#### Comparison of the STHLM3 model and PSA test using different endpoints

These results are based on the STHLM3 validation cohort including 4,947 biopsies done in men aged 50-69 years

| Endpoint  | P    | SA test   | STHLM3 model |           |  |
|---|------|-----------|--------------|-----------|--|
|   | AUC  | 95% CI    | AUC          | 95% CI    |  |
| All prostate cancers                                | 0.52 | 0.50-0.53 | 0.69         | 0.68–0.71 |  |
| Cancers with a Gleason score ≥7                     | 0.56 | 0.54-0.59 | 0.74         | 0.72-0.75 |  |
| Excluding very low-risk cancer<br>(CAPRA score 0–2) | 0.64 | 0.62–0.67 | 0.78         | 0.76–0.80 |  |
| Cancers with a Gleason score ≥(4 + 3)               | 0.60 | 0.56-0.64 | 0.74         | 0.71–0.77 |  |

#### Performance of an updated STHLM3 model for prediction of prostate cancers with a Gleason score ≥7

The original version of the STHLM3 model included intact PSA, but it was subsequently removed. In addition, a new biomarker was included, the *HOXB13* SNP, a rare germline mutation of the *HOXB13* gene with a large effect on the risk of prostate cancer. These analyses included all biopsied participants from the pilot study and the validation study, and all additional participants who had not had a blood test before the database of the STHLM3 study was locked

| Parameter         | Bivariate AUC <sup>a</sup> |           | Cumu | lative AUC <sup>ь</sup> | Remove AUC <sup>°</sup> |           |
|-------------------|----------------------------|-----------|------|-------------------------|-------------------------|-----------|
|                   | AUC                        | 95% CI    | AUC  | 95% CI                  | AUC                     | 95% CI    |
| Age               | 0.59                       | 0.57–0.61 | 0.59 | 0.57-0.61               | 0.75                    | 0.74–0.77 |
| DRE               | 0.63                       | 0.61–0.64 | 0.63 | 0.61–0.65               | 0.75                    | 0.73-0.76 |
| Previous biopsies | 0.61                       | 0.59-0.63 | 0.65 | 0.63-0.66               | 0.75                    | 0.74–0.77 |
| Prostate volume   | 0.67                       | 0.66-0.69 | 0.71 | 0.69-0.73               | 0.74                    | 0.73-0.76 |
| Family history    | 0.59                       | 0.57–0.61 | 0.71 | 0.70-0.73               | 0.76                    | 0.74-0.77 |

| Study     | Stockholm 3 (STHLM3) Study (Grönberg 2015) |
|-----------|--|
| Reference | Linked records: Strom 2018                 |

| Free PSA                | 0.65 | 0.63-0.67 | 0.72 | 0.71-0.74 | 0.76 | 0.74-0.78 |
|-------------------------|------|-----------|------|-----------|------|-----------|
| Free/total PSA ratio    | 0.65 | 0.63-0.67 | 0.73 | 0.71–0.74 | 0.76 | 0.74–0.77 |
| Intact PSA <sup>d</sup> | 0.58 | 0.56-0.60 | 0.74 | 0.72-0.75 | 0.75 | 0.73-0.77 |
| hK2                     | 0.59 | 0.57-0.61 | 0.75 | 0.74–0.77 | 0.75 | 0.73-0.76 |
| MIC1                    | 0.59 | 0.57-0.61 | 0.75 | 0.74-0.77 | 0.76 | 0.74-0.77 |
| MSMB                    | 0.60 | 0.58-0.62 | 0.76 | 0.74-0.77 | 0.76 | 0.74-0.77 |
| HOXB13                  | 0.59 | 0.56-0.60 | 0.76 | 0.74-0.77 | 0.76 | 0.74-0.77 |
| Genetic score           | 0.61 | 0.59-0.63 | 0.76 | 0.74-0.77 | 0.76 | 0.74–0.77 |

<sup>a</sup>Individual STHLM3 biomarkers in combination with PSA (including intact PSA). <sup>b</sup>The cumulative performance for inclusion of each biomarker in the order presented (including intact PSA). <sup>c</sup>The remaining value after removing the biomarker from the full set of predictors (including intact PSA). <sup>d</sup>Intact PSA is no longer part of STHLM3 but was evaluated among the set of individual predictors

| Test                 | AUC  |           |  |  |
|----------------------|------|-----------|--|--|
|                      | AUC  | 95% CI    |  |  |
| Updated STHLM3 model | 0.75 | 0.73-0.77 |  |  |
| PSA test             | 0.58 | 0.57-0.60 |  |  |

<u>Performance of an updated STHLM3 model for prediction of prostate cancers with a Gleason score  $\geq$ 7, if used as a reflex test in patients with PSA  $\geq$ 3 ng/mL (Strom 2018)</u>

These analyses consider the use of the updated STHLM3 model as a reflex test in participants with a PSA concentration of  $\geq$ 3 ng/mL

| Parameter               | Bivar | iate AUCª | Cumulative AUC <sup>b</sup> |           |  |
|-------------------------|-------|-----------|-----------------------------|-----------|--|
|                         | AUC   | 95% CI    | AUC                         | 95% CI    |  |
| Age                     | 0.59  | 0.56-0.61 | 0.59                        | 0.56-0.61 |  |
| DRE                     | 0.63  | 0.60-0.65 | 0.62                        | 0.60-0.65 |  |
| Previous biopsies       | 0.61  | 0.59-0.63 | 0.65                        | 0.62-0.67 |  |
| Prostate volume         | 0.69  | 0.67-0.70 | 0.72                        | 0.70-0.74 |  |
| Family history          | 0.59  | 0.57-0.61 | 0.72                        | 0.70-0.74 |  |
| Free PSA                | 0.65  | 0.63-0.67 | 0.73                        | 0.71–0.75 |  |
| Free/total PSA ratio    | 0.65  | 0.63-0.67 | 0.73                        | 0.71–0.75 |  |
| Intact PSA <sup>c</sup> | 0.58  | 0.56-0.61 | 0.75                        | 0.73-0.76 |  |
| hK2                     | 0.59  | 0.56-0.61 | 0.76                        | 0.74-0.78 |  |
| MIC1                    | 0.59  | 0.57–0.61 | 0.76                        | 0.74-0.78 |  |
| MSMB                    | 0.60  | 0.58-0.62 | 0.77                        | 0.75-0.78 |  |
| HOXB13                  | 0.59  | 0.57-0.61 | 0.77                        | 0.75-0.79 |  |
| Genetic score           | 0.61  | 0.59–0.63 | 0.77                        | 0.75–0.79 |  |

| Study     | Stockholm 3 (STHLM3) Study (Grönberg 2015) |
|-----------|--|
| Reference | Linked records: Strom 2018                 |

<sup>a</sup>Individual STHLM3 biomarkers in combination with PSA (including intact PSA). <sup>b</sup>The cumulative performance by including each biomarker in the order presented (including intact PSA). <sup>c</sup>Intact PSA is no longer part of STHLM3 but was evaluated among the set of individual predictors

| Test                                | AUC  |           |  |  |
|-------------------------------------|------|-----------|--|--|
|                                     | AUC  | 95% CI    |  |  |
| Updated STHLM3 model                | 0.76 | 0.74-0.77 |  |  |
| PSA test                            | 0.58 | 0.56-0.60 |  |  |
| Free/total PSA ratio                | 0.64 | 0.62-0.67 |  |  |
| PSA density <sup>a</sup>            | 0.69 | 0.67-0.70 |  |  |
| Clinical model <sup>b</sup>         | 0.71 | 0.69-0.73 |  |  |
| Age + four kallikreins <sup>c</sup> | 0.70 | 0.68-0.72 |  |  |

<sup>a</sup>PSA/prostate volume; the referral value is the actual value for the density, not a probability of Gleason score ≥7 cancer. <sup>b</sup>PSA, age, DRE and prostate volume. <sup>c</sup>Age, PSA, free PSA, free/total PSA ratio, hK2 and intact PSA

Authors' Conclusions The STHLM3 model could reduce unnecessary biopsies without compromising the ability to diagnose prostate cancer with a Gleason score of at least 7, and could be a step towards personalised risk-based prostate cancer diagnostic programmes. The STHLM3 model, a combination of plasma protein biomarkers, genetic polymorphisms, and clinical variables, can significantly improve prostate cancer screening specificity with the same sensitivity compared with PSA testing

Abbreviations: AUC, area under the curve; BMI, body mass index; CAPRA, Cancer of the Prostate Risk Assessment; CI, confidence interval; DRE, digital rectal examination; hK2, hexokinase 2; MSMB, Microseminoprotein Beta; PSA, prostate-specific antigen; SNP, single nucleotide polymorphism; STHLM3, Stockholm-3

# **Question 4**

# Table 41a. NG131 [C] (NICE 2019): Radical radiotherapy

| <u>Study</u><br><u>Reference</u> | NG131 [C] (NICE 2019)<br>Linked records: Hoffman 2018; Wilkins 2015 (CHHiP)<br>Yin 2019 is an SLR that includes some of the same trials   |
|----------------------------------|---|
|                                  | Study name<br>N/A   |
|                                  | Design<br>Systematic literature review  |
|                                  | <u>Objective</u><br>To determine the optimal dose of radiotherapy for people with localised PCa   |
| Study Design                     | To determine the effectiveness of 1) hypofractionated external beam radiotherapy versus conventional external beam radiotherapy and 2) brachytherapy, as a monotherapy or as a boost in combination with external beam radiotherapy, versus conventional external beam radiotherapy and 2) radiotherapy |
|                                  | <u>Search dates</u><br>2008–2017, up to August 2018 with update   |
|                                  | Country<br>N/A  |
|                                  | <u>Setting</u><br>N/A   |
|                                  |   |

Study eligibility

|                | Inclusion (PICOS |   |
|----------------|------------------|---|
|                | Population       | People with localised PCa (T1b–T3a N0 M0)   |
|                | Intervention     | Hypofractionated RT to the prostate   |
|                |                  | Brachytherapy plus external beam RT   |
|                |                  | Brachytherapy alone   |
|                | Comparator       | Conventional fractionation with external beam therapy   |
| Population     | Outcomes         | PCa-specific mortality  |
| Characteristic |                  | OS  |
| S              |                  | Metastasis-free survival  |
|                |                  | Treatment-related morbidity e.g. late effects of radiation therapy, biochemical relapse-free survival, toxicity |
|                |                  | HRQoL (including separate reporting of psychological aspects)   |
|                | Study design     | RCTs  |
|                |                  | Systematic reviews of RCTs  |

Exclusion (reasons given in excluded study list)

- Conference abstract
- Non-systematic review article

| <u>Study</u><br>Reference | NG131 [C] (NICE 2019)<br>Linked records: Hoffman 2018; Wilkins 2015 (CHHiP)<br>Yin 2019 is an SLR that includes some of the same trials  |  |  |  |  |   |   |   |  |  |  |
|---------------------------|--|--|--|--|--|---|---|---|--|--|--|
|                           | <ul> <li>Hypc</li> <li>Com</li> <li>Bracl</li> <li>Not a</li> <li>Full t</li> <li>Data</li> <li>Study</li> <li>Com</li> <li>Study</li> <li>Study</li> <li>Study</li> <li>Study</li> <li>Study</li> <li>Study</li> </ul>  | boost v<br>parison o<br>nytherap<br>relevan<br>ext not a<br>not repo<br>/ did not<br>parator o<br>/ not rep<br>/ did not | t study desigr<br>vailable<br>orted in an ext<br>report outcon<br>did not match<br>orted in Englis<br>contain releva | tional<br>actionated ext<br>actionated ext<br>ractable form<br>nes of interes<br>that specified<br>sh language | oses<br>ernal beam RT vs I<br>ndomised or retrosp<br>at<br>t<br>in the protocol                                |   | ternal beam RT alon   | e   |  |  |  |
|                           | <ul> <li>Study published pre-2008</li> <li>Other         The review was conducted as part of a larger update of the NICE Prostate Cancer guideline (CG175)         <u>Flow of Studies (PRISMA)</u>         Titles/abstracts reviewed = 2,688         Full texts reviewed = 163         Articles included = 24 articles* on 11 unique RCTs (after update)     </li> </ul>   |  |  |  |  |   |   |   |  |  |  |
|                           | <ul><li>ERB<sup>-</sup></li><li>Bracl</li></ul>  | Γ alone ν<br>nytherap  | versus ERBT<br>y alone = 0 ar  | + low-dose-ra<br>ticles  | T = 22 articles on 1<br>te brachytherapy b<br>table for the included   | oost = 2 articles on  | 1 RCT   |   |  |  |  |
|                           | Included study characteristics         Short title<br>and related<br>studies       Study<br>type       Location<br>and setting       Dates and<br>duration of<br>follow-up       Inclusion criteria       Exclusion criteria       Sample<br>characteristics       Interventions       Output         Conventional versus hypofractionated RT       Versus hypofractionated RT       Versus hypofractionated RT       Versus hypofractionated RT |  |  |  |  |   |   | Outcomes  |  |  |  |
|                           |  |  |  |  |  |   |   |   |  |  |  |
|                           | Alwuni 2016<br>(HYPRO)<br>Alwuni 2015<br>Alwuni 2015<br>Incrocci 2016<br>Wortel 2017   | RCT  | <u>Country</u> :<br>The<br>Netherlands<br><u>Setting</u> : 7<br>RT centres   | Mar 2007–<br>Dec 2010<br><u>Follow-up</u> :<br>60 months   | Intermediate to<br>high risk PCa<br>(T1b–T4 NX–0<br>MX–0, SPSA ≤60<br>ng/mL, WHO PS<br>0–2)<br>Age 44–85 years | Prior radical<br>prostatectomy,<br>pelvis irradiation<br>Low risk PCa<br>(T1b–T2a,<br>Gleason score<br>≤6, PSA ≤10<br>ng/mL<br>Evidence of pelvic | <u>N</u> : 820 (410 in each<br>arm), 795 in ITT<br><u>LTFU</u> : 38/820<br><u>Median age (IQR)</u> :<br>Arm 1: 70 (66–74),<br>Arm 2: 71 (67–75) | Arm 1:<br>hypofractionated<br>RT (63.6 gy in<br>19 x 3.4 fr)<br><u>Arm 2</u> :<br>conventional RT<br>(78 gy in 39 x 2<br>gy fr) | Toxicity:<br>Long-term<br>toxicity<br><u>Survival</u> :<br>5-year relapse<br>free survival |  |  |

ng/mL Evidence of pelvic nodal disease or distant metastasis

| <u>Study</u><br>Reference  | Linked records:            | <b>NG131 [C] (NICE 2019)</b><br>Linked records: Hoffman 2018; Wilkins 2015 (CHHiP)<br>Yin 2019 is an SLR that includes some of the same trials |   |   |   |   |  |   |   |  |  |  |
|--|----------------------------|--|---|---|---|---|--|---|---|--|--|--|
|  | Catton 2017<br>(PROFIT)    | RCT  | Country:<br>Canada,<br>Australia,<br>France<br><u>Setting</u> : 27<br>centres | 2006–2016<br><u>Follow-up</u> :<br>5 years                                    | Intermediate risk<br>PCa (T1–T2a,<br>Gleason score ≤6<br>and PSA 10.1–<br>20; T2b–T2c,<br>Gleason score ≤6<br>and PSA ≤20<br>ng/mL; or T1–2,<br>Gleason score 7<br>and PSA ≤20<br>ng/mL) without<br>evidence of lymph<br>node or bone<br>metastasis | Prior radiology or<br>PCa therapy<br>other than biopsy<br>or transurethral<br>resection<br>Malignancy<br>diagnosed within<br>5 years of entry<br>other than non-<br>melanoma skin<br>cancer or IBD,<br>PCa diagnosis ≥6<br>months before<br>study entry   | <u>N</u> : 1,206 (608 Arm<br>1, 598 Arm 2),<br>1,192 completed<br>treatment, 1116<br>analysed<br><u>LTFU</u> : 76<br><u>Median age (IQR)</u> :<br>Arm 1: 71 (67–75),<br>Arm 2: 72 (68–75)  | Arm 1:<br>hypofractionated<br>RT (60 gy in 20 x<br>3 gy fr over 4<br>weeks)<br><u>Arm 2</u> :<br>conventional RT<br>(78 gy in 39 x 2<br>gy fr over 8<br>weeks)<br><u>Type of RT</u> :<br>IMRT<br>encouraged<br>however 3D-<br>CRT permitted if<br>dose constraints<br>met | Toxicity:<br>Acute (14-<br>week) and late<br>(5-year)<br>toxicity<br><u>Survival</u> :<br>OS and<br>freedom from<br>PCa-related<br>death<br><u>Biochemical</u><br><u>failure</u> :<br>Biochemical<br>clinical failure |  |  |  |
| Dearnaley<br>2016<br>(CHHiP)<br>Dearnaley<br>2012<br>Wilkins 201 |                            | RCT  | Country:<br>UK<br><u>Setting</u> : 71<br>centres                              | Oct 2002–<br>Jun 2016<br><u>Follow-up</u> :<br>5 years                        | PCa (T1b–T3a<br>N0 M0 and WHO<br>PS 0–1)<br>Until Aug 1 2006,<br>PSA <40 ng/mL<br>and <30% risk of<br>lymph node<br>involvement; after<br>Aug 1 2006, PSA<br><30 ng/mL and<br><30% risk of<br>senubak vesicle<br>involvement<br>Age >16 years       | Prior radical<br>prostatectomy or<br>pelvis RT or<br>androgen<br>suppression<br>Another active<br>malignancy in the<br>past 5 years<br>(other than<br>cutaneous basal-<br>cell carcinoma),<br>comorbid<br>conditions<br>precluding radical<br>radiotherapy, hip<br>prosthesis, full<br>anticoagulation<br>treatment<br>T3 tumours and<br>Gleason score ≥8<br>Life expectancy<br><10 years | <u>N</u> : 3,216 (Arm 1:<br>1,065, Arm 2:<br>1,074, Arm 3:<br>1,077), 3,133<br>received at least<br>one dose of<br>treatment<br><u>LTFU</u> : 35 (64 did<br>not receive<br>treatment due to<br>ineligibility/<br>technical<br>unsuitability)<br><u>Median age (IQR)</u> :<br>Arm 1: 68 (48–85),<br>Arm 2: 69 (48–84),<br>Arm 3: 69 (44–83)<br>Short course ADT<br>was given for 3–6<br>months before and<br>during RT, this was<br>optional for patients<br>with low risk<br>disease | Arm 1:<br>conventional RT<br>(74 gy in 37 x 2<br>gy fr)<br>Arm 2:<br>hypofractionated<br>RT<br>(60 gy in 20 x 3<br>gy fr)<br>Arm 3:<br>hypofractionated<br>RT (57 gy in 19 x<br>3 gy fr)<br>Type of RT:<br>Forward or<br>inverse 3D<br>methods                            | Toxicity:<br>Acute (18-<br>week) and late<br>toxicity<br><u>Survival</u> :<br>DFS and OS<br><u>Biochemical</u><br><u>failure</u> :<br>Biochemical<br>clinical failure   |  |  |  |
|  | Lee 2016<br>(RTOG<br>0415) | RCT  | <u>Country</u> :<br>NR<br><u>Setting</u> :<br>NR                              | 2006–2014<br><u>Follow-up</u> :<br>Minimum 5<br>years,<br>median 5.8<br>years | Low-risk PCa<br>(T1b–T2c,<br>Gleason score 2–<br>6, PSA <10,<br>Zubrod PS <2)<br>Age >18 years<br>Male  | Prior bilateral<br>orchiectomy,<br>cryosurgery or<br>definitive surgery<br>for PCa, prior<br>chemotherapy or<br>RT  | N: 1,115 (Arm 1:<br>558 [randomised]<br>542 [received<br>treatment], Arm 2:<br>557 [randomised]<br>557 [received   | Arm 1:<br>conventional RT<br>(73.8 gy in 41 x<br>1.8 gy fr over 8.2<br>weeks)<br>Arm 2:<br>hypofractionated   | Toxicity: Acute<br>and late GI<br>and GU<br><u>Survival</u> :<br>DFS and OS<br><u>PSA levels</u> :  |  |  |  |

<u>Study</u> Reference

NG131 [C] (NICE 2019) Linked records: Hoffman 2018; Wilkins 2015 (CHHiP) Yin 2019 is an SLR that includes some of the same trials

|   |     |  |   |   | Other invasive   | treatments]), 1,092  | RT (70 gy in 28 x   | PSA measured  |
|---|-----|--|---|---|--|--|---|---|
|   |     |  |   |   | cancer (other<br>than localised<br>basal or<br>squamous cell<br>skin carcinoma)<br>unless continually<br>cancer-free for ≥5<br>years   | analysed<br><u>LTFU</u> : 33<br><u>Median age (IQR)</u> :<br>NR  | 2.5 gy fr over 5.6<br>weeks)<br><u>Type of RT</u> :<br>Randomised to<br>3D-CRT or IMRT  | every 3<br>months for the<br>first 2 years,<br>every 6<br>months for<br>next 3 years<br>and annually<br>thereafter            |
| Marzi 2009  | RCT | <u>Country</u> :<br>Italy<br><u>Setting</u> :<br>Single<br>institution           | Mar 2003–<br>Jun 2008<br><u>Follow-up</u> :<br>Median 30<br>months  | High-risk PCa<br>with 2 of the<br>following: T2c–<br>T4, PSA >10<br>ng/mL, Gleason<br>score 7–10<br>Age <85 years | Prior<br>prostatectomy or<br>radiology<br>No node<br>involvement or<br>other malignant<br>disease (except<br>for basal cell<br>carcinoma) or<br>other tumours in<br>past 5 years | <u>N</u> : 162, 114<br>analysed (57 in<br>each arm) (those<br>with follow-up of >6<br>months)<br><u>LTFU</u> : 48<br><u>Median age (IQR)</u> :<br>NR | Arm 1:<br>Hypofractionated<br>RT (62 gy in 20 x<br>3.1 fr over 5<br>weeks)<br><u>Arm 2</u> :<br>Conventional RT<br>(80 gy in 40 x 2<br>gy fr over 8<br>weeks)<br><u>Type of RT</u> :<br>3D-CRT                        | <u>Toxicity</u> : Late<br>rectal toxicity<br>using RTOG<br>scale  |
| Norkus 2009   | RCT | <u>Country</u> :<br>Lithuania<br><u>Setting</u> : NR                             | NR<br><u>Follow-up</u> :<br>Minimum<br>12 months                    | NR  | NR   | <u>N</u> : NR<br><u>LTFU</u> : 7<br><u>Median age (IQR)</u> :<br>NR  | NR  | Toxicity<br>Weekly<br>evaluations for<br>12 weeks, 3-<br>month during<br>1 <sup>st</sup> year and 6-<br>monthly<br>thereafter |
| Norkus 2013   | RCT | <u>Country</u> :<br>Lithuania<br><u>Setting</u> :<br>Vilnius<br>University       | 2004<br><u>Follow-up</u> :<br>Minimum of<br>3 months                | Low-to-<br>intermediate risk<br>PCa with <15%<br>risk of seminal<br>vesicle and/or<br>lymph node<br>involvement   | Surgical<br>castration before<br>RT<br>Hormonal therapy<br>before RT<br>Androgen<br>suppression  | <u>N</u> : 91 (Arm 1: 44,<br>Arm 2: 47)<br><u>LTFU</u> : 0<br><u>Median age (IQR)</u> :<br>Arm 1: 65 (50–78),<br>Arm 2: 63 (53–75)                   | Arm 1:<br>Conventional RT<br>(74 gy in 37 x 2<br>gy fr over 7.5<br>weeks)<br>Arm 2:<br>Hypofractionated<br>RT (57 gy in 13 x<br>3 gy fr over 3.5<br>weeks + 4 x 4.5<br>gy fr)<br><u>Type of RT</u> :<br><u>3D-CRT</u> | <u>Toxicity</u> :<br>GI + GU<br>measured<br>using<br>RTOG/EORTC<br>scale  |
| Pollack 2013<br>(FCCC)<br>Pollack 2006<br>Shaikh 2017 | RCT | <u>Country</u> :<br>USA<br><u>Setting</u> : Fox<br>Chase<br>Cancer<br>Centre and | 2002–2013<br><u>Follow-up</u> :<br>Median 69<br>months<br>(range 7– | PCa (T1–T3,<br>Gleason score ≥5<br>if they had<br>intermediate/high-<br>risk features)                            | High-risk patients<br>were planned to<br>receive 24<br>months of ADT;<br>less than high-risk<br>patients were  | <u>N</u> : 307 (Arm 1: 152,<br>Arm 2: 151), 303<br>analysed<br><u>LTFU</u> : 0   | <u>Arm 1</u> :<br>Conventional RT<br>(76 gy in 2 gy x<br>38 fr)<br><u>Arm 2</u> :<br>Hypofractionated   | <u>Toxicity</u> :<br>Protocol<br>toxicity<br>measured<br>using modified   |

| <u>Study</u> |
|--------------|
| Reference    |

NG131 [C] (NICE 2019) Linked records: Hoffman 2018; Wilkins 2015 (CHHiP) Yin 2019 is an SLR that includes some of the same trials

|   |   | University<br>of Miami                            | 136<br>months)   |                                   | planned to<br>receive 4 months<br>ADT, beginning<br>≤4 months before<br>random<br>assignment | <u>Median age (IQR)</u> :<br>Arm 1: 67 (45–86),<br>Arm 2: 67 (49–86)   | RT (70.2 gy in<br>2.7 gy x 27 fr)<br><u>Type of RT</u> :<br>IMRT   | LENT/RTOG<br>criteria<br><u>QoL</u> :<br>QoL measured<br>using EPIC,<br>IPSS and EQ-<br>5D   |  |  |  |
|---|---|---|--|-----------------------------------|--|--|--|--|--|--|--|
| Arcangeli<br>2010<br>(RENCI)                  | Not inc   | luded in eviden                                   | ce table   |                                   |  |  |  |  |  |  |  |
| Hoffman<br>2014                               | Not inc   | luded in eviden                                   | ce table   |                                   |  |  |  |  |  |  |  |
| ERBT alone                                    | alone versus ERBT + low-dose-rate brachytherapy boost |   |  |                                   |  |  |  |  |  |  |  |
| Morris 2017<br>(ASCENDE-<br>RT)<br>Rodda 2017 | RCT   | <u>Country</u> :<br>Canada<br><u>Setting</u> : NR | 2002–2014<br><u>Follow-up</u> :<br>Median 6.5<br>years | Intermediate-to-<br>high-risk PCa | NR   | <u>N</u> : 398 (Arm 1: 200,<br>Arm 2: 198), all in<br>ITT, 15 not included<br>in toxicity<br>assessment<br><u>LTFU</u> : 1 (29 did not<br>receive allocated<br>intervention)<br><u>Median age (IQR)</u> :<br>68 (45–86)<br>All patients received<br>8 months of ADT<br>prior to RT | Arm 1: EBRT<br>(dose-escalated<br>46 gy in 23 fr +<br>32 gy boost in 16<br>fr)<br>Arm 2: External<br>beam + LDR-BT<br>(46 gy in 20 fr +<br>LDR-BT boost of<br>1125<br>brachytherapy<br>implant of 116<br>gy)<br><u>Type of RT</u> :<br>3DCRT | <u>Toxicity</u> : Acute<br>(within 6<br>months) and<br>late (after 6<br>months)<br><u>Survival</u> :<br>OS and<br>freedom from<br>prostate-<br>related death<br><u>Biochemical</u><br><u>failure</u> :<br>Biochemical<br>failure |  |  |  |

|         | Searches<br>Sources searched:<br>• CDSR (Wiley), CENTRAL (Wiley), DARE (Wiley), EMBASE (Ovid), MEDLINE (Ovid), MEDLINE In-Process (Ovid)   |
|---------|--|
| Methods | Screening and selection process<br>10% of the abstracts were reviewed by 2 reviewers with any disagreements resolved by discussion or a 3 <sup>rd</sup> independent reviewer if<br>necessary. If meaningful disagreements were found between the different reviewers, a further 10% of the abstracts were reviewed by 2<br>reviewers; this process was continued until agreement was achieved between the 2 reviewers and the remaining abstracts screened by a<br>single reviewer |
|         | Study quality assessment<br>Cochrane Risk of Bias Tool   |
|         | Methods for combining intervention evidence<br>MAs of the interventional data were conducted with reference to the Cochrane Handbook for Systematic Reviews of Interventions (Higgins <i>et al.</i> , 2011)  |
|         |  |

| <u>Study</u><br>Reference | NG131 [C] (NICE 2019)<br>Linked records: Hoffman 2018; Wilkins 2015 (CHHiP)<br>Yin 2019 is an SLR that includes some of the same trials  |
|---------------------------|--|
|                           | <ul> <li>Continuous data: MA conducted on the mean difference (first converted to the same scale if necessary or using standardised mean differences if outcomes were measured using different instruments/metrics)</li> <li>Dichotomous data: pooled RR and AR (by applying the RR to the pooled risk in the comparator arm of the MA)</li> <li>Fixed and random-effects (der Simonian and Laird) models were fitted for all syntheses</li> <li>Significant heterogeneity between studies was identified and recorded by the reviewer in advance of conducting the analysis</li> <li>In any MA where some data came from studies at high RoB, sensitivity analyses were conducted to exclude those studies from the analysis</li> <li>GRADE was used to assess the quality of evidence for the selected outcomes</li> </ul> |

# Forest plot data

Conventional RT vs hypofractionated RT

|               | Outcome                | Study or subgroup  | 21         | ctionated<br>T | -          | entional<br>RT | Weight<br>(%) | Effect size (95%<br>CI)                | Heterogeneity                           | Z score          |
|---------------|------------------------|--|------------|----------------|------------|----------------|---------------|--|---|------------------|
|               |                        |  | Total<br>N | Events<br>(n)  | Total<br>N | Events<br>(n)  |               | RR                                     |   |                  |
|               | Freedom from           | Arcangeli 2017 (IRE)   | 83         | 65             | 85         | 60             | 4.3           | 1.11 (0.93, 1.33)                      | Chi <sup>2</sup> =1.44                  | 1.83             |
|               | biochemical<br>failure | Catton 2017 (PROFIT)   | 608<br>407 | 511<br>337     | 598<br>397 | 498<br>315     | 36.5<br>23.2  | 1.01 (0.96, 1.06)                      | df=3 (P=0.70)<br>l <sup>2</sup> =0%     | (P=0.07)         |
|               | Tallure                | Incrocci 2016 (HYPRO)<br>Lee 2016 (RTOG 0415)                | 407<br>550 | 537<br>511     | 397<br>542 | 492            | 23.2<br>36.0  | 1.04 (0.98, 1.12)<br>1.02 (0.99, 1.06) | I-=0%                                   |                  |
|               |                        | Total  | 1648       | 1424           | 1622       | 1365           | 100.0         | 1.03 (1.00, 1.06)                      |   |                  |
|               | Freedom from           | Catton 2017 (PROFIT)   | 608        | 499            | 598        | 481            | 18.3          | 1.02 (0.97, 1.08)                      | Chi <sup>2</sup> =6.81                  | 1.11             |
| Harms and     | biochemical-           | Dearnaley 2016 (CHHiP 57 gy)                                 | 1077       | 945            | 532        | 477            | 24.1          | 0.98 (0.94, 1.01)                      | df=5 (P=0.24)                           | (P=0.27)         |
| Benefits of   | clinical failure       | Dearnaley 2016 (CHHiP 60 gy)                                 | 1074       | 986            | 533        | 477            | 24.0          | 1.03 (0.99, 1.06)                      | l <sup>2</sup> =27%                     | . ,              |
| Interventions |                        | Incrocci 2016 (HYPRO)  | 407        | 327            | 397        | 308            | 11.8          | 1.04 (0.96, 1.11)                      |   |                  |
| and Quality   |                        | Lee 2016 (RTOG 0415)   | 550        | 464            | 542        | 443            | 16.8          | 1.03 (0.98, 1.09)                      |   |                  |
| Assessment of |                        | Pollack 2013 (FCCC)  | 151        | 125            | 152        | 133            | 5.0           | 0.95 (0.86, 1.04)                      |   |                  |
| Included      |                        | Total  | 3867       | 3346           | 2754       | 2319           | 100.0         | 1.01 (0.99, 1.03)                      | 01.12                                   |                  |
| Studies       | Overall survival       | Arcangeli 2017 (IRE)   | 83<br>608  | 64<br>532      | 85<br>598  | 59<br>520      | 2.0<br>18.3   | 1.11 (0.92, 1.33)                      | Chi <sup>2</sup> =3.35<br>df=6 (P=0.76) | 0.98<br>(D=0.22) |
| Studies       |                        | Catton 2017 (PROFIT)<br>Dearnaley 2016 (CHHiP 57 gy)         | 1077       | 990            | 598<br>532 | 520<br>486     | 22.7          | 1.01 (0.96, 1.05)<br>1.01 (0.97, 1.04) | $ ^{2}=0\%$                             | (P=0.33)         |
|               |                        | Dearnaley 2016 (CHHiP 57 gy)<br>Dearnaley 2016 (CHHiP 60 gy) | 1074       | 1001           | 533        | 480            | 22.7          | 1.02 (0.99, 1.04)                      | 1 -0 /0                                 |                  |
|               |                        | Incrocci 2016 (HYPRO)  | 407        | 346            | 397        | 338            | 12.0          | 1.00 (0.94, 1.06)                      |   |                  |
|               |                        | Lee 2016 (RTOG 0415)   | 550        | 501            | 542        | 491            | 17.3          | 1.01 (0.97, 1.04)                      |   |                  |
|               |                        | Pollack 2013 (FCCC)  | 151        | 135            | 152        | 141            | 4.9           | 0.96 (0.90, 1.03)                      |   |                  |
|               |                        | Total  | 3950       | 3569           | 2839       | 2522           | 100.0         | 1.01 (0.99, 1.03)                      |   |                  |
|               | Freedom from           | Arcangeli 2017 (IRE)   | 83         | 80             | 85         | 76             | 4.3           | 1.08 (0.99, 1.17)                      | Chi <sup>2</sup> =4.34                  | 0.76             |
|               | prostate-cancer        | Catton 2017 (PROFIT)   | 608        | 598            | 598        | 586            | 33.8          | 1.00 (0.99, 1.02)                      | df=4 (P=0.36)                           | (P=0.45)         |
|               | related death          | Incrocci 2016 (HYPRO)  | 407        | 391            | 397        | 382            | 22.1          | 1.00 (0.97, 1.03)                      | l <sup>2</sup> =8%                      |                  |
|               |                        | Lee 2016 (RTOG 0415)   | 550        | 549            | 542        | 540            | 31.2          | 1.00 (1.00, 1.01)                      |   |                  |
|               |                        | Pollack 2013 (FCCC)  | 151        | 147            | 152        | 150            | 8.6           | 0.99 (0.96, 1.02)                      |   |                  |
|               | Caritaurinary          |  | 1799       | 1765           | 1774       | 1734           | 100.0         | 1.00 (0.99, 1.01)                      | Chi2-10.47                              | 0.00             |
|               | Genitourinary          | Aluwini 2015 (HYPRO)<br>Arcangeli 2011 (IRE)                 | 403<br>83  | 244<br>39      | 391<br>85  | 226<br>34      | 20.8<br>3.0   | 1.05 (0.93, 1.18)<br>1.17 (0.83, 1.66) | Chi <sup>2</sup> =10.47<br>df=8         | 0.23<br>(P=0.82) |
|               | acute toxicity         | Arcangeli 2011 (IKE)   | 03         | 39             | 60         | 34             | 3.0           | 1.17 (0.03, 1.00)                      | ui-0                                    | (F=0.02)         |

# <u>Study</u> Reference

NG131 [C] (NICE 2019) Linked records: Hoffman 2018; Wilkins 2015 (CHHiP) Yin 2019 is an SLR that includes some of the same trials

|   | Catton 2017 (PROFIT)   | 608  | 185  | 598                              | 183     | 16.7                                 | 0.99 (0.84, 1.18)   | (P=0.23)  |               |
|---|--|--|--|----------------------------------|---------|--------------------------------------|---|---|---------------|
|   | Dearnaley 2016 (CHHiP 57 gy)   | 713  | 327  | 358                              | 166     | 20.0                                 | 0.99 (0.86, 1.13)   | l <sup>2</sup> =24%   |               |
|   | Dearnaley 2016 (CHHiP 60 gy)   | 720  | 356  | 357                              | 165     | 20.0                                 | 1.07 (0.94, 1.22)   |   |               |
|   | Lee 2016 (RTOG 0415)   | 545  | 147  | 534                              | 145     | 13.3                                 | 0.99 (0.82, 1.21)   |   |               |
|   | Norkus 2009  | 47   | 9  | 44                               | 21      | 2.0                                  | 0.40 (0.21, 0.78)   |   |               |
|   | Norkus 2013  | 67   | 16   | 57                               | 16      | 1.6                                  | 0.85 (0.47, 1.54)   |   |               |
|   | Pollack 2006 (FCCC)  | 50   | 24   | 50                               | 28      | 2.5                                  | 0.86 (0.59, 1.25)   |   |               |
|   | Total  | 3236   | 1347   | 2474                             | 984     | 100.0                                | 1.01 (0.95, 1.07)   |   |               |
| Gastrointestinal                                  | Aluwini 2015 (HYPRO)   | 402  | 169  | 391                              | 122     | 23.2                                 | 1.35 (1.12, 1.62)   | Chi <sup>2</sup> =11.70                                       | 7.08          |
| acute toxicity                                    | Arcangeli 2011 (IRE)   | 83   | 29   | 85                               | 18      | 3.3                                  | 1.65 (1.00, 2.73)   | df=8  | (P<0.00001)   |
| doute toxicity                                    | Catton 2017 (PROFIT)   | 608  | 99   | 598                              | 62      | 11.7                                 | 1.57 (1.17, 2.11)   | (P=0.17)  | (1 0.00001)   |
|   | Dearnaley 2016 (CHHiP 57 gy)   | 713  | 270  | 358                              | 88      | 22.0                                 | 1.54 (1.26, 1.89)   | l <sup>2</sup> =32%   |               |
|   | Dearnaley 2016 (CHHiP 60 gy)   | 720  | 270  | 357                              | 88      | 22.0                                 | 1.54 (1.20, 1.03)   | 1-5270  |               |
|   | Lee 2016 (RTOG 0415)   | 545  | 58   | 534                              | 55      | 10.4                                 | 1.03 (0.73, 1.46)   |   |               |
|   |  | 47   | 8  | 44                               | 10      |                                      |   |   |               |
|   | Norkus 2009  |  | -  |                                  |         | 1.9                                  | 0.75 (0.33, 1.72)   |   |               |
|   | Norkus 2013  | 67   | 26   | 57                               | 23      | 4.7                                  | 0.96 (0.62, 1.49)   |   |               |
|   | Pollack 2006 (FCCC)  | 50   | 9  | 50                               | 4       | 0.7                                  | 2.25 (0.74, 6.83)   |   |               |
|   | Total  | 3235   | 945  | 2474                             | 470     | 100.0                                | 1.42 (1.29, 1.56)   | <b>a</b> u 12 <b>a a a</b>                                    |               |
| Genitourinary                                     | Aluwini 2016 (HYPRO)   | 395  | 163  | 387                              | 151     | 25.3                                 | 1.06 (0.89, 1.26)   | Chi <sup>2</sup> =9.52  | 1.40          |
| late toxicity                                     | Arcangeli 2017 (IRE)   | 83   | 11   | 85                               | 17      | 2.8                                  | 0.66 (0.33, 1.33)   | df=7  | (P=0.16)      |
|   | Catton 2017 (PROFIT)   | 608  | 136  | 598                              | 134     | 22.4                                 | 1.00 (0.81, 1.23)   | (P=0.22)  |               |
|   | Dearnaley 2016 (CHHiP 57 gy)   | 1057   | 57   | 520                              | 33      | 7.3                                  | 0.85 (0.56, 1.29)   | l <sup>2</sup> =26%   |               |
|   | Dearnaley 2016 (CHHiP 60 gy)   | 1049   | 88   | 520                              | 33      | 7.3                                  | 1.32 (0.90, 1.94)   |   |               |
|   | Hoffman 2014   | 102  | 15   | 102                              | 16      | 2.6                                  | 0.94 (0.49, 1.79)   |   |               |
|   | Lee 2016 (RTOG 0415)   | 545  | 161  | 534                              | 121     | 20.2                                 | 1.30 (1.06, 1.60)   |   |               |
|   | Pollack 2006 (FCCC)  | 151  | 68   | 152                              | 73      | 12.1                                 | 0.94 (0.74, 1.19)   |   |               |
|   | Total  | 3990   | 699  | 2898                             | 578     | 100.0                                | 1.07 (0.97, 1.18)   |   |               |
| Gastrointestinal                                  | Aluwini 2016 (HYPRO)   | 395  | 87   | 387                              | 68      | 15.8                                 | 1.25 (0.94, 1.67)   | Chi <sup>2</sup> =25.76                                       | 0.45          |
| late toxicity                                     | Arcangeli 2017 (IRE)   | 83   | 11   | 85                               | 12      | 2.7                                  | 0.94 (0.44, 2.01)   | df=8  | (P=0.65)      |
|   | Catton 2017 (PROFIT)   | 608  | 54   | 598                              | 83      | 19.2                                 | 0.64 (0.46, 0.88)   | (P=0.001)   | ( /           |
|   | Dearnaley 2016 (CHHiP 57 gy)   | 1057   | 95   | 520                              | 55      | 16.9                                 | 0.85 (0.62, 1.16)   | l <sup>2</sup> =69%   |               |
|   | Dearnaley 2016 (CHHiP 60 gy)   | 1049   | 105  | 520                              | 56      | 17.2                                 | 0.93 (0.68, 1.26)   |   |               |
|   | Hoffman 2014   | 102  | 11   | 102                              | 5       | 1.1                                  | 2.20 (0.79, 6.11)   |   |               |
|   | Lee 2016 (RTOG 0415)   | 545  | 121  | 534                              | 75      | 17.4                                 | 1.58 (1.22, 2.05)   |   |               |
|   | Marzi 2009   | 81   | 7  | 81                               | 8       | 1.8                                  | 0.88 (0.33, 2.30)   |   |               |
|   | Pollack 2013 (FCCC)  | 151  | 27   | 152                              | 8<br>34 | 7.8                                  | 0.80 (0.51, 1.26)   |   |               |
|   | Total  | 4071   | 518  | 2979                             | 396     | 100.0                                | 1.03 (0.91, 1.16)   |   |               |
|   |  | -+071  |  |                                  | 000     | 100.0                                | HR  |   |               |
|   |  |  | log[HR   |                                  |         |                                      |   | 01.12.0.05  |               |
| -   |  |  | 10 310304  | <b>)</b>                         |         | 31.8                                 | 1.62 (0.88, 2.98)   | Chi <sup>2</sup> =0.35  | 1.90 (P=0.06) |
| Time to   | Arcangeli 2017 (IRE)   | 0.48243  |  |                                  |         | 00.0                                 | 4 00 10 00 10   | 16 4 / 5 6 - 6 1  |               |
| biochemical                                       | Lee 2016 (RTOG 0415)   |  | (0.211824  |                                  |         | 68.2                                 | 1.30 (0.86, 1.97)   | df=1 (P=0.56)   |               |
| biochemical<br>failure                            | Lee 2016 (RTOG 0415)<br><i>Total</i>   | 0.26136  | (0.211824  | 4)                               |         | 100.0                                | 1.39 (0.99, 1.96)   | l <sup>2</sup> =0%  |               |
| biochemical<br>failure<br>Time to                 | Lee 2016 (RTOG 0415)<br><i>Total</i><br>Pollack 2013 (FCCC)  | 0.26136  |  | 4)                               |         | 100.0<br>3.1                         | 1.39 (0.99, 1.96)<br>0.70 (0.39, 1.25)  | l <sup>2</sup> =0%<br>Chi <sup>2</sup> =9.56                  | 0.51 (P=0.61) |
| biochemical<br>failure                            | Lee 2016 (RTOG 0415)<br>Total<br>Pollack 2013 (FCCC)<br>Incrocci 2016 (HYPRO)  | 0.26136  | (0.211824  | 4)<br>6)                         |         | 100.0<br>3.1<br>11.2                 | 1.39 (0.99, 1.96)   | l <sup>2</sup> =0%  | 0.51 (P=0.61) |
| biochemical<br>failure<br>Time to                 | Lee 2016 (RTOG 0415)<br><i>Total</i><br>Pollack 2013 (FCCC)  | 0.26136<br>-0.3581<br>0.15082                                  | (0.211824<br>(0.297786   | 4)<br>6)<br>3)                   |         | 100.0<br>3.1<br>11.2<br>12.5         | 1.39 (0.99, 1.96)<br>0.70 (0.39, 1.25)  | l <sup>2</sup> =0%<br>Chi <sup>2</sup> =9.56                  | 0.51 (P=0.61) |
| biochemical<br>failure<br>Time to<br>biochemical- | Lee 2016 (RTOG 0415)<br>Total<br>Pollack 2013 (FCCC)<br>Incrocci 2016 (HYPRO)  | 0.26136<br>-0.3581<br>0.15082<br>0.16252                       | (0.211824<br>(0.297786<br>(0.155728                            | 4)<br>5)<br>3)<br>4)             |         | 100.0<br>3.1<br>11.2                 | 1.39 (0.99, 1.96)<br>0.70 (0.39, 1.25)<br>1.16 (0.86, 1.58)   | l <sup>2</sup> =0%<br>Chi <sup>2</sup> =9.56<br>df=5 (P=0.09) | 0.51 (P=0.61) |
| biochemical<br>failure<br>Time to<br>biochemical- | Lee 2016 (RTOG 0415)<br><i>Total</i><br>Pollack 2013 (FCCC)<br>Incrocci 2016 (HYPRO)<br>Lee 2016 (RTOG 0415)<br>Catton 2017 (PROFIT) | 0.26136<br>-0.3581<br>0.15082<br>0.16252<br>0.04082            | (0.211824<br>(0.297786<br>(0.155728<br>(0.147274               | 4)<br>6)<br>3)<br>4)<br>9)       |         | 100.0<br>3.1<br>11.2<br>12.5         | 1.39 (0.99, 1.96)<br>0.70 (0.39, 1.25)<br>1.16 (0.86, 1.58)<br>1.18 (0.88, 1.57)                      | l <sup>2</sup> =0%<br>Chi <sup>2</sup> =9.56<br>df=5 (P=0.09) | 0.51 (P=0.61) |
| biochemical<br>failure<br>Time to<br>biochemical- | Lee 2016 (RTOG 0415)<br><i>Total</i><br>Pollack 2013 (FCCC)<br>Incrocci 2016 (HYPRO)<br>Lee 2016 (RTOG 0415)                         | 0.26136<br>-0.3581<br>0.15082<br>0.16252<br>0.04082<br>0.17435 | (0.211824<br>(0.297786<br>(0.155728<br>(0.147274<br>2 (0.11319 | 4)<br>6)<br>3)<br>4)<br>9)<br>4) |         | 100.0<br>3.1<br>11.2<br>12.5<br>21.2 | 1.39 (0.99, 1.96)<br>0.70 (0.39, 1.25)<br>1.16 (0.86, 1.58)<br>1.18 (0.88, 1.57)<br>1.04 (0.83, 1.30) | l <sup>2</sup> =0%<br>Chi <sup>2</sup> =9.56<br>df=5 (P=0.09) | 0.51 (P=0.61) |

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| <u>Study</u><br>Reference |                | <b>] (NICE 2019)</b><br>rds: Hoffman 2018; Wilkins 2015 (CHHiP)<br>an SLR that includes some of the same trials |                     |       |                   |                        |          |  |  |  |  |  |
|---------------------------|----------------|---|---------------------|-------|-------------------|------------------------|----------|--|--|--|--|--|
|                           | Time to death  | Arcangeli 2017 (IRE)  | 0.37106 (0.299761)  | 7.4   | 1.45 (0.81, 2.61) | Chi <sup>2</sup> =2.13 | 1.52     |  |  |  |  |  |
|                           | from any cause | Dearnaley 2016 (CHHiP 57 gy)  | 0.08338 (0.151193)  | 29.1  | 1.09 (0.81, 1.46) | df=4 (P=0.71)          | (P=0.13) |  |  |  |  |  |
|                           |                | Dearnaley 2016 (CHHiP 60 gy)  | 0.24846 (0.155844)  | 27.4  | 1.28 (0.94, 1.74) | l <sup>2</sup> =0%     |          |  |  |  |  |  |
|                           |                | Incrocci 2016 (HYPRO)   | -0.019803 (0.18391) | 19.7  | 0.98 (0.68, 1.41) |                        |          |  |  |  |  |  |
|                           |                | Lee 2016 (RTOG 0415)  | 0.05129 (0.201499)  | 16.4  | 1.05 (0.71, 1.56) |                        |          |  |  |  |  |  |
|                           |                | Total   |                     | 100.0 | 1.13 (0.97, 1.33) |                        |          |  |  |  |  |  |
|                           | Time to        | Arcangeli 2017 (IRE)  | 0.875469 (0.557182) | 38.8  | 2.40 (0.81, 7.15) | Chi <sup>2</sup> =0.71 | 1.46     |  |  |  |  |  |
|                           | prostate       | Lee 2016 (RTOG 0415)  | 0.274436 (0.443436) | 61.2  | 1.32 (0.55, 3.14) | df=1 (P=0.40)          | (P=0.14) |  |  |  |  |  |
|                           | cancer-related | Total   |                     | 100.0 | 1.66 (0.84, 3.28) | I <sup>2</sup> =0%     |          |  |  |  |  |  |
|                           | death          |   |                     |       |                   |                        |          |  |  |  |  |  |

# GRADE Tables

Conventional versus hypofractionated RT: survival and AE outcomes - GRADE Table

| N of<br>studies | Sample<br>size | Effect size (95% CI)          | Absolute r       | isk per 1,000 people          | RoB                  | Inconsistency        | Indirectness | Imprecision          | Quality  |
|-----------------|----------------|-------------------------------|------------------|-------------------------------|----------------------|----------------------|--------------|----------------------|----------|
| otadioo         | 0120           |                               | Control          | Intervention (95%<br>CI)      |                      |                      |              |                      |          |
| Overall fre     | eedom from     | biochemical failure - RR >    | >1 favours h     | ypofractionated               |                      |                      |              |                      |          |
| 4               | 3,270          | RR 1.03 (1.00, 1.06)          | 840 <sup>6</sup> | 866 (-26 to +25) <sup>6</sup> | Not serious          | Not serious          | Not serious  | Not serious          | High     |
| Time to bi      | iochemical f   | ailure – HR >1 favours hy     | ofractionate     | ed                            |                      |                      |              |                      |          |
| 2               | 1,260          | HR 1.39 (0.99, 1.96)          | -                | -                             | Not serious          | Serious <sup>4</sup> | Not serious  | Serious <sup>2</sup> | Low      |
| Overall fre     | eedom from     | biochemical clinical failure  | e – RR >1 fa     | vours hypofractionate         | d                    |                      |              |                      |          |
| 6               | 6,621          | RR 1.01 (0.99, 1.03)          | <b>896</b> ⁵     | 905 (887–923) <sup>5</sup>    | Not serious          | Not serious          | Not serious  | Serious <sup>2</sup> | Moderate |
| Time to bi      | iochemical o   | clinical failure – HR <1 favo | ours hypofra     | ctionated                     |                      |                      |              |                      |          |
| 6               | 6,621          | HR <b>1.03</b> (0.93, 1.14)   | -                | -                             | Not serious          | Not serious          | Not serious  | Serious <sup>2</sup> | Moderate |
| OS (5–10        | years) – RF    | R >1 favours hypofractiona    | ited             |                               |                      |                      |              |                      |          |
| 7               | 6,789          | RR 1.01 (0.99, 1.03)          | 922 <sup>₅</sup> | 932 (-18 to +18) <sup>5</sup> | Not serious          | Not serious          | Not serious  | Not serious          | High     |
| Time to a       | ny-cause de    | ath – HR >1 favours hypo      | fractionated     |                               |                      |                      |              |                      |          |
| 6               | 6,486          | HR 1.13 (0.97, 1.33)          | -                | -                             | Not serious          | Not serious          | Not serious  | Serious <sup>2</sup> | Moderate |
| Freedom         | from PCa-re    | elated death – RR >1 favor    | urs hypofrac     | tionated                      |                      |                      |              |                      |          |
| 5               | 3,553          | RR <b>1.00</b> (0.99, 1.01)   | 984 <sup>6</sup> | 984 (-10 to +10) <sup>6</sup> | Not serious          | Not serious          | Not serious  | Not serious          | High     |
| Time to P       | Ca-related of  | death – HR >1 favours hyp     | ofractionate     | ed                            |                      |                      |              |                      |          |
| 2               | 1,374          | HR 1.66 (0.84, 3.28)          | -                | -                             | Not serious          | Not serious          | Not serious  | Serious <sup>2</sup> | Moderate |
| Acute GU        | toxicity – R   | R <1 favours hypofraction     | ated             |                               |                      |                      |              |                      |          |
| 9               | 5,710          | RR 1.01 (0.95, 1.07)          | 398              | 402 (-24 to +24)              | Serious <sup>1</sup> | Not serious          | Not serious  | Not serious          | Moderate |
| Acute GI        | toxicity – RF  | R <1 favours hypofractiona    | ted              |                               |                      |                      |              |                      |          |
| 9               | 5,709          | RR 1.42 (1.29, 1.56)          | 190              | 270 (-25 to +26)              | Serious <sup>1</sup> | Not serious          | Not serious  | Not serious          | Moderate |
| Late GU t       | oxicity – RR   | 1 favours hypofractional      | ted              |                               |                      |                      |              |                      |          |
| 8               | 6,888          | RR <b>1.07</b> (0.97, 1.18)   | 199              | 213 (-20 to +22)              | Serious <sup>1</sup> | Not serious          | Not serious  | Not serious          | Moderate |
| Late GI to      | oxicity – RR   | <1 favours hypofractionate    | ed               |                               |                      |                      |              |                      |          |

| Linked red  |  | <b>9)</b><br>2018; Wilkins 2015 (CH<br>ncludes some of the san   |  |  |                           |                      |                   |                      |        |
|---|--|--|--|--|---------------------------|----------------------|-------------------|----------------------|--------|
| 9   | 7,050  | RR <b>1.03</b> (0.91, 1.16)  | 133  | 137 (-16 to +17)   | Serious <sup>1</sup>      | Serious <sup>4</sup> | Not serious       | Not serious          | Low    |
| <sup>2</sup> 95% confi<br><sup>3</sup> 95% confi<br><sup>4</sup> I <sup>2</sup> > 33.3%<br><sup>5</sup> Follow-up | dence intervals fo<br>dence intervals fo<br>length 5 years w | not possible/attempted and the or the effect size crossed the<br>or the effect size crossed on<br>the effect size crossed on<br>ith exception of one study (F<br>ith exception of one study (F | e line of no ef<br>e line of the l<br>RENCI: 10-ye | ffect – downgraded once<br>MID – downgraded once<br>ears). A 5-year estimate v | vas calculated using      | CHHiP study as a d   |                   |                      |        |
| <u>Conventi</u>   | ional versus h   | ypofractionated RT: 0  | QoL outco  | mes over time – GF   | RADE Table                |                      |                   |                      |        |
| N of  | Sample size  | Effect size (95% CI)   | Absol  | ute risk per 1,000   | RoB                       | Inconsistency        | Indirectness      | Imprecision          | Qua    |
| studies   |  |  |  | people   |                           |                      |                   |                      |        |
|   |  |  | Control  | Intervention (95%  |                           |                      |                   |                      |        |
|   |  |  |  | CI)  |                           |                      |                   |                      |        |
| Time to   | worsening of IF  | PSS overall – HR <1 favo   | ours better o                                      | outcomes associated  | with hypofraction         | ated over time       |                   |                      |        |
| 1   | 303  | HR 0.90 (0.46, 1.78)   | -  | -  | Very serious <sup>1</sup> | N/A                  | Not serious       | Serious <sup>2</sup> | Very   |
| Time to   | worsening of IF  | SS Quality of life – HR <  | <1 favours l                                       | better outcomes asso   | ciated with hypofr        | actionated over ti   | me                |                      |        |
| 1   | 303  | HR 1.47 (0.62, 3.48)   | -  | -  | Very serious <sup>1</sup> | N/A                  | Not serious       | Serious <sup>2</sup> | Very   |
| Time to   | worsening of u   | rinary incontinence (EPI   | ?) – HR<1 (  | favours better outcom  | es associated wit         | h hypofractionate    | d over time       | •                    |        |
| 1   | 225  |  | -  | -  | Verv serious <sup>1</sup> | N/A                  | Not serious       | Serious <sup>2</sup> | Verv   |
| Time to a   |  | ary irritative/obstructive (   |  | 2 <1 fougure better ou   |                           | d with hypofractic   |                   |                      |        |
| 1   | 225  | HR <b>0.40</b> (0.10, 1.55)  | <u>EPIC) – nr</u><br>  -                           |  | Verv serious <sup>1</sup> | N/A                  | Not serious       | Serious <sup>2</sup> | Very I |
| -   |  |  | 1  | <u> </u>   |                           |                      | I                 | Ochous               | very   |
|   | 225  | ual bother (EPIC) – HR <<br>HR <b>2.27</b> (0.68, 4.91)  | - avours n   |  | Verv serious <sup>1</sup> | N/A                  | ne<br>Not serious | Serious <sup>2</sup> | Maria  |
| 1   |  | (****)   |  | -  | ,                         |                      |                   | Senous               | Very   |
| Time to   |  | nonal bother (EPIC) – H  |  |  |                           |                      |                   |                      | 1      |
| 1   | 225  | HR 1.22 (0.59, 2.55  | -  | -  | Very serious <sup>1</sup> | N/A                  | Not serious       | Serious <sup>2</sup> | Very   |
| Time to   | U U  | el bother (EPIC) – HR <  | 1 favours b  | etter outcomes associ  |                           |                      |                   |                      | -      |
| 1   | 225  | HR 0.77 (0.25, 2.36)   | -  | -  | Very serious <sup>1</sup> | N/A                  | Not serious       | Serious <sup>2</sup> | Very   |
| Time to   | worsening visu   | al analogue scale scores   | s (EQ5D) –   | HR <1 favours better   | outcomes associ           | ated with hypofra    | ctionated over t  | ime                  |        |
| 1   | 215  | HR 1.61 (0.42, 6.18)   | -  | -  | Very serious <sup>1</sup> | N/A                  | Not serious       | Serious <sup>2</sup> | Very   |
| Time to   | worsening EQ   | 5D Index scores – HR <1  | favours be   | etter outcomes associa   | ated with hypofrac        | tionated over tim    | e                 |                      |        |
| 1   | 215  | HR 2.13 (0.60, 7.56)   | -  | -  | Very serious <sup>1</sup> | N/A                  | Not serious       | Serious <sup>2</sup> | Very   |
| Time to   | worsening of o   | verall urinary bother – Hl   | R <1 favour  | s better outcomes as   | sociated with hype        | ofractionated over   | time              |                      |        |
| 1   | 1560 across  | HR <b>1.03</b> (0.72, 1.48   | -  | -  | Very                      | N/A                  | Not serious       | Serious <sup>2</sup> | Very   |
|   | all 3 arms   |  |  |  | serious <sup>1,4</sup>    |                      |                   |                      | ,      |
|   |  | HR 0.85 (0.58, 1.24)   | -  | -  | Very                      | N/A                  | Not serious       | Serious <sup>2</sup> | Very   |
|   |  |  |  |  | serious <sup>1,4</sup>    |                      |                   |                      |        |
| Time to   | worsening of o   | verall bowel bother – HR   | <1 favours   | s better outcomes asso   | ociated with hypo         | fractionated over    | time              |                      |        |
| 1   | 1762 across  | HR <b>1.10</b> (0.80, 1.48)  | -  | -  | Very                      | N/A                  | Not serious       | Serious <sup>2</sup> | Very   |
|   |  |  | 1  | 1  | serious <sup>1,4</sup>    | 1                    | 1                 | 1                    | 1      |
|   | all 3 arms   | HR <b>0.90</b> (0.65, 1.24)  | -  | -  | Very                      | N/A                  | Not serious       | Serious <sup>2</sup> | Very I |

Time to worsening of overall sexual bother – HR <1 favours better outcomes associated with hypofractionated over time

#### NG131 [C] (NICE 2019)

Study Reference Linked records: Hoffman 2018; Wilkins 2015 (CHHiP) Yin 2019 is an SLR that includes some of the same trials

| 1 | 997 across<br>all 3 arms | HR <b>1.19</b> (0.92, 1.55) | - | - | Very<br>serious <sup>1,4</sup> | N/A | Not serious | Serious <sup>2</sup> | Very low |
|---|--------------------------|-----------------------------|---|---|--------------------------------|-----|-------------|----------------------|----------|
|   |                          | HR <b>1.14</b> (0.88, 1.48) | - | - | Very<br>serious <sup>1,4</sup> | N/A | Not serious | Serious <sup>2</sup> | Very low |

<sup>1</sup> Blinding was not attempted/possible and this had a high risk of biasing the outcome, there is also variability between questionnaires in response rate. <sup>2</sup>95% confidence intervals for the effect size crossed the line of no effect – downgraded once

#### EBRT alone vs EBRT plus LDR-BT: survival and AE outcomes – GRADE Table

|                 |                | 1 plue EBIX B1. outvivu     |             |                              | 10010                |               |              |                      |          |
|-----------------|----------------|-----------------------------|-------------|------------------------------|----------------------|---------------|--------------|----------------------|----------|
| N of<br>studies | Sample<br>size | Effect size (95% CI)        | Absolu      | ite risk per 1,000<br>people | RoB                  | Inconsistency | Indirectness | Imprecision          | Quality  |
|                 |                |                             | Control     | Intervention (95%<br>CI)     |                      |               |              |                      |          |
| Time to bi      | ochemical fa   | ailure – HR >1 favours bra  | chytherapy  |                              |                      |               |              |                      |          |
| 1               | 398            | HR 2.04* (1.25, 3.33)       | -           | -                            | Not serious          | N/A           | Not serious  | Not serious          | High     |
| Time to a       | ny-cause de    | ath – HR >1 favours brach   | ytherapy    |                              |                      |               |              |                      |          |
| 1               | 398            | HR 1.13** (0.69, 1.85)      | -           | -                            | Not serious          | N/A           | Not serious  | Serious <sup>2</sup> | Moderate |
| Freedom         | from prostat   | e cancer-related death – F  | RR >1 favou | rs brachytherapy             |                      |               |              |                      |          |
| 1               | 398            | RR 1.02 (0.98, 1.06)        | 945         | 948 (-7 to +5)               | Not serious          | N/A           | Not serious  | Not serious          | High     |
| Acute GU        | toxicity – RI  | R <1 favours brachytherap   | γ           |                              |                      |               |              |                      |          |
| 1               | 383            | RR <b>2.24</b> (1.55, 3.23) | 164         | 368 (–114 to<br>+162)        | Serious <sup>1</sup> | N/A           | Not serious  | Not serious          | Moderate |
| Acute GI        | toxicity – RR  | 1 favours brachytherapy     | /           |                              |                      |               |              |                      |          |
| 1               | 383            | RR 1.01 (0.82, 1.25)        | 143         | 145 (-26 to +35)             | Serious <sup>1</sup> | N/A           | Not serious  | Not serious          | Moderate |
| 5-year uri      | nary toxicity: | Usage of pads – RR < fa     | vours brach | ytherapy                     |                      |               |              |                      |          |
| 1               | 383            | RR 2.95 (1.58, 5.51)        | 60          | 177 (-82 to +153)            | Serious <sup>1</sup> | N/A           | Not serious  | Not serious          | Moderate |
| 5-year cat      | theterization  | - RR <1 favours brachyth    | erapy       |                              |                      |               |              |                      |          |
| 1               | 383            | RR 3.70 (1.53, 8.94)        | 30          | 111 (-65 to +157)            | Serious <sup>1</sup> | N/A           | Not serious  | Not serious          | Moderate |
| Time to g       | rade 2 late G  | GU toxicity – HR >1 favour  | s brachythe | rapy                         |                      |               |              |                      |          |
| 1               | 383            | HR 0.51 (0.33, 0.77)        | -           | -                            | Serious <sup>1</sup> | N/A           | Not serious  | Not serious          | Moderate |
| Time to g       | rade 2 late G  | GI toxicity – HR >1 favours | brachythera | ару                          |                      |               |              |                      |          |
| 1               | 383            | HR 0.75 (0.48, 1.17)        | -           | -                            | Serious <sup>1</sup> | N/A           | Not serious  | Serious <sup>2</sup> | Low      |
|                 |                |                             |             |                              |                      |               |              |                      |          |

1 383 | HR 0.75 (0.48, 1.17) | - | - | Serious' | N/A | Not serious | S <sup>1</sup> Blinding procedures were not possible/attempted and this had the potential to impact on the reporting and/or scoring of this outcome

<sup>2</sup> 95% confidence intervals crosses the line of no effect – downgraded once

\*Taken from multivariate analysis controlling for log pre-treatment PSA, percentage of positive cores, clinical T stage, and Gleason sum: HR 2.17 in univariate analysis.

\*\*Taken from multivariate analysis controlling for age, disease status (relapse vs. no relapse) and log pre-treatment PSA: HR 1.29 in univariate analysis.

#### **Quality Assessment**

Quality assessment of included studies (risk of bias)

|     | Short title | Randomisation | Allocation<br>concealment | Blinding of<br>participants<br>and personnel | Blinding of<br>outcome<br>assessment | Incomplete<br>outcome<br>data | Selective<br>reporting | Other<br>sources<br>of bias | Overall<br>risk of<br>bias | Directness |
|-----|-------------|---------------|---------------------------|--|--------------------------------------|-------------------------------|------------------------|-----------------------------|----------------------------|------------|
| - 1 |             |               |                           |  |                                      |                               |                        |                             |                            |            |

| <u>Study</u> |   |
|--------------|---|
| Reference    | , |

NG131 [C] (NICE 2019) Linked records: Hoffman 2018; Wilkins 2015 (CHHiP)

| <u>1Ce</u> | Yin 2019 is an SLR that includes some of the same trials |
|------------|--|

| Alwuni<br>2016    | Low                            | Unclear           | High    | Unclear | Low  | Low | Low  | Moderate | Directly applicable              |  |
|-------------------|--------------------------------|-------------------|---------|---------|------|-----|------|----------|----------------------------------|--|
| Catton<br>2017    | Low                            | Unclear           | Unclear | Unclear | Low  | Low | Low  | Moderate | Directly applicable              |  |
| Dearnaley<br>2016 | Low                            | High              | High    | Unclear | Low  | Low | Low  | Moderate | Directly applicable              |  |
| Lee 2016          | Low                            | Unclear           | Unclear | Unclear | Low  | Low | Low  | Moderate | Directly applicable              |  |
| Marzi 2009        | Low                            | Unclear           | Unclear | Unclear | Low  | Low | High | High*    | Partially directly applicable    |  |
| Morris<br>2017    | Low                            | Unclear           | Unclear | Unclear | Low  | Low | Low  | Moderate | Directly applicable              |  |
| Norkus<br>2009    | Low                            | Unclear           | Unclear | Unclear | Low  | Low | Low  | Moderate | Directly applicable              |  |
| Norkus<br>2013    | Low                            | Unclear           | Unclear | High    | Low  | Low | Low  | High*    | Partially directly<br>applicable |  |
| Pollack<br>2013   | Low                            | Unclear           | Unclear | Unclear | High | Low | Low  | High*    | Directly applicable              |  |
| Arcangeli<br>2010 | Not included in evidence table |                   |         |         |      |     |      |          |                                  |  |
| Hoffman<br>2014   | Not included                   | in evidence table |         |         |      |     |      |          |                                  |  |

\*The three studies at an overall high risk of bias were graded as such because it was judged that there was potential for all outcomes of interest to be impacted by lack of blinding procedures

| Authors'<br>Conclusions | <ul> <li><u>Evidence Statements</u></li> <li>Conventional vs hypofractionated RT         <ul> <li>Low- to high-quality evidence from up to 10 RCTs reporting data on up to 7,050 people with localised PCa shows there is no difference in overall freedom from biochemical or biochemical–clinical failure, overall freedom from PCa-related death, OS, late GU and GI toxicity, and acute GU toxicity between people receiving hypofractionated RT and those receiving conventional RT.</li> <li>Low- to moderate-quality evidence from up to 6 RCTs reporting data on up to 6,621 people with localised PCa could not differentiate time to biochemical–clinical failure, time to death from any causes or time to PCa-related death between people receiving hypofractionated RT and those receiving hypofractionated RT and those receiving conventional RT.</li> <li>Moderate-quality evidence from 9 RCTs reporting data on 5,709 people with localised PCa found higher rates of people reporting grade 2 or worse acute GI toxicity in people receiving hypofractionated RT than those receiving conventional RT.</li> <li>Very low-quality evidence from up to 5 RCTs reporting data on up to 303 people with localised PCa could not differentiate time to worsening of QoL (on any sub-domain), or rates of worsening QoL (on any sub-domain) between hypofractionated and conventional RT.</li> </ul> </li> </ul> |
|-------------------------|--|
|                         | <ul> <li>EBRT vs EBRT plus LDR-BT</li> <li>High-quality evidence from 1 RCT reporting data on 398 people with localised PCa found a greater length of time to biochemical failure in people given EBRT with a LDR-BT boost than those given EBRT alone.</li> <li>Moderate-quality evidence from 1 RCT reporting data on 398 people with localised PCa found a greater length of time to grade 2 late GU toxicity and lower rates of acute GU toxicity, 5-year catheterization and 5-year usage of pads for urinary incontinence in people given EBRT alone than in those people given EBRT with a LDR-BT boost.</li> </ul>   |

| <u>Study</u><br>Reference                               | NG131 [C] (NICE 2019)<br>Linked records: Hoffman 2018; Wilkins 2015 (CHHiP)<br>Yin 2019 is an SLR that includes some of the same trials  |  |  |  |  |  |  |  |
|---|--|--|--|--|--|--|--|--|
|   | <ul> <li>Moderate- to high- quality evidence from 1 RCT reporting data on 398 people with localised PCa found no difference in acute GU toxicity or freedom-from PCa-related death between those given EBRT alone and those given EBRT with a LDR-BT boost.</li> <li>Low- to moderate- quality evidence from 1 RCT reporting data on 398 people with localised PCa could not differentiate time to grade 2 late GI toxicity or death from any cause between those given EBRT alone and those given EBRT with a LDR-BT boost.</li> </ul>  |  |  |  |  |  |  |  |
|   | NG131 Recommendations for Treatment<br>Low-risk localised PCa: AS, radical prostatectomy or radical RT<br>Intermediate-risk localised PCa: radical prostatectomy or radical RT (consider AS for people who do not choose to have immediate radical<br>treatment)<br>High-risk localised PCa: radical prostatectomy or radical RT (do not offer AS)<br>Hoffman 2018   |  |  |  |  |  |  |  |
|   | <u>Follow up</u><br>Results reported up to 5 years of follow-up  |  |  |  |  |  |  |  |
| Additional<br>results/<br>conclusions                   | <u>Results (summary)</u><br>No significant results were reported for the comparison of conventional (n=101) and hypofractionated (n=101) RT for comparison of urinary,<br>bowel and sexual function and change in urinary, bowel and sexual function from baseline, at any follow-up points (2, 3, 4, 5 years)   |  |  |  |  |  |  |  |
| published<br>after NG131 or<br>not included in<br>NG131 | <ul> <li><u>Author's conclusions</u></li> <li>In conclusion, it seems that dose-escalated IMRT using a moderate hypofractionation regimen (72.0 Gy in 2.4 Gy fractions) can be delivered safely without adversely impacting urinary or bowel function from the patient's perspective. However, it is possible that insufficient data were collected to detect clinically meaningful differences between the treatment groups.</li> <li>Patient-reported function provided more detail and insight about patient experience after prostate radiation than the physician-assigned numeric toxicity score.</li> <li>Additional research is needed to determine whether hypofractionated radiation adversely impacts long-term sexual function from the patient's perspective relative to conventional fractionation.</li> </ul> |  |  |  |  |  |  |  |

Abbreviations: 3DCRT, 3-dimensional conformal radiation therapy; ADT, androgen deprivation therapy; AE, adverse event; AR, absolute risk; AS, active surveillance; CDSR, Cochrane Database of Systematic Reviews; CENTRAL, Cochrane Central Register of Controlled Trials; CI, confidence interval; DARE, Database of Abstracts of Reviews of Effects; DFS, disease-free survival; EBRT, external beam radiation therapy; EORTC, European Organisation for Research and Treatment of Cancer; EPIC, Expanded Prostate Cancer Index Composite; EQ-5D, EuroQol 5 Dimension; fr, fractions; GI, gastrointestinal; GRADE, Grading of Recommendations Assessment, Development and Evaluation; GU, genitourinary; HR, hazard ratio; HRQoL, health-related quality of life; HTA, Health Technology Assessment; IMRT, intensity-modulated radiation therapy; IPSS, International Prostate Symptom Score; IQR, interquartile range; ITT, intention-to-treat; LDR-BT, low dose rate brachytherapy; LENT, Late Effects Normal Tissue; LTFU, loss-to-follow-up; MA, meta-analysis; N/A, not applicable; OS, overall survival; PCa, prostate cancer; PRISMA, Preferred Reporting Items for Systematic Reviews and Meta-Analyses; PS, performance score; PSA, prostate-specific antigen; QoL, quality of life; RCT, randomised controlled trial; RoB, risk of bias; RR, risk ratio; RT, radiotherapy/radiation therapy; RTOG, Radiation Therapy Oncology Group; WHO, World Health Organization.

Table 41b. NG131 [G] (NICE 2019): Observation, radical prostatectomy or radical radiotherapy

| al)                     |
|-------------------------|
|                         |
| r people with localised |
|                         |
|                         |
|                         |

## Study eligibility

|                | Inclusion (PICOS) |   |
|----------------|-------------------|---|
|                | Population        | People with localised PCa   |
|                | Intervention      | AS (also referred to as observation)  |
|                |                   | Radical RT (alone or in combination with brachytherapy)   |
|                |                   | Radical prostatectomy   |
|                | Comparator        | Relevant interventions compared to each other   |
|                |                   | Alternative protocols within the intervention class (e.g. different AS approaches compared to each other) |
|                | Outcomes          | PCa-specific mortality  |
|                |                   | Treatment-related mortality   |
| Denvilation    |                   | Metastasis-free survival  |
| Population     |                   | HRQoL (including separate reporting of psychological aspects)   |
| Characteristic |                   | Treatment-related morbidity e.g. late effects of radiation therapy, toxicity                              |
| S              |                   | Number of severe AEs (incontinence, erectile dysfunction)   |
|                |                   | Number of treatment discontinuations due to AEs   |
|                | Study design      | RCTs  |
|                |                   | Systematic reviews of RCTs  |

#### Exclusion (reasons given in excluded study list)

- Study did not contain relevant interventions
- Study did not contain a population of localised PCa ٠
- Comparator did not match that specified in the protocol ٠
- Conference abstract ٠
- Data not reported in an extractable format ٠
- Not a relevant study design (e.g. discussion of one of the included trials, protocol, commentary) ٠

| <b>0</b> / 1     | NG131 [G] (NICE 2019)   |
|------------------|---|
| Study            | Linked records: Bill-Axelson 2018 (SPCG04 trial); Johansson 2018 (SPCG-4 trial); Lane 2016 (ProtecT trial); Lane 2014 (ProtecT trial) |
| <u>Reference</u> | Ng 2019 is an SLR that includes the same trials   |

- Duplicate reference
- Non-systematic review article
- Study did not report outcomes of interest

## Other

The review was conducted as part of a larger update of the NICE Prostate Cancer guideline (CG175)

#### Flow of Studies (PRISMA)

Titles/abstracts reviewed = 8,337 Full texts reviewed = 144 Articles included = 13 articles on 3 unique RCTs

### Included study characteristics

| Short title<br>and<br>related<br>studies                    | Study<br>type   | Location<br>and setting   | Dates and<br>duration of<br>follow-up  | Inclusion criteria  | Exclusion<br>criteria   | Sample characteristics  | Interventions   | Outcomes  |
|---|-----------------|---|--|---|---|---|---|---|
| Observatio<br>Donovan<br>2016<br>(ProtecT)<br>Hamdy<br>2016 | n (active       | e surveillance<br>Country:<br>UK<br>Setting:<br>Primary<br>care<br>centres in 9<br>cities | ) vs radical RT<br>Oct 2001–<br>Jan 2009<br><u>Follow-up</u> :<br>Median 10<br>years | vs radical prosta<br>Estimated life<br>expectancy >10<br>years<br>Localised PCa<br>Negative results<br>for metastatic<br>disease<br>Age 50–69 | tectomy<br>Any previous<br>malignancy<br>apart from<br>skin cancer<br>Previous<br>renal<br>transplant or<br>on renal<br>dialysis<br>Major CV or<br>respiratory<br>comorbidities<br>Bilateral hip<br>replacement<br>PSA >20<br>ng/mL | $\label{eq:relation} \underbrace{\frac{N}{2}:2,664~(1,634)}_{randomised;~Arm1:~545,~Arm 2:~545,~Arm 3:~553)}_{LTFU}:55~(3.3\%)\\ \underline{Median~age~(IQR)}:~Arm~1:~62~(50-69)\\ Arm~1:~62~(49-69)\\ Arm~2:~62~(49-69)\\ Arm~3:~62~(50-69)\\ \underline{Median~PSA~(range)}\\ Arm~1:~4.6~(3.0-20.9)\\ ng/mL\\ Arm~2:~4.6~(3.0-18.8)\\ ng/mL\\ Arm~3:~4.7~(3.0-18.4)\\ \underline{Tumour~stage,~n~(\%)}\\ Arm~1:~T1c=~410~(75\%),~T2=~135~(25\%)\\ Arm~2:~T1c=~429~(79\%),~T2=~116~(21\%)\\ Arm~3:~T1c=~410~(74\%),~T2=~143~(26\%)\\ \hline \end{tabular}$ | Arm 1: active<br>monitoring<br><u>Arm 2</u> : RT<br><u>Arm 3</u> : radical<br>prostatectomy | Overall mortality<br>PCa-specific<br>mortality<br>Distant metastases<br>Urinary<br>incontinence<br>Erectile and sexual<br>dysfunction<br>Lower urinary tract<br>symptoms<br>Effect of urinary<br>function on QoL<br>Effect of sexual<br>function on QoL<br>Bowel function<br>Effect of bowel<br>function on QoL<br>General health<br>status<br>Anxiety and<br>depression<br>Cancer-related<br>QoL |
| Prostatecto<br>Holmberg<br>2002<br>(SPCG-4)                 | omy vs c<br>RCT | bservation (w<br><u>Country</u> :<br>Sweden,<br>Finland,<br>Iceland                       | vatchful waiting<br>Oct 1989–<br>Feb 1999<br><u>Follow-up</u> :                      | g)<br>Age <75<br>Primary,<br>previously<br>untreated  | NR  | <u>N</u> : 695 (Arm 1: 347, Arm<br>2: 348)<br><u>LTFU</u> : 0<br>Mean age (SD):   | <u>Arm 1</u> : radical prostatectomy  | Overall mortality<br>PCa-specific<br>mortality<br>Distant metastases  |

<u>Study</u> <u>Reference</u> NG131 [G] (NICE 2019) Linked records: Bill-Axelson 2018 (SPCG04 trial); Johansson 2018 (SPCG-4 trial); Lane 2016 (ProtecT trial); Lane 2014 (ProtecT trial) Ng 2019 is an SLR that includes the same trials

| <br>  |     |  |  |   |    |   |  |   |
|---|-----|--|--|---|----|---|--|---|
| Bill-<br>Axelson<br>2008<br>Bill-<br>Axelson<br>2011<br>Bill-<br>Axelson<br>2013<br>Bill-<br>Axelson<br>2014<br>Bill-<br>Axelson<br>2014<br>Bill-<br>Axelson<br>2009<br>Johansson<br>2011<br>Steineck<br>2002<br>Bill-<br>Axelson<br>2005 |     | <u>Setting</u> : 14<br>centres   | 18 years<br>(across<br>multiple<br>publications)   | adenocarcinoma<br>of the prostate<br>Stage T0d, T1<br>or T2 (T1c after<br>1994)<br>Estimated life<br>expectancy <10<br>years<br>Localised PCa<br>PSA <50 ng/mL<br>Negative results<br>for metastatic<br>disease |    | Arm 1: 64.7 (5.1)<br>Arm 2: 64.7 (5.1)<br><u>Mean PSA</u><br>Arm 1: 13.5 ng/mL<br><u>Tumour stage, n (%)</u><br>Arm 1: T1b = 33 (9.5),<br>T1c = 43 (12.4), T2 = 270<br>(77.8), unknown = 1 (0.3)<br>Arm 2: T1b = 50 (14.4),<br>T1c = 38 (10.9), T2 = 259<br>(74.4), unknown = 1 (0.3) | <u>Arm 2</u> :<br>watchful<br>waiting                                    | Urinary<br>incontinence<br>Erectile and sexual<br>dysfunction<br>Weak urinary<br>system<br>Nocturia<br>QoL  |
| Wilt 2012<br>(PIVOT)<br>Wilt 2017   | RCT | Country:<br>USA<br>Setting:<br>Department<br>of Veterans<br>Affairs and<br>National<br>Cancer<br>Institute<br>medical<br>centres | Nov 1994–<br>Jan 2002<br><u>Follow-up</u> :<br>12–19.5<br>years (in<br>most recent<br>study) | Age <75<br>Estimated life<br>expectancy >10<br>years<br>Localised PCa<br>Diagnosed<br>within previous<br>12 months<br>PSA <50 ng/mL<br>Negative results<br>for metastatic<br>disease                            | NR | <u>N</u> : 731 (Arm 1: 367, Arm<br>2: 364)<br><u>LTFU</u> : NR<br><u>Mean age (SD)</u> : 67<br><u>Mean PSA</u> : 7.8 ng/mL  | <u>Arm 1</u> : radical<br>prostatectomy<br><u>Arm 2</u> :<br>observation | Overall mortality<br>PCa-specific<br>mortality<br>Distant metastases<br>PSA progression<br>AEs requiring<br>treatment<br>Urinary<br>incontinence<br>Erectile and sexual<br>dysfunction<br>Worry about health<br>'Bother' due to PCa<br>Physical discomfort<br>Functional<br>limitations<br>Bowel function |

Sources searched:

CDSR (Wiley), CENTRAL (Wiley), DARE (Wiley), EMBASE (Ovid), MEDLINE (Ovid), MEDLINE In-Process (Ovid)

Methods

Screening and selection process

NR

| <u>Study</u><br>Reference | NG131 [G] (NICE 2019)<br>Linked records: Bill-Axelson 2018 (SPCG04 trial); Johansson 2018 (SPCG-4 trial); Lane 2016 (ProtecT trial); Lane 2014 (ProtecT trial)<br>Ng 2019 is an SLR that includes the same trials  |
|---------------------------|--|
|                           | <u>Study quality assessment</u><br>Cochrane Risk of Bias Tool  |
|                           | <ul> <li>Methods for combining intervention evidence</li> <li>MAs of the interventional data were conducted with reference to the Cochrane Handbook for Systematic Reviews of Interventions (Higgins <i>et al.</i>, 2011)</li> <li>Continuous data: MA conducted on the mean difference (first converted to the same scale if necessary or using standardised mean differences if outcomes were measured using different instruments/metrics)</li> <li>Dichotomous data: pooled RR and AR (by applying the RR to the pooled risk in the comparator arm of the MA)</li> <li>Fixed and random-effects (der Simonian and Laird) models were fitted for all syntheses</li> <li>Significant heterogeneity between studies was identified and recorded by the reviewer in advance of conducting the analysis</li> <li>In any MA where some data came from studies at high RoB, sensitivity analyses were conducted to exclude those studies from the analysis</li> <li>GRADE was used to assess the quality of evidence for the selected outcomes</li> </ul> |

# Forest plot data

Radical prostatectomy vs AS

RR/HR >0 or MD >1 favours observation (AS)

|                              | Outcome                          | Follow-<br>up<br>length | Study   | Radical prostatectomy AS/obs |               |            | oservation    | Weight<br>(%) | Effect size (95%<br>CI) | Heterogeneity | Z score             |
|------------------------------|----------------------------------|-------------------------|---------|------------------------------|---------------|------------|---------------|---------------|-------------------------|---------------|---------------------|
|                              |                                  |                         |         | Total N                      | Events<br>(n) | Total<br>N | Events<br>(n) |               | RR                      |               |                     |
| Harms and                    | Number of severe AEs for         | 6<br>months             | ProtecT | 476                          | 338           | 459        | 179           | 100.0         | 1.82 (1.60, 2.07)       | N/A           | 9.18<br>(P<0.00001) |
| Benefits of<br>Interventions | incontinence                     | 2 years                 |         | 468                          | 313           | 453        | 204           | 100.0         | 1.49 (1.32, 1.67)       | N/A           | 6.46<br>(P<0.00001) |
| and Quality<br>Assessment of |                                  | 4 years                 |         | 462                          | 332           | 463        | 227           | 100.0         | 1.47 (1.31, 1.63)       | N/A           | 6.87<br>(P<0.00001) |
| Included<br>Studies          |                                  | 6 years                 |         | 463                          | 318           | 451        | 226           | 100.0         | 1.37 (1.23, 1.53)       | N/A           | 5.58<br>(P<0.0001)  |
|                              | Number of<br>severe AEs for      | 6<br>months             | ProtecT | 359                          | 316           | 375        | 202           | 100.0         | 1.63 (1.48, 1.81)       | N/A           | 9.52<br>(P<0.00001) |
|                              | erectile<br>dysfunction          | 2 years                 |         | 391                          | 317           | 378        | 200           | 100.0         | 1.53 (1.38, 1.70)       | N/A           | 7.86<br>(P<0.00001) |
|                              |                                  | 4–5<br>years            |         | 447                          | 357           | 442        | 309           | 100.0         | 1.14 (1.06, 1.23)       | N/A           | 3.40<br>(P=0.0007)  |
|                              |                                  | 6–8<br>years            |         | 461                          | 385           | 452        | 318           | 100.0         | 1.19 (1.10, 1.28)       | N/A           | 4.65<br>(P<0.00001) |
|                              | Moderate/severe<br>impact on QoL | 6<br>months             | ProtecT | 573                          | 93            | 464        | 18            | 100.0         | 4.18 (2.56, 6.83)       | N/A           | 5.73<br>(P<0.0001)  |
|                              |                                  | 3 years                 | 1       | 465                          | 56            | 473        | 32            | 100.0         | 1.78 (1.18, 2.70)       | N/A           | 2.72 (P=0.006)      |

<u>Study</u> Reference NG131 [G] (NICE 2019) Linked records: Bill-Axelson 2018 (SPCG04 trial); Johansson 2018 (SPCG-4 trial); Lane 2016 (ProtecT trial); Lane 2014 (ProtecT trial) Ng 2019 is an SLR that includes the same trials

| for<br>incontinence   | 6 years     |         | 464     | 58             | 455        | 38             | 100.0 | 1.50 (1.02, 2.21)        | N/A | 2.04 (P=0.04)               |
|---|-------------|---------|---------|----------------|------------|----------------|-------|--------------------------|-----|-----------------------------|
| Moderate/severe<br>impact on QoL<br>for sexual<br>dysfunction                             | 6<br>months | ProtecT | 355     | 226            | 328        | 91             | 100.0 | 2.29 (1.89, 2.78)        | N/A | 8.50<br>(P<0.00001)         |
|   | 3 years     |         | 417     | 188            | 414        | 140            | 100.0 | 1.33 (1.12, 1.58)        | N/A | 3.29 (P=0.001)              |
|   | 6 years     |         | 457     | 190            | 438        | 164            | 100.0 | 1.11 (0.94, 1.31)        | N/A | 1.26 (P=0.21)               |
| Moderate/severe<br>impact on QoL<br>for <b>bowel habits</b>                               | 6<br>months | ProtecT | 362     | 12             | 348        | 11             | 100.0 | 1.05 (0.47, 2.35)        | N/A | 0.12 (P=0.91)               |
|   | 3 years     |         | 439     | 9              | 439        | 11             | 100.0 | 0.82 (0.34, 1.95)        | N/A | 0.45 (P=0.65)               |
|   | 6 years     |         | 467     | 12             | 463        | 16             | 100.0 | 0.74 (0.36, 1.55)        | N/A | 0.79 (P=0.43)               |
|   |             |         | Total N | Mean<br>(SD)   | Total<br>N | Mean<br>(SD)   |       | Mean difference          |     |                             |
| Treatment-<br>related morbidity<br>(EPIC scores)<br>for <b>urinary</b><br><b>function</b> | 6<br>months | ProtecT | 364     | 80.1<br>(16.6) | 347        | 90.6<br>(10.7) | 100.0 | 10.50 (8.46,<br>12.54)   | N/A | 10.07<br>(P<0.00001)        |
|   | 3 years     |         | 433     | 87.9<br>(12.1) | 433        | 89.3<br>(11.5) | 100.0 | 1.40 (-0.17, 2.97)       | N/A | 1.75 (P=0.08)               |
|   | 6 years     |         | 455     | 88.7<br>(11.3) | 454        | 89<br>(12.5)   | 100.0 | 0.30 (–1.25, 1.85)       | N/A | 0.38 (P=0.70)               |
| Treatment-<br>related morbidity<br>(EPIC scores)<br>for sexual<br>dysfunction             | 6<br>months | ProtecT | 352     | 25.7<br>(23.9) | 327        | 51.9<br>(27.9) | 100.0 | 26.20 (22.30,<br>30.10)  | N/A | 13.18<br>(P<0.00001)        |
|   | 3 years     |         | 413     | 33.9<br>(23.9) | 413        | 45.9<br>(28.4) | 100.0 | 12.00 (8.42,<br>15.58)   | N/A | 6.57 (P<0.0000 <sup>-</sup> |
|   | 6 years     |         | 454     | 32.3<br>(23.2) | 437        | 40.6<br>(26.7) | 100.0 | 8.30 (5.01, 11.59)       | N/A | 4.95 (P<0.0000              |
| Treatment-<br>related morbidity<br>(EPIC scores)<br>for <b>bowel</b><br>function          | 6<br>months | ProtecT | 363     | 92.9 (9)       | 348        | 92.8<br>(9.1)  | 100.0 | -0.10 (-1.43,<br>1.23)   | N/A | 0.15 (P=0.88)               |
|   | 3 years     |         | 436     | 93.8 (8)       | 433        | 92.8<br>(10.8) | 100.0 | -1.00 (-2.26,<br>0.26)   | N/A | 1.55 (P=0.12)               |
|   | 6 years     |         | 463     | 93.2<br>(8.7)  | 457        | 93 (9.8)       | 100.0 | -0.20 (-1.40,<br>1.00)   | N/A | 0.33 (0.74)                 |
| Psychological<br>aspects on QoL<br>(HADS) for<br><b>anxiety</b>                           | 1 year      | ProtecT | 485     | 4 (3.6)        | 467        | 3.9 (3.7)      | 100.0 | -0.10 (-0.56,<br>0.36)   | N/A | 0.42 (P=0.67)               |
|   | 3 years     |         | 470     | 3.7<br>(3.4)   | 474        | 3.9 (3.8)      | 100.0 | 0.20 (-0.26, 0.66)       | N/A | 0.85 (P=0.39)               |
|   | 6 years     |         | 465     | 3.7<br>(3.5)   | 458        | 4.1 (3.9)      | 100.0 | 0.40 (-0.08, 0.88)       | N/A | 1.64 (P=0.10)               |
| Psychological<br>aspects on QoL<br>(HADS) for<br><b>depression</b>                        | 6<br>months | ProtecT | 487     | 2.8 (3)        | 470        | 2.4 (3)        | 100.0 | -0.40 (-0.78, -<br>0.02) | N/A | 2.06 (P=0.04)               |
|   | 3 years     |         | 471     | 2.5<br>(2.8)   | 476        | 2.7 (3.2)      | 100.0 | 0.20 (-0.18, 0.58)       | N/A | 1.02 (P=0.31)               |
|   | 6 years     |         | 459     | 2.7<br>(3.1)   | 464        | 3.1 (3.4)      | 100.0 | 0.40 (-0.02, 0.82)       | N/A | 1.87 (P=0.06)               |

Radical prostatectomy vs watchful waiting

RR/HR >0 or MD >1 favours observation (watchful waiting)

<u>Study</u> Reference NG131 [G] (NICE 2019) Linked records: Bill-Axelson 2018 (SPCG04 trial); Johansson 2018 (SPCG-4 trial); Lane 2016 (ProtecT trial); Lane 2014 (ProtecT trial) Ng 2019 is an SLR that includes the same trials

| Outcome  | Follow-<br>up<br>length | Study           |                                      | adical<br>atectomy |            |               | Weight<br>(%)  | Effect size (95%<br>CI)                | Heterogeneity                                      | Z score*            |
|--|-------------------------|-----------------|--------------------------------------|--------------------|------------|---------------|----------------|--|--|---------------------|
|  |                         |                 | Total<br>N                           | Events<br>(n)      | Total<br>N | Events<br>(n) |                | RR                                     |  |                     |
| Number of<br>people who<br>developed<br>distant<br>metastases        | 6 years                 | SPCG-4          | 347                                  | 35                 | 348        | 54            | 100.0          | 0.65 (0.44, 0.97)                      | N/A  | 2.12 (P=0.03)       |
|  | 10 years                |                 | 364                                  | 17                 | 367        | 39            | 100.0          | 0.44 (0.25, 0.76)                      | N/A  | 2.93 (P=0.003)      |
|  | 18 years                |                 | 347                                  | 89                 | 348        | 138           | 100.0          | 0.65 (0.52, 0.81)                      | N/A  | 3.86<br>(P=0.0001)  |
| Number of<br>severe AEs<br>for<br>incontinence                       | 2–3                     | PIVOT           | 287                                  | 49                 | 284        | 18            | 75.3           | 2.69 (1.61, 4.51)                      | Chi <sup>2</sup> =0.44                             | 4.88                |
|  | years                   | SPCG-4          | 52                                   | 22                 | 53         | 6             | 24.7           | 3.74 (1.65, 8.47)                      | df=1 (P=   | (P<0.00001)         |
|  |                         | Total           | 339                                  | 71                 | 337        | 24            | 100.0          | 2.95 (1.91, 4.56)                      | 0.51) l <sup>2</sup> =0%                           | 4.77                |
|  | 4–5<br>years            | SOCG-4          | 164                                  | 80                 | 155        | 33            | 100.0          | 2.29 (1.63, 3.22)                      | N/A  | 4.77<br>(P<0.00001) |
|  | 6–8<br>years            | SPCG-4          | 55                                   | 31                 | 48         | 12            | 100.0          | 2.25 (1.31, 3.88)                      | N/A  | 2.94 (P=0.003       |
|  | 12+                     | PIVOT           | 364                                  | 63                 | 367        | 16            | 40.5           | 3.97 (2.34, 6.74)                      | Chi <sup>2</sup> =2.44                             | 4.51                |
|  | years                   | SPCG-4          | 173                                  | 93                 | 164        | 36            | 59.5           | 2.45 (1.78, 3.37)                      | df=1 (P=   | (P<0.00001)         |
|  |                         | Total           | 537                                  | 156                | 531        | 52            | 100.0          | 2.98 (1.85, 4.78)                      | 0.12) l <sup>2</sup> =59%                          |                     |
| Number of<br>severe AEs<br>for <b>erectile</b><br><b>dysfunction</b> | 2 years                 | SPCG-4          | 51                                   | 41                 | 51         | 19            | 13.2           | 2.16 (1.47, 3.16)                      | Chi <sup>2</sup> =0.60                             | 9.22                |
|  |                         | PIVOT           | 285                                  | 231                | 281        | 124           | 86.8           | 1.84 (1.59, 2.12)                      | df=1 (P=   | (P<0.00001)         |
|  | 4–5                     | Total<br>SPCG-4 | 336<br>161                           | 272<br>129         | 332<br>158 | 143<br>71     | 100.0<br>100.0 | 1.88 (1.64, 2.15)<br>1.78 (1.48, 2.15) | 0.44) l <sup>2</sup> =0%                           | 6.00                |
|  | 4–5<br>vears            | 3FCG-4          | 101                                  | 129                | 100        | / 1           | 100.0          | 1.70 (1.40, 2.13)                      | N/A  | (P<0.00001)         |
|  | 6–8<br>vears            | SPCG-4          | 54                                   | 45                 | 53         | 29            | 100.0          | 1.52 (1.16, 2.00)                      | N/A  | 3.03 (P=0.002       |
|  | 12–18                   | SPCG-4          | 173                                  | 146                | 153        | 122           | 51.9           | 1.06 (0.96, 1.17)                      | Chi <sup>2</sup> =23.72                            | 0.84 (P=0.40)       |
|  | years                   | PIVOT           | 364                                  | 53                 | 387        | 20            | 48.1           | 2.82 (1.72, 4.62)                      | df=1   | . ,                 |
|  |                         | Total           | 537                                  | 199                | 540        | 142           | 100.0          | 1.69 (0.50, 5.78)                      | (P<0.00001)<br>I <sup>2</sup> =96%                 |                     |
|  |                         |                 | log[HR] (SE)                         |                    |            |               |                | HR                                     |  |                     |
| Overall<br>mortality   | 4 years                 | PIVOT           | -0.38                                | -0.3857 (0.2106)   |            |               |                | 0.68 (0.45, 1.03)                      | N/A  | 1.83 (P=0.07)       |
|  | 6 years                 | SPCG-4          | -0.1863 (0.1917)                     |                    |            |               | 100.0          | 0.83 (0.57, 1.21)                      | N/A  | 0.97 (P=0.33)       |
|  | 8 years                 | PIVOT           | -0.1054 (0.121)                      |                    |            |               | 58.0           | 0.90 (0.71, 1.14)                      | Chi <sup>2</sup> =1,10                             | 2.04 (P=0.04)       |
|  | -                       | SPCG-4          | -0.30                                | 11 (0.1422)        |            |               | 42.0           | 0.74 (0.56, 0.98)                      | df=1 (P=   | ,                   |
|  |                         | Total           |                                      |                    |            |               | 100.0          | 0.83 (0.69, 0.99)                      | 0.29) l <sup>2</sup> =9%                           |                     |
|  | 10 years                | PIVOT           |                                      | 78 (0.1095)        |            |               | 53.9           | 0.88 (0.71, 1.09)                      | Chi <sup>2</sup> =0.19                             | 1.99 (P=0.05)       |
|  |                         | SPCG-4          | -0.198                               | 35 (0.1185)        |            |               | 46.1           | 0.82 (0.65, 1.03)                      | df=1 (P=   |                     |
|  | 12–14                   | Total<br>PIVOT  | 0.1279 (0.0916)                      |                    |            |               | 100.0<br>57.3  | 0.85 (0.73, 1.00)                      | 0.66) l <sup>2</sup> =0%<br>Chi <sup>2</sup> =2.96 | 3.55 (P=0.000       |
|  | years                   | SPCG-4          | -0.1278 (0.0816)<br>-0.3425 (0.0945) |                    |            |               | 57.3<br>42.7   | 0.88 (0.75, 1.03)                      | df=1 (P=0.09)                                      | 3.35 (P=0.000       |
|  | years                   | Total           | -0.34                                | 20 (0.0940)        |            |               | 42.7           | 0.80 (0.71, 0.91)                      | $l^2 = 66\%$                                       |                     |
|  | 16 years                | PIVOT           | -0.1165 (0.0608)                     |                    |            |               | 100.0          | 0.89 (0.79, 1.00)                      | N/A  | 1.92 (P=0.06)       |
|  | ,                       |                 | 9.110                                | (0.0000)           |            |               |                |  |  |                     |

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NG131 [G] (NICE 2019) Linked records: Bill-Axelson 2018 (SPCG04 trial); Johansson 2018 (SPCG-4 trial); Lane 2016 (ProtecT trial); Lane 2014 (ProtecT trial) Ng 2019 is an SLR that includes the same trials

|              | 18 years | SPCG-4 | -0.3425 (0.0945) | 100.0 | 0.71 (0.59, 0.85) | N/A                    | 3.62 (P=0.0003) |
|--------------|----------|--------|------------------|-------|-------------------|------------------------|-----------------|
|              | ,        |        | · · · ·          |       | ,                 | -                      | ( )             |
| PCa-specific | 4 years  | PIVOT  | 0.01 (0.5707)    | 100.0 | 1.01 (0.33, 3.09) | N/A                    | 0.02 (P=0.99)   |
| mortality    | 6 years  | SPCG-4 | -0.6931 (0.3144) | 100.0 | 0.50 (0.27, 0.93) | N/A                    | 2.20 (P=0.03)   |
|              | 8 years  | PIVOT  | -0.478 (0.3704)  | 27.0  | 0.62 (0.30, 1.28) | Chi <sup>2</sup> =0.06 | 2.87 (P=0.004)  |
|              | -        | SPCG-4 | -0.5798 (0.2254) | 73.0  | 0.56 (0.36, 0.87) | df=1 (P=0.81)          | · · · · ·       |
|              |          | Total  |                  | 100.0 | 0.58 (0.39, 0.84) | I <sup>2=0</sup> %     |                 |
|              | 12 years | PIVOT  | -0.6539 (0.2979) | 28.4  | 0.52 (0.29, 0.93) | Chi <sup>2</sup> =0.40 | 3.11 (P=0.002)  |
|              | -        | SPCG-4 | -0.4308 (0.1876) | 71.6  | 0.65 (0.45, 0.94) | df=1 (P=0.53)          |                 |
|              |          | Total  |                  | 100.0 | 0.61 (0.45, 0.83) | l <sup>2</sup> =0%     |                 |
|              | 16 years | PIVOT  | -0.5108 (0.2467) | 100.0 | 0.60 (0.37, 0.97) | N/A                    | 2.07 (P=0.04)   |
|              | 18 years | SPCG-4 | -0.5798 (0.1591) | 100.0 | 0.56 (0.41, 0.76) | N/A                    | 3.64 (P=0.0003) |
| Disease      | 6.2      | SPCG-4 | -1.1712 (0.175)  | 51.0  | 0.31 (0.22, 0.44) | Chi <sup>2</sup> =1.96 | 8.00            |
| progression  | years    | PIVOT  | -0.821 (0.1787)  | 49.0  | 0.44 (0.31, 0.62) | df=1 (P=0.16)          | (P<0.00001)     |
|              | 12–19.5  | Total  |                  | 100.0 | 0.37 (0.29, 0.47) | l <sup>2</sup> =49%    |                 |
|              | years    |        |                  |       |                   |                        |                 |

# Radical RT vs AS

# RR/HR >0 or MD >1 favours observation (AS)

| Outcome                               | Follow-up<br>length | Study   |            | RT             |            | AS             | Weight<br>(%) | Effect size (95%<br>CI)  | Heterogeneity | Z score*            |
|---------------------------------------|---------------------|---------|------------|----------------|------------|----------------|---------------|--------------------------|---------------|---------------------|
|                                       |                     |         | Total<br>N | Events<br>(n)  | Total<br>N | Events<br>(n)  |               | RR                       |               |                     |
| Number of<br>severe AEs for           | 1 year              | ProtecT | 338        | 263            | 375        | 202            | 100.0         | 1.44 (1.29, 1.61)        | N/A           | 6.58<br>(P<0.00001) |
| erectile                              | 3 years             |         | 420        | 277            | 421        | 248            | 100.0         | 1.12 (1.01, 1.24)        | N/A           | 2.10 (P=0.04)       |
| dysfunction                           | 6 years             |         | 456        | 331            | 452        | 318            | 100.0         | 1.03 (0.95, 1.12)        | N/A           | 0.74 (P=0.46)       |
| Moderate/severe                       | 6 months            | ProtecT | 474        | 27             | 464        | 18             | 100.0         | 1.47 (0.82, 2.63)        | N/A           | 1.29 (P=0.20)       |
| impact on QoL                         | 3 years             |         | 460        | 17             | 473        | 32             | 100.0         | 0.55 (0.31, 0.97)        | N/A           | 2.06 (P=0.04)       |
| for incontinence                      | 6 years             |         | 458        | 21             | 455        | 38             | 100.0         | 0.55 (0.33, 0.92)        | N/A           | 2.27 (P=0.02)       |
| Moderate/severe<br>impact on QoL      | 6 months            | ProtecT | 334        | 152            | 328        | 91             | 100.0         | 1.64 (1.33, 2.02)        | N/A           | 4.61<br>(P<0.00001) |
| for sexual                            | 3 years             |         | 418        | 153            | 414        | 140            | 100.0         | 1.08 (0.90, 1.30)        | N/A           | 0.84 (P=0.40)       |
| dysfunction                           | 6 years             |         | 448        | 150            | 438        | 164            | 100.0         | 0.89 (0.75, 1.07)        | N/A           | 1.23 (P=0.22)       |
| Moderate/severe                       | 6 months            | ProtecT | 345        | 36             | 348        | 11             | 100.0         | 3.30 (1.71, 6.38)        | N/A           | 3.55 (P=0.0004)     |
| impact on QoL                         | 3 years             |         | 432        | 20             | 439        | 11             | 100.0         | 1.85 (0.90, 3.81)        | N/A           | 1.66 (P=0.10)       |
| for bowel habits                      | 6 years             |         | 472        | 10             | 463        | 16             | 100.0         | 0.61 (0.28, 1.34)        | N/A           | 1.23 (P=0.22)       |
|                                       |                     |         | Total<br>N | Mean<br>(SD)   | Total<br>N | Mean<br>(SD)   |               | Mean difference          |               |                     |
| Treatment-<br>related morbidity       | 6 months            | ProtecT | 343        | 84.7<br>(13.8) | 347        | 90.6<br>(10.7) | 100.0         | 5.90 (4.06, 7.74)        | N/A           | 6.27 (P<0.00001)    |
| (EPIC scores) for<br>urinary function | 3 years             |         | 425        | 91.7 (9.2)     | 433        | 89.3<br>(11.5) | 100.0         | -2.40 (-3.79, -<br>1.01) | N/A           | 3.38 (P=0.0007)     |
|                                       | 6 years             |         | 452        | 91.4 (9.2)     | 454        | 89 (12.5)      | 100.0         | -2.40 (-3.83, -<br>0.97) | N/A           | 3.29 (P=0.001)      |

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NG131 [G] (NICE 2019) Linked records: Bill-Axelson 2018 (SPCG04 trial); Johansson 2018 (SPCG-4 trial); Lane 2016 (ProtecT trial); Lane 2014 (ProtecT trial) Ng 2019 is an SLR that includes the same trials

| Treatment-<br>related morbidity     | 6 months | ProtecT | 329 | 31.9<br>(27.1) | 327 | 51.9<br>(27.9) | 100.0 | 20.00 (15.79,<br>24.21) | N/A | 9.31 (P<0.00001) |
|-------------------------------------|----------|---------|-----|----------------|-----|----------------|-------|-------------------------|-----|------------------|
| (EPIC scores) for sexual            | 3 years  |         | 414 | 42.5<br>(25.9) | 413 | 45.9<br>(28.4) | 100.0 | 3.40 (-0.30, 7.10)      | N/A | 1.80 (P=0.07)    |
| dysfunction                         | 6 years  |         | 440 | 41.3<br>(24.9) | 437 | 40.6<br>(26.7) | 100.0 | -0.70 (-4.12, 2.72)     | N/A | 0.40 (P=0.69)    |
| Treatment-                          | 6 months | ProtecT | 345 | 86.3 (16)      | 348 | 92.8 (9.1)     | 100.0 | 6.50 (4.56, 8.44)       | N/A | 6.57 (P<0.00001) |
| related morbidity (EPIC scores) for | 3 years  |         | 430 | 90.8<br>(11.2) | 433 | 92.8<br>(10.8) | 100.0 | 2.00 (0.53, 3.47)       | N/A | 2.67 (P=0.008)   |
| bowel function                      | 6 years  |         | 466 | 91.2<br>(10.9) | 457 | 93 (9.8)       | 100.0 | 1.80 (0.46, 3.14)       | N/A | 2.64 (P=0.008)   |
| Psychological                       | 6 months | ProtecT | 467 | 3.9 (3.7)      | 476 | 4 (3.6)        | 100.0 | -0.10 (-0.57, 0.37)     | N/A | 0.42 (P=0.67)    |
| aspects on QoL<br>(HADS) for        | 3 years  |         | 474 | 3.9 (3.8)      | 466 | 3.7 (3.5)      | 100.0 | 0.20 (-0.27, 0.67)      | N/A | 0.84 (P=0.40)    |
| anxiety                             | 6 years  | 1       | 458 | 4.1 (3.9)      | 465 | 3.4 (3.2)      | 100.0 | 0.70 (0.24, 1.16)       | N/A | 2.98 (P=0.003)   |
| Psychological                       | 6 months | ProtecT | 478 | 2.7 (3)        | 470 | 2.4 (3)        | 100.0 | -0.30 (-0.68, 0.08)     | N/A | 1.54 (P=0.12)    |
| aspects on QoL<br>(HADS) for        | 3 years  | 1       | 467 | 2.7 (3)        | 476 | 2.7 (3.2)      | 100.0 | 0.00 (-0.40, 0.40)      | N/A | 0.00 (P=1.00)    |
| depression                          | 6 years  |         | 464 | 2.7 (2.9)      | 464 | 3.1 (3.4)      | 100.0 | 0.40 (-0.01, 0.81)      | N/A | 1.93 (P=0.05)    |

# Radical prostatectomy vs radical RT

# RR/HR >0 or MD >1 favours RT

| Outcome                          | Follow-up<br>length | Study   | Prost      | atectomy       |            | RT             | Weight<br>(%) | Effect size (95%<br>CI) | Heterogeneity | Z score*            |
|----------------------------------|---------------------|---------|------------|----------------|------------|----------------|---------------|-------------------------|---------------|---------------------|
|                                  |                     |         | Total<br>N | Events<br>(n)  | Total<br>N | Events<br>(n)  |               | RR                      |               |                     |
| Number of<br>severe AEs for      | 1 year              | ProtecT | 356        | 304            | 351        | 219            | 100.0         | 1.37 (1.25, 1.50)       | N/A           | 6.69<br>(P<0.00001) |
| erectile                         | 3 years             |         | 427        | 338            | 420        | 277            | 100.0         | 1.20 (1.10, 1.31)       | N/A           | 4.25 (P<0.0001)     |
| dysfunction                      | 6 years             |         | 461        | 385            | 456        | 331            | 100.0         | 1.15 (1.07, 1.23)       | N/A           | 3.96 (P<0.0001)     |
| Moderate/severe<br>impact on QoL | 3 months            | ProtecT | 573        | 93             | 460        | 17             | 100.0         | 4.39 (2.66, 7.26)       | N/A           | 5.77<br>(P<0.00001) |
| for incontinence                 | 3 years             | 1       | 465        | 56             | 458        | 21             | 100.0         | 2.63 (1.62, 4.26)       | N/A           | 3.91 (P<0.0001)     |
|                                  | 6 years             |         | 464        | 58             | 464        | 58             | 100.0         | 1.00 (0.71, 1.41)       | N/A           | 0.00 (P=1.00)       |
| Moderate/severe<br>impact on QoL | 6 months            | ProtecT | 355        | 226            | 418        | 153            | 100.0         | 1.74 (1.50, 2.02)       | N/A           | 7.30<br>(P<0.00001) |
| for sexual                       | 3 years             |         | 417        | 188            | 448        | 150            | 100.0         | 1.35 (1.14, 1.59)       | N/A           | 3.47 (P=0.0005)     |
| dysfunction                      | 6 years             |         | 457        | 190            | 457        | 190            | 100.0         | 1.00 (0.86, 1.17)       | N/A           | 0.00 (P=1.00)       |
| Moderate/severe                  | 6 months            | ProtecT | 362        | 12             | 432        | 20             | 100.0         | 0.72 (0.35, 1.44)       | N/A           | 0.93 (P=0.35)       |
| impact on QoL                    | 3 years             |         | 439        | 9              | 472        | 10             | 100.0         | 0.97 (0.40, 2.36)       | N/A           | 0.07 (P=0.94)       |
| for bowel habits                 | 6 years             |         | 467        | 12             | 467        | 12             | 100.0         | 1.00 (0.45, 2.20)       | N/A           | 0.00 (P=1.00)       |
|                                  |                     |         | Total<br>N | Mean<br>(SD)   | Total<br>N | Mean<br>(SD)   |               | Mean difference         |               |                     |
| Treatment-<br>related morbidity  | 6 months            | ProtecT | 364        | 80.1<br>(16.6) | 343        | 84.7<br>(13.8) | 100.0         | 4.60 (2.35, 6.85)       | N/A           | 4.02 (P<0.0001)     |

NG131 [G] (NICE 2019) Linked records: Bill-Axelson 2018 (SPCG04 trial); Johansson 2018 (SPCG-4 trial); Lane 2016 (ProtecT trial); Lane 2014 (ProtecT trial) Ng 2019 is an SLR that includes the same trials

| (EPIC scores) for<br>urinary function | 3 years  |         | 433 | 87.9<br>(12.1) | 425 | 91.7 (9.2)     | 100.0 | 3.80 (2.36, 5.24)        | N/A | 5.18 (P<0.00001)    |
|---------------------------------------|----------|---------|-----|----------------|-----|----------------|-------|--------------------------|-----|---------------------|
|                                       | 6 years  |         | 455 | 88.7<br>(11.3) | 452 | 91.4 (9.2)     | 100.0 | 2.70 (1.36, 4.04)        | N/A | 3.95 (P<0.0001)     |
| Treatment-<br>related morbidity       | 6 months | ProtecT | 352 | 25.7<br>(23.5) | 329 | 31.9<br>(27.1) | 100.0 | 6.20 (2.38, 10.02)       | N/A | 3.18 (P=0.001)      |
| (EPIC scores) for sexual              | 3 years  |         | 413 | 33.9<br>(23.9) | 414 | 42.5<br>(25.9) | 100.0 | 8.60 (5.20, 12.00)       | N/A | 4.96 (P<0.00001)    |
| dysfunction                           | 6 years  |         | 454 | 32.3<br>(23.2) | 440 | 41.3<br>(24.9) | 100.0 | 9.00 (5.84, 12.16)       | N/A | 5.59 (P<0.00001)    |
| Treatment-<br>related morbidity       | 6 months | ProtecT | 363 | 92.9 (9)       | 345 | 86.3 (16)      | 100.0 | -6.60 (-8.53, -<br>4.67) | N/A | 6.72<br>(P<0.00001) |
| (EPIC scores) for<br>bowel function   | 3 years  |         | 436 | 93.8 (8)       | 430 | 90.8<br>(11.2) | 100.0 | -3.00 (-4.30, -<br>1.70) | N/A | 4.53<br>(P<0.00001) |
|                                       | 6 years  |         | 463 | 93.2 (8.7)     | 466 | 91.2<br>(10.9) | 100.0 | -2.00 (-3.27, -<br>0.73) | N/A | 3.09 (P=0.002)      |
| Psychological                         | 6 months | ProtecT | 485 | 4 (3.6)        | 476 | 4 (3.6)        | 100.0 | 0.00 (-0.46, 0.46)       | N/A | 0.00 (P=1.00)       |
| aspects on QoL<br>(HADS) for          | 3 years  |         | 470 | 3.7 (3.4)      | 466 | 3.7 (3.5)      | 100.0 | 0.00 (-0.44, 0.44)       | N/A | 0.00 (P=1.00)       |
| anxiety                               | 6 years  | 1       | 465 | 3.7 (3.5)      | 465 | 3.4 (3.2)      | 100.0 | 0.30 (-0.13, 0.73)       | N/A | 1.36 (P=0.17)       |
| Psychological                         | 6 months | ProtecT | 487 | 2.8 (3)        | 478 | 2.7 (3)        | 100.0 | 0.10 (-0.28, 0.48)       | N/A | 0.52 (P=0.60)       |
| aspects on QoL<br>(HADS) for          | 3 years  | 1       | 471 | 2.5 (2.8)      | 467 | 2.7 (3)        | 100.0 | -0.20 (-0.57, 0.17)      | N/A | 1.06 (P=0.29)       |
| depression                            | 6 years  |         | 459 | 2.7 (3.1)      | 464 | 2.7 (2.9)      | 100.0 | 0.00 (-0.39, 0.39)       | N/A | 0.00 (P=1.00)       |

# **GRADE** Tables

Radical prostatectomy vs AS

| N of<br>studies | Sample<br>size      | Effect size (95% CI)       | Absolute       | risk per 100 people       | RoB                  | Inconsistency     | Indirectness | Imprecision          | Quality  |
|-----------------|---------------------|----------------------------|----------------|---------------------------|----------------------|-------------------|--------------|----------------------|----------|
|                 |                     |                            | AS             | Prostatectomy<br>(95% CI) |                      |                   |              |                      |          |
| Overall s       | <b>survival</b> – H | R <1 favours radical prost | atectomy gro   | oup (10 year follow up    | )                    |                   |              |                      |          |
| 1               | 1643                | HR 0.93 (0.65, 1.33)       | -              | -                         | Not serious          | Not serious       | N/A          | Serious <sup>1</sup> | Moderate |
| Prostate        | e cancer-spe        | ecific survival – HR <1 fa | vours radica   | l prostatectomy group     | (10 year follow      | up)               |              |                      |          |
| 1               | 1643                | HR 0.63 (0.21, 1.89)       | -              | -                         | Not serious          | N/A               | Not serious  | Serious <sup>1</sup> | Moderate |
| Number          | of people w         | ho developed distant m     | etastasis –    | RR <1 favours radical     | prostatectomy        | group (10 year fo | llow up)     |                      |          |
| 1               | 1643                | RR 0.39 (0.21, 0.73)       | 6.1 per<br>100 | 2.4 per 100 (1.3,<br>4.4) | Not serious          | N/A               | Not serious  | Not serious          | High     |
| Disease         | Progressio          | n – HR <1 favours radical  | prostatector   | ny group                  |                      |                   |              |                      |          |
| 1               | 1643                | HR 0.39 (0.27, 0.56)       | -              | -                         | Not serious          | N/A               | Not serious  | Not serious          | High     |
| Number          | of Severe A         | dverse Events: Incontin    | ence – RR      | <1 favours radical pro    | statectomy grou      | ip                | •            |                      |          |
| Subgroup        | p analysis –        | 6 month, 2 year, 4 year, 6 | year follow    | up                        |                      | -                 |              |                      |          |
| 1               | 935                 | RR 1.82 (1.60, 2.07)       | 38.9           | 71.0 (18.1, 46.8)         | Serious <sup>2</sup> | N/A               | Not serious  | Not serious          | Moderate |
|                 | 921                 | RR 1.49 (1.32, 1.67)       | 45.0           | 67.1 (59.4, 75.2)         | Serious <sup>2</sup> | N/A               | Not serious  | Not serious          | Moderate |

NG131 [G] (NICE 2019) Linked records: Bill-Axelson 2018 (SPCG04 trial); Johansson 2018 (SPCG-4 trial); Lane 2016 (ProtecT trial); Lane 2014 (ProtecT trial) Ng 2019 is an SLR that includes the same trials

|        | 925          | RR 1.47 (1.31, 1.63)           | 49.0             | 72.1 (64.2, 79.9)     | Serious <sup>2</sup> | N/A                | Not serious                             | Not serious               | Moderate |
|--------|--------------|--------------------------------|------------------|-----------------------|----------------------|--------------------|---|---------------------------|----------|
|        | 923          | RR 1.37 (1.23, 1.53)           | 49.0<br>50.1     | 68.7 (61.6, 76.7)     | Serious <sup>2</sup> | N/A                | Not serious                             | Serious <sup>3</sup>      | Low      |
| Numbe  | -            | Adverse Events: Erectile       |                  |                       |                      |                    |   | Ceneda                    | LOW      |
|        |              | – 1 year, 2 year, 4 year, 6 ye |                  |                       |                      | only group         |   |                           |          |
| 1      | 1643         | RR 1.63 (1.48, 1.81)           | 53.9             | 87.8 (79.7, 97.4)     | Serious <sup>2</sup> | N/A                | Not serious                             | Not serious               | Moderate |
| •      | 1643         | RR 1.53 (1.38, 1.70)           | 52.9             | 81.0 (73.0, 89.9)     | Serious <sup>2</sup> | N/A                | Not serious                             | Not serious               | Moderate |
|        | 1643         | RR 1.14 (1.06, 1.23)           | 69.9             | 79.7 (74.1, 86.0)     | Serious <sup>2</sup> | N/A                | Not serious                             | Not serious               | Moderate |
|        | 1643         | RR 1.19 (1.10, 1.28)           | 70.4             | 83.7 (77.4, 90.1)     | Serious <sup>2</sup> | N/A                | Not serious                             | Serious <sup>3</sup>      | Low      |
| Treatm |              | morbidity (EPIC summary        |                  | Urinary function – M  |                      |                    |   |                           |          |
|        |              | – 6 month, 3 year, 6 year fol  |                  | · · <b>,</b> · · · ·  |                      |                    | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, |                           |          |
| 1      | 711          | MD 10.50 (8.46, 12.54)         |                  | -                     | Serious <sup>2</sup> | N/A                | Not serious                             | Not serious               | Moderate |
|        | 866          | MD 1.40 (-0.17, 2.97)          | -                | -                     | Serious <sup>2</sup> | N/A                | Not serious                             | Not serious               | Moderate |
|        | 909          | MD 0.30 (-1.25, 1.85)          | -                | -                     | Serious <sup>2</sup> | N/A                | Not serious                             | Not serious               | Moderate |
| Treatm | ent-related  | morbidity (EPIC summary        | scores):         | Erectile dysfunction  | – MD <0 favou        | s radical prostat  | ectomy group                            |                           |          |
| Subgro | up analysis  | – 6 month, 3 year, 6 year fol  | low up           | •                     |                      | ·                  | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, |                           |          |
| 1      | 679          | MD 26.20 (22.30, 30.10)        |                  | -                     | Serious <sup>2</sup> | N/A                | Not serious                             | Not serious               | Moderat  |
|        | 826          | MD 12.00 (8.42, 15.58)         | -                | -                     | Serious <sup>2</sup> | N/A                | Not serious                             | Serious <sup>3</sup>      | Low      |
|        | 891          | MD 8.30 (5.01, 11.59)          | -                | -                     | Serious <sup>2</sup> | N/A                | Not serious                             | Serious <sup>3</sup>      | Low      |
| Treatm | ent-related  | morbidity (EPIC summary        | scores):         | Bowel function - MD   | <0 favours rad       | ical prostatector  | iy group                                | •                         |          |
| Subgro | up analysis  | – 6 month, 3 year, 6 year fol  | low up           |                       |                      |                    |   |                           |          |
| 1      | 711          | MD 0.10 (-1.43, 1.23)          | -                | -                     | Serious <sup>2</sup> | N/A                | Not serious                             | Not serious               | Moderat  |
|        | 869          | MD -1.00 (-2.26, 0.26)         | -                | -                     | Serious <sup>2</sup> | N/A                | Not serious                             | Not serious               | Moderat  |
|        | 920          | MD -0.20 (-1.40, 1.00)         | -                | -                     | Serious <sup>2</sup> | N/A                | Not serious                             | Not serious               | Modera   |
| Modera | ate/severe i | mpact of treatment on Qol      | _ (incontir      | ence) – RR >1 favour  | s radical prosta     | tectomy group      |   | •                         |          |
|        |              | – 6 month, 3 year, 6 year fol  |                  | ,                     |                      | , , , ,            |   |                           |          |
| 1      | 1037         | RR 4.18 (2.56, 6.83)           | 3.8              | 16.2 (9.9, 26.5)      | Serious <sup>2</sup> | N/A                | Not serious                             | Not serious               | Moderat  |
|        | 938          | RR 1.78 (1.18, 2.70)           | 6.8              | 12.0 (7.9, 18.2)      | Serious <sup>2</sup> | N/A                | Not serious                             | Serious <sup>3</sup>      | Low      |
|        | 919          | RR 1.50 (1.02, 2.21)           | 12.5             | 18.8 (12.8, 27.6)     | Serious <sup>2</sup> | N/A                | Not serious                             | Serious <sup>3</sup>      | Low      |
| Modera | ate/severe i | mpact of treatment on qua      | lity of life     |                       | i) – RR >1 favo      | urs radical prosta | atectomy group                          | •                         |          |
| Subgro | up analysis  | – 6 month, 3 year, 6 year fol  | low up           |                       |                      |                    |   |                           |          |
| 1      | 683          | RR 2.29 (1.89, 2.78)           | 27.7             | 63.5 (52.4, 77.1)     | Serious <sup>2</sup> | N/A                | Not serious                             | Not serious               | Moderat  |
|        | 831          | RR 1.33 (1.12, 1.58)           | 33.8             | 44.9 (37.9, 53.4)     | Serious <sup>2</sup> | N/A                | Not serious                             | Serious <sup>3</sup>      | Low      |
|        | 895          | RR 1.11 (0.94, 1.31)           | 37.4             | 41.6 (35.1, 49.1)     | Serious <sup>2</sup> | N/A                | Not serious                             | Serious <sup>3</sup>      | Low      |
| Modera | ate/severe i | mpact of treatment on qua      | lity of life     | (bowel habits) - RR   | >1 favours radi      | cal prostatectom   | y group                                 |                           |          |
| Subgro | up analysis  | – 6 month, 3 year, 6 year fol  | low up           |                       |                      |                    |   |                           |          |
| 1      | 710          | RR 1.05 (0.47, 2.35)           | 3.16             | 3.32 (1.49, 7.43)     | Serious <sup>2</sup> | N/A                | Not serious                             | Very serious <sup>4</sup> | Very low |
|        | 878          | RR 0.82 (0.34, 1.95)           | 2.51             | 2.05 (8.52, 4.89)     | Serious <sup>2</sup> | N/A                | Not serious                             | Very serious <sup>4</sup> | Very low |
|        | 930          | RR 0.74 (0.36, 1.55)           | 2.57             | 1.90 (0.92, 3.98)     | Serious <sup>2</sup> | N/A                | Not serious                             | Serious <sup>3</sup>      | Low      |
| Cancer | -specific a  | uality of life: Global health  | status – M       | /D <0 favours radical | prostatectomy        | aroun              |   |                           |          |
| 1      | 1643         | MD -1.60 (-4.08, 0.88)         | -                | -                     | Serious <sup>2</sup> | N/A                | Not serious                             | Very serious <sup>4</sup> | Very lov |
| HADS   |              | iety – MD >0 favours radical   |                  | omy group             | Centra               | 14/7 (             |   | Very Serieds              | Very lov |
|        |              | – 1 year, 3 year, 6 year follo |                  | only group            |                      |                    |   |                           |          |
| 1      | 952          | MD -0.10 (-0.56, 0.36)         | -                | -                     | Serious <sup>2</sup> | N/A                | Not serious                             | Very serious <sup>4</sup> | Very lov |
|        | 944          | MD 0.20 (-0.26, 0.66)          | _                |                       | Serious <sup>2</sup> | N/A                | Not serious                             | Very serious <sup>4</sup> | Very lov |
|        | 923          | MD -0.40 (-0.08, 0.88)         | _                |                       | Serious <sup>2</sup> | N/A                | Not serious                             | Very serious <sup>4</sup> | Very low |
| HADS   |              | ression – MD >0 favours rad    | l<br>dical prost | atectomy group        | 0011003              | 11/7               | Not Schous                              |                           | VCIYION  |
|        |              |                                |                  | accounty group        |                      |                    |   |                           |          |
| Subgro | up analysis  | – 1 year, 3 year, 6 year follo | w up             |                       |                      |                    |   |                           |          |

NG131 [G] (NICE 2019)

Linked records: Bill-Axelson 2018 (SPCG04 trial); Johansson 2018 (SPCG-4 trial); Lane 2016 (ProtecT trial); Lane 2014 (ProtecT trial) Ng 2019 is an SLR that includes the same trials

| ĺ | 1 | 957 | MD-0.40 (-0.78, -0.02) | _ | _ | Serious <sup>2</sup> | N/A | Not serious | Serious <sup>3</sup> | Low |
|---|---|-----|------------------------|---|---|----------------------|-----|-------------|----------------------|-----|
|   |   | 947 | MD 0.20 (-0.18, 0.58)  | - | - | Serious <sup>2</sup> | N/A | Not serious | Serious <sup>3</sup> | Low |
|   |   | 923 | MD 0.40 (-0.02, 0.82)  | - | - | Serious <sup>2</sup> | N/A | Not serious | Serious <sup>3</sup> | Low |

<sup>1</sup>95% confidence intervals crosses the line of no effect, downgraded once

<sup>2</sup> Moderate risk of bias – due to lack of participant blinding for patient-reported outcomes, downgraded once

<sup>3</sup> 95% confidence interval for the effect size crossed one line of the MID, downgraded once

<sup>4</sup> 95% confidence interval for the effect size crossed both lines of the MID, downgraded twice

Radical prostatectomy vs watchful waiting

| N of<br>studies | Sample<br>size | Effect size (95% CI)               | Absolu          | te risk per 1,000<br>people | RoB                  | Inconsistency        | Indirectness | Imprecision          | Quality  |
|-----------------|----------------|------------------------------------|-----------------|-----------------------------|----------------------|----------------------|--------------|----------------------|----------|
|                 |                |                                    | Watchful        | Prostatectomy               |                      |                      |              |                      |          |
|                 |                |                                    | waiting         | (95% CI)                    |                      |                      |              |                      |          |
| Overall         | mortality -    | HR <1 favours radical pro          | ostatectomy     | group                       |                      |                      |              |                      | •        |
| Subgrou         | ıp analysis -  | - 4 year, 6 year, 8 year, 12       | 2 year, 16 y    | ear, 18 year follow u       | р                    |                      |              |                      |          |
| 1               | 731            | HR 0.68 (0.45, 1.03)               | -               | -                           | Serious <sup>1</sup> | N/A                  | Not serious  | Serious <sup>2</sup> | Low      |
| 1               | 698            | HR 0.83 (0.57, 1.21)               | -               | -                           | Not serious          | N/A                  | Not serious  | Serious <sup>2</sup> | Moderate |
| 2               | 1429           | HR 0.83 (0.69, 0.99)               | -               | -                           | Serious <sup>3</sup> | Not serious          | Not serious  | Not serious          | Moderate |
| 2               | 1429           | HR 0.86 (0.75, 0.98)               | -               | -                           | Serious <sup>3</sup> | Serious <sup>4</sup> | Not serious  | Not serious          | Low      |
| 1               | 731            | HR 0.89 (0.79, 1.00)               | -               | -                           | Serious <sup>1</sup> | N/A                  | Not serious  | Serious <sup>2</sup> | Low      |
| 1               | 698            | HR 0.71 (0.59, 0.85)               | -               | -                           | Not serious          | N/A                  | Not serious  | Not serious          | High     |
|                 |                | ecific mortality - HR <1           |                 |                             |                      |                      |              |                      |          |
| Subgrou         |                | - 4 year, 6 year, 8 year, 1        | 2 year, 16 y    | ear, 18 year follow u       |                      |                      |              |                      |          |
| 1               | 731            | HR 1.01 (0.33, 3.09)               | -               | -                           | Serious <sup>1</sup> | N/A                  | Not serious  | Serious <sup>2</sup> | Low      |
| 1               | 698            | HR 0.50 (0.27, 0.93)               | -               | -                           | Not serious          | N/A                  | Not serious  | Not serious          | High     |
| 2               | 1429           | HR 0.58 (0.39, 0.84)               | -               | -                           | Serious <sup>1</sup> | Not serious          | Not serious  | Not serious          | Moderate |
| 2               | 1429           | HR 0.61 (0.45, 0.83)               | -               | -                           | Serious <sup>1</sup> | N/A                  | Not serious  | Not serious          | Moderate |
| 1               | 731            | HR 0.60 (0.37, 0.97)               | -               | -                           | Serious <sup>1</sup> | N/A                  | Not serious  | Not serious          | Moderate |
| 1               | 698            | HR 0.56 (0.41, 0.76)               | -               | -                           | Not serious          | N/A                  | Not serious  | Not serious          | High     |
| Number          | of people      | who developed distant              | netastasis      | – RR <1 favours rad         | lical prostatecto    | mv aroup             |              |                      |          |
|                 |                | - 6 year, 10 year, 18 year         |                 |                             | •                    | 55 1                 |              |                      |          |
| 1               | 698            | RR 0.65 (0.44, 0.97)               | 15.5            | 10.0 (6.8, 15.1)            | Not serious          | N/A                  | Not serious  | Serious <sup>4</sup> | Moderate |
| 1               | 731            | RR 0.44 (0.25, 0.76)               | 10.6            | 4.7 (2.7, 8.1)              | Serious <sup>1</sup> | N/A                  | Not serious  | Not serious          | Moderate |
| 1               | 698            | RR 0.65 (0.52, 0.81)               | 39.6            | 25.8 (20.6, 32.1)           | Not serious          | N/A                  | Not serious  | Serious <sup>4</sup> | Moderate |
| Disease         | Brogrossi      | on – HR <1 favours radic           |                 |                             |                      |                      |              |                      |          |
| 2               | 1429           | HR 0.37 (0.29, 0.47)               |                 |                             | Serious <sup>1</sup> | Not serious          | Not serious  | Not serious          | Moderate |
| _               |                | Adverse Events: Incont             | -<br>nonco - Di | I -<br>2 <1 favours radical |                      |                      | INUL SEILUUS | NUL SEITUUS          | wouerate |
|                 |                | - 2-3 year, 4-5 year, 6-8 y        |                 |                             | prostatectomy        | gioup                |              |                      |          |
| 2               | 696            | RR 2.95 (1.91, 4.56)               | 7.1             | 21.0 (13.6, 32.4)           | Serious <sup>1</sup> | Not serious          | Not serious  | Not serious          | Moderate |
| 1               | 319            | RR 2.29 (1.63, 3.22)               | 21.3            | 48.8 (34.7, 68.5)           | Not serious          | N/A                  | Not serious  | Not serious          | High     |
| 1               | 698            | RR 2.25 (1.31, 3.88)               | 21.3            | 56.2 (32.7, 97.0)           | Not serious          | N/A<br>N/A           | Not serious  | Not serious          | High     |
| 2               | 103            | RR 2.98 (1.85, 4.78)               | 25<br>9.8       | 29.1 (18.1, 46.8)           | Serious <sup>1</sup> | Serious <sup>3</sup> | Not serious  | Not serious          | Low      |
|                 |                | ( , , ,                            |                 |                             |                      |                      | INUL SEITUUS | NUL SEITUUS          | LOW      |
|                 |                | Adverse Events: Erectil            |                 |                             | radical prostat      | ectomy group         |              |                      |          |
| Subgrou         | ıp analysıs -  | <u>- 2 year, 4-5 year, 6-8 yea</u> | ir, 18 year fo  | bilow up                    |                      |                      |              |                      |          |

| <u>Study</u><br>Reference | Linked rec |              | <b>2019)</b><br>xelson 2018 (SPCG04 tria<br>at includes the same trials |              | on 2018 (SPCG-4 tri                    | al); Lane 2016       | (ProtecT trial); La | ane 2014 (ProtecT          | trial)  |                      |
|---------------------------|------------|--------------|---|--------------|--|----------------------|---------------------|----------------------------|---|----------------------|
|                           | 2          | 668          | RR 1.88 (1.64, 2.15)  | 48.3         | 83.6 (70.5, 99.5)                      | Serious <sup>1</sup> | Not serious         | Not serious                | Not serious                                       | Moderate             |
|                           | 1          | 319          | RR 1.78 (1.48, 2.15)  | 45.0         | 80.0 (66.5, 96.6)                      | Not serious          | N/A                 | Not serious                | Not serious                                       | High                 |
|                           | 1          | 108          | RR 1.52 (1.16, 2.00)  | 68.7         | 89.3 (70.7, 100)                       | Not serious          | N/A                 | Not serious                | Serious <sup>4</sup>                              | Moderate             |
|                           | 2          | 1097         | RR 1.69 (0.50, 5.78)  | 26.3         | 44.4 (13.1, 100)                       | Serious <sup>1</sup> | Very serious        | Not serious                | Not serious                                       | Very low             |
|                           | Number     | of people    | with moderate/high anx  | iety – RR <  | 1 favours radical pro                  | statectomy gro       | up                  |                            |   |                      |
|                           | Subgrou    | p analysis - | - 4 year, 12 year follow up   | <b>D</b>     |  |                      |                     |                            |   |                      |
|                           | 1          | 698          | RR 0.74 (0.51, 1.07)  | 30.5         | 22.6 (15.6, 32.7)                      | Serious <sup>5</sup> | N/A                 | Not serious                | Serious <sup>4</sup>                              | Very low             |
|                           | 1          | 698          | RR 1.01 (0.79, 1.10)  | 42.9         | 43.3 (33.9, 47.1)                      | Serious⁵             | N/A                 | Not serious                | Serious <sup>4</sup>                              | Very low             |
|                           |            |              | with moderate/high dep<br>- 4 year, 12 year follow up                   |              | R <1 favours radica                    | prostatectomy        | group               |                            | ·   |                      |
|                           | 1<br>1     | 698<br>698   | RR 0.91 (0.68, 1.21)<br>RR 0.92 (0.74, 1.14)                            | 38.2<br>51.6 | 34.8 (25.9, 46.2)<br>47.4 (38.2, 58.8) | Serious⁵<br>Serious⁵ | N/A<br>N/A          | Not serious<br>Not serious | Very serious <sup>4</sup><br>Serious <sup>4</sup> | Very low<br>Very low |

1 95% confidence intervals crosses the line of no effect, downgraded once
 2 Moderate risk of bias – due to lack of participant blinding for patient-reported outcomes, downgraded once
 3 95% confidence interval for the effect size crossed one line of the MID, downgraded once
 4 95% confidence interval for the effect size crossed both lines of the MID, downgraded twice

# Radical RT vs AS

| N of<br>studies | Sample<br>size | Effect size (95% CI)                                  | Absolu       | ute risk per 1,000<br>people  | RoB                  | Inconsistency  | Indirectness | Imprecision          | Quality  |
|-----------------|----------------|---|--------------|---|----------------------|----------------|--------------|----------------------|----------|
|                 |                |   | AS           | RT (95% CI)   |                      |                |              |                      |          |
| Overall m       | ortality – ⊦   | R <1 favours radical RT                               | group        |   |                      |                |              |                      |          |
| 1               | 1643           | HR 0.94 (0.65, 1.36)                                  | -            | -   | Not serious          | N/A            | Not serious  | Serious <sup>1</sup> | Moderate |
| PCa-spec        | ific mortal    | ity – HR <1 favours radic                             | al RT group  | I Contraction of the second |                      |                |              |                      |          |
| 1               | 1643           | HR 0.51 (0.15, 1.73)                                  | -            | -   | Not serious          | N/A            | Not serious  | Serious <sup>1</sup> | Moderate |
| Number o        | of people w    | vho developed distant m                               | etastasis -  | - RR <1 favours radio   | al RT group          |                |              |                      |          |
| 1               | 1643           | RR 0.48 (0.27, 0.87)                                  | 6.1          | 2.9 (1.6, 5.3)  | Not serious          | N/A            | Not serious  | Serious <sup>3</sup> | Moderate |
| Disease F       | Progressio     | <b>n</b> – HR <1 favours radical                      | RT group     |   |                      |                |              |                      |          |
| 1               | 1643           | HR 0.39 (0.27, 0.56)                                  | -            | -   | Not serious          | N/A            | Not serious  | Not serious          | High     |
|                 |                | dverse Events: Erectile<br>6 month, 3 year, 6 year fo |              | on – RR <1 favours ra   | adical RT group      |                |              |                      |          |
| 1               | 713            | RR 1.44 (1.29, 1.61)                                  | 53.8         | 77.6 (69.5, 86.7)   | Not serious          | N/A            | Not serious  | Not serious          | High     |
| 1               | 841            | RR 1.12 (1.01, 1.24)                                  | 58.9         | 65.9 (59.5, 73.0)   | Not serious          | N/A            | Not serious  | Not serious          | High     |
| 1               | 908            | RR 1.03 (0.95, 1.12)                                  | 70.3         | 72.5 (66.8, 78.8)   | Not serious          | N/A            | Not serious  | Not serious          | High     |
| Treatmen        | t-related m    | orbidity (EPIC summary                                | / scores): I | Urinary function – N  | ID <0 favours ra     | dical RT group |              |                      |          |
| Subgroup        | analysis –     | <u>6 month, 3 year, 6 year fo</u>                     | llow up      | -   | -                    |                |              | -                    |          |
| 1               | 690            | MD 5.90 (7.74, 4.06)                                  | -            | -   | Serious <sup>4</sup> | N/A            | Not serious  | Serious <sup>6</sup> | Very low |
|                 | 858            | MD -2.40 (-1.01,-3.79)                                |              | -   | Serious <sup>4</sup> | N/A            | Not serious  | Not serious          | Low      |
| 1               | 906            | MD -2.40 (-0.97,-3.83)                                | -            |   | Serious <sup>4</sup> | N/A            | Not serious  | Not serious          | Low      |

| 1        | 656          | MD 20.00 (24.21,   | -           | -                                | Serious <sup>4</sup> | N/A             | Not serious     | Not serious               | Low        |
|----------|--------------|--|-------------|----------------------------------|----------------------|-----------------|-----------------|---------------------------|------------|
| 1        | 827          | 15.79)   | _           |                                  | Serious <sup>4</sup> | N/A             | Not serious     | Not serious               | Low        |
|          | 877          | MD 3.40 (-0.30, 7.10)                                    |             |                                  | Serious <sup>4</sup> | N/A             | Not serious     | Not serious               | Low        |
| 1        | 011          | MD -0.70 (-4.12, 2.72)                                   | -           | -                                | Senous               | 19/75           | Not Serious     | Not serious               | LOW        |
| Treatme  | nt-related   | morbidity (EPIC summary                                  | v scores):  | Bowel function – MI              | )<br>O <0 favours ra | dical RT group  | )               |                           |            |
|          |              | – 6 month, 3 year, 6 year fo                             |             |                                  |                      | 0 1             |                 |                           |            |
| 1        | 693          | MD 6.50 (4.56, 8.44)                                     | -           | -                                | Serious <sup>4</sup> | N/A             | Not serious     | Serious <sup>7</sup>      | Very low   |
| 1        | 863          | MD 2.00 (0.53, 3.47)                                     | -           | -                                | Serious <sup>4</sup> | N/A             | Not serious     | Not serious               | Low        |
| 1        | 923          | MD 1.80 (0.46, 3.14)                                     | -           | -                                | Serious <sup>4</sup> | N/A             | Not serious     | Not serious               | Low        |
| Moderat  | e/severe i   | mpact of treatment on Qo                                 | L (incontir | nence) – RR <1 favou             | irs radical RT g     | jroup           |                 |                           |            |
| Subgroup |              | – 6 month, 3 year, 6 year fo                             | ollow up    |                                  |                      |                 |                 |                           |            |
| 1        | 938          | RR 1.47 (0.82, 2.63)                                     | 3.9         | 5.7 (3.2, 10.2)                  | Serious <sup>4</sup> | N/A             | Not serious     | Very serious <sup>5</sup> | Very lov   |
| 1        | 933          | RR 0.55 (0.31, 0.97)                                     | 6.8         | 3.7 (2.1, 6.6)                   | Serious <sup>4</sup> | N/A             | Not serious     | Serious <sup>3</sup>      | Very lov   |
| 1        | 913          | RR 0.55 (0.33, 0.92)                                     | 8.4         | 4.6 (2.8, 7.7)                   | Serious <sup>4</sup> | N/A             | Not serious     | Serious <sup>3</sup>      | Very lov   |
|          |              | mpact of treatment on Qo<br>– 6 month, 3 year, 6 year fo |             | dysfunction) – RR > <sup>,</sup> | 1 favours radic      | al RT group     |                 |                           |            |
| 1        | 662          | RR 1.61 (1.33, 2.02)                                     | 27.7        | 45.5 (36.8, 56.0)                | Serious <sup>4</sup> | N/A             | Not serious     | Not serious               | Low        |
| 1        | 432          | RR 1.08 (0.90, 1.30)                                     | 33.8        | 36.5 (30.4, 44.0)                | Serious <sup>₄</sup> | N/A             | Not serious     | Serious <sup>3</sup>      | Very lov   |
| 1        | 936          | RR 0.89 (0.75, 1.07)                                     | 37.4        | 33.3 (28.0, 40.1)                | Serious <sup>4</sup> | N/A             | Not serious     | Serious <sup>3</sup>      | Very lov   |
| Moderat  | e/severe i   | mpact of treatment on Qo                                 | L (bowel f  |                                  | ours radical R       | group           | •               | •                         | . <u> </u> |
| Subgroup | o analysis · | – 6 month, 3 year, 6 year fo                             | ollow up    | ,                                |                      | 0               |                 |                           |            |
| 1        | 693          | RR 3.30 (1.71, 6.38)                                     | 3.2         | 10.4 (5.4, 20.1)                 | Serious <sup>4</sup> | N/A             | Not serious     | Not serious               | Low        |
| 1        | 871          | RR 1.85 (0.90, 3.81)                                     | 2.5         | 4.6 (2.3, 9.6)                   | Serious <sup>4</sup> | N/A             | Not serious     | Serious <sup>3</sup>      | Very lov   |
| 1        | 935          | RR 0.61 (0.28, 1.34)                                     | 3.5         | 2.1 (0.97, 4.6)                  | Serious <sup>4</sup> | N/A             | Not serious     | Very serious <sup>5</sup> | Very lov   |
| Cancer-s | specific Q   | oL: Global health status –                               | - MD >0 fav | ours radical RT group            | D                    |                 |                 |                           |            |
| 1        | 1643         | MD 0.60  | -           | -                                | Serious <sup>4</sup> | N/A             | Not serious     | Very serious <sup>5</sup> | Very lov   |
|          |              | (-1.95, 3.15)  |             |                                  |                      |                 |                 | -                         |            |
|          |              | ects of QoL (Hospital Ana                                |             | pression Scores): A              | nxiety – MD >        | ) favours radic | al RT group     |                           |            |
| Subgroup |              | <u>– 6 month, 3 year, 6 year fo</u>                      | llow up     |                                  |                      | -               |                 |                           |            |
| 1        | 943          | MD -0.10 (-0.57, 0.37)                                   | -           | -                                | Serious <sup>4</sup> | N/A             | Not serious     | Very serious <sup>5</sup> | Very lo    |
| 1        | 940          | MD 0.20 (-0.27, 0.67)                                    | -           | -                                | Serious <sup>4</sup> | N/A             | Not serious     | Very serious <sup>5</sup> | Very lov   |
| 1        | 923          | MD 0.70 (-0.24, 1.16)                                    | -           | -                                | Serious <sup>4</sup> | N/A             | Not serious     | Very serious <sup>5</sup> | Very lov   |
|          |              | ects of QoL (Hospital An                                 |             | pression Scores): De             | epression – M        | D >0 favours r  | adical RT group |                           |            |
|          | 948          | - 6 month, 3 year, 6 year fo                             | now up      |                                  | Serious <sup>4</sup> | N/A             | Not serious     | Serious <sup>3</sup>      | Vondo      |
|          | 948<br>943   | MD -0.30 (-0.68,0.08)                                    | -           | -                                | Serious <sup>4</sup> | N/A<br>N/A      | Not serious     | Very serious <sup>5</sup> | Very lov   |
|          |              | MD 0.00 (-0.40, 0.40)                                    | -           | -                                |                      |                 |                 |                           | Very lov   |
|          | 928          | MD 0.40 (0.01, 0.81)                                     | -           | -                                | Serious <sup>4</sup> | N/A             | Not serious     | Serious <sup>3</sup>      | Very lo    |

<sup>1</sup> 95% confidence intervals crosses the line of no effect, downgraded once

<sup>2</sup> Moderate risk of bias – due to lack of participant blinding for patient-reported outcomes, downgraded once <sup>3</sup> 95% confidence interval for the effect size crossed one line of the MID, downgraded once

<sup>4</sup> 95% confidence interval for the effect size crossed both lines of the MID, downgraded twice

### Radical RT vs radical prostatectomy

| N of studies | Sample<br>size | Effect size (95% CI) | Absolu | ite risk per 1,000<br>people | RoB | Inconsistency | Indirectness | Imprecision | Quality |
|--------------|----------------|----------------------|--------|------------------------------|-----|---------------|--------------|-------------|---------|
|              |                |                      | RT     | RT Prostatectomy<br>(95% CI) |     |               |              |             |         |

NG131 [G] (NICE 2019) Study Linked records: Bill-Axelson 2018 (SPCG04 trial); Johansson 2018 (SPCG-4 trial); Lane 2016 (ProtecT trial); Lane 2014 (ProtecT trial) Reference Ng 2019 is an SLR that includes the same trials PCa-specific mortality – HR <1 favours radical prostatectomy group HR 0.80 (0.22, 2.91) N/A 1643 -Not serious Not serious Serious<sup>1</sup> Moderate Number of people who developed distant metastasis – RR <1 favours radical prostatectomy group RR 1.25 (0.61, 2.57) 2.9 3.7 (1.4, 6.0) Not serious N/A Not serious Verv serious<sup>4</sup> Low 1643 **Disease Progression** – HR <1 favours radical prostatectomy group Not serious Verv serious<sup>4</sup> 1643 HR 0.99 (0.67, 1.46) 8.4 8.3 (5.7, 12.3) N/A Not serious Low Number of Severe Adverse Events: Erectile dysfunction - RR <1 favours radical prostatectomy group Subgroup analysis – 6 month, 3 year, 6 year follow up RR 1.37 (1.25, 1.50) 85.5 (77.9, 93.6) Not serious Serious<sup>2</sup> Moderate 707 62.4. N/A Not serious 847 RR 1.20 (1.10, 1.31) 65.9 79.1 (72.5, 86.4) Not serious N/A Not serious Serious<sup>2</sup> Moderate 918 RR 1.15 (1.07, 1.23) 72.6 83.5 (77.7, 89.2) Not serious N/A Not serious Not serious Hiah Treatment-related morbidity (EPIC summary scores): Urinary function - MD <0 favours radical prostatectomy group Subgroup analysis – 6 month, 3 year, 6 year follow up 709 MD 4.60 (2.35, 6.85) Serious3 N/A Not serious Not serious Moderate 878 MD 3.80 (2.36, 5.24) Serious3 N/A Not serious Not serious Moderate 907 MD 2.70 (1.36, 4.04) Serious3 N/A Not serious Moderate Not serious Treatment-related morbidity (EPIC summary scores): Sexual dysfunction - MD <0 favours radical prostatectomy group Subgroup analysis – 6 month, 3 year, 6 year follow up 681 MD 6.20 (2.38, 10.02) Serious3 N/A Not serious Not serious Moderate Serious<sup>5</sup> 827 MD 8.60 (5.20, 12.00) Serious3 N/A Not serious Moderate 894 MD 9.00 (5.84, 12.16) Serious3 N/A Not serious Serious<sup>5</sup> Moderate Treatment-related morbidity (EPIC summary scores): Bowel function - MD <0 favours radical prostatectomy group Subgroup analysis – 6 month, 3 year, 6 year follow up 698 MD -6.60 (-8.53,-4.67) -Serious3 N/A Not serious Serious<sup>6</sup> low 866 MD -3.00 (-4.30.-1.70) -Serious3 N/A Moderate Not serious Not serious 929 MD -2.00 (-3.27.-0.73) Serious3 N/A Not serious Not serious Moderate Moderate/severe impact of treatment on QoL (incontinence) – RR <1 favours radical prostatectomy group Subgroup analysis - 6 month, 3 year, 6 year follow up 1033 RR 4.39 (2.66, 7.26) 3.7 16.2 (9.7. 26.8) Serious3 N/A Not serious Not serious Moderate 923 RR 2.63 (1.62, 4.26) 4.6 12.1 (7.43, 19.5) Serious3 N/A Moderate Not serious Not serious 1643 12.5 12.5 (8.8, 14.6) Serious3 N/A Moderate RR 1.00 (0.71, 1.41) Not serious Not serious Moderate/severe impact of treatment on QoL (sexual dysfunction) - RR >1 favours radical prostatectomy group Subgroup analysis - 6 month, 3 year, 6 year follow up RR 1.74 (1.50, 2.02) 63.7 (54.9, 80.5) Serious3 N/A Not serious Not serious Moderate 928 36.6 773 RR 1.35 (1.14, 1.59) 33.5 45.2 (38.2. 53.2) Serious3 N/A Not serious Serious<sup>2</sup> Low RR 1.00 (0.86, 1.17) N/A 914 41.6 41.6 (35.8, 48.6) Serious3 Not serious Not serious Moderate Moderate/severe impact of treatment on QoL (bowel function) - RR <1 favours radical prostatectomy group Subgroup analysis - 6 month, 3 year, 6 year follow up Very serious<sup>4</sup> RR 0.72 (0.35, 1.44) N/A 794 4.6 3.3 (1.6, 6.7) Serious3 Not serious Very low 911 RR 0.97 (0.40, 2.36) 2.1 2.0 (0.9, 0.5) Serious3 N/A Not serious Very serious<sup>4</sup> Very low 934 RR 1.00 (0.45, 2.20) 2.6 2.6 (1.2, 5.7) Serious3 N/A Not serious Very serious<sup>4</sup> Very low Cancer-specific QoL: Global health status – MD >0 favours radical prostatectomy group 1643 MD -1.00 (-3.57, 1.57) -Serious3 N/A Not serious Very serious<sup>4</sup> Very low Psychological aspects of QoL (Hospital Anxiety & Depression Scores): Anxiety - MD >0 favours radical prostatectomy group Subgroup analysis – 6 month, 3 year, 6 year follow up

| <u>Study</u><br>Reference |   | ( <b>NICE 2019)</b><br>s: Bill-Axelson 2018<br>SLR that includes   |  | ); Johansson 2018   | (SPCG-4 trial); L  | ane 2016 (Pro.  | tecT trial); Lai  | ne 2014 (Pr   | otecT trial)  |  |  |
|---------------------------|---|--|--|---|--|---|---|---|---|--|--|
|                           | 1 9   | 936 MD 0.00  | ) (-0.46, 0.46)<br>) (-0.44, 0.44)<br>) (-0.13, -0.73)   | <br><br>  | S  | Serious3  | N/A<br>N/A<br>N/A   | Not serie<br>Not serie<br>Not serie   | ous Ve  | ry serious <sup>4</sup><br>ry serious <sup>4</sup><br>rious <sup>3</sup>   | Very low<br>Very low<br>Low  |
|                           |   | cal aspects of Qo<br>nalysis – 6 month, 3  |  |   | n Scores): Depr  | ession – MD >   | ∙0 favours rad  | ical prostate   | ectomy group  | )  |  |
|                           | 1 9   | 965 0.10 (-0.<br>938 -0.20 (-0   | 28, 0.48)<br>0.57, 0.17)<br>39, 0.39)  | <br>  | S  | Serious3  | N/A<br>N/A<br>N/A   | Not serie<br>Not serie<br>Not serie   | ous Sei   | ry serious⁴<br>rious²<br>ry serious⁴   | Very low<br>Low<br>Very low  |
|                           | <sup>2</sup> Moderate risk<br><sup>3</sup> 95% confidence<br><sup>4</sup> 95% confidence<br>Quality ass | ce intervals crosses th<br>of bias – due to lack c<br>ce interval for the effec<br>ce interval for the effec<br>essment<br>essment of include  | of participant blindi<br>ct size crossed on<br>ct size crossed bot   | ng for patient-reporte<br>e line of the MID, dow<br>th lines of the MID, do   | ngraded once   | raded once  |   |   |   |  |  |
|                           | Short title   | Randomisation  | Allocation<br>concealment  | Blinding of<br>participants<br>and personnel  | Blinding of<br>outcome<br>assessment   | Incomplete<br>outcome<br>data   | Selective<br>reporting  | Other<br>sources<br>of bias   | Overall<br>risk of<br>bias  | Direc  | ctness   |
|                           | Donovan<br>2016<br>(ProtecT)  | Low  | Low  | Unclear   | Unclear  | Low   | Low   | Low   | Moderate  | Directly a   | oplicable  |
|                           | Holmberg<br>2002<br>(SPCG-4)  | Low  | Low  | Low   | Unclear  | Low   | Low   | Low   | Moderate  | Directly a   |  |
|                           | Wilt 2012<br>(PIVOT)  | Unclear  | Low  | Low   | Unclear  | Low   | Low   | Low   | Moderate  | Directly a   | plicable   |
|                           |   | statectomy vs A  |  |   |  |   |   |   |   |  |  |
| Authors'<br>Conclusions   | tim<br>urir<br>the<br>pro<br>Ver<br>diff<br>issu<br>tho<br>Ver<br>diff<br>peo<br>• Lov                  | derate to high-que<br>e to disease prog-<br>nary incontinence<br>re were more peo-<br>statectomy comp-<br>ry-low to moderate<br>erentiate overall<br>use on quality of<br>se offered active<br>ry-low to low-qua-<br>erence in urinary<br>ople offered active<br>w to moderate-que<br>erence in erectile | ression and fe<br>in those offer<br>ople reporting<br>pared to those<br>te-quality evide<br>survival, PCa-<br>life, cancer-sp<br>surveillance.<br>lity evidence fi<br>function (at 3<br>e surveillance<br>ality evidence | ewer people deve<br>ed prostatectom<br>urinary and sexu<br>who were offere<br>ence from 1 RCT<br>specific survival,<br>ecific quality of li<br>rom 1 RCT (Proto<br>years and 6 yea<br>and those offere<br>from 1 RCT (Proto | eloping distant i<br>y compared to f<br>al dysfunction<br>d active surveil<br>(ProtecT) reporting<br>erectile dysfun<br>fe, anxiety or d<br>ecT) reporting or<br>s follow-up) or<br>d prostatectom<br>otecT) reporting | metastases b<br>those offered<br>at up to 3 yea<br>lance.<br>orting data or<br>ction, issues<br>epression be<br>data on 1,643<br>bowel function<br>y. | active surve<br>ars follow-up<br>i 1,643 peop<br>with bowel f<br>tween people<br>b people with<br>on at 6 mont<br>i 3 people wi | number of<br>eillance. So<br>in those p<br>le with loc<br>unction, th<br>e offered p<br>localised<br>hs, 3 years<br>th localise | f people rep<br>ubgroup an<br>people who<br>alised PCa<br>the effects of<br>prostatector<br>PCa demo<br>s and 6 yea<br>d PCa foun | oorting issu<br>alysis foun<br>were offere<br>could not<br>bowel fun<br>ny compar<br>nstrated the<br>urs follow-u<br>d no mean | es with<br>d that<br>ed<br>ction<br>ed to<br>ere is no<br>p betwee |
|                           |   | statectomy.  | -,   | a rana o youro r  | ollow-up betwe   | en people of  |   | Surveilland   |   | e oncrea   |  |

| <u>Study</u><br>Reference | NG131 [G] (NICE 2019)<br>Linked records: Bill-Axelson 2018 (SPCG04 trial); Johansson 2018 (SPCG-4 trial); Lane 2016 (ProtecT trial); Lane 2014 (ProtecT trial)<br>Ng 2019 is an SLR that includes the same trials   |
|---------------------------|---|
|                           | <ul> <li>Very-low to high-quality evidence from 2 RCTs (SPCG-4 and PIVOT) reporting data on 1,429 people with localised PCa found improved overall survival at 8 years follow-up, improved PCa-specific survival at 6 years follow-up, fewer signs of disease progression and fewer people developing distant metastases for people offered prostatectomy compared to those offered watchful waiting. More people offered prostatectomy experienced issues with urinary incontinence and erectile dysfunction up to 8 years.</li> <li>Moderate to high-quality evidence from 2 RCTs (SPCG-4 and PIVOT) reporting data on 1,429 people with localised PCa could not differentiate overall mortality up to 6 years, PCa-specific mortality up to 4 years or erectile dysfunction at 18 years between people offered prostatectomy or watchful waiting.</li> </ul> |
|                           | Radical RT vs AS  |
|                           | <ul> <li>Very-low to high-quality evidence from 1 RCT (ProtecT) reporting data on 1,643 people found there was no meaningful difference in<br/>urinary function or in erectile dysfunction from 3 years onwards between people offered active surveillance and those offered<br/>radiotherapy.</li> </ul>   |
|                           | <ul> <li>Very-low to high-quality evidence from 1 RCT (ProtecT) reporting data on 1,643 people found fewer signs of disease progression,<br/>fewer people developing distant metastases and lower anxiety and depression (at 6 years) for people offered radiotherapy compared<br/>to those offered active surveillance. Subgroup analysis found that at 6 months, there were more issues with erectile dysfunction,<br/>greater sexual and bowel function issues and a greater impact of sexual function issues on quality of life for people offered<br/>radiotherapy compared to those offered active surveillance.</li> </ul>   |
|                           | <ul> <li>Very-low to high-quality evidence from 1 RCT (ProtecT) reporting data on 1,643 people could not differentiate overall survival, PCa-<br/>specific survival, cancer-related quality of life or the effects of urinary or bowel function issues on quality of life between people<br/>offered radiotherapy compared to those offered active surveillance. From 3 years onwards evidence could not differentiate between<br/>the two groups for sexual function issues or impact of sexual function issues on quality of life.</li> </ul>   |
|                           | <ul> <li>Very-low to high-quality evidence from 1 RCT (ProtecT) reporting data on 1,643 people demonstrates that, from 3 years onwards,<br/>there is no difference in sexual function or bowel function between people offered active surveillance or radiotherapy.</li> </ul>  |
|                           | Radical RT vs radical prostatectomy   |
|                           | <ul> <li>Moderate to high-quality evidence from 1 RCT (ProtecT) reporting data on up to 1,643 people with localised PCa found that there was no meaningful difference for urinary function, erectile dysfunction or bowel function (from 3 years) between people offered radiotherapy and those offered prostatectomy.</li> </ul>   |
|                           | <ul> <li>Very-low to high-quality evidence from 1 RCT (ProtecT) reporting data on up to 1,643 people with localised PCa found more issues<br/>with bowel function at 6 months for people offered radiotherapy compared to those offered prostatectomy. Urinary function issues<br/>and sexual function issues (up to 3 years) had a greater impact on quality of life for people offered prostatectomy compared to those<br/>offered radiotherapy.</li> </ul>   |
|                           | <ul> <li>Very-low to high-quality evidence from 1 RCT (ProtecT) reporting data on up to 1,643 people could not differentiate overall survival,<br/>PCa-specific survival, the number of people developing distant metastases, disease progression, cancer-related quality of life,<br/>anxiety or depression between people offered radiotherapy compared to those offered prostatectomy. Subgroup analysis found that,<br/>from 3 years onwards, evidence could not differentiate between the two groups for the impact of sexual function issues on quality of<br/>life.</li> </ul>   |
|                           | <u>NG131 Recommendations for Treatment</u><br>Low-risk localised PCa: AS, radical prostatectomy or radical RT<br>Intermediate-risk localised PCa: radical prostatectomy or radical RT (consider AS for people who do not choose to have immediate radical   |
|                           | treatment)<br>High-risk localised PCa: radical prostatectomy or radical RT (do not offer AS)  |

NG131 [G] (NICE 2019)

Linked records: Bill-Axelson 2018 (SPCG04 trial); Johansson 2018 (SPCG-4 trial); Lane 2016 (ProtecT trial); Lane 2014 (ProtecT trial) Ce Ng 2019 is an SLR that includes the same trials

#### SPCG-4 (Bill-Axelson 2018)

Follow-up 29 years

#### <u>Outcomes</u>

Death from any cause, death from PCa, metastasis

#### Endpoint estimates at 23 years and RR over the 29 year trial period

| End    | dpoint        | Radica               | l prostatectomy                            | Wat                  | chful waiting                              | Absolute                                      | No. needed to  | RR (RP vs WW)    | P value |
|--------|---------------|----------------------|--|----------------------|--|---|--|------------------|---------|
|        |               | n events/<br>Total N | Cumulative<br>incidence at 23<br>years (%) | n events/<br>Total N | Cumulative<br>incidence at 23<br>years (%) | difference in risk<br>at 23 years<br>(95% CI) | treat to prevent<br>endpoint at 23<br>years (95% CI) | (95% CI)         |         |
| Dea    | ath from any  | cause                |  |                      |  |   |  |                  |         |
| All    | patients      | 261/347              | 71.9 (67.0–77.0)                           | 292/348              | 83.8 (79.8-88.1)                           | 12.0 (5.5–18.4)                               | 8.4 (5.4–18.2)                                       | 0.74 (0.62-0.87) | < 0.001 |
| <65    | 5 year olds   | 105/157              | 62.6 (55.1–71.2)                           | 129/166              | 77.6 (71.1–84.7)                           | 15.0 (4.4–25.5)                               | 6.7 (3.9–22.6)                                       | 0.62 (0.48-0.80) |         |
| ≥65    | 5 year olds   | 156/190              | 79.2 (73.4–85.4)                           | 163/182              | 89.3 (84.6-94.3)                           | 10.1 (2.4–17.8)                               | 9.9 (5.6-41.4)                                       | 0.86 (0.69-1.07) |         |
| Dea    | ath from PCa  | l                    |  |                      |  |   |  |                  |         |
| All    | patients      | 71/347               | 19.6 (15.8–24.4)                           | 110/348              | 31.3 (26.8-36.6)                           | 11.7 (5.2–18.2)                               | 8.6 (5.5–19.3)                                       | 0.55 (0.41-0.74) | < 0.001 |
| <65    | 5 year olds   | 39/157               | 22.8 (17.0-30.6)                           | 63/166               | 37.9 (31.1-46.3)                           | 15.1 (5.0–25.2)                               | 6.6 (4.0-20.0)                                       | 0.50 (0.34-0.75) |         |
| ≥65    | 5 year olds   | 32/190               | 16.9 (12.3–23.1)                           | 47/182               | 25.3 (19.7–32.6)                           | 8.5 (0.2–16.8)                                | 11.8 (6.0-601.0)                                     | 0.63 (0.40-0.99) |         |
| Dis    | stant metasta | sis                  |  |                      |  |   |  |                  |         |
| or All | patients      | 92/347               | 26.6 (22.3–31.7)                           | 150/348              | 43.3 (38.3–48.9)                           | 16.7 (9.6–23.7)                               | 6.0 (4.2–10.4)                                       | 0.54 (0.42-0.70) | < 0.001 |
| in <65 | 5 year olds   | 48/157               | 30.8 (24.3-39.0)                           | 81/166               | 49.4 (42.2–57.8)                           | 18.6 (7.9–29.2)                               | 5.4 (3.4–12.7)                                       | 0.49 (0.34-0.70) |         |
| ≥65    | 5 year olds   | 44/190               | 23.2 (17.9–30.0)                           | 69/182               | 37.7 (31.2-45.6)                           | 14.6 (5.2–23.9)                               | 6.9 (4.2–19.2)                                       | 0.59 (0.41-0.86) |         |

Additional results/ conclusions published after NG131 oi not included in NG131

Mean life years gained in the radical prostatectomy group at 23 years of follow-up was 2.9 years

#### Author's conclusions

- After 29 years of follow-up, at a time when 80% of all the participants had died, lower overall mortality, lower mortality due to prostate cancer, and a lower risk of metastasis prevailed in the radical prostatectomy group
- The absolute benefit associated with radical prostatectomy increased by a factor of more than 2 between 10 and 23 years of followup for both overall mortality (from 5.0 to 12.0 percentage points) and disease-specific mortality (from 5.5 to 11.7 percentage points), whereas the relative risks remained stable during this period for both overall mortality (0.75 to 0.74) and disease-specific mortality (0.56 to 0.54)
- In clinically detected prostate cancer, the benefit of radical prostatectomy in otherwise healthy men can be substantial, with a mean gain of almost 3 years of life after 23 years of follow-up. The remaining expected lifetime is important in decision making, with the reservation that it is hard to predict. When our results are applied to inform current practice, several issues have to be considered: the lead time induced by screening, the addition to modern cohorts of overdiagnosed nonlethal cancers, and the influence of modern diagnostics on the definition of risk groups. Furthermore, even if the relative risks in our trial were fully applicable to modern studies, the amount of absolute benefit is highly dependent on baseline risk.

#### SPCG-4 (Johansson 2018)

Follow-up

| o                | NG131 [G] (NICE 2      |
|------------------|------------------------|
| <u>Study</u>     | Linked records: Bill-A |
| <b>Reference</b> | Ng 2019 is an SLR th   |

2019) Axelson 2018 (SPCG04 trial); Johansson 2018 (SPCG-4 trial); Lane 2016 (ProtecT trial); Lane 2014 (ProtecT trial) hat includes the same trials

Median: 12.2 years

#### Outcomes

Self-assessed QoL, worry at clinical check-ups, amount of information received

| ADT and psychological factors according to an ITT analysis using self-assessed and self-reported variable | ors according to an ITT analysis using self-assessed and self-repo | orted variables |
|---|--|-----------------|
|---|--|-----------------|

| Category and group           | RR (RP vs RP <sub>ADT</sub> ) | RR (WW vs WW <sub>ADT</sub> ) | RR (WW vs control) | RR (RP <sub>ADT</sub> vs WW <sub>ADT</sub> ) | RR (RP vs WW)     |
|------------------------------|-------------------------------|-------------------------------|--------------------|--|-------------------|
|                              | (95% CI)                      | (95% CI)                      | (95% CI)           | (95% CI)                                     | (95% CI)          |
| High QoL                     | 1.22 (0.75–2.0)               | 2.21 (1.29–3.78)*             | 0.99 (0.75–1.30)   | 1.49 (0.77–2.87)                             | 0.82 (0.6–1.13)   |
| High sense of meaningfulness | 0.99 (0.69–1.41)              | 1.89 (1.28–2.78)*             | 1.03 (0.85-1.26)   | 1.45 (0.91–2.31)                             | 0.76 (0.59-0.97)* |
| High sense of energy         | 1.01 (0.59–1.73)              | 1.65 (0.91–3.0)               | 1.30 (0.88–1.91)   | 1.50 (0.75–2.98)                             | 0.92 (0.61–1.38)  |
| Moderate/high depressed mood | 0.99 (0.72-1.35)              | 0.60 (0.44-0.80)*             | 1.00 (0.74–1.34)   | 0.69 (0.49–0.98)*                            | 1.17 (0.86–1.59)  |
| Moderate/high anxiety        | 0.84 (0.59-1.20)              | 0.66 (0.46-0.93)*             | 1.08 (0.78–1.51)   | 0.91 (0.63–1.31)                             | 1.16 (0.83–1.63)  |
| High wellbeing               | 1.17 (0.76–1.80)              | 1.61 (1.08–2.41)*             | 1.00 (0.80-1.27)   | 1.12 (0.67–1.88)                             | 0.81 (0.62–1.07)  |
| High physical health         | 1.00 (0.61–1.62)              | 1.84 (1.09–3.11)*             | 1.10 (0.81–1.49)   | 1.48 (0.79–2.77)                             | 0.80 (0.57–1.14)  |
| High self-esteem             | 1.16 (0.79–1.72)              | 1.38 (0.94–2.04)              | 1.03 (0.80-1.32)   | 1.14 (0.71–1.84)                             | 0.96 (0.73–1.26)  |
| *Statistically significant   |                               |                               |                    |  |                   |

#### Author's conclusions

It is possible to live with untreated prostate cancer and maintain the same QoL as the background population. However, if faced with • disease progression and bone metastases requiring ADT, QoL can be lower than for men progressing after RP.

#### ProtecT (Lane 2014)

Protocol for ProtecT with additional details on baseline characteristics and methods

#### ProtecT (Lane 2016)

Patient-reported outcomes (baseline urinary, bowel and sexual function and QoL). Less detailed than those included in the NG131 extraction so nothing additional to add.

Abbreviations: AE, adverse event; AR, absolute risk; AS, active surveillance; CDSR, Cochrane Database of Systematic Reviews; CENTRAL, Cochrane Central Register of Controlled Trials; CI, confidence interval; CV, cardiovascular; DARE, Database of Abstracts of Reviews of Effects; EPIC, Expanded Prostate Cancer Index Composite: GRADE, Grading of Recommendations Assessment, Development and Evaluation; HADS, Hospital Anxiety and Depression Scale; HR, hazard ratio; HTA, Health Technology Assessment; IQR, interguartile range; LTFU, loss-to-follow-up; MA, meta-analysis; MD, mean difference; MID, minimal clinically important difference; N/A, not applicable; OS, overall survival; PCa, prostate cancer; PRISMA, Preferred Reporting Items for Systematic Reviews and Meta-Analyses; PSA, prostate-specific antigen; QoL, guality of life; RCT, randomised controlled trial; RoB, risk of bias; RR, risk ratio; RT, radiotherapy/radiation therapy; SD, standard deviation; SPCG-4, Randomised Scandinavian Prostate Cancer Group Study Number 4.

# Table 41c. Chin 2017

| <u>Study</u><br>Reference | Chin 2017                              |
|---------------------------|--|
| Study Design              | Design<br>Systematic literature review |

Prostate volume

| <u>Study</u><br>Reference     | Chin 2017   | Chin 2017   |            |   |  |  |  |  |  |  |  |
|-------------------------------|---|---|------------|---|--|--|--|--|--|--|--|
|                               | <u>Objective</u><br>To provide oncologists, other health care practitioners, patients, and caregivers with recommendations regarding the use of brack<br>for patients with prostate cancer that includes the most recent evidence |   |            |   |  |  |  |  |  |  |  |
|                               | Included study names<br>Radiation Therapy Oncology Group (RTOG) 0232  |   |            |   |  |  |  |  |  |  |  |
|                               | Androgen Suppression Combined with Elective Nodal and Dose Escalated Radiation Therapy (ASCENDE-RT) Included study designs Randomised, open-label, phase III studies Dates RTOG 0232: 2003–2012                                   |   |            |   |  |  |  |  |  |  |  |
|                               |   |   |            |   |  |  |  |  |  |  |  |
|                               |   |   |            |   |  |  |  |  |  |  |  |
|                               | ASCENDE-RT: 2002–2011   |   |            |   |  |  |  |  |  |  |  |
|                               | Country<br>RTOG 0232: NR  |   |            |   |  |  |  |  |  |  |  |
|                               | ASCENDE-RT: NR  |   |            |   |  |  |  |  |  |  |  |
|                               | <u>Setting</u><br>NR  |   |            |   |  |  |  |  |  |  |  |
|                               | <u>Patient recruitment and eligibility</u><br>Inclusion<br>RTOG 0232: Patients with low-intermediate  | Patient recruitment and eligibility<br>Inclusion<br>RTOG 0232: Patients with low-intermediate risk prostate cancer (Gleason 6, PSA 10 to 20 ng/mL or Gleason 7, PSA < 10 ng/mL) |            |   |  |  |  |  |  |  |  |
|                               | ASCENDE-RT: Patients with intermediate- and high-risk prostate cancer   |   |            |   |  |  |  |  |  |  |  |
|                               | Exclusion<br>NR   |   |            |   |  |  |  |  |  |  |  |
|                               | Other<br>NR   |   |            |   |  |  |  |  |  |  |  |
| Population<br>Characteristics | <u>Sample size</u><br>RTOG 0232: 588 patients underwent rando   | Sample size<br>RTOG 0232: 588 patients underwent randomisation  |            |   |  |  |  |  |  |  |  |
|                               | ASCENDE-RT: 398 patients underwent rar  | ndomisation   |            |   |  |  |  |  |  |  |  |
|                               | Demographics  |   |            |   |  |  |  |  |  |  |  |
|                               | Parameter   | RTOG 0232   | ASCENDE-RT |   |  |  |  |  |  |  |  |
|                               | Median age (years)  | NR  | 68         |   |  |  |  |  |  |  |  |
|                               | Ethnicity   | NR  | NR         |   |  |  |  |  |  |  |  |
|                               | BMI   | NR  | NR         | 4 |  |  |  |  |  |  |  |
|                               | PSA level   | NR  | NR         | ļ |  |  |  |  |  |  |  |

NR

NR

| <u>Study</u><br>Reference | Chin 2017   |                       |                        |   |  |  |  |  |  |  |
|---------------------------|---|-----------------------|------------------------|---|--|--|--|--|--|--|
|                           | Number of positive biopsy samples   | NR                    | NR                     |   |  |  |  |  |  |  |
|                           | T stage   | NR                    | NR                     |   |  |  |  |  |  |  |
|                           | M stage   | NR                    | NR                     |   |  |  |  |  |  |  |
|                           | N stage   | NR                    | NR                     |   |  |  |  |  |  |  |
|                           | Gleason score   | NR                    | NR                     |   |  |  |  |  |  |  |
|                           | Risk group (n)  |                       |                        |   |  |  |  |  |  |  |
|                           | Low-intermediate risk   | 588                   | 2                      |   |  |  |  |  |  |  |
|                           | High-intermediate risk  | 0                     | 120                    |   |  |  |  |  |  |  |
|                           | High risk   | 0                     | 276                    |   |  |  |  |  |  |  |
|                           | Evidence was collected through a systematic review of the medical literature. Publications were included if they were phase III randomised clinical trials of brachytherapy compared with either EBRT or RP in men with prostate cancer. These publications were identified by running database searches in MEDLINE, EMBASE, and the Cochrane database of systematic reviews, from 2011 through to the end of August 2015. A final search for important papers was made in December 2016 <u>Randomisation</u> |                       |                        |   |  |  |  |  |  |  |
|                           | RTOG 0232: 588 patients randomly assigned 1:1 to arms 1 and 2<br>ASCENDE-RT: 398 patients randomly assigned to arms 1 and 2. Patients were stratified by risk category (intermediate v high risk)   |                       |                        |   |  |  |  |  |  |  |
|                           | <u>Study arms</u><br>RTOG 0232 Arm 1: LDR-B alone (interstitial brachytherapy alone (145 Gy <sup>125</sup> l or 125 Gy <sup>103</sup> Pd given as interstitial seeds))  |                       |                        |   |  |  |  |  |  |  |
|                           | RTOG 0232 Arm 2: EBRT + LDR-B (EBRT (3DCRT or IMRT) 45 Gy, 25 fractions (5 days a week for 5 weeks) mini-pelvis + interstitial brachytherapy (110 Gy <sup>125</sup> I or 100Gy <sup>103</sup> Pd given as interstitial seeds))  |                       |                        |   |  |  |  |  |  |  |
|                           | ASCENDE-RT Arm 1: EBRT + LDR-B + ADT (whole pelvis EBRT: 46 Gy, 23 fractions followed by an <sup>125</sup> I boost to a minimum dose of 115 G to prostate; twelve months (8 months neoadjuvant, 2 months concurrent, 2 months adjuvant) of ADT)   |                       |                        |   |  |  |  |  |  |  |
| Methods                   | ASCENDE-RT Arm 2: EBRT + ADT (who twelve months (8 months neoadjuvant, 2  |                       |                        | owed by conformal EBRT to prostate: 32 Gy, 16 fractions;<br>) of ADT) |  |  |  |  |  |  |
|                           | <u>Duration of follow-up</u><br>RTOG 0232: 80.4 months (median)   |                       |                        |   |  |  |  |  |  |  |
|                           | ASCENDE-RT: 78 months (median)  |                       |                        |   |  |  |  |  |  |  |
|                           | Outcomes<br>Primary endpoint  |                       |                        |   |  |  |  |  |  |  |
|                           | RTOG 0232: 5-year progression-free sur death from any cause)  | vival (PFS; America   | n Society for Radia    | tion Oncology nadir + 2 biochemical failure, clinical failure, or     |  |  |  |  |  |  |
|                           | ASCENDE-RT: 3-, 5-, 7- and 9-year bioc ng/mL) threshold   | hemical disease-free  | e survival (bDFS) a    | s defined by biochemical criteria using the Phoenix (nadir + 2        |  |  |  |  |  |  |
|                           | Secondary endpoints   |                       |                        |   |  |  |  |  |  |  |
|                           | RTOG 0232: Grade 3 genitourinary (GU)   | toxicity; grade 3 gas | strointestinal (GI) to | xicity  |  |  |  |  |  |  |

| <u>Reference</u>     | Grade 3 and 4 G assessed physic | U toxicity; grade 3 al function, role phy | and 4 GI tox<br>/sical, bodily | al (OS) rate; prostate cancer–specific mortality<br>kicity. Quality-of-life was prospectively collecte<br>/ pain, general health, vitality, social functionin<br>function, and sexual function were added. All | ed using the Short F<br>ng, and emotional a | Form-36 instrum<br>and mental heal | nent, which th. Addition |
|----------------------|---------------------------------|---|--------------------------------|--|---|------------------------------------|--------------------------|
|                      | Efficacy outcome                | es  |                                |  |   |                                    |                          |
|                      | RCT                             | Treatment                                 | No.<br>patients                | Primary outcome  | OS rate                                     | PCSM<br>(No., %)                   | MFSR<br>(No., %          |
|                      | RTOG 0232                       | LDR-B alone                               | 292                            | 5-yr PFS: 86% (95% CI, 81% to 90%)   | NR  | NR                                 | NR                       |
|                      |                                 | EBRT + LDR-B                              | 287                            | 5-yr PFS: 85% (95% Cl, 80% to 89%)   | NR  | NR                                 | NR                       |
|                      | ASCENDE-RT                      | EBRT + LDR-B<br>+ ADT                     | 198                            | HR, 1.02; P < .001 for futility<br>bDFS:<br>3-yr, 94%<br>5-yr, 89%<br>7-yr, 86%<br>9-yr, 83%   | 3-yr, 91%<br>5-yr, 86%<br>7-yr, 78%         | 7 (3.5)                            | 17 (8.5                  |
|                      |                                 | EBRT + ADT                                | 200                            | bDFS:<br>3-yr, 94%;<br>5-yr, 84%;<br>7-yr, 75%;<br>9-yr, 62%   | 3-yr, 89%<br>5-yr, 82%<br>7-yr, 74%         | 11 (5.5)                           | 18 (9)                   |
| rms and<br>nefits of |                                 |   |                                | Log-rank P < .001  | P = .29                                     | P = .32                            | P = .8                   |

Adverse effects

| RCT        | Treatment             | No.      | GU Te           | oxicity        | GI toxicity    |                |  |
|------------|-----------------------|----------|-----------------|----------------|----------------|----------------|--|
|            | ricamon               | patients | Grade 3 (%)     | Grade 4 (%)    | Grade 3 (%)    | Grade 4 (%)    |  |
| RTOG 0232  | LDR-B alone           | 292      | 3               | NR             | 3              | NR             |  |
|            | EBRT + LDR-B          | 287      | 7               | NR             | 2              | NR             |  |
|            |                       |          | P = NR          |                | P = NR         |                |  |
| ASCENDE-RT | EBRT + LDR-B<br>+ ADT | 198      | 19 <sup>a</sup> | 1 <sup>a</sup> | 9ª             | 1 <sup>a</sup> |  |
|            | EBRT + ADT            | 200      | 5ª              | 1 <sup>a</sup> | 4 <sup>a</sup> | 0 <sup>a</sup> |  |
|            |                       |          | P < .001        | P = .547       | P = .12        | P = NR         |  |

<sup>a</sup>5-year cumulative incidence (worst grade recorded)

ASCENDE-RT: area under the curve differences were detected for bodily pain (P = .04), general health (P = .01), sexual function (P = .02), and urinary function (P = .006) in favour of treatment with EBRT + ADT over EBRT + LDR-B + ADT. No health-related quality-of-life differences were detected for any other domains

| Study<br>Reference      | Chin 2017   |
|-------------------------|---|
| Authors'<br>Conclusions | For patients with low-risk prostate cancer who require or choose active treatment, low–dose rate brachytherapy (LDR-B) alone, EBRT alone, and/or radical prostatectomy (RP) should be offered to eligible patients. For patients with intermediate-risk prostate cancer choosing EBRT with or without androgen-deprivation therapy, brachytherapy boost (LDR or high–dose rate [HDR]) should be offered to eligible patients. For low-intermediate risk prostate cancer (Gleason 7, prostate-specific antigen < 10 ng/mL or Gleason 6, prostate-specific antigen, 10 to 20 ng/mL), LDR brachytherapy alone may be offered as monotherapy. For patients with high-risk prostate cancer receiving EBRT and androgen-deprivation therapy, brachytherapy boost (LDR or HDR) should be offered to eligible patients. Iodine-125 and palladium-103 are each reasonable isotope options for patients receiving LDR brachytherapy; no recommendation can be made for or against using cesium-131 or HDR monotherapy |

**Abbreviations**: 3DCRT, three-dimensional conformal radiotherapy; ADT, androgen deprivation therapy; ASCENDE-RT, Androgen Suppression Combined with Elective Nodal and Dose Escalated Radiation Therapy; bDFS, biochemical disease-free survival; BMI, body mass index; EBRT, external beam radiotherapy; GI, gastrointestinal; GU, genitourinary; HDR, high-dose rate; IMRT, intensity modulated radiotherapy; LDR, low-dose rate; LDR-B, low-dose rate brachytherapy; MFSR, metastasis-free survival rate; NR, not reported; OS, overall survival; PCSM, prostate cancer–specific mortality; PFS, progression-free survival; PSA, prostate-specific antigen; RP, radical prostatectomy; RTOG, Radiation Therapy Oncology Group

# Table 41d. Ng 2019

| <u>Study</u><br><u>Reference</u> | Ng 2019  |
|----------------------------------|--|
|                                  | Design<br>Systematic literature review and meta-analysis   |
|                                  | Objective<br>The primary aim of the study was to determine all-cause mortality and prostate cancer-related mortality between conservative management<br>and radical treatment for localised prostate cancer. Secondary aims were to examine the incidence of distant metastases and quality of life<br>measures (patient-reported erectile dysfunction and urinary incontinence) in these treatments |
|                                  | Included study names<br>Scandinavian Prostate Cancer Group Study Number 4 (SPCG-4)   |
|                                  | Prostate Cancer Intervention versus Observation Trial (PIVOT)  |
|                                  | Prostate testing for cancer and Treatment (ProtecT)  |
| Study Design                     | Included study designs<br>Multi-centre randomised controlled trials  |
|                                  | Dates<br>NR  |
|                                  | <u>Country</u><br>SPCG-4: Sweden, Finland, Iceland   |
|                                  | PIVOT: USA   |
|                                  | ProtecT: UK  |
|                                  | <u>Setting</u><br>NR   |
|                                  | Study eligibility<br>Inclusion<br>The inclusion criteria set for the subjects were: (a) adults (≥ 18 years old), (b) diagnosed with localised prostate cancer (either PSA-<br>diagnosed or clinically diagnosed), (c) radical treatment (prostatectomy or radiotherapy), (d) conservative measures (active monitoring,<br>watchful waiting or observation)   |
| Population<br>Characteristics    | Exclusion<br>NR  |
|                                  | Other<br>NR  |
|                                  | Sample size<br>See 'n' column of table below   |

| <u>Study</u><br>Reference | Ng 2019   |  |  |   |   |   |  |  |
|---------------------------|---|--|--|---|---|---|--|--|
|                           | Included study c  | haracteristics   |  |   |   |   |  |  |
|                           | Author (trial<br>name)  | Study type   | Country  | Median<br>duration of<br>follow-up<br>(years)   | Control   | Comparator  | n  | Outcomes used in meta-analysis   |
|                           | Bill-Axelson<br>2014 (SPCG-<br>4)   | Multi-<br>centre<br>RCT  | Swede<br>n<br>Finland<br>Iceland   | 13.4  | Watchful<br>waiting   | Prostatectomy   | 695  | All-cause mortality<br>PCa-related mortality<br>Incidence of distant metastases<br>Incidence of patient-reported erectile<br>dysfunction<br>Incidence of patient-reported use of<br>pads for urinary incontinence  |
|                           | Wilt 2017<br>(PIVOT)  | Multi-<br>centre<br>RCT  | USA  | 12.7  | Observation   | Prostatectomy   | 731  | All-cause mortality<br>PCa-related mortality<br>Incidence of distant metastases<br>Incidence of patient-reported erectile<br>dysfunction<br>Incidence of patient-reported use of<br>pads for urinary incontinence  |
|                           | Hamdy 2016;<br>Donovan<br>2016<br>(ProtecT)   | Multi-<br>centre<br>RCT  | UK   | 10  | Active<br>monitoring  | Prostatectomy,<br>RT  | 1643   | All-cause mortality<br>PCa-related mortality<br>Incidence of distant metastases<br>Incidence of patient-reported erectile<br>dysfunction<br>Incidence of patient-reported use of<br>pads for urinary incontinence  |
| Methods                   | radiotherapy), cc<br>MEDLINE, EMB<br>Trial registers (C<br>Randomised Co<br>Observational st<br>radiotherapy we<br>systematic revie | estions were f<br>ontrol (conserv<br>ASE, PubMed<br>ClinicalTrials.go<br>ntrolled Trial N<br>cudies, case re<br>re excluded. N | vative mana<br>and CENT<br>ov, the Wor<br>lumber Reg<br>ports, case<br>lo language | agement/active<br>RAL database<br>Id Health Orga<br>gistry) were se<br>series, system<br>e restriction wa | e monitoring, wa<br>es were systema<br>anization Interna<br>earched to identi<br>matic reviews, tr<br>as applied to the | tchful waiting or ol<br>atically searched fr<br>titional Clinical Tria<br>fy any unpublished<br>ials published as a<br>search. The biblic | bservatio<br>om the s<br>Is Regist<br>d and ong<br>abstracts | on (radical treatments- prostatectomy or<br>on) and outcomes approach. The<br>tudy's inception until September 2018.<br>ry Platform and the International Standard<br>going studies. Only RCTs were included.<br>and studies comparing different regimes of<br>s of the included papers and relevant |
|                           | <u>Randomisation</u><br>NR<br><u>Study arms</u><br>See 'control' and  | d 'comparator'   | columns in   | the table abo   | ve  |   |  |  |

| <u>Study</u><br>Reference    | Ng 2019   |  |                |                      |              |                  |                          |                             |       |  |  |  |
|------------------------------|---|--|----------------|----------------------|--------------|------------------|--------------------------|-----------------------------|-------|--|--|--|
|                              | Duration of follow-u<br>See 'duration of foll             |  | n in the table | e above              |              |                  |                          |                             |       |  |  |  |
|                              | <u>Outcomes</u><br>Primary endpoint                       |  |                |                      |              |                  |                          |                             |       |  |  |  |
|                              | The co-primary out  | comes were a   | all-cause mo   | rtality and pro      | ostate cance | er-related morta | ality based on the analy | sis of the longest follow-u | ap da |  |  |  |
|                              | Secondary endpoi  | nts  |                |                      |              |                  |                          |                             |       |  |  |  |
|                              |   | Secondary outcomes were incidence of distant metastases, incidence of patient-reported erectile dysfunction and incidence of patient-reported use of pads for urinary incontinence |                |                      |              |                  |                          |                             |       |  |  |  |
|                              | All-cause mortality                                       |  |                |                      |              |                  |                          |                             |       |  |  |  |
|                              | Study   | Conse  | rvative        | Rac                  | lical        | Weight (%)       | Odds ratio               | Year                        |       |  |  |  |
|                              | Olddy   | Events   | Total          | Events               | Total        |                  | M-H, Fixed, 95% CI       | Tour                        |       |  |  |  |
|                              | SPCG-4  | 247  | 348            | 200                  | 347          | 29.4             | 1.80 [1.31, 2.46]        | 2014                        |       |  |  |  |
|                              | ProtecT   | 59   | 545            | 110                  | 1098         | 32.9             | 1.09 [0.78, 1.52]        | 2016                        |       |  |  |  |
|                              | PIVOT   | 245  | 367            | 223                  | 364          | 37.7             | 1.27 [0.94, 1.72]        | 2017                        |       |  |  |  |
|                              | Total (95% CI)  |  | 1260           |                      | 1809         | 100.0            | 1.37 [1.14, 1.64]        |                             |       |  |  |  |
|                              | Total events  | 551  |                | 533                  |              |                  |                          |                             |       |  |  |  |
| Harms and                    | Heterogeneity: Chi <sup>2</sup><br>Test of overall effect | et: Z = 3.37 (F  | 9 = 0.0008)    | l <sup>2</sup> = 59% |              |                  |                          |                             |       |  |  |  |
| Benefits of<br>Interventions | Prostate cancer-rel                                       |  | rvative        | Radical              |              |                  | Odds ratio               |                             |       |  |  |  |
|                              | Study   | Events   | Total          | Events               | Total        | Weight (%)       | M-H, Fixed, 95% CI       | Year                        |       |  |  |  |
|                              | SPCG-4  | 99   | 348            | 63                   | 347          | 60.2             | 1.79 [1.25, 2.57]        | 2014                        |       |  |  |  |
|                              | ProtecT   | 8  | 545            | 9                    | 1098         | 7.8              | 1.80 [0.69, 4.70]        | 2016                        |       |  |  |  |
|                              | PIVOT   | 42   | 367            | 27                   | 364          | 32.0             | 1.61 [0.97, 2.68]        | 2017                        |       |  |  |  |
|                              | Total (95% CI)  |  | 1260           |                      | 1809         | 100.0            | 1.74 [1.31, 2.30]        |                             |       |  |  |  |

99

Heterogeneity:  $Chi^2 = 0.12$ , df = 2 (P = 0.94), l<sup>2</sup> = 0% Test of overall effect: Z = 3.86 (P = 0.0001)

149

Incidence of distant metastases

Total events

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| O face allow |  |
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| Study          | Conse  | rvative | Rac    | dical | Weight (%) | Odds ratio         | Year  |
|----------------|--------|---------|--------|-------|------------|--------------------|-------|
| Olddy          | Events | Total   | Events | Total |            | M-H, Fixed, 95% Cl | i cai |
| SPCG-4         | 138    | 348     | 89     | 347   | 51.9       | 1.90 [1.38, 2.63]  | 2014  |
| ProtecT        | 33     | 545     | 29     | 1098  | 17.5       | 2.38 [1.43, 3.96]  | 2016  |
| PIVOT          | 54     | 367     | 37     | 364   | 30.6       | 1.52 [0.98, 2.38]  | 2017  |
| Total (95% CI) |        | 1260    |        | 1809  | 100.0      | 1.87 [1.48, 2.36]  |       |
| Total events   | 225    |         | 155    |       |            |                    |       |

Heterogeneity:  $Chi^2 = 1.66$ , df = 2 (P = 0.44),  $I^2 = 0\%$ Test of overall effect: Z = 5.27 (P = < 0.00001)

### Erectile dysfunction

| Study          | Conse  | rvative | Rac    | lical | Weight (%)  | Odds ratio         | Year |  |
|----------------|--------|---------|--------|-------|-------------|--------------------|------|--|
| otaay          | Events | Total   | Events | Total | Weight (70) | M-H, Fixed, 95% Cl | rcar |  |
| SPCG-4         | 80     | 367     | 84     | 364   | 34.7        | 0.93 [0.66, 1.32]  | 2014 |  |
| ProtecT        | 318    | 452     | 718    | 917   | 38.1        | 0.66 [0.51, 0.85]  | 2016 |  |
| PIVOT          | 20     | 367     | 53     | 364   | 27.3        | 0.34 [0.20, 0.58]  | 2017 |  |
| Total (95% CI) |        | 1186    |        | 1645  | 100.0       | 0.62 [0.39, 0.98]  |      |  |
| Total events   | 418    |         | 855    |       |             |                    |      |  |

Heterogeneity: Tau<sup>2</sup> = 0.13, Chi<sup>2</sup> = 9.66, df = 2 (P = 0.008), l<sup>2</sup> = 79% Test of overall effect: Z = 2.04 (P = 0.04)

# Urinary incontinence

| Study                           | Conse                    | rvative       | Rac                                | lical                    | Weight (%)         | Odds ratio        | Year |
|---------------------------------|--------------------------|---------------|------------------------------------|--------------------------|--------------------|-------------------|------|
| olddy                           | Events                   | Total         | Total Events Total M-H, Fixed, 95% |                          | M-H, Fixed, 95% Cl | real              |      |
| SPCG-4                          | 25                       | 348           | 54                                 | 347                      | 33.1               | 0.42 [0.25, 0.69] | 2014 |
| ProtecT                         | 38                       | 453           | 97                                 | 907                      | 35.3               | 0.76 [0.52, 1.13] | 2016 |
| PIVOT                           | 16                       | 367           | 63                                 | 364                      | 31.6               | 0.22 [0.12, 0.39] | 2017 |
| Total (95% CI)                  |                          | 1168          |                                    | 1618                     | 100.0              | 0.42 [0.21, 0.86] |      |
| Total events                    | 79                       |               | 214                                |                          |                    |                   |      |
| Heterogeneity: Tau <sup>2</sup> | = 0.34, Chi <sup>2</sup> | = 13.09, df : | = 2 (P = 0.00                      | 1), I <sup>2</sup> = 85% |                    | 1                 | LI   |

| <u>Study</u> |  |
|--------------|--|
| Reference    |  |

Test of overall effect: Z = 2.37 (P = 0.02)

Risk of bias

Ng 2019

|            |                                |                               | Cochra  | ane Risk of Bia                          | as Tool                           |   |                             |                                |   |
|------------|--------------------------------|-------------------------------|---|--|-----------------------------------|---|-----------------------------|--------------------------------|---|
| Trial      | Sequenc<br>e<br>generatio<br>n | Allocation<br>concealme<br>nt | Blinding of<br>participants<br>and<br>personnel | Blinding of<br>outcome<br>assessmen<br>t | Incomple<br>te<br>outcome<br>data | Selectiv<br>e<br>outcom<br>e<br>reportin<br>g | Other<br>sources<br>of bias | Overa<br>Il risk<br>of<br>bias | Funding   |
| SPCG-<br>4 | Low                            | Low                           | Low*  | Low*                                     | Low                               | Low   | Low                         | Low                            | The Swedish Cancer<br>Society, the National<br>Institutes of Health, the<br>Karolinska Institute, the<br>Prostate Cancer<br>Foundation and Percy Falk<br>Foundation |
| PIVOT      | Low                            | Low                           | Low*  | Low*                                     | Low                               | Low   | Low                         | Low                            | The Department of<br>Veterans Affairs, the<br>Agency for Healthcare<br>Quality and Research, and<br>the National Cancer<br>Institute                                |
| ProtecT    | Low                            | Low                           | Low*  | Low*                                     | Low                               | Low   | Low                         | Low                            | The UK National Institute<br>for Health Research Health<br>Technology Assessment<br>Programme (University of<br>Oxford)   |

\*No blinding was performed, but the review authors judged that the outcomes were not likely to be influenced by lack of blinding

Authors' Conclusions Radical treatments (prostatectomy/radiotherapy) were found to reduce all-cause mortality, prostate cancer-related mortality and the incidence of distant metastases, at the expense of higher incidence of patient-reported erectile dysfunction and urinary incontinence. The clinical management of localised prostate cancer needs to be individualised based on each patient's age and PSA level, Gleason score and clinical stage at diagnosis, along with the patient's wishes

**Abbreviations**: CI, confidence interval; M-H, Mantel-Haenszel; NR, not reported; PCa, prostate cancer; PIVOT, Prostate Cancer Intervention versus Observation Trial; ProtecT, Prostate testing for cancer and Treatment; PSA, prostate-specific antigen; RCT, randomised controlled trial; SPCG-4, Scandinavian Prostate Cancer Group Study Number 4

Table 41e. Yin 2019

| <u>Study</u><br>Reference     | Yin 2019  |
|-------------------------------|---|
|                               | Design<br>Systematic literature review and meta-analysis  |
|                               | <u>Objective</u><br>To determine the efficacy and late toxicities of moderate (2.5–4 Gy) hypofractionated radiotherapy (H-RT) in localised prostate cancer, a<br>meta-analysis of published randomised clinical trials comparing moderate H-RT with conventional fractionated RT (C-RT) was performed   |
|                               | <u>Included study names</u><br>A Phase III Intensity Radiotherapy Dose Escalation for Prostate Cancer Using Hypofractionation (Hoffman et al, 2014; 2018)   |
|                               | PROstate Fractionated Irradiation Trial (PROFIT) (Catton et al, 2017)   |
|                               | Radiation Therapy Oncology Group (RTOG) 0415 (Lee et al, 2016)  |
| Study Design                  | Conventional or Hypofractionated High dose intensity modulated radiotherapy for Prostate cancer (CHHiP) (Dearnaley et al, 2016)   |
|                               | HYpofractionated irradiation for PROstate cancer (HYPRO) trial (Incrocci et al, 2016)   |
|                               | Included study designs<br>Phase III randomised trials   |
|                               | Dates<br>NR   |
|                               | <u>Country</u><br>NR  |
|                               | <u>Setting</u><br>NR  |
|                               | Patient recruitment and eligibility<br>Inclusion<br>See 'patients' column in table below  |
|                               | Exclusion<br>NR   |
| Population<br>Characteristics | Other<br>NR   |
|                               | <u>Sample size</u><br>See 'N' column in table below   |
|                               | Demographics<br>NR  |
| Methods                       | Systematic literature review<br>Published randomised controlled trials comparing H-RT and C-RT for localised prostate cancer were included. PubMed, Embase, Science<br>Direct, Wiley online library, the Cochrane Library, and CENTRAL were searched from the date of their inception until August 22 <sup>nd</sup> 2018 for<br>relevant articles. Abstracts were searched from the most important international meetings: ASTRO, ESTRO, ASCO. The three search terms |

| <u>Study</u><br>Reference    | Yin 2019  |   |   |       |                  |                |                |                  |                |  |                           |  |  |  |
|------------------------------|---|---|---|-------|------------------|----------------|----------------|------------------|----------------|--|---------------------------|--|--|--|
|                              | were "prostate cancer" AND "hypofractionation" AND "radiotherapy". Searches were restricted to reports published in English. To be eligible for inclusion, studies had to be randomised Phase III clinical trials comparing H-RT with C-RT in patients with localised prostate cancer without surgery. Observational and retrospective studies were excluded. |   |   |       |                  |                |                |                  |                |  |                           |  |  |  |
|                              | Randomisation<br>NR   |   |   |       |                  |                |                |                  |                |  |                           |  |  |  |
|                              | <u>Study arms</u><br>See 'comparison' column in table below   |   |   |       |                  |                |                |                  |                |  |                           |  |  |  |
|                              |   | <u>Duration of follow-up</u><br>See 'follow-up' column in table below   |   |       |                  |                |                |                  |                |  |                           |  |  |  |
|                              | Outcomes<br>Primary endpoint  |   |   |       |                  |                |                |                  |                |  |                           |  |  |  |
|                              |   | The primary endpoint of interest in the systematic literature review was biochemical and clinical disease failure (BCDF) rate. See table below for the primary endpoint of each study |   |       |                  |                |                |                  |                |  |                           |  |  |  |
|                              | Secondary endpoints   |   |   |       |                  |                |                |                  |                |  |                           |  |  |  |
|                              | Secondary endpoints of interest in the systematic literature review were biochemical failure (BF) rate, overall survival (OS), and late GI and GU toxicities. See table below for the secondary endpoints reported in each study  |   |   |       |                  |                |                |                  |                |  |                           |  |  |  |
|                              | Characteristics of randomised studies comparing H-RT with C-RT for localised prostate cancer  |   |   |       |                  |                |                |                  |                |  |                           |  |  |  |
|                              | Study   | Patients  | Comparison  | N     | BCDF             | BF             | os             | GI               | GU             | Primary<br>endpoint                    | Follow-<br>up<br>(months) |  |  |  |
|                              | Hoffman<br>et al,<br>2018   | Low–high<br>risk<br>(T1b–2 N0)  | H-RT: 72/2.4 Gy<br>C-RT: 75.6/1.8<br>Gy                       | 222   | 10<br>21         | NR<br>NR       | 19<br>24       | 11<br>5          | 15<br>15       | Toxicity                               | 102                       |  |  |  |
| Harms and                    | Catton et al, 2017  | Intermediat<br>e risk<br>(T1–2c N0)   | H-RT: 60/3.0 Gy<br>C-RT: 78 Gy/2.0<br>Gy                      | 1,206 | 109<br>117       | 97<br>100      | 76<br>78       | 54<br>82         | 135<br>133     | BCDF                                   | 72                        |  |  |  |
| Benefits of<br>Interventions | Lee et al,<br>2016  | Low risk<br>(T1–2 N0)   | H-RT: 70/2.5 Gy<br>C-RT: 73.8/1.8<br>Gy                       | 1,092 | 86<br>99         | 39<br>50       | 49<br>51       | 121<br>75        | 161<br>121     | BCDF                                   | 69.6                      |  |  |  |
|                              | Dearnale<br>y et al,<br>2016  | Low–high<br>risk<br>(T1–T3a<br>N0)  | H-RT1: 60/3.0<br>Gy<br>H-RT2: 57/3.0<br>Gy<br>C-RT: 74/2.0 Gy | 3,216 | 88<br>132<br>111 | NR<br>NR<br>NR | 87<br>73<br>92 | 105<br>95<br>111 | 88<br>57<br>66 | BCDF                                   | 62                        |  |  |  |
|                              | Incrocci<br>et al,<br>2016  | Intermediat<br>e–high risk<br>(T1b–4<br>NX–0)   | H-RT: 64.6/3.4<br>Gy<br>C-RT: 78/2.0 Gy                       | 804   | 80<br>89         | 70<br>82       | 61<br>59       | NR<br>NR         | NR<br>NR       | BCDF<br>(relapse-<br>free<br>survival) | 60                        |  |  |  |

<u>Study</u> <u>Reference</u>

| , | Yin 2019                    |   |   |     |          |          |          |          |          |      |      |
|---|-----------------------------|---|---|-----|----------|----------|----------|----------|----------|------|------|
|   | Pollack<br>et al,<br>2013   | Intermediat<br>e–<br>high risk<br>(T1–3 N0) | H-RT: 70.2/2.6<br>Gy<br>C-RT: 76/2.0 Gy | 303 | 35<br>33 | NR<br>NR | NR<br>NR | 16<br>22 | 13<br>14 | BCDF | 68.4 |
|   | Arcangeli<br>et al,<br>2012 | Predominat<br>ely<br>high risk<br>(T1–3 N0) | H-RT: 62/3.1 Gy<br>C-RT: 80/2.0 Gy      | 168 | NR<br>NR | 13<br>22 | 7<br>15  | NR<br>NR | NR<br>NR | FFBF | 70   |

# Biochemical and clinical disease failure

| Study                     | H-RT   |       | C-     | -RT Odd |      | Odds ratio         | Year  |
|---------------------------|--------|-------|--------|---------|------|--------------------|-------|
| Olddy                     | Events | Total | Events | Total   |      | M-H, Fixed, 95% Cl | i cai |
| Pollack et al, 2013       | 35     | 151   | 33     | 152     | 5.6  | 1.07 (0.70, 1.62)  | 2013  |
| Lee et al, 2016           | 86     | 550   | 99     | 542     | 17.1 | 0.86 (0.66, 1.11)  | 2016  |
| Dearnaley et al,<br>2016  | 88     | 1,074 | 111    | 1,065   | 19.1 | 0.79 (0.60, 1.03)  | 2016  |
| Dearnaley* et al,<br>2016 | 132    | 1,077 | 111    | 1,065   | 19.1 | 1.18 (0.93, 1.49)  | 2016  |
| Incrocci et al,<br>2016   | 80     | 407   | 89     | 397     | 15.4 | 0.88 (0.67, 1.15)  | 2016  |
| Catton et al, 2017        | 109    | 608   | 117    | 598     | 20.2 | 0.92 (0.72, 1.16)  | 2017  |
| Hoffman et al,<br>2018    | 10     | 111   | 21     | 111     | 3.6  | 0.48 (0.24, 0.96)  | 2018  |
| Total (95% CI)            |        | 3,978 |        | 3,930   | 100  | 0.92 (0.82, 1.02)  |       |
| Total events              | 540    |       | 581    |         |      |                    |       |

\*Indicates that another comparison from the trial conducted by Dearnaley et al was in order to differentiate from the first comparison Heterogeneity: Chi<sup>2</sup>=9.67, df=6 (P=0.14), l<sup>2</sup>=38% Test of overall effect: Z=1.57 (P=0.12)

Biochemical failure

| Study                    | H-RT   |       | C-     | RT    | Weight (%)   | Odds ratio         | Year |  |
|--------------------------|--------|-------|--------|-------|--------------|--------------------|------|--|
| Cludy                    | Events | Total | Events | Total | vvoigin (70) | M-H, Fixed, 95% CI | 1001 |  |
| Arcangeli et al,<br>2012 | 13     | 83    | 22     | 85    | 8.3          | 0.61 (0.33, 1.12)  | 2012 |  |
| Lee et al, 2016          | 39     | 550   | 50     | 542   | 19.2         | 0.77 (0.51, 1.15)  | 2016 |  |

| Incrocci et al,<br>2016 | 70  | 407   | 82  | 397   | 31.6 | 0.83 (0.62, 1.11) | 2016 |   |
|-------------------------|-----|-------|-----|-------|------|-------------------|------|---|
| Catton et al,<br>2017   | 97  | 698   | 100 | 598   | 41.0 | 0.83 (0.64, 1.07) | 2017 | 1 |
| Total (95% CI)          |     | 1,738 |     | 1,622 | 100  | 0.80 (0.68, 0.95) |      | 1 |
| Total events            | 219 |       | 254 |       |      |                   |      | ľ |

Heterogeneity: Chi<sup>2</sup>=0.99, df=3 (P=0.80), I<sup>2</sup>=0%

Test of overall effect: Z=2.61 (P=0.009)

### **Overall survival**

Yin 2019

| Study                     | H-RT   |       | C-  | RT                 | Weight (%) | Odds ratio        | Year |
|---------------------------|--------|-------|-----|--------------------|------------|-------------------|------|
| Olddy                     | Events |       |     | M-H, Fixed, 95% CI | i Cai      |                   |      |
| Arcangeli et al,<br>2012  | 7      | 83    | 15  | 85                 | 3.6        | 0.48 (0.21, 1.11) | 2012 |
| Lee et al, 2016           | 49     | 550   | 51  | 542                | 12.4       | 0.95 (0.65, 1.38) | 2016 |
| Incrocci et al,<br>2016   | 61     | 407   | 59  | 397                | 14.4       | 1.01 (0.72, 1.40) | 2016 |
| Dearnaley* et al,<br>2016 | 87     | 1,077 | 92  | 1,065              | 22.4       | 0.94 (0.71, 1.24) | 2016 |
| Dearnaley et al,<br>2016  | 73     | 1,074 | 92  | 1,065              | 22.3       | 0.79 (0.59, 1.06) | 2016 |
| Catton et al,<br>2017     | 76     | 608   | 78  | 598                | 19.0       | 0.96 (0.71, 1.29) | 2017 |
| Hoffman et al,<br>2018    | 19     | 111   | 24  | 111                | 5.8        | 0.79 (0.46, 1.36) | 2018 |
| Total (95% CI)            |        | 3,910 |     | 3,863              | 100        | 0.89 (0.78, 1.02) |      |
| Total events              | 372    |       | 411 |                    |            |                   |      |

\*Indicates that another comparison from the trial conducted by Dearnaley et al was in order to differentiate from the first comparison Heterogeneity: Chi<sup>2</sup>=3.94, df=6 (P=0.68), l<sup>2</sup>=0% Test of overall effect: Z=1.66 (P=0.10)

Gastrointestinal toxicity

|  | Study                  | H-RT   |       | C-RT   |       | Weight (%) | Odds ratio         | Year    |  |
|--|------------------------|--------|-------|--------|-------|------------|--------------------|---------|--|
|  |                        | Events | Total | Events | Total | M-H        | M-H, Fixed, 95% CI | 1 0 0.1 |  |
|  | Pollack et al,<br>2013 | 16     | 85    | 22     | 96    | 13.2       | 0.82 (0.46, 1.46)  | 2013    |  |

<u>Study</u>

| <u>Study</u><br><u>Reference</u> | Yin 2019               |     |       |     |       |      |                   |      |
|----------------------------------|------------------------|-----|-------|-----|-------|------|-------------------|------|
|                                  | Hoffman et al, 2014    | 11  | 102   | 5   | 101   | 6.8  | 2.18 (0.78, 6.05) | 2014 |
|                                  | Dearnaley et al, 2016  | 105 | 882   | 111 | 810   | 20.5 | 0.87 (0.68, 1.11) | 2016 |
|                                  | Lee et al, 2016        | 121 | 542   | 75  | 533   | 20.2 | 1.59 (1.22, 2.06) | 2016 |
|                                  | Dearnaley* et al, 2016 | 95  | 841   | 111 | 810   | 20.4 | 0.82 (0.64, 1.07) | 2016 |
|                                  | Catton et al, 2017     | 54  | 608   | 82  | 598   | 18.8 | 0.65 (0.47, 0.90) | 2017 |
|                                  | Total (95% CI)         |     | 3,060 |     | 2,948 | 100  | 0.97 (0.71, 1.33) |      |
|                                  | Total events           | 402 |       | 406 |       |      |                   |      |

\*Indicates that another comparison from the trial conducted by Dearnaley et al was in order to differentiate from the first comparison Heterogeneity: Tau<sup>2</sup>=0.11, Chi<sup>2</sup>=24.50, df=5 (P=0.0002), l<sup>2</sup>=80% Test of overall effect: Z=0.18 (P=0.85)

# Genitourinary toxicity

| Study                     | H-RT   |       | C-     | RT    | Weight (%)  | Odds ratio         | Year  |
|---------------------------|--------|-------|--------|-------|-------------|--------------------|-------|
| Olddy                     | Events | Total | Events | Total | Weight (70) | M-H, Fixed, 95% Cl | 1 Cai |
| Pollack et al, 2013       | 13     | 85    | 14     | 96    | 5.8         | 1.05 (0.52, 2.10)  | 2013  |
| Hoffman et al,<br>2014    | 15     | 102   | 15     | 101   | 6.3         | 0.99 (0.51, 1.92)  | 2014  |
| Dearnaley* et al,<br>2016 | 57     | 863   | 66     | 725   | 16.6        | 0.73 (0.52, 1.02)  | 2016  |
| Lee et al, 2016           | 161    | 542   | 121    | 533   | 26.6        | 1.31 (1.07, 1.60)  | 2016  |
| Dearnaley et al,<br>2016  | 88     | 882   | 66     | 725   | 18.8        | 1.10 (0.81, 1.48)  | 2016  |
| Catton et al, 2017        | 135    | 608   | 133    | 598   | 25.9        | 1.00 (0.81, 1.23)  | 2017  |
| Total (95% CI)            |        | 3,082 |        | 2,778 | 100         | 1.04 (0.87, 1.24)  |       |
| Total events              | 469    |       | 415    |       |             |                    |       |

\*Indicates that another comparison from the trial conducted by Dearnaley et al was in order to differentiate from the first comparison Heterogeneity: Tau<sup>2</sup>=0.02, Chi<sup>2</sup>=9.29, df=5 (P=0.10), l<sup>2</sup>=46% Test of overall effect: Z=0.40 (P=0.69)

Biological effective dose recalculated with α/β ratio as 1.5 Gy for prostate tumour and 5 Gy for GI and GU toxicities

| <u>Study</u><br>Reference | Yin 2019                  |       |  |  |  |
|---------------------------|---------------------------|-------|--|--|--|
|                           | Study                     | N     | BED <sub>1.5</sub>                             | BED₅   |  |
|                           | Lee et al, 2016           | 1,092 | H-RT: 187 Gy<br>C-RT: 162 Gy                   | H-RT: 105 Gy<br>C-RT: 100 Gy                 |  |
|                           | Dearnaley et al, 2016     | 3,216 | H-RT1: 180 Gy<br>H-RT2: 171 Gy<br>C-RT: 173 Gy | H-RT1: 96 Gy<br>H-RT2: 91 Gy<br>C-RT: 104 Gy |  |
|                           | Incrocci et al, 2016      | 804   | H-RT: 211 Gy<br>C-RT: 182 Gy                   | H-RT: 109 Gy<br>C-RT: 109 Gy                 |  |
|                           | Hoffman et al, 2014       | 203   | H-RT: 187 Gy<br>C-RT: 166 Gy                   | H-RT: 107 Gy<br>C-RT: 103 Gy                 |  |
|                           | <u>Risk of bias</u><br>NR |       |  |  |  |
| Authors'<br>Conclusions   |                           |       |  |  | , while it does not improve OS. Compared with C-I an increase in $BED_5$ will result in elevated late GI a |

**Abbreviations**: BCDF, biochemical and clinical disease failure; BED, biologically effective dose; BF, biochemical failure; CHHiP, Conventional or Hypofractionated High dose intensity modulated radiotherapy for Prostate cancer; CI, confidence interval; C-RT, conventional fractionated radiotherapy; FFBF, freedom from biochemical failure; GI, gastrointestinal; GU, genitourinary; H-RT, hypofractionated radiotherapy; M-H, Mantel-Haenszel; NR, not reported; OS, overall survival; PROFIT, PROstate Fractionated Irradiation Trial; RT, radiotherapy; RTOG, Radiation Therapy Oncology Group

# Table 41f. EORTC Trial 22991 (Bolla 2016)

| <u>Study</u><br>Reference | EORTC Trial 22991 (Bolla 2016)  |
|---------------------------|---|
|                           | Study name<br>EORTC Trial 22991   |
|                           | Design<br>Randomised controlled trial   |
| Study Design              | <u>Objective</u><br>To assess if biochemical DFS is improved by adding 6 months of androgen suppression to primary RT for intermediate- or high-risk localised<br>PCa |
|                           | <u>Dates</u><br>September 2001 – April 2008   |
|                           | <u>Country</u><br>Various (Belgium, Cyprus, Czech Republic, France, Ireland, Italy, Luxembourg, Netherlands, Poland, Spain, United Kingdom)                           |

| <u>Study</u><br>Reference         | EORTC Trial 22991 (Bolla 2016)  |
|-----------------------------------|---|
|                                   | Setting<br>37 centres from 14 countries   |
|                                   | Patient recruitment and eligibility<br>NR   |
|                                   | Inclusion         Histologically confirmed prostate adenocarcinoma T1b to T2a (International Union Against Cancer 1997 staging criteria)         PSA >10 ng/mL or Gleason ≥7         No involvement of pelvic lymph nodes as assessed by computed tomography scan, magnetic resonance imaging, or laparoscopic surgery         No clinical evidence of metastatic spread         No clinical tumour stages T2b to T4 and a PSA level of up to 12.5 times the UNL         WHO performance status ≤2         No previous pelvic irradiation or radical prostatectomy         No previous hormonal therapy         No other malignancy except adequately treated basal cell carcinoma of the skin or another malignancy cured for at least 5 years |
|                                   | Exclusion<br>NR<br>Other<br>NR  |
| Population<br>Characteristic<br>s | Sample size         N invited = NR         N assigned to intervention = 819 (total), 409 (Arm 1: RT alone), 410 (Arm 2: RT + androgen suppression)         N eligible = NR         N excluded (with reason) = 2 (Arm 1; metastatic not treated = 1, refused treatment = 1), 7 (Arm 2; received RT alone = 3, metastatic patient not treated = 1, refused all treatment = 3)         N receiving treatment = 407 (Arm 1), 403 (Arm 2)         N lost to follow-up = 17 (Arm 1), 24 (Arm 2)         N excluded from analysis = NR         included in analysis =         • ITT = 409 (Arm 1), 410 (Arm 2)         • Per protocol = 388 (Arm 1), 385 (Arm 2)         • Safety set = 407 (Arm 1), 406 (Arm 2)                                       |
|                                   | Demographics         Parameter, n (%) [unless otherwise stated]       RT only (N=409)       RT + androgen suppression   |

| Parameter, n (%) [ <i>unless otherwise stated</i> ] | RT only (N=409)   | RT + androgen suppression<br>(N=410) |
|---|-------------------|--------------------------------------|
| Age, y, median (range, IQR)                         | 70 (43–80, 66–74) | 71 (47–80, 66–74)                    |
| Ethnicity   | NR                | NR                                   |
| BMI   | NR                | NR                                   |
| Baseline PSA, ng/mL                                 |                   |                                      |

| Study     |  |
|-----------|--|
| Reference |  |

# EORTC Trial 22991 (Bolla 2016)

| Median (range, IQR)                                | 10.3 (0.4–97.9, 7.0–15.9) | 10.4 (0.3–50.7, 6.8–15.7) |
|--|---------------------------|---------------------------|
| ≤2.5 x UNL   | 198 (48.4)                | 199 (48.5)                |
| $>2.5 \times UNL$ to $\leq 4 \times UNL$           | 143 (35.0)                | 152 (37.1)                |
| >4 x UNL   | 68 (16.6)                 | 59 (14.4)                 |
| Prostate volume                                    | NR                        | NR                        |
| Number of positive biopsy samples                  | NR                        | NR                        |
| Clinical T category                                |                           |                           |
| T1a (ineligible)                                   | 1 (0.2)                   | 0 (0.0)                   |
| T1b  | 16 (3.9)                  | 11 (2.7)                  |
| T1c  | 180 (44.0)                | 187 (45.6)                |
| T2a  | 207 (50.6)                | 210 (51.2)                |
| T2b (ineligible                                    | 5 (1.2)                   | 2 (0.4)                   |
| Clinical N category                                |                           |                           |
| NO   | 407 (99.5)                | 409 (99.8)                |
| Unknown  | 2 (0.5)                   | 1 (0.2)                   |
| Pathology N category                               |                           |                           |
| pN0  | 55 (13.4)                 | 46 (11.2)                 |
| Clinical M category                                |                           |                           |
| МО   | 408 (99.8)                | 409 (99.8)                |
| M1 (ineligible)                                    | 1 (0.2)                   | 1 (0.2)                   |
| Gleason sum  |                           |                           |
| <6   | 46 (11.2)                 | 46 (11.2)                 |
| 6  | 155 (37.9)                | 155 (37.8)                |
| 7  | 171 (41.8)                | 164 (40.0)                |
| 8–10   | 37 (9.0)                  | 45 (11.0)                 |
| WHO PS   |                           |                           |
| 0  | 349 (85.3)                | 372 (90.7)                |
| 1  | 59 (14.4)                 | 37 (9.0)                  |
| 2  | 1 (0.2)                   | 1 (0.2)                   |
| NCCN risk group*                                   |                           |                           |
| Low (ineligible)                                   | 2 (0.5)                   | 1 (0.2)                   |
| Intermediate                                       | 174 (42.5)                | 187 (45.6)                |
| T2a (1997) with one other intermediate risk factor | 80 (19.6)                 | 84 (20.5)                 |
| High   | 153 (37.4)                | 138 (33.7)                |
| D'Amico risk group                                 |                           |                           |
| Low (ineligible)                                   | 2 (0.5)                   | 1 (0.2)                   |
| Intermediate                                       | 301 (73.6)                | 312 (76.1)                |
| High   | 106 (25.9)                | 97 (23.7)                 |

\* The NCCN risk groups are defined as: low risk if TNM 2002 stage T1c or T2a with PSA <10 ng/mL and Gleason ≤6; intermediate risk if TNM 2002 stage T2b to T2c, or Gleason = 7, or PSA ≥10 and <20 ng/mL and high risk if TNM 2002 stage T3a or PSA ≥20 ng/mL or Gleason >7 or two high-risk features.

disease progression Deaths overall

Clinical DFS

OS

83

-

-

69

-

-

NR

-

-

-

88.4 (84.7–91.3)

80.8 (76.5–84.3)

| <u>Study</u><br>Reference    | EORTC Trial 22991 (Bolla 2016)   |                                 |                           |            |                  |                              |            |  |            |  |
|------------------------------|--|---------------------------------|---------------------------|------------|------------------|------------------------------|------------|--|------------|--|
|                              | Randomisation<br>Random assignment performed at the EORTC headquarters according to a minimisation algorithm (variance method) with factors institution,<br>clinical tumour stage (T1b–c vs T2a), Gleason sum (2–6 vs 7–10), PSA (2.5 x UNL, 2.5–4.0 x UNL and >4.0 x UNL). There was no blinding.<br>The minimisation method was stratified by the radiation dose level because the dose was a centre-chosen characteristic.  |                                 |                           |            |                  |                              |            |  |            |  |
|                              | <u>Arm 1</u><br><b>RT alone</b><br>• 3DCRT or IMRT was performed with an isocentric beam arrangement, based on a computed tomographic definition of 3D PTV.  |                                 |                           |            |                  |                              |            |  |            |  |
|                              | <u>Arm 2</u><br><b>RT + androgen supp</b>  | ression                         | e (70, 74 or 78 Gy        | )          |                  |                              |            |  |            |  |
|                              |  | ppression con<br>en 3 months la |                           |            |                  |                              |            | og (goserelin), given t<br>0 mg/d) started 1 wee |            |  |
| Methods                      | Duration of follow-up<br>Median follow-up 7.2 years, similar in the two treatment arms (p=0.475). Data cut-off October 20 2013. Censoring was applied to the last<br>follow-up visit   |                                 |                           |            |                  |                              |            |  |            |  |
|                              | <ul> <li>Outcomes         Primary endpoint         <ul> <li>Biochemical DFS – defined from study entry until PSA relapse and clinical relapse by imaging or death or any cause to the first event of biochemical relapse. In the analysis, patients who started second-line treatment in the absence of pre-protocol progression were counted as biochemical failure when starting the treatment.</li> <li>Clinical relapse was (1) palpable enlargement of an existing abnormality or regrowth by ≥25% of a previously regressed prostate gland, (2) urethral obstruction, (3) regional and distant metastases documented by imaging</li> </ul> </li> </ul> |                                 |                           |            |                  |                              |            | e counted  |            |  |
|                              | <ul> <li>Secondary endpoints</li> <li>Clinical DFS – defined from randomisation to clinical relapse</li> <li>OS – defined from randomisation to death</li> </ul>   |                                 |                           |            |                  |                              |            |  |            |  |
|                              | Biochemical DFS, OS, clinical DFS and other progression outcomes   |                                 |                           |            |                  |                              |            |  |            |  |
|                              | Number of events, n (%) Rate at 5 years follow up, % (95% CI)  |                                 |                           |            |                  |                              |            |  |            |  |
|                              | Outcome  | RT alone                        | RT + androgen suppression | P<br>value | RT alone         | RT + androgen<br>suppression | P<br>value | HR (95% CI) (Arm<br>2 vs Arm 1)                  | P<br>value |  |
| Harms and                    | <b>Biochemical DFS</b>   | 201 (49.1)                      | 118 (410)                 | NR         | 69.8 (64.9–74.2) | 82.6 (78.4–86.1)             | NR         | 0.52 (0.41–0.66)                                 | <0.001     |  |
| Benefits of<br>Interventions | Deaths in the<br>absence of<br>disease   | 54                              | 54                        | NR         | -                | -                            | -          | -  | _ ]        |  |

-

NR

0.001

-

NR

NR

-

NR

0.63 (0.48-0.84)

-

91.3 (88.0–93.7)

88.7 (85.2-82.1)

#### Study 3 1 EORTC Trial 22991 (Bolla 2016) Reference

| mulative local<br>apse rate | -        | -        | -    | 6.6 (4.1–9.1) | 2.1 (0.7–3.6) | NR | 0.37 (0.21–0.68) | 0.001 |
|-----------------------------|----------|----------|------|---------------|---------------|----|------------------|-------|
| stant<br>etastases          | 31 (7.6) | 18 (4.4) | 0.05 | -             | -             | -  | -                | -     |

Exploratory heterogeneity tests indicated no statistically significant impact of the radiation dose or the risk group on the unadjusted treatment effect (P>0.1)

Treatment discontinuations and adverse effects

#### Treatment discontinuation

- RT: n=7 (death = 2, toxicity = 3, intestinal occlusion = 1, lymphocele sepsis = 1)
- LHRH (receiving 1 injection instead of 2): n=11 (toxicity = 6, patient declined treatment = 4, other reasons = 1)

#### Adverse effects

- 6 month androgen suppression (n=403), n (%):
  - Hot flushes > once per day = 127 (31.5) •
  - Gynecomastia = 27(6.7)٠
  - Diarrhoea of  $\geq$  grade 3 = 2 (0.5) ٠
  - Elevation of ALT/AST = 20 (5.0)
- RT alone vs RT + androgen suppression, %: ٠
  - Late grade 3–4 GU toxicity: 3.6% vs 5.9% (P=0.14)
  - Severe impairment of sexual function: 19.4% vs 27.0% (P=0.010)

#### Mean scores and mean score change from baseline for the primary HRQoL scales

|                     | Sco                         | ore                                  | Score change from baseline |                                      |  |  |  |
|---------------------|-----------------------------|--------------------------------------|----------------------------|--------------------------------------|--|--|--|
| Characteristic      | RT alone (N=364)            | RT + androgen<br>suppression (N=351) | RT alone (N=364)           | RT + androgen suppression<br>(N=351) |  |  |  |
|                     |                             | Global health status/Qo              | L                          |                                      |  |  |  |
| Baseline            |                             |                                      |                            |                                      |  |  |  |
| Median (range, IQR) | 83.3 (0.0–100.0, 66.7–91.7) | 83.3 (0.0-100.0, 66.7-91.7)          | -                          | -                                    |  |  |  |
| Mean (SD)           | 77.04 (18.72)               | 78.15 (17.71)                        | -                          | -                                    |  |  |  |
| n                   | 359                         | 347                                  | -                          | -                                    |  |  |  |
| Month 6             |                             |                                      | ·                          |                                      |  |  |  |
| Median (range, IQR) | 83.3 (0.0-100.0, 66.7-91.7) | 83.3 (0.0-100.0, 66.7-91.7)          | 0.0 (283.3–75.0. 28.3–8.3  | ) 0.0 (266.7–58.3, 28.3–8.3)         |  |  |  |
| Mean (SD)           | 78.54 (18.17)               | 76.97 (18.32)                        | 0.66 (18.39)               | -2.36 (17.33)                        |  |  |  |
| n                   | 271                         | 305                                  | 239                        | 261                                  |  |  |  |
| Year 1              |                             |                                      | ·                          |                                      |  |  |  |
| Median (range, IQR) | 83.3 (0.0–100.0, 66.7–91.7) | 83.3 (16.7–100.0, 66.7–91.7)         | 0.0                        | 0.0                                  |  |  |  |
| Mean (SD)           | 77.65 (18.65)               | 78.52 (16.43)                        | 0.52 (20.61)               | -20.68 (17.91)                       |  |  |  |
| n                   | 289                         | 315                                  | 255                        | 270                                  |  |  |  |
| Year 2              | Year 2                      |                                      |                            |                                      |  |  |  |
| Median (range, IQR) | 83.3 (0.0–100.0, 66.7–91.7) | 83.3 (8.3–100.0, 66.7–91.7)          | 0.0                        | 0.0                                  |  |  |  |

<u>n</u> Year 2

|                     |                             |                             | I                                 |                            |
|---------------------|-----------------------------|-----------------------------|-----------------------------------|----------------------------|
| Mean (SD)           | 66.7-91.7                   | 66.7-91.7                   |                                   |                            |
| n                   | 321                         | 322                         | 286                               | 275                        |
| Year 3              |                             |                             |                                   | 0.0                        |
| Median (range, IQR) | 83.3 (0.0–100.0, 66.7–91.7) | 83.3 (0.0–100.0, 66.7–91.7) | 0.0                               | 0.0                        |
| Mean (SD)           | 75.58 (19.47)               | 77.20 (18.85)               | -2.91 (21.08)                     | -2.29 (19.60               |
| n                   | 301                         | 307                         | 269                               | 262                        |
| Deceline            |                             | Hormonal symptoms           |                                   |                            |
| Baseline            |                             |                             |                                   |                            |
| Median (range, IQR) | 5.6 (0.0–50.0, 0.0–11.1)    | 0.0 (0.0–5.3, 0.0–11.1)     | -                                 | -                          |
| Mean (SD)           | 7.58 (10.32)                | 6.67 (9.59)                 | -                                 | -                          |
| n<br>Marath 0       | 308                         | 306                         | -                                 | -                          |
| Month 6             |                             |                             |                                   | 444 444 00 7 5             |
| Median (range, IQR) | 5.6 (0.0–55.6, 0.0–11.1)    | 16.7 (0.0-83.3, 11.1-27.8)  | 0.0 (-38.9-55.6, 0.0-5.6)         | 11.1 (-11.1-66.7, 5        |
| Mean (SD)           | 9.47 (11.66)                | 19.32 (13.65)               | 2.23 (10.62)                      | 13.95 (12.01               |
| n                   | 235                         | 264                         | 193                               | 219                        |
| Year 1              |                             |                             |                                   |                            |
| Median (range, IQR) | 8.3 (0.0–53.3, 0.0–16.7)    | 16.7 (0.0–61.1, 5.6–27.8)   | 0.0 (-33.3-42.2, 0.0-6.7)         | 11.1 (-27.8-46.7, 0        |
| Mean (SD)           | 10.85 (11.99)               | 18.07 (14.11)               | 2.83 (10.54)                      | 11.66 (12.68               |
| n<br>X              | 257                         | 274                         | 216                               | 230                        |
| Year 2              |                             |                             |                                   |                            |
| Median (range, IQR) | 6.7 (0.0–58.3, 0.0–16.7)    | 11.1 (0.0–0.60, 5.6–22.2)   | 0.0 (-44.4-44.4, 0.0-11.1)        | 5.6 (-33.3-54.4, 0.        |
| Mean (SD)           | 11.21 (11.99)               | 13.67 (12.89)               | 4.40 (11.33)                      | 7.89 (12.58                |
| n                   | 281                         | 279                         | 237                               | 231                        |
| Year 3              |                             |                             |                                   | T .                        |
| Median (range, IQR) | 8.3 (0.0–66.7, 0.0–16.7)    | 11.1 (0.0–66.7, 0.0–22.2)   | 0.0 (-44.4-55.6, 0.0-11.1)        | 5.6 (-22.2-46.7, 0         |
| Mean (SD)           | 11.68 (12.87)               | 12.79 (12.83)               | 4.42 (13.38)                      | 7.13 (11.53                |
| n                   | 263                         | 262                         | 221                               | 218                        |
|                     |                             | Sexual activity             |                                   |                            |
| Baseline            |                             |                             | 1                                 |                            |
| Median (range, IQR) |                             | 33.3 (0.0–100.0, 0.0–33.3)  | -                                 | -                          |
| Mean (SD)           | 27.99 (24.71)               | 27.43 (22.63)               | -                                 | -                          |
| n                   | 309                         | 302                         | -                                 | -                          |
| Month 6             |                             | 1                           |                                   |                            |
| Median (range, IQR) |                             | 0.0 (0.0–100.0, 0.0–33.3)   | 0.0 (-50.0-66.7, 0.0-16.7)        | -16.7 (-100.0-100.<br>0.0) |
| Mean (SD)           | 27.09 (22.41)               | 10.84 (19.22)               | 0.43 (20.22)                      | -15.67 (25.6               |
| n                   | 235                         | 266                         | 196                               | 218                        |
| Year 1              |                             |                             |                                   |                            |
| Median (range, IQR) | 33.3 (0.0–100.0, 0.0–41.7)  | 0.0 (0.0–100.0, 0.0–33.3)   | 0.0 (-66.7-100.0, -16.7-<br>16.7) | -16.7 (-100.0-100.<br>0.0) |
| Mean (SD)           | 27.60 (24.87)               | 14.96 (21.93)               | 0.62 (25.41)                      | -13.54 (26.6               |
| 'n                  | 250                         | 070                         | 040                               | 000                        |

273

216

256

229

| <u>Study</u><br><u>Reference</u> | EORTC Trial 22991 (Bo   | lla 2016)   |   |  |   |
|----------------------------------|---|---|---|--|---|
|                                  | Median (range, IQR)   | 33.3 (0.0–100.0, 0.0–33.3)  | 16.7 (0.0–100.0, 0.0–33.3)  | 0.0 (-66.7-100.0)  | 0.0 (-100.0-100.0, -16.7-<br>0.0)   |
|                                  | Mean (SD)   | 25.73 (22.42)   | 24.29 (23.30)   | -2.35 (24.52)  | -4.08 (24.88)   |
|                                  | n   | 274   | 280   | 234  | 233   |
|                                  | Year 3  |   |   |  |   |
|                                  | Median (range, IQR)   | 33.3 (0.0–100.0, 0.0–33.3)  | 16.7 (0.0–100.0, 0.0–33.3)  | 0.0 (-83.3-100.0, 16.7-16.7)   | 0.0 (-83.3-100.0, 16.7-0.0)   |
|                                  | Mean (SD)   | 26.88 (24.11)   | 24.08 (23.32)   | -1.98 (24.34)  | -4.19 (23.96)   |
|                                  | n   | 261   | 263   | 219  | 215   |
|                                  |   | Sexual function   | n (assigned a score of 0 in a   | absence of activity)   | -   |
|                                  | Baseline  |   |   |  |   |
|                                  | Median (range, IQR)   | 50.0 (0.0-100.0, 0.0-75.0)  | 56.9 (0.0–100.0, 0.0–8.3)   | -  | -   |
|                                  | Mean (SD)   | 40.49 (37.50)   | 43.91 (38.96)   | -  | -   |
|                                  | n   | 253   | 230   | -  | -   |
|                                  | Month 6   |   |   |  |   |
|                                  | Median (range, IQR)   | 33.3 (0.0–100.0, 0.0–66.7)  | 0.0 (0.0–100.0, 0.0–0.0)  | 0.0 (-91.7-83.3, -16.7-0.0)  | -8.3 (-100.0-75.0, -75.0-<br>0.0)   |
|                                  | Mean (SD)   | 35.33 (34.67)   | 5.85 (17.76)  | -4.34 (33.44)  | -32.05 (39.79)  |
|                                  | n   | 181   | 211   | 142  | 132   |
|                                  | Year 1  | ·   |   | ·  |   |
|                                  | Median (range, IQR)   | 25.0 (0.0–100.0, 0.0–66.7)  | 0.0 (0.0–100.0, 0.0–0.0)  | 0.0 (-100.0-75.0, -22.2-0.0)   | -16.7 (-100.0-83.3, -61.1-<br>0.0)  |
|                                  | Mean (SD)   | 33.11 (34.76)   | 12.65 (25.14)   | -7.14 (31.98)  | -29.24 (38.45)  |
|                                  | n   | 189   | 208   | 142  | 143   |
|                                  | Year 2  |   |   |  |   |
|                                  | Median (range, IQR)   | 20.8 (0.0–100.0, 0.0–58.3)  | 13.9 (0.0–100.0, 0.0–58.3)  | -8.3 (-91.7-83.3, -33.3-0.0)   | -8.3 (-100.0-83.3, -33.0-<br>0.0)   |
|                                  | Mean (SD)   | 30.63 (32.55)   | 28.18 (31.90)   | -12.55 (33.30)   | -17.03 (35.02)  |
|                                  | n   | 210   | 202   | 170  | 146   |
|                                  | Year 3  |   |   |  |   |
|                                  | Median (range, IQR)   | 25.0 (0.0–100.0, 0.0–58.3)  | 8.3 (0.0–100.0, 0.0–58.3)   | 0.0 (-91.7-91.7, -33.3-0.0)  | -8.3 (-100.0-100.0, -33.3-<br>0.0)  |
|                                  | Mean (SD)   | 31.25 (32.96)   | 27.95 (31.64)   | -13.96 (34.64)   | -15.56 (34.95)  |
|                                  | n   | 197   | 195   | 157  | 131   |
| Authors'<br>Conclusions          | functioning scales, were<br>seen between the arms f<br>• This study show<br>DFS of patients<br>dose level.<br>• Results suggest | clinically significantly impacted<br>rom year 2 onward.<br>red that 6 months of androger<br>with intermediate or high-risk<br>t that adding 6 month androge | d by androgen suppression at<br>suppression combined with<br>(D'Amico) localised PCa, as<br>n suppression as a concomit | Il treatment symptoms, as well<br>t month 6 and year 1. Howeve<br>RT significantly improved bioc<br>compared with RT alone, irres<br>ant and adjuvant modality imp | r, no marked difference wa<br>hemical DFS and clinical<br>pective of the radiation<br>roves biochemical DFS |
|                                  | results pave the  |   | pproach with 78 Gy RT plus  | patients with low-volume high-<br>a short androgen suppression<br>drogen suppression.  |   |

## UK NSC external review – Screening for prostate cancer [June 2020]

**Abbreviations**: 3DCRT, 3-dimentional conformal radiation therapy; ALT, alanine aminotransferase; AST, aspartate aminotransferase; CI, confidence interval; DFS, disease-free survival; EORTC, European Organisation for Research and Treatment of Cancer; GU, genitourinary; HR, hazard ratio; HRQoL, health-related quality of life; IMRT, intensity-modulated radiation therapy; IQR, interquartile range; LHRH, luteinising hormone releasing hormone; N/A, not applicable; NR, not reported; OS, overall survival; PCa, prostate cancer; PS, performance status; PSA, prostate-specific antigen; PTV, prostate tumour volume; QoL, quality of life; RCT, randomised controlled trial; UNL, upper limit of normal; WHO, World Health Organization

# Table 41g. NCT02668718, Hackman 2019

| Study<br>Reference            | NCT02668718, Hackman 2019  |
|-------------------------------|--|
|                               | <u>Study name</u><br>NCT02668718<br><u>Design</u><br>Randomised, open-label, parallel-group, multicentre trial   |
|                               | <u>Objective</u><br>To compare the effectiveness and tolerability of adjuvant radiotherapy following radical prostatectomy   |
| Study Design                  | Dates<br>April 2004–October 2012<br>Country<br>Finland   |
|                               | <u>Setting</u><br>Multicentre  |
|                               | Patient recruitment and eligibility<br>NR  |
|                               | Inclusion<br>Written informed consent, pT2N0M0 with a positive margin or pT3aN0M0 (with/without positive margins) prostate cancer, Gleason score 2–<br>10, preoperative PSA 20 mg/l, and post-operative PSA 0.5 μg/l       |
|                               | Exclusion<br>Concurrent cancer therapy including systemic endocrine therapy, more than 12 weeks since radical prostatectomy, metastatic disease (N+<br>or M1), and invasion of seminal vesicles.                           |
| Population<br>Characteristics | Other<br>NR  |
|                               | Sample size         N invited = 206         N eligible = 206         N enrolled = 206         N excluded (with reason) = 0         N lost to follow-up = 0         N completed = 157         N excluded from analysis = 54 |

| N included in analysis = 157                | NCT02668718, Hackman 2019 |                     |  |  |  |  |  |
|---|---------------------------|---------------------|--|--|--|--|--|
| -   | -                         |                     |  |  |  |  |  |
|   | Demographics              |                     |  |  |  |  |  |
| Parameter                                   | Adjuvant (N=126)          | Observation (N=124) |  |  |  |  |  |
| Age at recruitment/randomis<br>(IQR), years | ation, median 61 (57–65)  | 62 (59–65)          |  |  |  |  |  |
| Ethnicity                                   | NR                        | NR                  |  |  |  |  |  |
| BMI   | NR                        | NR                  |  |  |  |  |  |
| Preoperative PSA level                      |                           |                     |  |  |  |  |  |
| <20   | 125                       | 123                 |  |  |  |  |  |
| >20ª  | 1                         | 1                   |  |  |  |  |  |
| Median (IQR)                                | 7.2 (5.2–10.1)            | 7.5 (5.5–10.2)      |  |  |  |  |  |
| Postoperative PSA                           |                           | · · · · · /         |  |  |  |  |  |
| <0.05                                       | 24                        | 35                  |  |  |  |  |  |
| <0.1  | 14                        | 9                   |  |  |  |  |  |
| <0.2  | 20                        | 20                  |  |  |  |  |  |
| <0.4  | 33                        | 22                  |  |  |  |  |  |
| <0.5  | 35                        | 37                  |  |  |  |  |  |
| 0.5   | 0                         | 1                   |  |  |  |  |  |
| Prostate volume                             | NR                        | NR                  |  |  |  |  |  |
| Number of positive biopsy sa                | amples NR                 | NR                  |  |  |  |  |  |
| Clinical T stage                            |                           |                     |  |  |  |  |  |
| 1   | 4                         | 2                   |  |  |  |  |  |
| 1a  | 2                         | 1                   |  |  |  |  |  |
| 1c  | 62                        | 66                  |  |  |  |  |  |
| 2   | 17                        | 26                  |  |  |  |  |  |
| 2a  | 2                         | 1                   |  |  |  |  |  |
| 2b  | 2                         | 0                   |  |  |  |  |  |
| 3   | 1                         | 3                   |  |  |  |  |  |
| 3a  | 1                         | 0                   |  |  |  |  |  |
| Unavailable                                 | 35                        | 25                  |  |  |  |  |  |
| Pathological T stage                        |                           |                     |  |  |  |  |  |
| 2   | 1                         | 0                   |  |  |  |  |  |
| 2a  | 10                        | 13                  |  |  |  |  |  |
| 2b  | 10                        | 11                  |  |  |  |  |  |
| 2c  | 52                        | 39                  |  |  |  |  |  |
| 3a  | 53                        | 59                  |  |  |  |  |  |
| 4   | 0                         | 1                   |  |  |  |  |  |
| Unavailable                                 | 0                         | 1                   |  |  |  |  |  |
| M stage                                     | NR                        | NR                  |  |  |  |  |  |
| N stage                                     | NR                        | NR                  |  |  |  |  |  |
| Gleason score                               |                           |                     |  |  |  |  |  |

| <u>Study</u><br>Reference | NCT02668718, Hackman 2019   |   |  |  |  |  |  |  |  |  |
|---------------------------|---|---|--|--|--|--|--|--|--|--|
|                           | 5   | 9   | 8  |  |  |  |  |  |  |  |
|                           | 6   | 29  | 25   |  |  |  |  |  |  |  |
|                           | 7   | 81  | 83   |  |  |  |  |  |  |  |
|                           | 8   | 4   | 4  |  |  |  |  |  |  |  |
|                           | 9   | 3   | 4  |  |  |  |  |  |  |  |
|                           | Other risk classification (e.g. D'Amico or CAPRA)   | NR  | NR   |  |  |  |  |  |  |  |
|                           | giving a power of >80% and significance level o<br>groups was 90 patients/ group. To avoid loss of<br>the sample size to patients/group (39% safety n   | 60% in the observation group will remain<br>f 5%. As calculated by Fischer's exact to<br>power due to possible loss in follow-up,<br>nargin) based on clinical judgement and<br>ologist called Finnish Cancer Registry (H | 250 patients were randomised 1:1, with the<br>n biochemical progression free after 2 yr of follow-up<br>est, the required sample size for two independent<br>investigators writing the protocol decided to increase<br>experience from previous prostate cancer trials.<br>Helsinki, Finland), which conducted stratification into |  |  |  |  |  |  |  |
|                           | Arm 1<br>Adjuvant radiotherapy  |   |  |  |  |  |  |  |  |  |
|                           | The radiation dose consisted of 66.6 Gy given in 37 fractions of 1.8 Gy/d, 5 d per week. Patients received three-dimensional conformal radiation therapy (with linear accelerator >10 MV) without pelvic lymph node irradiation.  |   |  |  |  |  |  |  |  |  |
|                           | Arm 2<br>Observation  |   |  |  |  |  |  |  |  |  |
| Methods                   | In the observation group, salvage radiotherapy could be offered upon disease progression. The protocol defined progression as (1) PSA >0 mg/l in two successive measurements at least 4 weeks apart, (2) metastatic prostate cancer, or (3) recurrent prostate cancer in imaging regardless of PSA.                                   |   |  |  |  |  |  |  |  |  |
|                           | <u>Duration of follow-up</u><br>Median of 9.3 years in the adjuvant RT group a  | nd 8.6 years in the observation group. O  | outcomes were reported as 10-year time points.   |  |  |  |  |  |  |  |
|                           |   | crinological effects, surgical complication   | rvival, quality of life, functioning, bowel, urinary and<br>ns, rates of disease recurrence, treatment-related<br>hese outcomes.   |  |  |  |  |  |  |  |
|                           | Primary endpoint  |   |  |  |  |  |  |  |  |  |
|                           | • Biochemical recurrence-free survival. Progression was defined as: (1) PSA >0.4 mg/l in two successive measurements at least 4 weeks apart, (2) metastatic prostate cancer, or (3) recurrent prostate cancer in imaging regardless of PSA. In the observation group, salvage radiotherapy could be offered upon disease progression. |   |  |  |  |  |  |  |  |  |
|                           | Overall survival  |   |  |  |  |  |  |  |  |  |
|                           |   |   |  |  |  |  |  |  |  |  |

• Prostate-cancer specific survival

| <u>Study</u><br>Reference | NCT02668718, Hackman 2019   |
|---------------------------|---|
|                           | Metastatic survival   |
|                           | Castration-resistance prostate cancer-free survival   |
|                           | All survival outcomes were calculated as 10-year survival rates   |
|                           | Secondary endpoints   |
|                           | <ul> <li>Adverse events, graded from patients' individual medical records from randomisation to progression or until the last follow-up if the patient was progression free.</li> <li>Patients filled out three questionnaires, and results were reported as predicted probabilities using a generalised mixed model (GLMM):         <ul> <li>International Index of Erectile Function (IIEF-5). IIEF-5 score was modelled as binomially distributed scores 1–7 vs 8–25) over continuous time (months) according to GLMM. IIEF-5 score: 1–7 = severe erectile dysfunction, 8–21 = mild–moderate erectile dysfunction, and 22–25 = no erectile dysfunction.</li> <li>International Prostate Symptom Score (IPSS). IPSS score was modelled as binomially distributed scores 20–35 vs 0–19) over continuous time (months) according to GLMM. IPSS score: 0–7 = mild urinary symptoms, 8–19 = moderate urinary symptoms, and 20–35 = severe urinary symptoms.</li> <li>Late Effects Normal Tissue Task Force–Subjective, Objective, Management, Analytic (LENT-SOMA) questionnaire with intestinal and urinary questions from the subjective, objective, and management parts of the LENT-SOMA parameters. LENT- SOMA modelled as binomially distributed grades 3–4 vs 0–2) over continuous time (months) according to GLMM. The LENT-SOMA toxicities were graded according to the patients' answers from 0 to 4, where grade 0 stands for no toxicity and grade 4 stands for the most severe toxicity. For one LENT-SOMA question regarding the management of dysuria, the answer option for surgical intervention (grade 4 toxicity) was unavailable; therefore, the answers for this question were graded from 1 to 3. Urinary toxicities were modelled as binomially distributed grades 3–4 vs 0–2) over continuous time (months) according to GLMM.</li> </ul> </li> </ul> |

|                              |                        | Number                      | of events              |                       | p-value |
|------------------------------|------------------------|-----------------------------|------------------------|-----------------------|---------|
|                              | Outcome                | Adjuvant therapy<br>(N=126) | Observation<br>(N=124) | HR (%, 95% CI)        |         |
|                              | Biochemical recurrence | 15                          | 43                     | 0.30 (0.16-0.53)      | <0.001  |
|                              | Metastatic             | 2                           | 4                      | 0.49 (0.09-2.68)      | 0.4     |
| Harms and                    | Castration resistant   | 3                           | 6                      | 0.47 (0.12–1.88)      | 0.3     |
| Benefits of<br>Interventions | Prostate cancer death  | 1                           | 1                      | 1.00 (0.06–<br>15.91) | 1       |
|                              | Death from any cause   | 10                          | 13                     | 0.76 (0.33–1.72)      | 0.5     |

| Harms and     |
|---------------|
| Benefits of   |
| Interventions |

| Outcome | Quitagene | Number of events, %         |                        |                | n velve |
|---------|-----------|-----------------------------|------------------------|----------------|---------|
|         | Outcome   | Adjuvant therapy<br>(N=126) | Observation<br>(N=124) | OR (%, 95% CI) | p-value |

| <u>Study</u><br>Reference | NCT02668718, Hackman 20                                    | 19       |            |                  |         |
|---------------------------|--|----------|------------|------------------|---------|
|                           | Number of patients experiencing                            |          |            | 0.71 (0.55–0.92) | 0.009   |
|                           | adverse event  |          |            | , ,              |         |
|                           | Grade 1  | 121 (96) | 105 (85)   | -                | _       |
|                           | Grade 2  | 115 (91) | 107 (87)   | _                | _       |
|                           | Grade 3  | 70 (56)  | 50 (40)    | -                | -       |
|                           | Grade 4  | 1 (1)    | 0 (0)      | _                | _       |
|                           | Number of patients experiencing gastrointestinal disorders |          |            | 0.12 (0.07–0.19) | <0.001  |
|                           | Grade 1  | 97 (77)  | 16 (13)    | -                | -       |
|                           | Grade 2  | 29 (23)  | 4 (3)      | -                | -       |
|                           | Grade 3  | 1 (1)    | 1 (1)      | -                | -       |
|                           | Grade 4  | 0 (0)    | 0 (0)      | _                | _       |
|                           | Number of patients experiencing<br>urinary disorders       |          |            | 0.48 (0.36–0.64) | <0.001  |
|                           | Grade 1  | 111 (88) | 77 (62)    | _                | _       |
|                           | Grade 2  | 72 (57)  | 47 (38)    | -                | -       |
|                           | Grade 3  | 18 (14)  | 7 (6)      | -                | -       |
|                           | Grade 4  | 0 (0)    | 0 (0)      | -                | _       |
|                           | Number of patients experiencing<br>erectile dysfunction    |          |            | 0.75 (0.56–1.00) | 0.050   |
|                           | Grade 1  | 71 (56)  | 52 (42)    | -                | -       |
|                           | Grade 2  | 94 (75)  | 95 (77)    | _                | -       |
|                           | Grade 3  | 47 (37)  | 35 (28)    | _                | -       |
|                           | Grade 4  | 0 (0)    | 0 (0)      | -                | -       |
|                           | Total number of adverse events                             |          |            | -                | < 0.001 |
|                           | Grade 1  | 733      | 259        | _                | _       |
|                           | Grade 2  | 298      | 165        | -                | _       |
|                           | Grade 3  | 105      | 62         | _                | _       |
|                           | Grade 4  | 1        | 0          | -                | -       |
|                           | Total  | 1137     | 486        | -                | -       |
|                           | Median and range of adverse events per patient             |          |            | -                | -       |
|                           | Grade 1  | 6 (0–17) | 1.5 (0–11) | _                | _       |
|                           | Grade 2  | 2 (0–14) | 1 (0-4)    | _                | _       |
|                           | Grade 3  | 1 (0–6)  | 0 (0–3)    | -                | _       |
|                           | Grade 4  | 0 (0–1)  | -          | -                | -       |

## Predicted probabilities of toxicity grades

\_

|          | Predicted probability of severe toxicity |         |  |
|----------|--|---------|--|
| Toxicity | Observation vs adjuvant RT, OR (95% CI)  | p-value |  |

| <u>Study</u><br>Reference | NCT02668718, Hackman 2019  |   |  |  |  |  |
|---------------------------|--|---|--|--|--|--|
|                           | Erectile dysfunction (IIEF-5)  | 0.70 (0.29–1.68   | 0.4  |  |  |  |
|                           | Urinary dysfunction (IPSS)   | 0.51 (0.25–1.03   | 0.061  |  |  |  |
|                           | Urinary toxicity (LENT-SOMA)   | 0.76 ( 0.40–1.42)   | 0.4  |  |  |  |
|                           | Intestinal toxicity (LENT-SOMA)  | 0.04 (0.00–0.43)  | 0.008  |  |  |  |
|                           | <ul> <li>The most common LENT-SOMA toxicities were urinary frequency (93% of the patients in the adjuvant group and 92% in the observation group filled the questionnaire), urinary incontinence (70% and 62%, respectively), decreased urinary stream (61% and 56%, respectively), and rectal tenesmus (64% and 42%, respectively).</li> <li>The most common grade 4 toxicities were kidney-related toxicity (18 patients in the adjuvant group and 15 in the observation group), urinary incontinence (seven and five patients, respectively), and urinary frequency (five and two patients, respectively). The most common grade 4 kidney-related toxicity was based on two questions: answering "yes" to "do you suffer from tiredness and headache?" led to grade 3, and "yes" to "are you passing less urine than you usually do/are your feet swollen?" led to grade toxicity.</li> </ul> |   |  |  |  |  |
| Authors'<br>Conclusions   | <ul> <li>impact on pT2 disease with positive m compared with observation, and salva to overall survival.</li> <li>In the observation arm, 37 of 124 pati recurrence free, while 121 of 126 pati radiation despite randomisation into th ("salvage") arm, suggesting that high-radical prostatectomy.</li> </ul>  | ents received salvage radiotherapy for protocol<br>ents in the adjuvant arm received radiotherapy<br>his arm). Of note, more cases of metastatic dise<br>risk patients should be offered the possibility to<br>ective questions of radiation-related adverse ev | vant radiotherapy causes more adverse effects<br>ars as effective as adjuvant therapy with regard<br>-defined progression, after which remained<br>following radical prostatectomy (five declined<br>ease and CRPC occurred in the observation<br>consider adjuvant radiotherapy following |  |  |  |

Abbreviations: CI: confidence interval; CRPC: castration-resistant prostate cancer; cT: clinical T stage; GLMM: generalised linear mixed model; IIEF: International Index of Erectile Function; IPSS: International Prostate Symptom Score; LENT-SOMA: Late Effects Normal Tissue Task Force (LENT)-Subjective, Objective, Management Analytic (SOMA); OR: odds ratio; PSA: prostate-specific antigen; pT: Pathological T stage; RT: radiotherapy.

| Table | 41h. | Lennernäs | 2015 |
|-------|------|-----------|------|
|-------|------|-----------|------|

| <u>Study</u><br>Reference | Lennernäs 2015  |
|---------------------------|---|
|                           | <u>Study name</u><br>NR   |
| Study Design              | <u>Design</u><br>Multicentre randomised, parallel and open trial  |
|                           | <u>Objective</u><br>This paper is the first report on a study, performed in Sweden in 1996–2001, in which patients with localised/locally advanced PC were<br>randomised to HDR brachytherapy (the RT group) (2 x 10 Gy) combined with external beam RT (EBRT, 25 x 2 Gy) or to an open surgery |

T stage, n (%) *T1 T2* 

Gleason score

T3 Unknown

| <u>Study</u><br>Reference | Lennernäs 2015   |  |                                      |                                 |  |  |
|---------------------------|--|--|--------------------------------------|---------------------------------|--|--|
|                           | procedure (the RP group). The air such as complications and HRQc   | m was to assess differences betwee<br>L. | n the two treatment arms with regard | I to patient-reported outcomes, |  |  |
|                           | <u>Dates</u><br>1996–2001  |  |                                      |                                 |  |  |
|                           | <u>Country</u><br>Sweden   |  |                                      |                                 |  |  |
|                           | <u>Setting</u><br>Hospitals in Gothenburg, Uppsala   | a, Linköping, Eskilstuna and Stockho     | lm                                   |                                 |  |  |
|                           | Patient recruitment and eligibility<br>Inclusion<br>Men with localised/locally advanced PC clinical category T1b – T3a, N0, M0 and a PSA value ≤ 50 ng/ml were included. Patients should<br>have accepted RP or RT   |  |                                      |                                 |  |  |
|                           | <b>Exclusion</b><br>Patients should not have gone through myocardial infarction within the last six months; serum bilirubin, ASAT/ALAT should not exceed 1.2 times the normal highest reference limit. Other malignant disease, excluding basal cell carcinoma, was an exclusion criteria            |  |                                      |                                 |  |  |
|                           | <b>Other</b><br>PC was proven histopathologically by ultrasound-guided transrectal core-needle biopsy, mapping a total of si<br>quadrants and at least two biopsies from the base of the seminal vesicles. Bone scans were performed on al<br>ng/ml and not older than three months at randomisation |  |                                      |                                 |  |  |
|                           | <u>Sample size</u><br>A total of 89 patients were included in the study and randomised   |  |                                      |                                 |  |  |
| Population                | Demographics   |  |                                      |                                 |  |  |
| Characteristics           | Parameter  | Randomised to prostatectomy<br>(n=45)    | Randomised to irradiation (n=44)     |                                 |  |  |
|                           | Median age (years)   | 64                                       | 66                                   |                                 |  |  |
|                           | Ethnicity  | NR                                       | NR                                   |                                 |  |  |
|                           | BMI  | NR                                       | NR                                   |                                 |  |  |
|                           | PSA level  | NR                                       | NR                                   |                                 |  |  |
|                           | Prostate volume  | NR                                       | NR                                   |                                 |  |  |
|                           | Number of positive biopsy samples  | NR                                       | NR                                   |                                 |  |  |
|                           | T stage $n$ (%)  |  |                                      |                                 |  |  |

18 (40) 17 (38) 4 (9) 6 (13)

NR

17 (39) 16 (36) 3 (7) 8 (18)

NR

| <u>Study</u><br>Reference | Lennernäs 2015  |   |                                      |                                       |  |  |  |  |
|---------------------------|---|---|--------------------------------------|---------------------------------------|--|--|--|--|
|                           | Other risk classification (e.g.<br>D'Amico or CAPRA)  | NR  | NR                                   |                                       |  |  |  |  |
|                           | Randomisation<br>The patients were randomised to HE<br>procedure (the RP group). Randomis<br>Centre, Sahlgrenska Hospital, Gothe<br>T2 or T3; age < 70 years or ≥ 70 year   | sation was performed by telephone<br>nburg. The patients were stratified  | and recorded at a central registra   | ation office at the Regional Oncology |  |  |  |  |
|                           | sparing RP, which was performed wi<br>PC and PSA ≥ 20 ng/ml and in all the<br>lymph node dissection was done wit<br>was the nerve sparing method. The   | ProstatectomyPatients randomised to RP underwent lymph node evaluation in connection to surgery. Only node-negative patients proceeded to a nervesparing RP, which was performed within 3–4 months after randomisation. Lymphadenectomy was conducted in patients with stage T1b-T2PC and PSA $\geq 20$ ng/ml and in all those with either T3 tumours, irrespective of grades, or grade 3 tumours irrespective of stages. Bilaterallymph node dissection was done with laparoscopic technique with bilateral node dissection including obturator nodes. The RP procedurewas the nerve sparing method. The surgeon aimed to conduct a radical operation and sacrificed the neurovascular bundles on the tumourside. If the patient was found to have more extensive disease than presumed preoperatively, surgery was still performed if technically |                                      |                                       |  |  |  |  |
|                           | <b>Total androgen blockade</b><br>All patients were treated with total androgen blockade (TAB), consisting of a combination of antiandrogen and gonadotropin releated hormone (GnRh) analogue in the neo-adjuvant setting. The TAB included leuprorelin (s.c. 3.75 mg every 4 <sup>th</sup> week) and flutamide orally three times a day) that continued for six months   |   |                                      |                                       |  |  |  |  |
| Methods                   | Arm 2 (RT group)<br>Irradiation given as a combination of<br>all had lymph node dissection accord   |   | is initiated within 3 – 4 months aft | er randomization. Before that, they   |  |  |  |  |
|                           | <b>EBRT</b><br>The clinical target volume (CTV) comprised the tumour and the entire prostate gland with a margin of 0.5 cm. The planning target volume (PTV) included CTV with a margin of 1.5 cm. If the posterior extension of this margin included more than half of the rectal lumen, the margin in this direction was restricted to encompass less than half of that area. RT was planned with a three-dimensional (3D) dose planning system (Dosetech or Helax), delivered with at least 8 MV photon beams.   |   |                                      |                                       |  |  |  |  |
|                           | HDR brachytherapy<br>CTV comprised the entire prostate including the tumour. PTV included an additional 3-mm margin. The minimum radiation dose was 10 Gy.<br>The recommended rectal dose was not to be given in excess of 6 Gy, defined as the dose to the rectal volume outside a 3-cm long line<br>drawn parallel to the dorsal limitation of the prostate. Two brachytherapy treatments given at a two-week intervals were planned for each<br>patient. If the first brachytherapy session caused toxicity, or if the patient did not participate in a second session for any reason, the second<br>treatment session was replaced with additional external RT of 14 Gy. All patients were evaluated according to the intention-to-treat principle. |   |                                      |                                       |  |  |  |  |
|                           | Total androgen blockade<br>As above   |   |                                      |                                       |  |  |  |  |
|                           | Duration of follow-up<br>10 years   |   |                                      |                                       |  |  |  |  |

| <u>Study</u><br>Reference | Lennernäs 2015  |  |                           |                    |                    |  |  |  |  |
|---------------------------|---|--|---------------------------|--------------------|--------------------|--|--|--|--|
|                           | Outcomes<br>HRQoL was assessed on three occasions: before randomisation to therapy and 12 and 24 months after randomisation. HRQoL was<br>measured with the European Organization of Research and Treatment of Cancer Quality of Life Questionnaire C33 (EORTC QLQ-C33). A<br>PC-specific HRQoL questionnaire consisting of 20 items (developed in Gothenburg, Sweden) was used to gather information on specific<br>problems experienced by PC patients with respect to bowel, urinary tract, and sexual function. Survival rate, prostate cancer mortality and<br>all-cause mortality were also recorded. |  |                           |                    |                    |  |  |  |  |
|                           | Mean scores and standard de   | viations (SD) for the EORTC QLQ-C33            | subscales and single iter | <u>ms</u>          |                    |  |  |  |  |
|                           |   |  |                           | Assessment points  |                    |  |  |  |  |
|                           | Variable  | Randomisation arm                              | Randomisation             | 12 months          | 24 months          |  |  |  |  |
|                           |   |  | Mean (SD)                 | Mean (SD)          | Mean (SD)          |  |  |  |  |
|                           | Physical functioning <sup>1</sup>   | Irradiation (n = 25)<br>Prostatectomy (n = 33) | 95 (13)<br>97 (11)        | 94 (14)<br>96 (9)  | 94 (17)<br>96 (12) |  |  |  |  |
|                           | Role functioning <sup>1</sup>   | Irradiation (n = 26)<br>Prostatectomy (n = 33) | 96 (14)<br>92 (25)        | 96 (14)<br>94 (24) | 96 (14)<br>97 (17) |  |  |  |  |
|                           | Emotional functioning <sup>1</sup>  | Irradiation (n = 25)<br>Prostatectomy (n = 33) | 78 (19)<br>81 (21)        | 86 (19)<br>89 (15) | 87 (17)<br>88 (16) |  |  |  |  |
|                           | Cognitive functioning <sup>1</sup>  | Irradiation (n = 25)<br>Prostatectomy (n = 31) | 89 (16)<br>88 (12)        | 88 (16)<br>89 (10) | 88 (18)<br>87 (13) |  |  |  |  |
|                           | Social functioning <sup>1</sup>   | Irradiation (n = 26)<br>Prostatectomy (n = 33) | 92 (13)<br>92 (20)        | 83 (21)<br>82 (20) | 83 (24)<br>90 (20) |  |  |  |  |
| Harms and<br>Benefits of  | Global quality of life <sup>1</sup>   | Irradiation (n = 24)<br>Prostatectomy (n = 31) | 80 (18)<br>82 (20)        | 76 (22)<br>77 (16) | 75 (20)<br>77 (21) |  |  |  |  |
| Interventions             | Fatigue <sup>2</sup>  | Irradiation (n = 25)<br>Prostatectomy (n = 32) | 11 (18)<br>14 (18)        | 14 (17)<br>16 (15) | 12 (14)<br>13 (16) |  |  |  |  |
|                           | Pain <sup>2</sup>   | Irradiation (n = 26)<br>Prostatectomy (n = 33) | 10 (16)<br>7 (13)         | 15 (18)<br>10 (18) | 14 (24)<br>8 (14)  |  |  |  |  |
|                           | Insomnia <sup>2</sup>   | Irradiation (n = 25)<br>Prostatectomy (n = 33) | 13 (26)<br>7 (14)         | 12 (23)<br>17 (24) | 8 (14)<br>9 (15)   |  |  |  |  |
|                           | Constipation <sup>2</sup>   | Irradiation (n = 26)<br>Prostatectomy (n = 33) | 4 (11)<br>1 (6)           | 5 (20)<br>4 (14)   | 3 (9)<br>3 (10)    |  |  |  |  |
|                           | Diarrhea <sup>2</sup>   | Irradiation (n = 26)<br>Prostatectomy (n = 33) | 6 (16)<br>2 (8)           | 14 (23)<br>5 (12)  | 9 (15)<br>3 (10)   |  |  |  |  |
|                           | Financial difficulties <sup>2</sup>   | Irradiation (n = 26)<br>Prostatectomy (n = 33) | 10 (16)<br>8 (20)         | 23 (31)<br>24 (29) | 22 (31)<br>11 (23) |  |  |  |  |

<sup>1</sup>Range 0–100, high values indicate high levels of functioning and quality of life; <sup>2</sup>Range 0–100, high levels indicate pronounced symptoms and problems

No statistically significant differences between the two randomization groups were found for any of the HRQoL variables. There was a statistically significant improvement in emotional functioning over time (df = 2.57, F = 8.227, p = 0.0005). Also, social functioning decreased

| ence         | Lennernäs 2015  |       |                       |                  |                   |                 |                  |  |
|--------------|---|-------|-----------------------|------------------|-------------------|-----------------|------------------|--|
| <u>, 100</u> | with time (df = 2.57, F = 5.540, significant group-by-time intera |       | ncial difficulties in | ncreased (df = 2 | .57, F = 7.225, p | = 0.0011). Ther | e were no statis |  |
|              | Frequencies of prostate cancer-specific problems                  |       |                       |                  |                   |                 |                  |  |
|              | A   | Rando | misation              | 12 m             | 12 months         |                 | onths            |  |
|              | Assessments   | RT%   | RP%                   | RT%              | RP%               | RT%             | RP%              |  |
|              | *Urinary urgency  |       |                       |                  |                   |                 |                  |  |
|              | 1   | 47    | 58                    | 54               | 59                | 39              | 58               |  |
|              | 2   | 37    | 42                    | 32               | 26                | 32              | 21               |  |
|              | 3   | 13    | 0                     | 11               | 10                | 26              | 18               |  |
|              | 4   | 3     | 0                     | 3                | 5                 | 3               | 3                |  |
|              | *Urinary incontinence   |       |                       |                  |                   |                 |                  |  |
|              | 1   | 76    | 83                    | 76               | 46                | 61              | 45               |  |
|              | 2   | 17    | 14                    | 19               | 41                | 29              | 39               |  |
|              | 3   | 7     | 0                     | 5                | 5                 | 5               | 11               |  |
|              | 4   | 0     | 3                     | 0                | 8                 | 5               | 5                |  |
|              | *Bowel incontinence   |       |                       | -                |                   |                 |                  |  |
|              | 1   | 93    | 92                    | 81               | 90                | 76              | 92               |  |
|              | 2   | 7     | 8                     | 14               | 10                | 24              | 8                |  |
|              | 3   | 0     | 0                     | 5                | 0                 | 0               | 0                |  |
|              | 4   | 0     | 0                     | 0                | 0                 | 0               | 0                |  |
|              | *Bowel blood  |       | <u> </u>              | Ŭ                | ů                 | Ű               | Ű                |  |
|              | 1   | 90    | 10                    | 89               | 92                | 79              | 94               |  |
|              | 2   | 10    | 0                     | 8                | 8                 | 15              | 3                |  |
|              | 3   | 0     | 0                     | 3                | 0                 | 3               | 3                |  |
|              | 4   | 0     | 0                     | 0                | 0                 | 3               | 0                |  |
|              | *Hot flushes  |       |                       | -                |                   |                 |                  |  |
|              | 1   | 87    | 78                    | 65               | 65                | 79              | 71               |  |
|              | 2   | 10    | 14                    | 27               | 18                | 16              | 21               |  |
|              | 3   | 0     | 8                     | 5                | 8                 | 5               | 5                |  |
|              | 4   | 3     | 0                     | 3                | 5                 | 0               | 3                |  |
|              | <sup>#</sup> Erectile problems                                    |       | -                     | -                | -                 | -               | -                |  |
|              | 1   | 21    | 31                    | 5                | 3                 | 3               | 5                |  |
|              | 2   | 32    | 36                    | 19               | 5                 | 11              | 5                |  |
|              | 3   | 32    | 22                    | 19               | 11                | 27              | 16               |  |
|              | 4   | 15    | 11                    | 57               | 81                | 59              | 74               |  |
|              | <sup>#</sup> Sexual interest                                      |       |                       |                  |                   |                 |                  |  |
|              | 1   | 32    | 39                    | 19               | 15                | 21              | 21               |  |
|              | 2   | 32    | 33                    | 22               | 18                | 10              | 13               |  |
|              | 3   | 21    | 25                    | 24               | 26                | 32              | 37               |  |
|              | 4   | 15    | 3                     | 35               | 41                | 37              | 29               |  |

| <u>Study</u><br>Reference | Lennernäs 2015  |
|---------------------------|---|
|                           | Response categories: 1 = Not at all; 2 = Little; 3 = Quite a bit; 4 = Very much. *30 – 38 patients (RT), 36 – 39 patients (RP); #28 – 37 patients (RT), 36 – 38 patients (RP).  |
|                           | No statistically significant differences were found between the groups in terms of prostate cancer-specific complications. However, a statistically significant group-by-time interaction was found for urinary incontinence (df = 55,2; F = 7.304; p = 0.0011). Grade 4 urinary incontinence was not reported in the RT group at the one-year assessment, whereas 8% (n = 3) had this problem in the RP group. At the two-year assessment, grade $3 - 4$ urinary incontinence was reported by 10% (n = 3) in the RT group compared to 16% (n = 4) in the RP group. Both groups reported diminished sexual interest (df = 53,2; F = 11.789; p = 0.0001) and erectile dysfunction (df = 52,2; F = 49.77; p = 0.0001) |
|                           | <u>Survival</u><br>A total of 68 patients (76%) were still alive in 2011, 10 years after the last patient was randomised into the trial. Eight patients (9%) (n = 6 in<br>RP-group and n = 2 in RT-group) died of PC, and 13 patients died (n = 6 in RP-group and 7 in RT-group) of other causes  |
| Authors'<br>Conclusions   | This randomised study showed no statistically significant differences in HRQoL and complications between patients subjected to RP and those given high-dose rate brachytherapy combined with external beam radiation therapy. Few patients died during the 10-year follow-up, but no conclusions can be drawn regarding differences in survival as the study was underpowered   |

**Abbreviations**: ASAT/ALAT, aspartate transaminase/alanine transaminase ratio; BMI, body mass index; CAPRA, cancer of the prostate risk assessment; CTV, clinical target volume; EBRT, external beam radiotherapy; EORTC QLQ-C33, European Organization of Research and Treatment of Cancer Quality of Life Questionnaire C33; GnRh, gonadotropin releasing hormone; HDR, high dose-rate; HRQoL, health-related quality-of-life; NR, not reported; PC, prostate cancer; PSA, prostate-specific antigen; PTV, planning target volume; RP, radical prostatectomy; RT, radiotherapy; SD, standard deviation; TAB, total androgen blockade

## Table 41i. PMH 9907 (McPartlin 2016)

| <u>Study</u><br>Reference | PMH 9907 (McPartlin 2016)  |
|---------------------------|--|
|                           | Study name<br>PMH 9907   |
|                           | <u>Design</u><br>Randomised controlled trial (phase 3)   |
|                           | <u>Objective</u><br>To assess the benefit of hormone therapy with DE-EBRT for patients with localised PCa  |
| Study Design              | <u>Dates</u><br>Recruitment: 1999–2006 (closed early in 2005 due to concerns over data indicating a survival deficit from the addition of bicalutamide<br>therapy to watchful waiting) |
|                           | <u>Country</u><br>Canada   |
|                           | Setting<br>NR  |

| <u>Study</u><br>Reference     | PMH 9907 (McPartlin 2016)   |   |   |                      |  |  |  |  |
|-------------------------------|---|---|---|----------------------|--|--|--|--|
|                               | Patient recruitment and eligibility<br>NR   |   |   |                      |  |  |  |  |
|                               | Inclusion<br>Prostate carcinoma with stage T1b–T2 tumours<br>Gleason scores 6–8<br>PSA levels ≤20 ng/mL<br>Patients with clinical T1b/T2a tumours and a Gleason score of 6 were required to have PSA levels from 10–20 ng/mL<br>No previous hormone or cytotoxic therapy  |   |   |                      |  |  |  |  |
|                               | Exclusion<br>NR   |   |   |                      |  |  |  |  |
|                               | <b>Other</b><br>All patients had an ECOG PS ≤2, were aged ≤80 years and had no contraindication to DE-EBRT  |   |   |                      |  |  |  |  |
| Population<br>Characteristics | Sample size<br>N invited = 252<br>N assigned to intervention = 123 (A<br>N eligible and available for evaluation<br>N excluded (with reason) = 4 (Arm<br>withdrew consent, 1 had no follow u<br>N lost to follow-up = 1 (Arm 1), 1 (A<br>N completed = 111 (Arm 1), 116 (A<br>N excluded from per protocol analy-<br>received <75.6 Gy), 6 (Arm 2; 5 reconstruction<br>N included in analysis =<br>Per protocol = 111 (Arm 1),<br>ITT = 119 (Arm 1), 122 (Arm<br>Demographics | on = 119 (Arm 1), 122 (Arm 2)<br>1; 3 did not meet inclusion criteria, 7<br>up)<br>rm 2)<br>sis = 8 (Arm 1; 4 did not receive bic<br>eived <75.6 Gy, 1 received <75.6 G<br>), 116 (Arm 2) | 1 had no follow up), 7 (Arm 2; 5 c<br>alutamide, 2 received <75.6 Gy, |                      |  |  |  |  |
|                               | Parameter   | RT + bicalutamide (N=119)   | RT alone (N=122)  | p value <sup>a</sup> |  |  |  |  |
|                               | Age, y, median (range)  | 71.4 [57.6-79.4]  | 70.9 [55.3-79.5]  | 0.41 <sup>b</sup>    |  |  |  |  |
|                               | Ethnicity   | NR  |   | NR                   |  |  |  |  |
|                               | BMI   | NR  | NR  | NR                   |  |  |  |  |
|                               | PSA level, ng/mL, median (range)  | ••••  |   |                      |  |  |  |  |
|                               | At randomisation  | 8.3 (1.2–19.6)  | 7.6 (1.1–20)  | 0.49 <sup>b</sup>    |  |  |  |  |
|                               | At RT   | 2.6 (0.1–20.4)  | 7.6 (0.4–22.3)  | <0.001 <sup>b</sup>  |  |  |  |  |

| BIVII                           | NR             | NR             | NR                  |
|---------------------------------|----------------|----------------|---------------------|
| PSA level, ng/mL, median (range | )              |                |                     |
| At randomisation                | 8.3 (1.2–19.6) | 7.6 (1.1–20)   | 0.49 <sup>b</sup>   |
| At RT                           | 2.6 (0.1–20.4) | 7.6 (0.4–22.3) | <0.001 <sup>b</sup> |
| Prostate volume                 | NR             | NR             | NR                  |
| % positive cores                |                |                |                     |
| Median (range)                  | 50 (8–100)     | 50 (7–100)     | 0.36 <sup>b</sup>   |
| Number missing                  | 6              | 5              |                     |
| T stage                         |                |                |                     |
| T1b–T2a                         | 96 (80.7)      | 91 (74.6)      | 0.28                |
| T2b–T2c                         | 23 (19.3)      | 31 (25.4)      |                     |

| Study     | PMH 9907 (McPartlin 2016)      |
|-----------|--------------------------------|
| Reference | 1 will 5507 (wich altill 2010) |

.

| M stage                   | NR        | NR        | NR                |
|---------------------------|-----------|-----------|-------------------|
| N stage                   | NR        | NR        | NR                |
| Gleason score             |           |           |                   |
| 3 + 3                     | 13 (10.9) | 17 (13.9) | 0.51              |
| 3 + 4                     | 67 (56.3) | 71 (58.2) |                   |
| 4 + 3                     | 34 (28.6) | 26 (21.3) |                   |
| 3 + 5                     | 1 (0.8)   | 2 (1.6)   |                   |
| 4 + 4                     | 4 (3.4)   | 5 (4.1)   |                   |
| 5 + 3                     | 0 (0)     | 1 (0.8)   |                   |
| Risk group                |           |           |                   |
| Unclassified intermediate | 1 (0.8)   | 3 (2.5)   | >0.99             |
| Favourable intermediate   | 29 (24.4) | 28 (23)   |                   |
| Unfavourable intermediate | 84 (70.6) | 83 (68)   |                   |
| High                      | 5 (4.2)   | 8 (6.5)   |                   |
| RT dose, Gy               |           |           |                   |
| 75.6                      | 40 (33)   | 36 (29.5) | 0.58 <sup>c</sup> |
| 78–79.8                   | 75 (63)   | 80 (66.4) |                   |
| <75.6                     | 4 (3.4)   | 6 (4.9)   |                   |

<sup>a</sup> Calculated by the Fisher exact test unless otherwise indicated

<sup>b</sup> P values determined using the Mann-Whitney test

° P value is for 75.6 Gy vs 78.0–79.8 Gy

<sup>d</sup> Favourable vs unfavourable

#### Randomisation

Stratified randomisation carried out according to initial PSA level (<10 vs ≥10 ng/mL), Gleason score (<7 vs 7 or 8) and tumour stage (T1 vs T2)

#### <u>Arm 1 (n=119)</u>

#### Bicalutamide + DE-EBRT

- 5 months of neoadjuvant and adjuvant bicalutamide (150 mg once daily) starting 3 months before RT
- Patients received RT using 6-coplanar, equally weighted 18 MV beams or IMRT with daily imaging using an electronic portal imaging device and setup verification using fiducial markers. From 1999–2001, patients received **75.6 Gy in 42 fr over 8.5 weeks**, subsequently, the dose was increased to **79.8 Gy in 42 fr** and then **78 Gy in 39 fr** as experience with DE-EBRT increased

## Methods Arm 2 (n=122)

DE-EBRT

• RT as above

#### Duration of follow-up

Median follow-up for surviving patients = 9.1 years (0.1–14.8 years)

#### <u>Outcomes</u>

Primary endpoint

• Biochemical failure – defined using the Phoenix criteria as a rise ≥2 ng/mL above the PSA nadir

#### Secondary endpoints

| <u>udy</u><br>eference | PMH 9907 (McPartlin  | -  |                     |                         |                    |                      |                             |                   |  |  |
|------------------------|--|--|---------------------|-------------------------|--------------------|----------------------|-----------------------------|-------------------|--|--|
|                        |  | Local tumour control – assessed by repeat transrectal prostate biopsy 2 years after the completion of RT |                     |                         |                    |                      |                             |                   |  |  |
|                        | <ul> <li>QoL – measured using the EORTC QLQ-C30 v +3 and IIEF checklist</li> <li>OS</li> </ul> |  |                     |                         |                    |                      |                             |                   |  |  |
|                        |  | city – measured using  | the PTOC sout       | o and late to           | vicity coaloc      |                      |                             |                   |  |  |
|                        |  |  | THE KIOG acut       |                         | KICITY SCALES      |                      |                             |                   |  |  |
|                        | Biochemical failure and  | <u>05</u>  |                     |                         |                    |                      |                             |                   |  |  |
|                        | Outcome  | Rate at 5 years  | follow up, % (9     | 5% CI)                  | F                  | Rate at 9 yea        | rs follow up, % (95% Cl     | )                 |  |  |
|                        | Culoonio   | Bicalutamide + RT  | RT alone            | P value                 | Bicalutamio        | de + RT              | RT alone                    | P value           |  |  |
|                        | Biochemical failure  | 17 (11–25)   | 24 (17–33)          | NR                      | 40 (31-            |                      | 47 (37–58)                  | 0.32              |  |  |
|                        | OS   |  | · · · · ·           |                         | 82 (75-            | -90)                 | 86 (80–94)                  | 0.37              |  |  |
|                        | Biochemical failure: Bio   | alutamide + RT vs RT   | , HR = 0.82 (95     | % CI 0.55–1             | .21) (9 year follo | w up)                |                             |                   |  |  |
|                        | OS: Bicalutamide + RT  | vs RT, HR = 1.33 (95   | % CI, 0.72–2.47     | ') (9-year foll         | ow up)             |                      |                             |                   |  |  |
|                        | Multivariate analysis for  | r biochemical failure (N   | N=215)              |                         |                    |                      |                             |                   |  |  |
|                        | -  |  | -                   |                         |                    |                      |                             |                   |  |  |
|                        | Variable   |  | HR                  |                         |                    | P value              |                             |                   |  |  |
|                        | RT + bicalutamide vs   |  | 0.78                |                         | 0.51–1.19          | 0.25                 |                             |                   |  |  |
|                        | Unfavourable vs favou  | <b>0</b> 1   | 1.89                |                         | 1.09–3.25          | 0.022                |                             |                   |  |  |
|                        | High-dose vs low-dos   | e RT   | 0.56                |                         | 0.37–0.86          | 0.0082               |                             |                   |  |  |
|                        | RTOG acute and late to   | oxicity  |                     |                         |                    |                      |                             |                   |  |  |
| rms and                |  | By trea  | reatment, n (%)     |                         | By RT dose, n (%)  |                      |                             |                   |  |  |
| enefits of             | Toxicity   |  |                     | 1                       |                    |                      | ,                           |                   |  |  |
| erventions             |  | Bicalutamide + RT<br>(n=119)   | RT alone<br>(n=122) | P value <sup>a</sup>    | 75.6 (             | Gy                   | 78–79.8 Gy                  | P value           |  |  |
|                        | Acute GI Grade 2   | 11 (9.6)   | 11 (8.7)            | 0.83                    | 5 (6.6             | 3)                   | 16 (10.3)                   | 0.47              |  |  |
|                        | Acute GI Grade 3   | 0 (0)  | 0 (0)               | 0.00                    | 0 (0               |                      | 0 (0)                       | 0.47              |  |  |
|                        | Acute GU Grade 2   | 33 (28.9)  | 38 (29.9)           | >0.99                   | 11 (14             |                      | 60 (38.7)                   | 0.0002            |  |  |
|                        | Acute GU Grade 3   | 2 (1.8)  | 0 (0)               |                         | 1 (1.3             | 1                    | 1 (0.6)                     |                   |  |  |
|                        | Late GI Grade 2  | 4 (3.5)  | 6 (4.7)             | 0.55                    | 0 (0               |                      | 9 (5.8)                     | 0.033             |  |  |
|                        | Late GI Grade 3  | 0 (0)  | 1 (0.8)             |                         | 0 (0               | )                    | 1 (0.6)                     |                   |  |  |
|                        | Late GU Grade 2  | 11 (9.6)   | 7 (5.5)             | 0.41                    | 5 (6.6             | ô)                   | 13 (8.4)                    | >0.99             |  |  |
|                        | Late GU Grade 3  | 13 (11.4)  | 14 (11)             |                         | 9 (11.             | .8)                  | 15 (9.7)                    |                   |  |  |
|                        | <sup>a</sup> Fisher exact tests reflect  | the association between  | grade 2 and 3 vs    | grade 0 and 1           | toxicities and the | treatment rece       | eived (bicalutamide or RT o | lose)             |  |  |
|                        | Bicalutamide therapy w   | as stonned premature   | ly in 5 nationts    | (1.3%) due t            | o avnecomastia (   | (n-3) perior         | nital nain of unclear acti  | ology(n-1)        |  |  |
|                        | and unspecified reason   |  | ay in 5 patients    | ( <del>-</del> ) uue li | S gynecomastia (   | (1-5), perior        |                             | ology (II=1)      |  |  |
|                        | ·  |  |                     |                         |                    |                      |                             |                   |  |  |
|                        | <u>QoL</u>   |  |                     |                         |                    |                      |                             |                   |  |  |
|                        | There was almost no lo   |  |                     |                         |                    |                      |                             |                   |  |  |
|                        |  |  | attain and a second | I de alua lu le -       | €امريام ممسم مالا  | الانتار وتتناهما الم | no clear change from b      | a a a line a line |  |  |

overall satisfaction was observed 4 years after treatment in either group. The EORTC-30 questionnaire similarly identified no marked effect of the addition of bicalutamide, with stable overall QoL reported in both groups through the treatment period.

| <u>Study</u><br><u>Reference</u> | PMH 9907 (McPartlin 2016)  |
|----------------------------------|--|
| Authors'<br>Conclusions          | <ul> <li>The PMH 9907 study failed to demonstrate a significant benefit from the addition of bicalutamide to DE-EBRT for a group of patients with predominantly intermediate risk PCa. A trend toward a reduction in the biochemical failure rate after combination therapy was observed, and the conclusions were limited by failure to complete accrual.</li> <li>Bicalutamide was well tolerated and, in this cohort, appeared to have no significant adverse effect on sexual function.</li> </ul> |

**Abbreviations**: CI, confidence interval; DE-EBRT, dose-escalated external beam radiotherapy; ECOG, Eastern Cooperative Oncology Group; EORTC, European Organisation for Research and Treatment of Cancer; fr, fractions; GI, gastrointestinal; GU, genitourinary; HR, hazard ratio; IIEF, International Index or Erectile Function; IMRT, intensity-modulated radiation therapy; N/A, not applicable; MV, megavolt; OS, overall survival; NR, not reported; PCa, prostate cancer; PS, performance status; PSA, prostate-specific antigen; QLQ-C30, Quality-of-Life Questionnaire C30; QoL, quality of life; RCT, randomised controlled trial; RTOG, Radiation Therapy Oncology Group

## Table 41j. NCT00116220, Sanford 2017/Royce 2017

| <u>Study</u><br><u>Reference</u> | NCT00116220, Sanford 2017/Royce 2017  |
|----------------------------------|---|
| Study Design                     | Study name         NCT00116220         Design         Randomised controlled trial         Objective         To evaluate whether the extent of anti-androgen received impacted the risk of all-cause mortality and prostate cancer-specific mortality within comorbidity subgroups adjusting for age and prostate cancer prognostic factors.         Dates         December 1995 to April 2001         Country         United States         Setting                                     |
| Population<br>Characteristics    | Academic and community based centres in Massachusetts         Patient recruitment and eligibility         NR         Inclusion         Patients with clinical stage T1b–T2bN0M0 unfavourable-risk, including a PSA level >10 ng/mL (maximum 40 ng/mL), biopsy Gleason score 7–10, or radiographic evidence of extracapsular extension and/or seminal vesicle invasion by using endorectal MRI.         Exclusion         NR         Other         NR         Sample size – Sanford 2017 |

| <u>Study</u><br>Reference | NCT00116220, Sanford  | 2017/Royce 2017                |                               |                                |
|---------------------------|---|--------------------------------|-------------------------------|--------------------------------|
|                           | N invited = 206<br>N eligible = 206<br>N enrolled = 2016<br>N excluded (with reason) = 0<br>N lost to follow-up = 0<br>N completed = 206<br>N excluded from analysis = 0<br>N included in analysis = 206                              |                                |                               |                                |
|                           | Sample size – Royce 2017<br>N invited = 206<br>N eligible = 206<br>N enrolled = 2016<br>N excluded (with reason) = 0<br>N lost to follow-up = 0<br>N completed = 157<br>N excluded from analysis = 54<br>N included in analysis = 157 |                                |                               |                                |
|                           | Demographics  |                                |                               |                                |
|                           | Parameter   | Full ADT (N=73)                | Partial ADT (N=29)            | No ADT (N=104)                 |
|                           | Age at<br>recruitment/randomisation,<br>median (IQR), years   | 71.97 (68.75, 75.38)           | 71.76 (70.17, 73.62)          | 73.21 (68.90, 76.30)           |
|                           | Ethnicity   | NR                             | NR                            | NR                             |
|                           | BMI   | NR                             | NR                            | NR                             |
|                           | PSA level   |                                |                               |                                |
|                           | Median (IQR)  | 11.0 (7.50, 14.84)             | 11.2 (7.85, 17.33)            | 11.0 (7.52, 16.35)             |
|                           | ≤4, n (%)   | 4 (5.48)                       | 4 (13.79)                     | 6 (5.77)                       |
|                           | >4–10, n (%)  | 27 (36.99)                     | 9 (31.03)                     | 38 (36.54)                     |
|                           | >10–20, n (%)   | 36 (49.32)                     | 9 (31.03)                     | 43 (41.35)                     |
|                           | >20, n (%)  | 6 (8.22)                       | 7 (24.14)                     | 17 (16.35)                     |
|                           | Prostate volume   | NR                             | NR                            | NR                             |
|                           | Number of positive biopsy   | NR                             | NR                            | NR                             |
|                           | samples   |                                |                               |                                |
|                           | Tatawa  |                                |                               |                                |
|                           | T stage   | 42 (59 00)                     | 10 (11 20)                    | 42 (44 25)                     |
|                           | T1  | 43 (58.90)                     | 12 (41.38)                    | 43 (41.35)                     |
|                           | T1<br>T2a   | 13 (17.81)                     | 6 (20.69)                     | 26 (25.00)                     |
|                           | T1<br>T2a<br>T2b  | 13 (17.81)<br>17 (23.29)       | 6 (20.69)<br>11 (37.93)       | 26 (25.00)<br>35 (33.65)       |
|                           | T1<br>T2a<br>T2b<br>M stage   | 13 (17.81)<br>17 (23.29)<br>NR | 6 (20.69)<br>11 (37.93)<br>NR | 26 (25.00)<br>35 (33.65)<br>NR |
|                           | T1<br>T2a<br>T2b  | 13 (17.81)<br>17 (23.29)       | 6 (20.69)<br>11 (37.93)       | 26 (25.00)<br>35 (33.65)       |

| <u>Study</u><br>Reference | NCT00116220, Sanford 2017/Royce 2017  |  |                   |            |  |  |  |  |  |
|---------------------------|---|--|-------------------|------------|--|--|--|--|--|
|                           | 8 or 4+3  | 22 (30.14)                                       | 6 (20.69)         | 31 (29.81) |  |  |  |  |  |
|                           | 3+4 or less   | 47 (64.38)                                       | 21 (72.41)        | 62 (59.62) |  |  |  |  |  |
|                           | Other risk classification (e.g.<br>D'Amico or CAPRA)  | NR   | NR                | NR         |  |  |  |  |  |
|                           | Randomisation         E.g. The research coordinator assigned eligible patients 1:1 using a randomisation schedule generated by means of the SAS programme (version 9.1) and an interactive web response system. Neither the participants nor the investigators were masked to treatment allocation, because blinding was not feasible.         Arm 1: RT + full ADT vs RT + partial ADT vs RT + no ADT         ADT consisted of 6 months of an LHRH agonist (leuprolide or goserelin acetate) in combination with 6 months of the nonsteroidal AA flutamide. The prescription dose of flutamide was 250 mg three times a day. Liver function tests (LFTs) including aspartate aminotransferas (AST), alanine aminotransferase (ALT), alkaline phosphate and total bilirubin levels were obtained at regular intervals. Flutamide was discontinued if the ALT or AST level exceeded twice the upper limit of normal and then resumed once these levels normalized. If AST or AL levels were elevated to twice the upper limit of normal again, flutamide was permanently discontinued. Flutamide was also held if the patient experienced gastrointestinal side effects including cramping, diarrhoea, or uncontrolled nausea and was reintroduced once these symptoms resolved. If the patient could not tolerate the full dose of resumed flutamide, then a half dose was attempted. If the half dose could not be tolerated, then flutamide was discontinued permanently. The number of days each patient took flutamide was recorded. All patients receive 6 months of LHRH antagonist. In the men receiving partial ADT, the median duration of flutamide was 4.2 months (interquartile range, 3.3 to formal set and utarition of flutamide was 4.2 months (interquartile range, 3.3 to formal set and utarition of flutamide was 4.2 months (interquartile range, 3.3 to formal set and utarition of flutamide was 4.2 months (interquartile range, 3.3 to formonths of LHRH antagonist. |  |                   |            |  |  |  |  |  |
| Methods                   | 5.5 months).<br>No detail on radiation received.<br><u>Duration of follow-up</u><br>Median follow-up 16.62 years  |  |                   |            |  |  |  |  |  |
|                           | <ul> <li>Outcomes</li> <li>Primary endpoint(s)</li> <li>All-cause mortality, adjusted for age and prostate cancer prognostic factors (PSA level, clinical T category, biopsy Gleason score). The oncologist following the patient determined the cause of death.</li> <li>Prostate cancer-specific mortality, adjusted for age and prostate cancer prognostic factors (PSA level, clinical T category, biopsy Gleason score). The oncologist following the patient determined the cause of death.</li> <li>Prostate cancer-specific mortality, adjusted for age and prostate cancer prognostic factors (PSA level, clinical T category, biopsy Gleason score). The oncologist following the patient determined the cause of death. For PC to be the cause of death, the following criteria had to be met: castrate-resistant metastatic PC, a rising PSA despite multiple salvage ADT regiments, and usually chemotherapy before death.</li> </ul>  |  |                   |            |  |  |  |  |  |
|                           | <ul> <li>Secondary endpoints</li> <li>No secondary outcomes reported by Sanford 2017</li> <li>PSA failure (Royce 2017)</li> </ul>   |  |                   |            |  |  |  |  |  |
| Harms and<br>Benefits of  | Royce 2017: PSA failure compared Outcome  | by RT+ADT and RT grou<br>Events<br>RT+ADT (N=78) |                   |            |  |  |  |  |  |
| Interventions             | PSA failure   |  |                   |            |  |  |  |  |  |
|                           | Yes   | 25 (32.05)                                       | 60 (75.95) <0.001 |            |  |  |  |  |  |

Study

NCT00116220, Sanford 2017/Royce 2017

|                                    |                                      | All-cau                      | ise mor     | tality                                     | Prostate cancer specific mortality |  |   |             |  |             |
|------------------------------------|--------------------------------------|------------------------------|-------------|--|------------------------------------|--|---|-------------|--|-------------|
| Outcome                            | Number<br>of all-<br>cause<br>deaths | Univariate<br>HR (95%<br>CI) | p-<br>value | Multivariate<br>adjusted<br>HR (95%<br>CI) | p-<br>value                        | Number of<br>prostate-<br>cancer<br>deaths | Univariate<br>HR (95%<br>Cl), p-<br>value | p-<br>value | Multivariate<br>adjusted HR<br>(95% Cl), p-<br>value | p-<br>value |
| No/minimal<br>comorbidity<br>group |                                      |                              |             |  |                                    |  |   |             |  |             |
| RT + full ADT<br>(N=60)            | 42                                   | 1.03<br>(0.53–<br>2.01)      | 0.93        | 0.97 (0.49–<br>1.91)                       | 0.92                               | 3  | 0.35<br>(0.07–<br>1.62)                   | 0.18        | 0.39 (0.07–<br>2.18)                                 | 0.28        |
| RT (N=79)                          | 57                                   | 1.56<br>(0.81–<br>2.97)      | 0.18        | 1.54 (0.80–<br>2.98)                       | 0.20                               | 20   | 2.41<br>(0.70–<br>8.29)                   | 0.16        | 3.08 (0.93–<br>10.21)                                | 0.07        |
| RT + partial ADT<br>(N=18)         | 11                                   | 1 (Ref)                      | NA          | 1 (Ref)                                    | 2                                  | 2  | 1 (Ref)                                   | NA          | 1 (Ref)  | NA          |
| Moderate/severe<br>comorbidity     |                                      |                              |             |  |                                    |  |   |             |  |             |
| RT + full ADT<br>(N=13)            | 13                                   | 2.55<br>(1.10–<br>5.87)      | 0.03        | 2.25 (0.94–<br>5.41)                       | 0.07                               | 0  | NAª                                       | NAª         | NAª  | NAª         |
| RT (N=25)                          | 23                                   | 0.75<br>(0.36–<br>1.58)      | 0.45        | 0.50 (0.22–<br>1.10)                       | 0.09                               | 3  | 1.83<br>(0.16–<br>20.40)                  | 0.62        | 1.41 (0.13–<br>14.81)                                | 0.77        |
| RT + partial ADT<br>(N=11)         | 10                                   | 1 (Ref)                      | -           | 1 (Ref)                                    | -                                  | 1  | 1 (Ref)                                   | -           | 1 (Ref)  |             |

**Abbreviations**: AA: anti-androgen; ADT, androgen deprivation therapy; AST: aspartate aminotransferase, ALT: alanine aminotransferase, CAPRA: Cancer of the Prostate Risk Assessment; CI: confidence interval; HR: hazard ratio; IQR: interquartile range; LFT: liver function test; NA: not applicable; NR: not reported; RT: radiotherapy.

Table 41k. RTOG 94-08, Voog 2016

| <u>Study</u><br><u>Reference</u> | RTOG 94-08, Voog 2016  |
|----------------------------------|--|
|                                  | Study name<br>RTOG 94-08<br>Design<br>Randomised controlled trial  |
| Study Design                     | <u>Objective</u><br>To evaluate the relationship between short-course androgen deprivation therapy and cardiovascular mortality in patients with clinically<br>localised prostate cancer enrolled in a phase 3 trial.<br><u>Dates</u>  |
|                                  | Dates<br>1994 to 2001<br>Country<br>United States  |
|                                  | <u>Setting</u><br>NR   |
|                                  | Patient recruitment and eligibility<br>NR  |
|                                  | Inclusion<br>Patients had histologically confirmed prostate adenocarcinoma, stage T1b-2b and a PSA level ≤20 ng/ml.  |
|                                  | Exclusion<br>NR  |
|                                  | Other<br>Pre-treatment assessment included digital rectal examination and bone scan. Regional lymph nodes were assessed by surgical sampling,<br>lymphangiography, or pelvic computed tomography. Karnofsky performance score was ≥70. All participating sites were required to have<br>institutional review board approval, and all patients provided written informed consent. |
| Population<br>Characteristics    | Sample size<br>N invited = NR<br>N eligible = NR<br>N enrolled = 1,979<br>N excluded (with reason) = 0<br>N lost to follow-up = 0<br>N completed = 1,979<br>N excluded from analysis = 0<br>N included in analysis = 1,979 (RT + ADT: 987; RT alone: 992)  |
|                                  | Demographics         Parameter       RT and ADT (n=987)       RT alone (n=992)         Age at recruitment/randomisation, years       years       RT alone (n=992)  |

# <u>Study</u> Refere

# RTOG 94-08, Voog 2016

| efe | erei | nce |  |
|-----|------|-----|--|
|     |      |     |  |

| Mean (SD)                         | 69.6 (6.2) | 70.0 (6.1) |
|-----------------------------------|------------|------------|
| Median                            | 70         | 71         |
| Ethnicity, n (%)                  |            |            |
| Non-White                         | 242 (24.5) | 236 (23.8) |
| White                             | 745 (75.5) | 756 (76.2) |
| BMI                               | NR         | NR         |
| PSA level                         |            |            |
| Mean (SD)                         | 8.8 (4.4)  | 8.9 (4.3)  |
| Median                            | 7.9        | 8.1        |
| <4, n (%)                         | 109 (11.0) | 100 (10.1) |
| ≥4, n (%)                         | 878 (89.0) | 892 (89.9) |
| Prostate volume                   | NR         | NR         |
| Number of positive biopsy samples | NR         | NR         |
| T stage, n (%)                    |            |            |
| T1 T1                             | 488 (49.4) | 476 (48.0) |
| T2                                | 499 (50.6) | 516 (52.0) |
| M stage                           | NR         | NR         |
| N stage                           | NR         | NR         |
| Gleason score, n (%)              |            |            |
| 2–6                               | 623 (63.1) | 592 (59.7) |
| 7                                 | 252 (25.5) | 286 (28.8) |
| 8–10                              | 93 (9.4)   | 87 (8.8)   |
| Missing                           | 19 (1.9)   | 27 (2.7)   |
| Prostate risk group (scale not    | N=968      | N=965      |
| defined), n (%)                   |            |            |
| Low                               | 351 (36.3) | 334 (34.6) |
| Intermediate                      | 524 (54.1) | 544 (56.4) |
| High                              | 93 (9.6)   | 87 (9.0)   |

#### **Randomisation**

<u>Arm 1</u>

Following stratification based on PSA level (<4 vs 4–20 ng/ml), tumour grade (well differentiated, moderately differentiated, poorly differentiated), and surgical versus clinical documentation of clinically negative nodal status, patients were randomized to RT plus short-term ADT or RT alone. All patients began treatment within 21 days after randomisation.

### Methods

## Androgen deprivation therapy + radiotherapy

Patients assigned to short-term ADT received flutamide at a dose of 250 mg orally three times a day and either monthly subcutaneous goserelin at a dose of 3.6 mg or intramuscular leuprolide at a dose of 7.5 mg for 4 months. Radiotherapy commenced after 2 months of androgen deprivation. Flutamide was discontinued if the level of alanine aminotransferase increased to more than twice the upper limit of the normal range.

#### Radiotherapy

Disease-specific survival<sup>a</sup>

Cardiovascular mortality<sup>a</sup>

| <u>Study</u><br>Reference                 | RTOG 94-08, Voog 2016   |  |                          |           |                  |         |  |  |  |
|---|---|--|--------------------------|-----------|------------------|---------|--|--|--|
|   | (prostate and regional lymph node<br>was omitted in patients with negat   | Administered in daily 1.8-Gy fractions prescribed to the isocentre of the treatment volume, consisted of 46.8 Gy delivered to the pelvis (prostate and regional lymph nodes), followed by 19.8 Gy to the prostate, for a total dose of 66.6 Gy. Treatment of the regional lymph nodes was omitted in patients with negative lymph-node dissections or with a PSA level of less than 10 ng per mL and a Gleason score of less than 6. The study cochairs reviewed the simulation and portal films for each treatment field. |                          |           |                  |         |  |  |  |
|   | <u>Arm 2</u><br>Radiotherapy: As above  |  |                          |           |                  |         |  |  |  |
|   | <u>Duration of follow-up</u><br>Median 9.1 years for patients alive   | e at the last data col   | lection (range 0.1–14.   | .1 years) |                  |         |  |  |  |
|   | sexual dysfunction, psychological   | <u>Outcomes</u><br>Primary, secondary and any other relevant outcomes (e.g. mortality, metastasis-free survival, quality of life, functioning, bowel, urinary and sexual dysfunction, psychological effects, endocrinological effects, surgical complications, rates of disease recurrence, treatment-related complications etc) reported in the study, in addition to the methods used to investigate these outcomes.   |                          |           |                  |         |  |  |  |
|   | <ul> <li>Primary endpoint</li> <li>Cardiovascular mortality: death from coronary artery disease, cardiac arrest, cardiovascular arrythmia, myocardial infarction, congestive heart failure, or sudden cardiac death</li> <li>Cause of death was investigator defined and reported on follow-up case report forms by each institution. All corresponding end-point times were measured from data of randomisation until death or last follow-up</li> </ul> |  |                          |           |                  |         |  |  |  |
|   | <ul> <li>Secondary endpoints</li> <li>Overall survival (OS): death de</li> <li>Disease-specific survival (DSS)</li> </ul>   |  |                          |           |                  |         |  |  |  |
|   |   | Number   | r of events              | HR (%     | %, 95% CI)       |         |  |  |  |
|   | Outcome   | RT + ADT<br>(N=987)  | RT (N=992)               | RT + ADT  | RT               | p-value |  |  |  |
|   | Cardiovascular mortality  |  |                          |           |                  |         |  |  |  |
|   | Number of deaths overall, n   | 92   | 99                       | NR        | NR               | NR      |  |  |  |
| Harms and<br>Benefits of<br>Interventions | Number of deaths at 10<br>years (estimate, % [95%<br>CI])   | 83 (9.8 [7.7–<br>11.8])  | 95 (10.7 [8.7–<br>12.8]) | Reference | 1.07 (0.81–1.42) | 0.62    |  |  |  |
|   | All-cause mortality   | NR   | NR                       | Reference | 1.17 (0.81–1.42) | 0.03    |  |  |  |
|   | Death   | NR   | NR                       | Reference | 1.87 (CI NR)     | 0.001   |  |  |  |
|   | Overall survival <sup>a</sup>   | NR   | NR                       | Reference | 1.07 (0.82–1.39) | 0.62    |  |  |  |
|   |   |  |                          |           |                  |         |  |  |  |

<sup>a</sup> Interaction analysis, Fine-Gray method; death due to other cause is considered as a competing risk

NR

NR

Authors' It was demonstrated that short-course GnRH agonist therapy is not associated with cardiovascular mortality in clinically localised prostate cancer in all patients enrolled in RTOG 94-08. These findings are inclusive of all prostate cancer risk groups and provide important insight

NR

NR

0.43

0.62

0.64 (0.21-1.95)

1.13 (0.71–1.79)

Reference

Reference

| <u>Study</u><br><u>Reference</u> | RTOG 94-08, Voog 2016  |
|----------------------------------|--|
|                                  | into low- and intermediate-risk patients with less competing causes of mortality. The lack of cardiac mortality associated with ADT use extends to patients at low risk for cancer-specific mortality and to patients at high risk for cardiac mortality due to the presence of baseline cardiovascular risk factors including CVD and DM. In addition, it was demonstrated that OS and DSS are associated with short-course GnRH agonist therapy and RT, principally in intermediate-risk patients. While treatment decisions must always weigh potential risks and benefits, the data support the continued use of ADT in settings with proven survival benefit. |

Abbreviations: ADT: androgen deprivation therapy; CI: confidence interval; CVD: cardiovascular mortality; DM: diabetes mellitus; DSS: disease-specific mortality; HR: hazard ratio; NR: not reported.

# Appendix 4 – Guidance on quality assessments

## A Measurement Tool to Assess systematic Reviews (AMSTAR) 2

## Table 42. Guidance on the use of AMSTAR 2

| Question  | Literature-Recommended Criteria  | Guideline Criteria for Prostate Cancer Rapid<br>Review  |
|---|--|---|
| Did the research questions and<br>inclusion criteria for the review<br>include the components of<br>PICO? (Yes/No)  | To score Yes, appraisers should be confident that the 4 elements of PICO are described somewhere in the report   |   |
| Did the report of the review<br>contain an explicit statement that<br>the review methods were<br>established prior to the conduct<br>of the review and did the report<br>justify any significant deviations<br>from the protocol? (Yes/Partial<br>Yes/No) | The research questions and study methods should have been planned ahead of conducting the review (this should be reported at minimum to score a Partial Yes)<br>To score Yes, authors should demonstrate that they worked with a written protocol with independent verification (e.g. in the form of registration, an open publication journal or a date submission to a research office or research ethics board). Appraisers should compare the published review report with the registered protocol (if available); if there are deviations from the protocol, the appraisers should determine whether these are reported and justified by the review authors. Obvious unexplained discrepancies should result in downgrading the rating                                |   |
| Did the review authors explain<br>their selection of the study<br>designs for inclusion in the<br>review? (Yes/No)  | The justification for selection of study designs may have to be inferred from<br>careful reading of the complete study report<br>The general rule is that authors first asked whether a review restricted to RCTs<br>would have given an incomplete summary. If the answer to this is yes, the<br>inclusion of non-randomised studies is justified<br>Restriction to only non-randomised studies is justified when RCTs will not<br>provide the necessary outcome data, or if a review of RCTs has already been<br>completed and the aim is to complement this<br>Inclusion of both RCTs and non-randomised studies may be justified to get a<br>complete picture; in this situation it is recommended that the two study types are<br>assessed and combined independently | AMSTAR 2 is specifically a tool for SLRs that include<br>randomised or non-randomised studies of healthcare<br>interventions. For the prostate cancer rapid review,<br>the appraiser should consider screening as the<br>intervention for the Q1–3 stream |
| Did the review authors use a<br>comprehensive literature search<br>strategy? (Yes/Partial Yes/No)   | To score Yes, appraisers should be satisfied that all relevant aspects of the search have been addressed by review authors   |   |
| Did the review authors perform<br>study selection in duplicate?<br>(Yes/No)   | If one reviewer carried out selection of all studies with a second reviewer checking agreement on a sample of studies, a Kappa score indicating 'strong' agreement (≥0.80) should have been achieved   |   |

| Question   | Literature-Recommended Criteria  | Guideline Criteria for Prostate Cancer Rapid<br>Review |
|--|--|--|
| Did the review authors perform<br>data extraction in duplicate?<br>(Yes/No)  | If one reviewer carried out extraction of all studies with a second reviewer checking agreement on a sample of studies, a Kappa score indicating 'strong' agreement (≥0.80) should have been achieved  |  |
| Did the review authors provide a<br>list of excluded studies and<br>justify the exclusions?<br>(Yes/Partial Yes/No)  | Exclusion should not be based on RoB, which is dealt with separately and later in the review process   |  |
| Did the review authors describe<br>the included studies in adequate<br>detail? (Yes/Partial Yes/No)  | The detail should be sufficient for an appraiser, or user, to make judgements about the extent to which the studies were appropriately chosen (in relation to the PICO structure)  |  |
| Did the review authors use a<br>satisfactory technique for<br>assessing the risk of bias (RoB)<br>in individual studies that were<br>included in the review?<br>(Yes/Partial Yes/No) | <ul> <li>When the review is confined to RCTs, it is recommended that the Cochrane<br/>Handbook is consulted to determine whether review authors made an adequate<br/>assessment of RoB in individual RCTs</li> <li>Review authors should have used a systematic approach to RoB assessment,<br/>preferably with a properly-developed rating instrument (if they have used a non-<br/>standard instrument the appraiser should be satisfied that it was capable of<br/>detecting serious methodological flaws)</li> <li>In assessing how RoB has been assessed by review authors it is recommended<br/>that appraisers should seek methods and content expert advice (if that is not<br/>included in the team), along with guidance on what adjustment techniques for<br/>confounding would be appropriate</li> <li>The domains of bias selected from the ROBINS-I instrument as being the most<br/>relevant to SLRs that include non-randomised studies of interventions include:<br/>confounding, sample selection bias, bias in measurement of exposures and<br/>outcomes, selective reporting of outcomes and analyses</li> </ul> |  |
| Did the review authors report on the sources of funding for the  | No odditional guidanaa   |  |

the sources of funding for the studies included in the review? (Yes/No)

No additional guidance

| Question   | Literature-Recommended Criteria  | Guideline Criteria for Prostate Cancer Rapid<br>Review |
|--|--|--|
| If meta-analysis was performed,<br>did the review authors use<br>appropriate methods for<br>statistical combination of<br>results? (Yes/No/No meta-<br>analysis conducted)   | Review authors should have stated explicitly in the review protocol the basis of<br>their decision to perform a meta-analysis e.g. desire to obtain a single pooled<br>effect and the extent to which studies are able to be combined<br>Authors should have explained decisions to use fixed or random effects models<br>(for RCTs) and the methods they intended to use to investigate heterogeneity<br>Pooled estimates should be reported separately for different study types (i.e. not<br>combining RCTs and non-randomised studies of interventions)<br>For non-randomised studies of interventions, authors should pool the<br>confounder-adjusted estimates of effect rather than raw data (there should be a<br>clear justification if they do the latter). N.B. different studies are likely to report<br>treatment effects that have been adjusted for different sets of covariates –<br>another source of potential heterogeneity |  |
| If meta-analysis was performed,<br>did the review authors assess<br>the potential impact of RoB in<br>individual studies on the results<br>of the meta-analysis or other<br>evidence synthesis? (Yes/No/No<br>meta-analysis conducted) | This is particularly important where the review includes RCTs of variable quality.<br>The impact of this should be assessed by regression analysis or by estimating<br>pooled effect sizes with only studies at low RoB<br>For non-randomised studies of interventions, they should estimate pooled effect<br>sizes of low/moderate RoB studies<br>If meta-analyses were not performed, the authors should still comment on the<br>likely impact of RoB on individual study results (see next item)  |  |
| Did the review authors account<br>for RoB in individual studies<br>when interpreting/discussing the<br>results of the review? (Yes/No)   | This discussion should not be limited to the impact of RoB on pooled estimates,<br>but should also consider whether it may account for differences between the<br>results of individual studies<br>The authors should make an explicit consideration of RoB if they make any<br>recommendations that are likely to impact clinical care or policy  |  |
| Did the review authors provide a satisfactory explanation for, and discussion of, any heterogeneity observed in the results of the review? (Yes/No)  | Both the PICO elements and domains of bias (listed in item 9) should be considered as potential sources of heterogeneity in the results Review authors should explore these and discuss the impact of heterogeneity on the results, conclusions and any recommendations  |  |

| Question   | Literature-Recommended Criteria  | Guideline Criteria for Prostate Cancer Rapid<br>Review |
|--|--|--|
| If they performed quantitative<br>synthesis did the review authors<br>carry out an adequate<br>investigation of publication bias<br>(small study bias) and discuss<br>its likely impact on the results of<br>the review? (Yes/No/No meta-<br>analysis conducted) | This can be a difficult issue to resolve. The key issues are whether review<br>authors have tried to identify publication bias through additional literature<br>searches, shown an awareness of the likely impact of publication bias in their<br>interpretation and discussion or results, and performed a sensitivity analysis to<br>determine how many missing 'null' studies (i.e. those not published because of<br>an insignificant result) would be needed to invalidate the results of the SLR<br>Typically, statistical tests/graphic displays are used and if they are positive it<br>indicates the presence of publication bias, however negative tests do not<br>guarantee its absence as the tests are insensitive<br>Context and setting should also be considered (e.g. a series of industry-<br>sponsored studies may be more likely to be affected by publication bias than<br>similar studies independent of industry) |  |
| Did the review authors report<br>any potential sources of conflict<br>of interest, including any funding<br>they received for the review?<br>(Yes/No)  | No additional guidance   |  |

# Cochrane Risk of Bias Tool 2

# Table 43. Guidance on the use of the Cochrane Risk of Bias Tool 2

| Question  | Response<br>Options   | Literature-Recommended Criteria   | Guideline Criteria for<br>Prostate Cancer Rapid<br>Review |
|---|---|---|---|
|   |   | RANDOMISATION PROCESS   |   |
| 1.1 Was the allocation sequence random?   | Y (yes), PY<br>(possibly yes), PN<br>(possibly no), N<br>(no), NI (no<br>information) | Y if random component was used in sequence generation process (e.g. computer-generated random numbers, random number table, coin tossing). Use of minimization technique can also be considered random N if no random element used PY if judged likely to be random e.g. experienced clinical trials unit with absence of specific information about generation of randomised sequence in paper with tight word limit PN if e.g. other trials by same investigator/team have used non-random approaches   |   |
| 1.2 Was the allocation sequence<br>concealed until participants were<br>enrolled and assigned to<br>interventions?      | Y, PY, PN, N, NI  | Y if the process of allocation is controlled by an external unit or organisation,<br>independent of the enrolment personnel (e.g. telephone or internet-based)<br>If envelopes or drug containers used, adequate detail should be given e.g. to<br>the level that envelopes are opaque, sequentially numbered, sealed with a<br>tamper-proof seal and irreversibly assigned to the participant. If this detail is<br>not provided, should assign PY or PN<br>N if reason to suspect that investigator or participant was aware of the<br>allocation |   |
| 1.3 Did the baseline differences<br>between intervention groups<br>suggest a problem with the<br>randomization process? | Y, PY, PN, N, NI  | N if no apparent imbalances or if imbalances are likely due to chance<br>Y if there are imbalances that indicate problems with the randomisation e.g.<br>large difference in intervention group size, imbalance in ≥1 key prognostic<br>baseline characteristics, or conversely, if baseline characteristics are<br>excessively similar<br>NI if there is no useful baseline information available  |   |
| Risk of bias judgement  | Low, High, Some concerns  | Risk of bias determined using algorithm in Cochrane Risk of Bias Tool 2 crib sheet $^{\rm 210}$   |   |
|   |   | EFFECT OF ASSIGNMENT TO INTERVENTION  |   |

| Question   | Response<br>Options     | Literature-Recommended Criteria  | Guideline Criteria for<br>Prostate Cancer Rapid<br>Review  |
|--|-------------------------|--|--|
| 2.1 Were participants aware of their assigned intervention during the trial?   | Y, PY, PN, N, NI        | N if trial was blinded, however, if participants experience side effects or toxicities that could be attributed to one of the interventions, the answer should be Y or PY  | Screening Questions (Q1 and 2)<br>N if participants were not aware if<br>they were being screened<br>Intervention Question (Q4)<br>N if treatments in different arms<br>were concealed or made to look<br>the same |
| 2.2 Were carers and people<br>delivering the interventions aware<br>of participants' assigned<br>intervention during the trial?  | Y, PY, PN, N, NI        | N if trial was blinded, however, if participants experience side effects or toxicities that could be attributed to one of the interventions, the answer should be Y or PY<br>If randomisation allocation was not concealed, it is likely that carers/people  |  |
| intervention during the trial?   |                         | delivering intervention were aware of the assignment   |  |
| 2.3 If Y/PY/NI to 2.1 or 2.2: Were<br>there deviations from the intended<br>intervention that arose because of<br>the trial context?   | NA, Y, PY, PN, N,<br>NI | The term 'trial context' refers to the effects of recruitment/engagement<br>activities on trial participants e.g. seeking informed consent (so a patient<br>knows their allocation) may lead patients in a placebo group to seek other<br>intervention<br>Y or PY <u>only</u> if there is evidence that the trial context led to failure to<br>implement the protocol or starting of interventions not allowed by the protocol |  |
|  |                         | N or PN if there were changes from the protocol, but these could occur outside of the trial context e.g. non-adherence to an intervention  |  |
| 2.4 If Y/PY to 2.3: Were these deviations likely to have affected the outcome?   | NA, Y, PY, PN, N,<br>NI |  |  |
| 2.5 If Y/PY/NI to 2.4: Were these deviations from intended intervention balanced between groups?   | NA, Y, PY, PN, N,<br>NI | Deviations are more likely to impact the intervention effect estimate if they are not balanced between groups  |  |
| 2.6 Was an appropriate analysis<br>used to estimate the effect of<br>assignment to intervention?   | Y, PY, PN, N, NI        | ITT and mITT (excluding participants with missing outcome data) analyses<br>should be considered appropriate<br>Per protocol and as treated analyses should be considered inappropriate<br>Analyses excluding <u>eligible</u> patients post-randomisation are inappropriate, but<br>excluding <u>ineligible</u> patients post-randomisation (e.g. if eligibility was not yet<br>confirmed) are appropriate                     |  |
| 2.7 If N/PN/NI to 2.6: Was there<br>potential for a substantial impact<br>(on the result) of the failure to<br>analyse participants in the group<br>to which they were randomized? | na, y, py, pn, n,<br>Ni | There is no precise rule. It is possible that even if <5% of participants were analysed in the wrong group or excluded, this could have a substantial impact on the results  |  |

| Question   | Response<br>Options      | Literature-Recommended Criteria  | Guideline Criteria for<br>Prostate Cancer Rapid<br>Review |
|--|--------------------------|--|---|
| Risk-of-bias judgement   | Low, High, Some concerns | Risk of bias determined using algorithm in Cochrane Risk of Bias Tool crib sheet <sup>210</sup>  |   |
|  |                          | MISSING OUTCOME DATA   |   |
| 3.1 Were data for this outcome<br>available for all, or nearly all,<br>participants randomized?  | Y, PY, PN, N, NI         | 'Nearly all' = the number of participants with missing outcome data is<br>sufficiently small that their outcomes would have made no important<br>difference to the estimated effect of the intervention<br>For continuous outcomes, availability of data for 95% of the participants will<br>often be sufficient. For dichotomous outcomes, the proportion required is<br>directly linked to the risk of the event – if the observed number of events is<br>much greater than the number of missing data, the bias will be small<br>Only report NI if no information is given about missing outcome data – this will<br>usually lead to a judgement that there is a high risk of bias<br>Imputed data should be regarded as missing data for this question |   |
| 3.2 If N/PN/NI to 3.1: Is there evidence that the result was not biased by missing outcome data? | NA, Y, PY, PN, N         | Y or PY if there are analysis methods that correct for bias or sensitivity<br>analyses showing that results are little changed under a range of assumptions<br>about the relationship between missing outcomes and its true value<br>Imputation (e.g. 'last-observation-carried-forward') should not be assumed to<br>correct for bias due to missing outcome data   |   |
| 3.3 If N/PN to 3.2: Could missingness in the outcome depend on its true value?                   | NA, Y, PY, PN, N,<br>NI  | N/PN if missing outcome data occurred for reasons unrelated to the outcome,<br>the risk of bias due to this will be low<br>Y/PY if it was related to the participant's health status (i.e. discontinuation of<br>study due to adverse effects)<br>In time-to-event analyses, participants censored from the analysis should be<br>considered as having missing data  |   |
| 3.4 If Y/PY/NI to 3.3: Is it likely that missingness in the outcome depended on its true value?  | na, y, py, pn, n,<br>Ni  | Possible reasons for answering Y are: differences between intervention<br>groups in terms of amount of missing outcome data; reported reasons for<br>missing outcome data suggest that it depends on the true value or differ<br>between intervention groups; in time-to-event analyses, if follow-up is<br>censored when participants stop or change their intervention e.g. due to<br>toxicity or a need for second-line chemotherapy  |   |
| Risk-of-bias judgement   | Low, High, Some concerns | Risk of bias determined using algorithm in Cochrane Risk of Bias Tool crib sheet <sup>210</sup>  |   |
|  |                          | MEASUREMENT OF OUTCOME   |   |

| Question   | Response<br>Options      | Literature-Recommended Criteria  | Guideline Criteria for<br>Prostate Cancer Rapid<br>Review |
|--|--------------------------|--|---|
| 4.1 Was the method of measuring the outcome inappropriate?   | Y, PY, PN, N, NI         | In most cases, for pre-specified outcomes, the answer will be N or PN<br>Y or PY if the method of data collection is inappropriate e.g. it is unlikely to be<br>sensitive to intervention effects (e.g. ranges of outcome values are not<br>detectable using the method) or the measurement instrument has been<br>shown to have poor validity                     |   |
| 4.2 Could measurement or ascertainment of the outcome have differed between intervention groups?   | Y, PY, PN, N, NI         | N or PN if data collection involves the same measurement methods and thresholds (including number of times measures are taken) across intervention arms  |   |
| 4.3 If N/PN/NI to 4.1 and 4.2:<br>Were outcome assessors aware<br>of the intervention received by<br>study participants?   | NA, Y, PY, PN, N,<br>NI  | N if outcome assessors were blinded to the intervention status. For patient-<br>reported outcomes, the patient should be blinded   |   |
| 4.4 If Y/PY/NI to 4.3: Could<br>assessment of the outcome have<br>been influenced by knowledge of<br>intervention received?  | NA, Y, PY, PN, N,<br>NI  | Outcomes that are likely influenced by knowledge of the intervention are ones which involve some level of judgement (e.g. level of pain), rather than e.g. all-cause mortality   |   |
| 4.5 If Y/PY/NI to 4.4: Is it likely<br>that assessment of the outcome<br>was influenced by knowledge of<br>intervention received?  | NA, Y, PY, PN, N,<br>NI  | If there are strong levels of belief in either harmful or beneficial effects of the intervention, it is more likely that the outcome was influenced by knowledge   |   |
| Risk-of-bias judgement   | Low, High, Some concerns | Risk of bias determined using algorithm in Cochrane Risk of Bias Tool crib sheet $^{\rm 210}$  |   |
|  |                          | SELECTION OF THE REPORTED RESULT   |   |
| 5.1 Were the data that produced<br>this result analysed in accordance<br>with a pre-specified analysis plan<br>that was finalized before<br>unblinded outcome data were<br>available for analysis? | Y, PY, PN, N, NI         | If available, planned outcome measurements/analyses can be compared with<br>those presented in published reports. Finalisation of analysis plans must be<br>before unblinded data become available to investigators<br>Changes to analysis plans made before unblinded outcome data were<br>available (or unrelated to the results) do not raise concerns for bias |   |

| Question  | Response<br>Options         | Literature-Recommended Criteria   | Guideline Criteria for<br>Prostate Cancer Rapid<br>Review |
|---|-----------------------------|---|---|
| 5.2. Is the numerical result being<br>assessed likely to have been<br>selected, on the basis of the<br>results, from multiple eligible<br>outcome measurements (e.g.<br>scales, definitions, time points)<br>within the outcome domain? | Y, PY, PN, N, NI            | It may be possible to report certain outcomes in more than one way (e.g. for<br>pain, different scales, taken at different timepoints). If this is done but results<br>are only reported for one particular method, there is a high risk of bias in the<br>fully reported result<br>Y or PY if there is clear evidence that a domain was measured in multiple<br>eligible ways but data for only a subset of measures is reported (without<br>justification) and the selection was likely influenced by the result of that subset<br>(e.g. more significant)<br>N or PN if there is only one way an outcome can be measured or if results for<br>all eligible measures are reported |   |
| 5.3 Is the numerical result being<br>assessed likely to have been<br>selected, on the basis of the<br>results, from multiple eligible<br>analyses of the data?  | Y, PY, PN, N, NI            | It may be possible to analyse outcomes in more than one way (e.g. adjusted<br>and unadjusted models, absolute value and change from baseline). As above,<br>if multiple estimates are generated but only one subset reported, there is a<br>high risk of bias<br>Y or PY if there is clear evidence that outcomes were analysed in multiple<br>eligible ways but data for only a subset of analyses is reported (without<br>justification) and the selection of this reporting was likely influenced by its<br>result<br>N or PN if there is only one way the outcome could be analysed or if results<br>for all analyses conducted are reported                                    |   |
| Risk-of-bias judgement  | Low, High, Some concerns    | Risk of bias determined using algorithm in Cochrane Risk of Bias Tool crib sheet <sup>210</sup>   |   |
| OVERALL RISK OF BIAS  | Low, some<br>concerns, high | Low if the study is judged to be at low RoB for <u>all domains</u><br>Some concerns if the study is judged to raise some concerns in <u>at least one</u><br>domain, but is <u>not</u> at high RoB for <u>any</u> domain<br>High if the study is judged to be at high RoB in <u>at least one</u> domain <b>or</b> the<br>study is judged to have some concerns for <u>multiple domains</u> in a way that<br>substantially lowers confidence in the result  |   |

Yes (Y), Possibly Yes (PY), Possibly No (PN), No (N), No information (NI), Not applicable (NA)

# Quality Assessment of Diagnostic Accuracy Studies (QUADAS) 2

# Table 44. Guidance on the use of QUADAS 2

| Question   | Literature-Recommended Criteria  | Guideline Criteria for Prostate Cancer Rapid Review   |  |  |
|--|--|---|--|--|
|  | PARTICIPANT SELECTION  |   |  |  |
| Was a consecutive or random<br>sample of participants enrolled?<br>(Yes/No/Unclear)                            | A study should ideally enrol all consecutive, or a random sample<br>of, eligible patients – otherwise there is potential for bias. Studies<br>that make inappropriate exclusions, e.g. excluding "difficult to<br>diagnose" patients, may result in overoptimistic estimates of<br>diagnostic accuracy                             | <ul> <li>Yes if all participants (or a random sample of patients) within the study period were included</li> <li>No if patients were selected in a different way, e.g. by referral or convenience sample</li> <li>Unclear if all screened participants are enrolled but it is not specified if the screening test is routinely administered at the study site</li> </ul>                        |  |  |
| Was a case-control design avoided? (Yes/No/Unclear)  | Studies enrolling patients with known disease and a control group without the condition may exaggerate diagnostic accuracy   | Yes if the study was a prospective or retrospective cohort study, or<br>an RCT<br>No if cases (prostate cancer) were matched to controls  |  |  |
| Did the study avoid<br>inappropriate exclusions?<br>(Yes/No/Unclear)   | Exclusion of patients with "red flags" for the target condition, who may be easier to diagnose, may lead to underestimation of diagnostic accuracy   | Yes if all participants were included, or if exclusions were appropriate and unlikely to lead to bias No if any group within the screening population was systematically excluded   |  |  |
| Could the selection of<br>participants have introduced<br>bias? (Low/High/Unclear)                             | If all signalling questions for a domain are answered "yes" then risk of bias can be judged "low". If any signalling question is answered "no" this flags the potential for bias   | Answered based on the previous questions in this domain with <b>Low</b> , <b>High</b> or <b>Unclear</b> risk  |  |  |
| Is there concern that the<br>included participants do not<br>match the review question?<br>(Low/High/Unclear)  | There may be concerns regarding applicability if patients included<br>in the study differ, compared to those targeted by the review<br>question, in terms of severity of the target condition, demographic<br>features, presence of differential diagnosis or co-morbidity, setting<br>of the study and previous testing protocols | <ul> <li>Low if patients overall are low-risk, asymptomatic men representative of the screening population (i.e. similar to the male population in the UK)</li> <li>High if patients overall are not representative of the screening population, such as men with at least one moderate risk factor as specified in UK guidelines or demographically dissimilar to the UK population</li> </ul> |  |  |
| INDEX TESTS  |  |   |  |  |
| Were the index test results<br>interpreted without knowledge of<br>the reference standard?<br>(Yes/No/Unclear) | This item is similar to "blinding" in intervention studies.<br>Interpretation of index test results may be influenced by<br>knowledge of the reference standard  | Yes if screening results were interpreted before the diagnosis was confirmed<br>No if screening results were only examined after the diagnosis was confirmed  |  |  |

| Question  | Literature-Recommended Criteria  | Guideline Criteria for Prostate Cancer Rapid Review  |
|---|--|--|
| If a threshold was used, was it pre-specified? (Yes/No/Unclear)   | Selecting the test threshold to optimise sensitivity and/or<br>specificity may lead to overoptimistic estimates of test<br>performance, which is likely to be poorer in an independent<br>sample of patients in whom the same threshold is used      | Yes if the criteria used to diagnose prostate cancer were explicitly stated, well-defined, and specified before the study No if criteria were not stated, were insufficiently well-defined, or were specified retrospectively  |
| Could the conduct or<br>interpretation of the index test<br>have introduced bias?<br>(Low/High/Unclear)                           | If all signalling questions for a domain are answered "yes" then<br>risk of bias can be judged "low". If any signalling question is<br>answered "no" this flags the potential for bias   | Answered based on the previous questions in this domain with <b>Low</b> , <b>High</b> or <b>Unclear</b> risk. Consider whether the staff conducting the index test could have had foreknowledge of who was at risk by presence of major factors.   |
| Is there concern that the index<br>test, its conduct, or interpretation<br>differ from the review question?<br>(Low/High/Unclear) | Variations in test technology, execution, or interpretation may<br>affect estimates of its diagnostic accuracy. If index tests methods<br>vary from those specified in the review question there may be<br>concerns regarding applicability          | <ul> <li>Low if the screening test is similar to tests or screening tests administered as part of UK clinical practice</li> <li>High if any aspect of the index test, including its conduct or interpretation, is substantially different from clinical practice in a UK setting (as outlined in the NG131 NICE guidance)</li> </ul>                     |
|   | REFERENCE STANDARD   |  |
| Is the reference standard likely<br>to correctly classify the test<br>condition? (Yes/No/Unclear)                                 | Estimates of test accuracy are based on the assumption that the reference standard is 100% sensitive and specific. Disagreements between the reference standard and index test are assumed to result from incorrect classification by the index test | Yes if prostate cancer was confirmed via biopsy (template prostate<br>mapping [TPM] or transrectal ultrasound [TRUS]) or was a national<br>cancer registry-reported case<br>No if diagnosis was performed inconsistently, or if the methods<br>used are likely to be unreliable  |
| Were the reference standard<br>results interpreted without<br>knowledge of the results of the<br>index test? (Yes/No/Unclear)     | Potential for bias is related to the potential influence of prior knowledge on the interpretation of the reference standard  | <ul> <li>Yes if the final diagnosis of prostate cancer was made by an investigator blinded to the index test results</li> <li>No if the screening results were known by the investigator making the final diagnosis</li> <li>Unclear if it is not clear whether the investigator was aware of the test result when making the final diagnosis</li> </ul> |
| Could the reference standard, its<br>conduct, or its interpretation<br>have introduced bias?<br>(Low/High/Unclear)                | If all signalling questions for a domain are answered "yes" then risk of bias can be judged "low". If any signalling question is answered "no" this flags the potential for bias   | Answered based on the previous questions in this domain with <b>Low</b> , <b>High</b> or <b>Unclear</b> risk   |

| Question  | Literature-Recommended Criteria   | Guideline Criteria for Prostate Cancer Rapid Review  |
|---|---|--|
| Is there concern that the target<br>condition as defined by the<br>reference standard does not<br>match the review question?<br>(Low/High/Unclear)        | The reference standard may be free of bias but the target<br>condition that it defines may differ from the target condition<br>specified in the review question. For example, when defining<br>urinary tract infection, the reference standard is generally based<br>on specimen culture but the threshold above which a result is<br>considered positive may vary  | Low if the prostate cancer is diagnosed based on first-line<br>multiparametric MRI (mp-MRI) followed by mp-MRI-influenced<br>biopsy for people with Likert score ≥3 or systematic prostate biopsy<br>for people with Likert score of 1 or 2 (if they should opt to have a<br>biopsy after a discussion of the risks and benefits)<br>Mapping transperineal template biopsy should not be offered as part<br>of an initial assessment, unless part of a clinical trial.<br>High if the reference standard diagnosed prostate cancer in any<br>other way |
|   | PARTICIPANT FLOW  |  |
| Was there an appropriate<br>interval between the index<br>test(s) and the reference<br>standard? (Yes/No/Unclear)   | Ideally results of the index test and reference standard are<br>collected on the same patients at the same time. If there is a delay<br>or if treatment is started between index test and reference<br>standard, misclassification may occur due to recovery or<br>deterioration of the condition. The length of interval leading to a<br>high risk of bias will vary between conditions. A delay of a few<br>days may not be a problem for chronic conditions, while for acute<br>infectious diseases a short delay may be important | <b>Yes</b> if men did not receive preventative treatment for prostate cancer between the time of the screening test and a diagnosis <b>No</b> if men initiated treatment to prevent or lower the risk of prostate cancer after being identified as being at-risk following a screening test  |
| Did all participants receive a<br>reference standard? (Yes/No<br>Unclear)<br>Did participants receive the<br>same reference standard?<br>(Yes/No/Unclear) | Verification bias occurs when not all of the study group receive<br>confirmation of the diagnosis by the same reference standard. If<br>the results of the index test influence the decision on whether to<br>perform the reference standard or which reference standard is<br>used, estimated diagnostic accuracy may be biased  | Yes if all screened patients had confirmation of their diagnosis, and<br>all were diagnosed in the same manner (using the same reference<br>standard by similarly trained staff)<br>No if patients received different reference standards<br>Unclear if there was a high variability in staff diagnosing and<br>recording prostate cancer or the staff may not have received the<br>same training  |
| Were all participants included in the analysis? (Yes/No/Unclear)  | All patients who were recruited into the study should be included<br>in the analysis. There is a potential for bias if the number of<br>patients enrolled differs from the number of patients included in<br>the 2x2 table of results, for example because patients lost to<br>follow-up differ systematically from those who remain  | <b>Yes</b> if all screened men were included in the final analysis<br><b>No</b> if any screened men were not included in the final analysis  |
| Could the participant flow have<br>introduced bias?<br>(Low/High/Unclear)   | If all signalling questions for a domain are answered "yes" then<br>risk of bias can be judged "low". If any signalling question is<br>answered "no" this flags the potential for bias  | Low if men who underwent the index test were all equally likely to<br>develop and be diagnosed with prostate cancer in the same manner<br><b>High</b> if some men could have been prevented from developing<br>prostate cancer (e.g. by initiating treatment) or if men received<br>different reference standards or a significant proportion were<br>removed from the analysis  |

# Prediction model Risk Of Bias ASsessment Tool (PROBAST)

## Table 45. Guidance on the use of PROBAST

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Literature-Recommended Criteria

### Guideline Criteria for Prostate Cancer Rapid Review

|   |   |   |   | Rapid Review  |
|---|---|---|---|---|
|   |   | TYPE OF PREDICTION STUD   | Y   |   |
| Classify the evaluation based on<br>its aim (i.e. what is the type of<br>prediction study)? (Development<br>only/Development and<br>validation/Validation only) |   | <b>Development only</b> if there is prediction model development with<br>include internal validation methods e.g. bootstrapping and cross<br><b>Development and validation</b> if there is prediction model develo<br>other participants in the same article<br><b>Validation only</b> if external validation of an existing (previously d  | -validation techniques<br>opment combined with <u>external</u> validation in  |   |
|   |   | PARTICIPANTS  |   |   |
| Risk of Bias  | 1.1 Were<br>appropriate data<br>sources used, e.g.<br>cohort, RCT or<br>nested case-<br>control study data?<br>(Y/PY/PN/N/NI) | <ul> <li>Higher potential for RoB when participant data are from existing sources (e.g. existing cohort studies or routine care registries) because their data are often collected for a different purpose than a model</li> <li>Study design with a lowest RoB for a prognostic model is a prospective longitudinal cohort design where methods tend to be defined and consistently applied for participant inclusion/exclusion criteria, predictor assessment and outcome determination across a predefined follow-up (where data are systematically and validly recorded)</li> <li>Randomised intervention trials can also be used, however the randomised treatments may need to be included as separate predictors to account for any treatment effects. In addition, the inclusion criteria in RCTs are usually more restricted, resulting in narrower "predictor distributions".</li> <li>Models developed/validated using data with narrower predictor distributions tend to show lower discriminative ability than those with more broadly distributed predictors</li> <li>For case-cohort or nested case-control studies, low RoB can be considered so long as authors appropriately adjust for the original cohort/registry outcome frequency in the analysis</li> </ul> | <b>Y/PY</b> if cohort design (including RCT or<br>proper registry data) or a nested case-<br>control/-cohort with adjustment for<br>baseline risk/hazard in the analysis<br><b>N/PN</b> if a non-nested case-control (or any<br>other study design) | We are considering<br>prognostic models<br>(predicting whether PCa<br>will occur in the future)<br>rather than diagnostic<br>models |

(also applies to question 4.6 later). If not, they are at high RoB

| Question      |  | Literature-Recommended Criteria   |   | Guideline Criteria for<br>Prostate Cancer<br>Rapid Review                                       |
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|               |  | because they are from an 'existing source' (i.e. sampled from another cohort or registry).  |   |   |
|               |  | There is further guidance for <u>diagnostic</u> models  |   |   |
|               | 1.2 Were all<br>inclusions and<br>exclusions of<br>participants<br>appropriate?<br>(Y/PY/PN/N/NI)  | This question relates to inclusion/exclusion at the at the<br><u>enrolment stage</u> (e.g. not loss-to-follow-up). The key issue is<br>whether any inclusion or exclusion criteria or recruitment<br>strategy could have made the included study participants<br>unrepresentative of the target population<br><b>Example</b> : inappropriate inclusion results from including<br>participants already known to have the outcome at the time of<br>the predictor measurement; this will most likely result in a<br>model with overestimated predictive performance | <ul> <li>Y/PY if inclusion/exclusion appropriate i.e. participants reflect unselected participants of interest</li> <li>N/PN if included participants would already have been identified as having the outcome <u>or</u> if specific subgroups excluded that may have altered the performance of the predictive model for the intended target population</li> </ul> | Inappropriate inclusion<br>should hopefully not<br>apply as we excluded<br>those at risk of PCa |
|               | What is the risk of<br>bias introduced by<br>selection of<br>participants<br>(Low/High/Unclear)  | Low if answer to all signalling questions is Y or PY. If ≥1 of the a be low but specific reasons should be provided as to why it can High if the answer to any signalling questions is N or PN, unless Unclear if relevant information is missing for some of the signal RoB  | be considered so<br>s otherwise defined as low above  |   |
| Applicability | What is the<br>concern that the<br>included<br>participants and<br>setting do not<br>match the review<br>question?<br>(Low/High/Unclear) | Included participants, the selection criteria used and the setting<br>should be relevant to the review question<br>Low if included participants and clinical setting match the review<br>High if included participants and clinical setting differ from the re<br>Unclear if relevant information is not reported   | v question  |   |
|               |  | PREDICTORS  |   |   |
| Risk of Bias  | 2.1 Were<br>predictors defined<br>and assessed in a<br>similar way for all<br>participants?<br>(Y/PY/PN/N/NI)                            | Potential for this bias is higher for predictors that involve<br>subjective judgement e.g. imaging test results (risk of looking<br>at predictive ability of observer rather than predictor)  | <ul> <li>Y/PY if definitions of predictors and their assessment were similar for all participants</li> <li>N/PN if different definitions were used for the same predictor or if predictors requiring subjective interpretation were assessed by differently experience</li> </ul>   | Page 321  |

| Question      |   | Literature-Recommended Criteria   |   | Guideline Criteria for<br>Prostate Cancer<br>Rapid Review  |
|---------------|---|---|---|--|
|               |   |   | assessors   |  |
|               | 2.2 Were predictor<br>assessments<br>made without<br>knowledge of<br>outcome data?<br>(Y/PY/PN/N/NI)            | I.e. blinding or masking. This is also especially important for predictors that involve subjective interpretation or judgement Blinding of assessors to outcome naturally occurs in prognostic studies with a prospective cohort design where the predictors are assessed before the outcome has happened. Bias is more likely in studies that retrospectively record predictors (recall bias) or if predictors and outcomes are assessed at a similar time (cross-sectional studies) If no information on blinding is given, this domain can still be rated as low RoB in overall assessment if predictors were measured/reported a long time before the outcome If predictors are collected by reinterpreting stored data (i.e. samples), assessors may be aware of the outcome | Y/PY if outcome information was stated as<br>not used during predictor assessment or<br>was clearly not (yet) to those assessing<br>predictors<br>N/PN if it is clear that outcome information<br>was used when assessing predictors              |  |
|               | 2.3 Are all<br>predictors<br>available at the<br>time the model is<br>intended to be<br>used?<br>(Y/PY/PN/N/NI) | I.e. would they be available when the model is intended to be<br>used on a patient at the time of prediction<br>Studies that aim to externally validate existing prediction<br>models are at high RoB when predictor data are missing at the<br>time of validation and the authors validate the model anyway<br>by omitting the missing predictors. This is a common flaw in<br>validation studies (i.e. validating a different model than the<br>original). In these cases, this signalling question should be<br>answered <b>N</b> .  | <b>Y/PY</b> if all included predictors would be<br>available at the time the model is intended<br>to be used for prediction<br><b>N/PN</b> if predictors would not be available<br>at the time the model is intended to be<br>used for prediction |  |
|               | What is the risk of<br>bias introduced by<br>predictors or their<br>assessment?<br>(Low/High/Unclear)           | Low if answer to all signalling questions is Y or PY. If ≥1 of the a be low but specific reasons should be provided as to why it can predictors not requiring subjective interpretation<br>High if the answer to any signalling questions is N or PN, unless Unclear if relevant information is missing for some of the signall RoB   | be considered so e.g. use of objective<br>s otherwise defined as low above  |  |
| Applicability | What is the<br>concern that the<br>definition,<br>assessment or<br>timing of predictors<br>in the model do not  | <b>Low</b> if the definition, assessment, and timing of predictors match<br><b>High</b> if the definition, assessment, or timing of predictors were d<br><b>Unclear</b> if relevant information about the predictors is not report  | ifferent from the review question   | Consider if the predictors<br>used in the model would<br>be typically assessed in<br>a man being screened<br>for prostate cancer |

| Question     |  | Literature-Recommended Criteria   |   | Guideline Criteria for<br>Prostate Cancer<br>Rapid Review |
|--------------|--|---|---|---|
|              | match the review<br>question?<br>(Low/High/Unclear)                                      |   |   |   |
|              |  | OUTCOME   |   |   |
|              | 3.1 Was the<br>outcome<br>determined<br>appropriately?<br>(Y/PY/PN/N/NI)                 | This is about the level of measurement error within the method<br>of determining the outcome (see concerns for applicability<br>about whether the <u>definition</u> of the outcome is appropriate)<br>If prediction model study uses data from routine care registries<br>or existing studies originally designed/conducted to answer a<br>different research question, their outcome determination<br>methods should be appraised<br>Potential for bias is higher in outcomes that involve subjective<br>judgement, such as imaging, surgical or pathology results   | <ul> <li>Y/PY if a method of outcome determination has been used which is considered optimal or acceptable by guidelines or previous publications on the topic</li> <li>N/PN if a clearly suboptimal method that causes unacceptable error in determining outcome status has been used</li> </ul> |   |
| Risk of Bias | 3.2 Was a pre-<br>specified or<br>standard outcome<br>definition used?<br>(Y/PY/PN/N/NI) | RoB is low when a prespecified/standard outcome definition is<br>used and substantiated by a definition from clinical<br>guidelines/previously published study/study protocol<br>RoB is higher if, e.g., an atypical threshold on a continuous<br>scale has been used to defined the outcome – this may be<br>evident if authors test multiple thresholds to obtain the most<br>favourable outcome definition<br>Composite outcomes can also introduce RoB e.g. if model<br>performance is adjusted by excluding typical components and<br>excluding atypical components<br>Many outcomes have consensus-based definitions.<br>Determining whether standard or non-standard definitions<br>have been used may require specialist clinical knowledge | <b>Y/PY</b> if the method of outcome<br>determination is objective <u>or</u> if a standard<br>definition is used <u>or</u> if prespecified<br>categories are used to group outcomes<br><b>N/PN</b> if the outcome definition was not<br>standard and not prespecified                             |   |
|              | 3.3 Were<br>predictors<br>excluded from the<br>outcome<br>definition?<br>(Y/PY/PN/N/NI)  | In some cases, it is not possible to avoid including predictors in<br>outcome determination. E.g., if the outcome is decided by a<br>consensus panel using as much information as is available. If<br>a model predictor forms part of the <u>definition</u> or <u>assessment</u> of<br>the outcome, the association between predictor and outcome<br>will likely by overestimated (incorporation bias)  | Y/PY if none of the predictors were<br>included in the outcome definition<br>N/PN if ≥1 of the predictors forms part of<br>the outcome definition   |   |
|              | 3.4 Was the outcome defined  | E.g., same thresholds and categories; same method of<br>combining individual components if a composite outcome;   | <b>Y/PY</b> if outcomes were defined and determined in a similar way for all  |   |

| Question |   | Literature-Recommended Criteria  |  | Guideline Criteria for<br>Prostate Cancer<br>Rapid Review   |
|----------|---|--|--|---|
|          | and determined in<br>a similar way for all<br>participants?<br>(Y/PY/PN/N/NI)   | same method for establishing the outcome in consensus- or<br>panel-based decisions (e.g. majority vote)<br>Look out for variation between research sites in multicentre<br>studies<br>RoB is higher in models that are based on data collected for a<br>different purpose (e.g. registry, existing study) as inherently<br>different outcome definitions are likely to be applied<br>If outcome is dependent on accuracy of measurement or<br>subjective interpretation, along with if outcomes are measured<br>on several occasions at different frequency for different<br>participants (more frequent visits = more likely to detect), RoB<br>is higher | participants<br><b>N/PN</b> if outcomes were clearly<br>defined/determined in a different way for<br>some participants   |   |
|          | 3.5 Was the<br>outcome<br>determined without<br>knowledge of<br>predictor<br>information?<br>(Y/PY/PN/N/NI)                       | Similar to 3.3<br>In consensus or panel decisions on outcome, it may be that as<br>much information as possible is available, which could include<br>the predictor<br>If the aim of a model is to assess the incremental value of a<br>certain predictor or compare the performance of competing<br>models (i.e. validating >1 model on the same data set), the<br>importance of blinded outcome determination is higher   | <ul> <li>Y/PY if predictor information was not known when determining the outcome status</li> <li>N/PN if it is clear that predictor information was used when determining the outcome status</li> </ul>   |   |
|          | 3.6 Was the time<br>interval between<br>predictor<br>assessment and<br>outcome<br>determination<br>appropriate?<br>(Y/PY/PN/N/NI) | <ul> <li>Bias can present in two ways:</li> <li>1. Outcome determined too early, when relevant outcome cannot be detected or the number of outcomes is unrepresentative</li> <li>2. Type of outcome may differ depending on time interval, e.g. metastases detected early may be liver metastases, whereas at one year they may mainly be bone metastases</li> <li>Time interval is also relevant to applicability of the review and whether you are trying to determine short- or long-term prognosis</li> </ul>  | <ul> <li>Y/PY if the time interval between predictor assessment and outcome determination was appropriate to enable the correct type and representative number of relevant outcomes to be recorded <u>or</u> if no information on time interval is needed to enable this</li> <li>N/PN if the time interval is too long or too short to enable the correct type and representative number of relevant outcomes to be recorded</li> </ul> | E.g. for metastases, if<br>the time point is too<br>early, metastases may<br>not have grown large<br>enough for detection |
|          | What is the risk of<br>bias introduced by<br>the outcome or its<br>determination?<br>(Low/High/Unclear)                           | Low if answer to all signalling questions is <b>Y</b> or <b>PY</b> . If $\geq$ 1 of the a be low but specific reasons should be provided as to why it can determined with knowledge of predictor information but the outco interpretation by the assessor e.g. death from any cause  | be considered so e.g. if outcome was   |   |

| Question      |   | Literature-Recommended Criteria   |   | Guideline Criteria for<br>Prostate Cancer<br>Rapid Review |
|---------------|---|---|---|---|
|               |   | <b>High</b> if the answer to any signalling questions is <b>N</b> or <b>PN</b> , unless<br><b>Unclear</b> if relevant information is missing for some of the signall<br>RoB   |   |   |
| Applicability | What is the<br>concern that the<br>outcome, its<br>definition, timing or<br>determination do<br>not match the<br>review question?<br>(Low/High/Unclear) | <ul> <li>Low if outcome definition, timing, and method of determination of review question.</li> <li>High if choice of outcome definition, timing, and method of outcome as intended by the review question.</li> <li>Unclear if relevant information about the outcome, timing, and review question.</li> </ul>  | ome determination defines another outcome   |   |
|               |   | ANALYSIS  |   |   |
| Risk of Bias  | 4.1 Were there a<br>reasonable<br>number of<br>participants with<br>the outcome?<br>(Y/PY/PN/N/NI)  | <ul> <li><u>Model development studies</u></li> <li>Performance of any prediction model is overestimate (to some extent) when development and assessment of performance both use the same data set – overestimation is larger with smaller sample size and when fewer participants have the outcome, and when model predictors are selected from a large number of candidate predictors (i.e. those considered during the model development process)</li> <li><b>EPV</b> (events per variable) = number of participants with the outcome relative to the number of candidate predictor parameters</li> <li>*For EPV between 10–20, the item should be rated as <b>PY</b> or <b>PN</b>, depending on the outcome frequency, model performance and distribution of predictors in the model</li> <li>The lower the EPV, the higher the likelihood that the model has been 'overfitted' or 'underfitted' (included spurious predictors used in the model would be typically assessed in a man being screened for prostate cancer</li> <li><u>Model validation studies</u></li> <li>Because the aim in a validation study is accurate and precise estimation of model performance, they are recommended to</li> </ul> | Model development studies<br>Y/PY if EPV ≥20*<br>N/PN if EPV <10*<br>Model validation studies<br>Y/PY if number of participants with the<br>outcome is ≥100<br>N/PN if number of participants with the<br>outcome is <100 |   |

#### Question

#### Literature-Recommended Criteria

Guideline Criteria for Prostate Cancer Rapid Review

include at least 100 participants

| 4.2 Were<br>continuous and<br>categorical<br>predictors handled<br>appropriately?<br>(Y/PY/PN/N/NI) | <ul> <li>Both</li> <li>Dichotomisation of continuous variables (predictors) requires choosing (often) an arbitrary cut-off point, which leads to loss of information and reduced predictive ability of the model (e.g. two people may have very different values but both be above the cut-off so would be classified as the same)</li> <li>This is particularly a problem if the cut-off is chosen to maximise the predictive effect of the model</li> <li>Model development studies</li> <li>Low RoB when predictors are kept continuous. The association between predictor and outcome risk should still be examined as linear or nonlinear</li> <li>RoB can still be low if a model categorises continuous predictors into 4 or more groups, rather than dichotomises, especially if these are based on widely accepted cut-offs. However, it should be clear that cut-offs were chosen before the data analysis</li> <li>Model validation studies</li> <li>Predictors should have the same format in the model validation study as they did in the development</li> </ul> | Both         Y/PY if continuous predictors are not converted into ≥2 categories         (dichotomised) when included in the model <u>or</u> if continuous predictors are examined for nonlinearity <u>or</u> if categorical predictor groups are defined using a prespecified method         N/PN if categorical predictor groups do not use a prespecified method         Model development studies         Y/PY No extra criteria         N/PN if continuous predictors are converted into ≥2 categories when included in the model         Model validation studies         Y/PY if continuous predictors use the same definitions/transformations and categorical predictors are categorised using the same cut points as in the development study         N/PN if they use different definitions |
|---|--|---|
| 4.3 Were all<br>enrolled<br>participants<br>included in the<br>analysis?<br>(Y/PY/PN/N/NI)          | For lowest RoB, all enrolled patients should be included.<br>If low %s are excluded from the analysis, RoB may still be low,<br>but 'low' % is hard to define because it depends on which<br>participants were excluded and whether this was a selected<br>subsample or not<br>Model studies based on existing sources (existing study or<br>care database/registry) are particularly susceptible to this type<br>of bias. In such cases, participant selection for the analysis<br>should be based on clear criteria  | Y/PY if all participants enrolled in the<br>study are included in the analysis<br>N/PN if some or a subgroup of<br>participants are inappropriately excluded<br>from the analysis   |
| 4.4 Were participants with  | When a study report does not mention missing data, participants with any missing data have likely been omitted   | <b>Y/PY</b> if there are no missing values of predictors or outcomes <u>and</u> the study   |

| Question |  | Literature-Recommended Criteria  |   | Guideline Criteria for<br>Prostate Cancer<br>Rapid Review |
|----------|--|--|---|---|
|          | missing data<br>handled<br>appropriately?<br>(Y/PY/PN/N/NI)                  | from the analyses ("available-case" or "complete-case"<br>analysis) because statistical packages automatically exclude<br>persons with any missing value on any of the data analysed<br>unless prompted otherwise  | explicitly reports that participants are not<br>excluded on the basis of missing data <u>or</u> if<br>missing values are handled using multiple<br>imputation                             |   |
|          |  | The most appropriate method for handling missing data is<br>multiple imputation because it leads to the least biased results,<br>whilst missing indicator method (using a separate category to<br>capture missing data) leads to biased results  | <b>N/PN</b> if participants with missing data are<br>omitted from the analysis <u>or</u> if the method<br>of handling missing data is clearly flawed<br>(e.g. missing indicator method or |   |
|          |  | (e.g. missing indicator method<br>inappropriate use of last value<br>forward) <u>or</u> if the study had <u>no</u><br><u>mention</u> of methods to handle<br>data  |   |   |
|          |  | If a model validation study is using data where a specific predictor is missing (e.g. because it was not measured), simply omitting the predictor leads to high RoB and this question should be rated as ${\bf N}$   |   |   |
|          | 4.5 Was selection  | In a univariable analysis, individual predictors are tested for<br>their association with the outcome and those with a statistically<br>significant univariable association are often selected for<br>inclusion in the development of the model. This can lead to<br>incorrect predictor selection because they are chosen on the<br>basis of their significance as a single predictor rather than in<br>combination with other predictors | <b>Y/PY</b> if the predictors are <u>not</u> selected on  |   |
|          | of predictors based<br>on univariable<br>analysis avoided?<br>(Y/PY/PN/N/NI) | This can lead to bias if some predictors are omitted that should<br>not be – some predictors are only important after adjustment<br>for others. Predictors may also be selected by accidental<br>association with the outcome using this approach  | the basis of univariable analysis prior to<br>multivariable modelling<br><b>N/PN</b> if the predictors <u>are</u> selected on the<br>basis of univariable analysis prior to               |   |
|          |  | A better approach is to use non-statistical methods, e.g., existing knowledge of established predictors  | multivariable modelling   |   |
|          |  | Some statistical methods that are not based on prior statistical tests between predictor and outcome can be used to reduce the number of modelled predictors (e.g. principal component analysis)   |   |   |
|          | 4.6 Were<br>complexities in the<br>data (e.g.<br>censoring,                  | For case-cohort/case-controls, the analysis method must<br>account for the sampling fractions (from the original cohort)<br>For prognostic models to predict long-term outcomes where<br>censoring occurs, a time-to-event analysis (e.g. Cox  | <b>Y/PY</b> if complexities in the data are<br>accounted for appropriately <u>or</u> if they have<br>been identified appropriately as<br>unimportant                                      |   |

| Question |  | Literature-Recommended Criteria  |   | Guideline Criteria for<br>Prostate Cancer<br>Rapid Review                       |
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|          | competing risks,<br>sampling of<br>controls)<br>accounted for<br>appropriately?<br>(Y/PY/PN/N/NI)      | regression) should be used to include censored participants up<br>to the end of their follow-up. Excluding censored patients with<br>incomplete follow-up is inappropriate. Competing risks should<br>also be appropriately accounted for<br>If a person can have >1 event, multilevel or random effects<br>modelling methods are needed to avoid underestimation  | <b>N/PN</b> if data complexities that could affect<br>model performance are ignored   |   |
|          | 4.7 Were relevant<br>model<br>performance<br>measures<br>evaluated<br>appropriately?<br>(Y/PY/PN/N/NI) | <ul> <li>Model calibration and discrimination should be assessed appropriately</li> <li>Calibration: agreement between predictions from model and observed outcomes, preferably reported graphically (calibration plot). Calibration is frequently assessed by calculating the Hosmer-Lemeshow goodness-of-fit test; however this has limited suitability to evaluate poor calibration</li> <li>Discrimination: ability of model to distinguish between individuals who do or do not develop the outcome. The most widely reported measure of discrimination is the concordance index (c-index), which is equivalent to the area under the receiver-operating characteristic (ROC) curve for logistic regression models</li> <li>Calibration and discrimination measures should account for the type of outcome being predicted. For survival models, researchers should account for time-to-event and censoring using e.g. Harrell's c-index or the D statistic</li> <li>Classification measures such as sensitivity, specificity or predictive value may also be used. These require the introduction of one or more threshold in the range of model-predicted probabilities which allows reporting of the model's performance at probability thresholds which may be clinically relevant. However, use of thresholds leads to loss of information and <u>choice</u> of thresholds may be data-driven rather than prespecified, which can lead to bias (i.e. thresholds chosen to maximise performance). The choice of threshold should be prespecified for low RoB</li> </ul> | Y/PY if both calibration and discrimination<br>are evaluated appropriately (including<br>relevant measures tailored for models<br>predicting survival outcomes)<br>N/PN if both calibration and discrimination<br>are not evaluated <u>or</u> if only goodness-of-fit<br>tests (e.g. Hosmer-Lemeshow test) are<br>used to evaluate calibration <u>or</u> if for<br>models predicting survival outcomes<br>performance measures accounting for<br>censoring are <u>not</u> used <u>or</u> if classification<br>measures (sensitivity, specificity,<br>predictive values) were presented using<br>predicted probability thresholds derived<br>from the data set at hand | Models predicting<br>survival outcomes likely<br>to be relevant for<br>prostate |
|          | 4.8 Were model<br>overfitting and<br>optimism in model<br>performance<br>accounted for?                | This applies to model development studies only<br>Studies developing models should always include some form<br>of internal validation (i.e. using data of the original sample) e.g.<br>bootstrapping and cross-validation  | <b>Y/PY</b> if internal validation techniques,<br>such as bootstrapping and cross-<br>validation including all model development<br>procedures, have been used to account<br>for any optimism in model fitting, and   | Dega 229  |

| Question                       |  | Literature-Recommended Criteria  |   | Guideline Criteria for<br>Prostate Cancer<br>Rapid Review |  |  |  |
|--------------------------------|--|--|---|---|--|--|--|
|                                | (Y/PY/PN/N/NI)   | If optimism is present, an important next step is to adjust or<br>shrink the model predictive performance estimates and<br>predictor effects, however this is not typically done and will<br>lead to bias<br>The need to adjust for overfitting and optimism is greater for<br>studies with a small sample size and low EPV and those using<br>stepwise predictor selection strategies | subsequent adjustment of the model<br>performance (e.g. shrinkage) estimates<br>have been applied<br><b>N/PN</b> if no internal validation has been<br>performed <u>or</u> if internal validation consists<br>only of a single random split-sample of<br>participant data <u>or</u> if the bootstrapping or<br>cross-validation did not include all model<br>development procedures (including any<br>variable selection) |   |  |  |  |
|                                | 4.9 Do predictors<br>and their assigned<br>weights in the final<br>model correspond<br>to the results from<br>the reported<br>multivariable<br>analysis?<br>(Y/PY/PN/N/NI)   | This applies to model development studies only<br>Predictors and coefficients for the developed model, including<br>intercept or baseline components, should be fully reported to<br>allow others to correctly apply the model to other individuals<br>The final presented model and the results from the<br>multivariable analysis should match, otherwise bias may arise.            | <ul> <li>Y/PY if predictors and regression coefficients in the final model correspond to reported results from multivariable analysis</li> <li>N/PN if predictors and regression coefficients in the final model do not correspond to reported results from the multivariable analysis</li> </ul>   |   |  |  |  |
|                                | What is the risk of<br>bias introduced by<br>the analysis?<br>(Low/High/Unclear)   | bias introduced by<br>the analysis? <b>High</b> if the answer to any signalling questions is <b>N</b> or <b>PN</b> , unless otherwise defined as low above   |   |   |  |  |  |
|                                |  | OVERALL ASSESSMENT   |   |   |  |  |  |
|                                | of bias judgement<br>bias/High risk of<br>risk of bias)  | Low risk of bias if all domains were rated at low risk of bias. For<br>validation, only consider at low RoB if all domains rated as low a<br>a very large data set and included some form of <i>internal</i> validated<br>High risk of bias if at least one domain is judged to be at high r<br>Unclear risk of bias if unclear risk of bias was noted in at least                     |   |   |  |  |  |
| (Low concern<br>applicability/ | Overall applicability judgement<br>(Low concerns for<br>applicability/High concerns for<br>applicability/Unclear concerns for       Low concerns for applicability if it is judged as such for all domains         High concerns for<br>applicability/Unclear concerns for       High concerns for applicability if it is judged as such for at least one domain |  |   |   |  |  |  |

| Question       | Literature-Recommended Criteria                   | Guideline Criteria for<br>Prostate Cancer<br>Rapid Review |
|----------------|---|---|
| applicability) | domains judged as high concerns for applicability |   |

# Appendix 5 – Appraisal for quality and risk of bias

# Questions 1 and 2

### Table 46. Cochrane Risk of Bias Tool 2 for RCTs

|   | Total ERSPC (F | lugosson 2019/Auvinen 2016)  |  | Martin 2018 (CAP)   | Pinsky 2017 (PLCO) |   |
|---|----------------|--|--|---|--------------------|---|
| RANDOMISATION PROCESS   | Answer         | Notes  | Answer   | Notes   | Answer             | Notes   |
| 1.1 Was the allocation sequence random?   | Y              | Computer-randomised (all<br>locations – randomisation before<br>consent in some; randomisation<br>after consent in others) | Y  | Randomisation stratified within<br>geographical groups and block sizes<br>of 10 to 12 neighbouring practices<br>using a computerised random<br>number generator   | РҮ                 | Details not provided but<br>reported as randomised with<br>balanced characteristics<br>between groups |
| 1.2 Was the allocation sequence<br>concealed until participants were<br>enrolled and assigned to<br>interventions?      | NI             | No information on this   | Because randomisation preceded<br>practices being invited to take part<br>in the study and because the<br>invitation was tailored to the group<br>(intervention or control) to which<br>the practice had been randomised,<br>it was not possible to conceal<br>randomisation while practices<br>decided whether to participate |   | NI                 | No information provided on allocation concealment   |
| 1.3 Did the baseline differences<br>between intervention groups<br>suggest a problem with the<br>randomization process? | NI             | Baseline characteristics are not reported  | N  | "There were no important<br>differences comparing measured<br>characteristics of practices that did<br>vs did not agree to participate.<br>There were no important<br>differences in measured baseline<br>characteristics between intervention<br>group vs control group practices or<br>men" | N                  | Balanced between groups   |
| Risk of bias judgement (low, high, some concerns)   | Some concerns  | Algorithm on Cochrane Risk of<br>Bias Tool 2 crib sheet <sup>210</sup>   | Low  | Algorithm on Cochrane Risk of Bias<br>Tool 2 crib sheet <sup>210</sup>  | Low                | Algorithm on Cochrane Risk of Bias Tool 2 crib sheet <sup>210</sup>                                   |
| EFFECT OF ASSIGNMENT TO<br>INTERVENTION   |                |  |  |   |                    |   |
| 2.1 Were participants aware of their assigned intervention during   | Y              | By nature of the intervention,<br>invitations for screening were only<br>sent to those in the intervention                 | Y  | Not possible to conceal   | Y                  | Not possible to conceal   |

| the trial?   |                   | arm, so those in the control arm<br>would know they were not<br>attending screening  |     |  |                  |  |
|--|-------------------|--|-----|--|------------------|--|
| 2.2 Were carers and people<br>delivering the interventions aware<br>of participants' assigned<br>intervention during the trial?  | Y                 | As above, necessary by nature of the intervention  | Y   | Not possible to conceal  | Y                | Not possible to conceal  |
| 2.3 If Y/PY/NI to 2.1 or 2.2: Were<br>there deviations from the intended<br>intervention that arose because of<br>the trial context?   | Y                 | Men in control arm attending<br>opportunistic screening<br>('contamination')   | ΡΥ  | Adherence to intervention was<br>relatively low (36%-40%), but this<br>intervention could not have been<br>blinded and could have happened<br>outside trial context; however,<br>there was an estimated rate of 10-<br>15% contamination in the control<br>group | NI               | Deviations from protocol not<br>reported   |
| 2.4 If Y/PY to 2.3: Were these deviations likely to have affected the outcome?   | Y                 | Several studies have<br>demonstrated the effect of it by<br>correcting for 'contamination in the<br>screening arm'                       | PY  | Men undergoing opportunistic<br>testing could dilute or mask the<br>effect of the intervention   | Y                | Contamination in the PLCO<br>trial explains the only modest<br>increase in PCa intervention in<br>the screening vs control arm<br>over the length of the trial |
| 2.5 If Y/PY/NI to 2.4: Were these deviations from intended intervention balanced between groups?   | N                 | NI, but seems more likely that men<br>in control arm would attend<br>opportunistic screening rather than<br>men in the screening arm not | NA  | -  | NI               | Unclear  |
| 2.6 Was an appropriate analysis used to estimate the effect of assignment to intervention?   | Y                 | Analyses conducted were largely<br>'intention to screen'   | Y   | ITT analysis   | Y                | ITT analysis   |
| 2.7 If N/PN/NI to 2.6: Was there<br>potential for a substantial impact<br>(on the result) of the failure to<br>analyse participants in the group<br>to which they were randomized? | NA                | -  | NA  | -  | NA               | -  |
| Risk of bias judgement (low, high, some concerns)  | High risk of bias | Algorithm on Cochrane Risk of<br>Bias Tool 2 crib sheet <sup>210</sup>   | Low | Algorithm on Cochrane Risk of Bias<br>Tool 2 crib sheet <sup>210</sup>   | Some<br>concerns | Algorithm on Cochrane Risk of Bias Tool 2 crib sheet <sup>210</sup>  |
| MISSING OUTCOME DATA   |                   |  |     |  |                  |  |
| 3.1 Were data for this outcome<br>available for all, or nearly all,<br>participants randomized?  | Low               | Apart from 148 men who died<br>during randomisation process, all<br>men who were randomised were<br>included in the analysis             | Y   | All randomised patients included in<br>analyses, "few missing data" so<br>multiple imputation analyses not<br>conducted  | Y                | All randomised patients<br>included in analyses  |
| 3.2 If N/PN/NI to 3.1: Is there evidence that the result was not   | NA                | -  | NA  | -  | NA               | -  |

| biased by missing outcome data?   |     |   |     |   |     |  |
|---|-----|---|-----|---|-----|--|
| 3.3 If N/PN to 3.2: Could missingness in the outcome depend on its true value?  | NA  | -   | NA  | -   | NA  | -  |
| 3.4 If Y/PY/NI to 3.3: Is it likely that missingness in the outcome depended on its true value?                             | NA  | -   | NA  | -   | NA  | -  |
| Risk of bias judgement (low, high, some concerns)   | Low | Algorithm on Cochrane Risk of<br>Bias Tool 2 crib sheet <sup>210</sup>  | Low | Algorithm on Cochrane Risk of Bias<br>Tool 2 crib sheet <sup>210</sup>  | Low | Algorithm on Cochrane Risk of Bias Tool 2 crib sheet <sup>210</sup>  |
| MEASUREMENT OF OUTCOME  |     |   |     |   |     |  |
| 4.1 Was the method of measuring<br>the outcome inappropriate?   | Ν   | For PCa mortality, medical records<br>were evaluated by a cause of<br>death committee<br>PCa incidence and vital status<br>were monitored regularly in all<br>randomised men and reported<br>biannually to the central database.<br>A scientific committee established<br>quality criteria and other<br>committees monitored the conduct,<br>progress of trial, PSA<br>harmonisation and assignment of<br>Gleason grades. | N   | Outcomes defined and data<br>obtained from NHS Digital<br>Organisation, Office for National<br>Statistics for death and cancer<br>registrations, and PHE and routine<br>data for supplementary info.<br>Independent cause of death<br>evaluation committee that was<br>blinded to trial group assignment,<br>unclear for other outcomes | PN  | Generally appropriate; deaths<br>ascertained through NDI and<br>medical records, methods<br>slightly differed between<br>original analysis and extended<br>follow-up |
| 4.2 Could measurement or ascertainment of the outcome have differed between intervention groups?                            | N   | Standard approach taken for all<br>participants   | N   | Outcome measurement consistent<br>across study arms   | N   | While method changes<br>halfway through the study,<br>there is no reason to believe<br>this differed by study arm  |
| 4.3 If N/PN/NI to 4.1 and 4.2:<br>Were outcome assessors aware<br>of the intervention received by<br>study participants?    | N   | The cause of death committee<br>were masked to the intervention<br>received   | PN  | Independent cause of death<br>evaluation committee that was<br>blinded to trial group assignment;<br>unclear for other outcomes but<br>knowledge would not affect<br>assessment of outcome (objective)  | PN  | N for original analysis (up to<br>13 years) – mortality assessed<br>by blinded process. Unclear<br>about extended  |
| 4.4 If Y/PY/NI to 4.3: Could<br>assessment of the outcome have<br>been influenced by knowledge of<br>intervention received? | NA  | -   | NA  | -   | PN  | Death: no<br>Incidence: no<br>Complications: possibly but<br>unlikely  |
| 4.5 If Y/PY/NI to 4.4: Is it likely that assessment of the outcome  | NA  | -   | NA  | -   | NA  | -  |

| OVERALL RISK OF BIAS (low,<br>high, some concerns)  | Low              | Algorithm on Cochrane Risk of<br>Bias Tool 2 crib sheet <sup>210</sup>  | Low | Algorithm on Cochrane Risk of<br>Bias Tool 2 crib sheet <sup>210</sup>  | Some<br>concerns | Algorithm on Cochrane<br>Risk of Bias Tool 2 crib<br>sheet <sup>210</sup>  |
|---|------------------|---|-----|---|------------------|--|
| Risk of bias judgement (low, high, some concerns)   | Low risk of bias | Algorithm on Cochrane Risk of Bias Tool 2 crib sheet <sup>210</sup>   | Low | Algorithm on Cochrane Risk of Bias Tool 2 crib sheet <sup>210</sup>   | Some<br>concerns | Algorithm on Cochrane Risk<br>of Bias Tool 2 crib sheet <sup>210</sup>   |
| 5.3 Is the numerical result being<br>assessed likely to have been<br>selected, on the basis of the<br>results, from multiple eligible<br>analyses of the data?  | Ν                | For outcomes where results were<br>analysed in multiple ways, these<br>are always reported (usually in<br>separate publications)  | N   | No evidence of multiple primary<br>analyses, data presented for<br>exploratory analyses and pre-<br>specified analysis plan | N                | No evidence of outcomes<br>being analysed in multiple<br>ways  |
| 5.2. Is the numerical result being<br>assessed likely to have been<br>selected, on the basis of the<br>results, from multiple eligible<br>outcome measurements (e.g.<br>scales, definitions, time points)<br>within the outcome domain? | Ν                | Most outcomes only measured in<br>one way; for the study reporting on<br>HRQoL (Booth 2014), 3 scales<br>were used but results were<br>reported for all three.  | N   | No; death can only occur once   | N                | The same definition of<br>outcomes were used<br>throughout, although data<br>collection methods for<br>mortality changed once, with<br>data reported once for each<br>time period. Data for other<br>timepoints are reported in<br>previous publications |
| 5.1 Were the data that produced<br>this result analysed in accordance<br>with a pre-specified analysis plan<br>that was finalized before<br>unblinded outcome data were<br>available for analysis?                                      | ΡY               | States that the present analysis is<br>protocol-based and not driven by<br>statistical significance, however it<br>is unclear if this applies to all<br>analyses and the protocol is not<br>available | Y   | SAP provided  | NI               | Unclear; cannot find SAP   |
| SELECTION OF THE<br>REPORTED RESULT   |                  |   |     |   |                  |  |
| Risk of bias judgement (low, high, some concerns)   | Low risk of bias | Algorithm on crib sheet   | Low | Low Algorithm on crib sheet   |                  | Algorithm on crib sheet  |
| was influenced by knowledge of intervention received?   |                  |   |     |   |                  |  |

# Question 3

## Table 47. QUADAS 2 for screening test accuracy studies

|   | Nam 2016 |  | Grenabo Bergdahl 2016<br>(Göteborg) |   | Rubio-Briones 2014 |  | Halpern 2017 (PLCO) |   | Ankerst 2016 (SABOR) |   |
|---|----------|--|-------------------------------------|---|--------------------|--|---------------------|---|----------------------|---|
| PARTICIPANT<br>SELECTION  | Answer   | Notes  | Answer                              | Notes   | Answer             | Notes  | Answer              | Notes   | Answer               | Notes   |
| Was a consecutive<br>or random sample<br>of participants<br>enrolled?<br>(Yes/No/Unclear)                           | Yes      | Consecutive<br>(first 50 men)  | Yes                                 | Randomised  | Yes                | Randomised   | Y                   | Randomised                                    | Unclear              | Unclear ho  |
| Was a case-control<br>design avoided?<br>(Yes/No/Unclear)   | Yes      | No cases<br>(history of<br>any cancer<br>was an<br>exclusion<br>criterion)   | Yes                                 | RCT   | Yes                | RCT  | Y                   | RCT   | Y                    | Not a case-control  |
| Did the study avoid<br>inappropriate<br>exclusions?<br>(Yes/No/Unclear)   | Yes      | No<br>inappropriate<br>exclusions  | Unclear                             | Exclusion<br>criteria not<br>reported                     | Yes                | No<br>inappropriate<br>exclusions  | Y                   | No<br>inappropriate<br>exclusions             | Unclear              | Inclusion/exclusion<br>criteria not<br>provided   |
| Could the selection<br>of participants<br>have introduced<br>bias?<br>(Low/High/Unclear)                            | Unclear  | Based on<br>signalling<br>question<br>answers  | Unclear                             | Based on<br>signalling<br>question<br>answers             | Unclear            | Based on<br>signalling<br>question<br>answers  | Low                 | Based on<br>signalling<br>question<br>answers | Unclear              | Minimal<br>information to<br>assess   |
| Is there concern<br>that the included<br>participants do not<br>match the review<br>question?<br>(Low/High/Unclear) | High     | Opportunistic<br>rather than<br>population<br>screening.<br>Volunteers<br>responding to<br>news<br>advertisement<br>rather than<br>primary care,<br>are not<br>necessarily<br>representative<br>of the general<br>population | High                                | Inclusion<br>and<br>exclusion<br>criteria not<br>reported | High               | Opportunistic<br>rather than<br>population<br>screening.<br>Volunteers<br>are not<br>necessarily<br>representative<br>of the general<br>population | Low                 | Unselected<br>from primary<br>care setting    | Unclear              | Includes men<br>without a prior<br>diagnosis of<br>prostate cancer,<br>but unclear if<br>asymptomatic or<br>unselected in<br>some other way |

| INDEX TESTS  | Answer | Notes   | Answer | Notes   | Answer | Notes   | Answer | Notes   | Answer | Notes   |
|--|--------|---|--------|---|--------|---|--------|---|--------|---|
| Were the index<br>test results<br>interpreted without<br>knowledge of the<br>reference<br>standard?<br>(Yes/No/Unclear)                    | Yes    | Men had MRI<br>before biopsy,<br>to determine<br>type of biopsy | Yes    | Men had<br>PSA testing<br>and MRI<br>before<br>biopsy, to<br>determine<br>need for<br>(and type<br>of) biopsy | Yes    | Men had PSA,<br>DRE and<br>PCA3 testing<br>before biopsy,<br>to determine<br>need for<br>biopsy | Yes    | DRE were<br>considered<br>positive or<br>suspicious as<br>determined<br>by the<br>examiner<br>before<br>reference<br>standard (and<br>only screen-<br>positive<br>received<br>biopsy).<br>Examiners<br>were blinded<br>to PSA results | Yes    | Men had PSA<br>testing before<br>biopsy, to<br>determine need<br>for biopsy |
| If a threshold was<br>used, was it pre-<br>specified?<br>(Yes/No/Unclear)  | Yes    | Positive MRI<br>(MRI score<br>≥4)                               | Yes    | PSA ≥3<br>ng/mL<br>and/or PSA<br>≥1.8 ng/mL<br>with<br>positive<br>MRI (MRI<br>score ≥3)                      | Yes    | PSA ≥3<br>ng/mL and/or<br>abnormal DRE<br>results with<br>PCA3 levels<br>≥35                    | Yes    | Not<br>biochemical,<br>but<br>characteristics<br>for a positive<br>result was<br>reported; for<br>PSA > 4 ng/<br>was<br>considered<br>abnormal  | Yes    | <25% for PSA-<br>free, >4 ng/ml for<br>PSA test                             |
| Could the conduct<br>or interpretation of<br>the index test have<br>introduced bias?<br>(Low/High/Unclear)                                 | Low    | Based on<br>signalling<br>question<br>answers                   | Low    | Based on<br>signalling<br>question<br>answers   | Low    | Based on<br>signalling<br>question<br>answers   | Low    | Based on<br>signalling<br>question<br>answers   | Low    | Based on<br>signalling question<br>answers                                  |
| Is there concern<br>that the index test,<br>its conduct, or<br>interpretation differ<br>from the review<br>question?<br>(Low/High/Unclear) | Low    | Relevant,<br>widely-used<br>tests                               | Low    | Relevant,<br>widely-used<br>tests   | Low    | Relevant,<br>widely-used<br>tests   | Low    | Relevant tests  | Low    | Relevant tests  |
| REFERENCE<br>STANDARD  | Answer | Notes   | Answer | Notes   | Answer | Notes   | Answer | Notes   | Answer | Notes   |

| Is the reference<br>standard likely to<br>correctly classify<br>the test condition?<br>(Yes/No/Unclear)                                | Yes  | TRUS-guided<br>systematic<br>biopsy, or<br>MRI-targeted<br>biopsy in<br>patients with<br>prostate<br>lesions on<br>MRI  | Yes       | TRUS-<br>guided<br>systematic<br>biopsy,<br>followed by<br>MRI-<br>targeted<br>biopsy in<br>patients<br>with<br>cancer-<br>suspicious<br>findings on<br>MRI                             | Unclear | No details on<br>biopsy<br>procedure<br>provided | Unclear | Method of<br>biopsy<br>unclear, likely<br>as screen-<br>positive men<br>were further<br>investigated<br>by primary<br>care<br>physicians  | Unclear | No details on<br>biopsy procedure<br>provided |
|--|------|---|-----------|---|---------|--|---------|---|---------|---|
| Were the reference<br>standard results<br>interpreted without<br>knowledge of the<br>results of the index<br>test?<br>(Yes/No/Unclear) | No   | Type of<br>biopsy<br>performed<br>was<br>dependent on<br>MRI results.<br>No mention<br>whether<br>TRUS-guided<br>systematic<br>biopsy was<br>performed<br>blinded to<br>MRI results | Partially | TRUS-<br>guided<br>systematic<br>biopsy was<br>performed<br>blinded to<br>MRI results,<br>but MRI-<br>targeted<br>biopsy was<br>performed<br>with<br>knowledge<br>of the MRI<br>results | Unclear | No details on<br>biopsy<br>procedure<br>provided | Yes     | Likely yes,<br>examiners<br>were blinded<br>to PSA<br>results, and<br>mortality was<br>assessed by<br>blinded<br>verification<br>process  | Unclear | No details on<br>biopsy procedure<br>provided |
| Could the<br>reference<br>standard, its<br>conduct, or its<br>interpretation have<br>introduced bias?<br>(Low/High/Unclear)            | High | Based on<br>signalling<br>question<br>answers   | Low       | Based on<br>signalling<br>question<br>answers   | Unclear | Based on<br>signalling<br>question<br>answers    | Unclear | No details on<br>biopsy<br>procedure<br>provided but<br>likely okay as<br>blinded to<br>test results<br>and biopsy<br>conducted<br>under<br>direction of<br>primary care<br>physician | Unclear | No details on<br>biopsy procedure<br>provided |
| Is there concern<br>that the target<br>condition as  | Low  | Relevant,<br>widely-used<br>tests   | Low       | Relevant,<br>widely-used<br>tests   | Unclear | No details on<br>confirmed<br>diagnosis          | Unclear | No details on<br>confirmed<br>diagnosis   | Unclear | No details on<br>confirmed<br>diagnosis       |

| defined by the<br>reference standard<br>does not match the<br>review question?<br>(Low/High/Unclear)                       |           |  |        |   |         | procedure<br>provided  |         | procedure<br>provided  |         | procedure<br>provided   |
|--|-----------|--|--------|---|---------|--|---------|--|---------|---|
| PARTICIPANT<br>FLOW  | Answer    | Notes  | Answer | Notes   | Answer  | Notes  | Answer  | Notes  | Answer  | Notes   |
| Was there an<br>appropriate interval<br>between the index<br>test(s) and the<br>reference<br>standard?<br>(Yes/No/Unclear) | Yes       | MRI<br>performed<br>before biopsy  | Yes    | PSA test<br>and MRI<br>performed<br>before<br>biopsy  | Yes     | PSA, DRE and<br>PCA3 test<br>performed<br>before biopsy  | Yes     | DRE and PSA<br>measured<br>before<br>biopsy, no<br>preventative<br>treatment<br>apparent                                       | Yes     | DRE and PSA<br>measured before<br>biopsy, no<br>preventative<br>treatment                     |
| Did all participants<br>receive a reference<br>standard? (Yes/No<br>Unclear)   | No        | Three<br>patients<br>opted not to<br>receive biopsy<br>after MRI<br>(unclear if<br>this was<br>based on<br>their MRI<br>results) | No     | Biopsy only<br>performed<br>in men with<br>PSA ≥3<br>ng/mL<br>and/or PSA<br>≥1.8 ng/mL<br>with<br>positive<br>MRI | No      | Biopsy only<br>performed on<br>men with PSA<br>≥3 ng/mL<br>and/or<br>abnormal DRE<br>with PCA3<br>levels ≥35,<br>and half of<br>men with PSA<br>≥3 ng/mL<br>and/or<br>abnormal DRE<br>with PCA3<br><35 | No      | Only men<br>with<br>suspicious<br>test results<br>received<br>biopsy, and<br>reference<br>standard not<br>clearly<br>described | No      | Only men with<br>suspicious test<br>results received<br>biopsy                                |
| Did participants<br>receive the same<br>reference<br>standard?<br>(Yes/No/Unclear)   | No        | Some<br>received<br>systematic<br>and others<br>received<br>targeted<br>biopsy   | No     | Analysis<br>compares<br>TRUS-<br>guided<br>systematic<br>biopsy with<br>MRI-<br>targeted<br>biopsy                | Unclear | No details on<br>biopsy<br>procedure<br>provided   | Unclear | No details on<br>biopsy<br>procedure<br>provided e.g.<br>type of biopsy<br>or staff  | Unclear | No details on<br>biopsy procedure<br>provided e.g. type<br>of biopsy or staff                 |
| Were all<br>participants<br>included in the<br>analysis?<br>(Yes/No/Unclear)   | Partially | 3 screened<br>men were not<br>included in<br>the final<br>analysis   | Yes    | 384 men<br>were<br>screened<br>with PSA<br>test. Of<br>these, only  | No      | 2,366 men<br>were<br>screened with<br>PSA test and<br>DRE. Of<br>these, only   | Yes     | All men<br>following<br>exclusions<br>(with<br>reasons) were<br>reported, and  | No      | Substantial<br>number of<br>participants<br>missing from<br>analyses (table 2)<br>compared to |

|   |      |   |      | 127 were<br>screened<br>with MRI.<br>Of these,<br>only 90 had<br>the<br>reference<br>standard<br>(biopsy) |      | 321 were<br>screened with<br>PCA3. Of<br>these, only<br>211 had the<br>reference<br>standard<br>(biopsy) |         | % of missing<br>data was<br>clearly<br>indicated |      | baseline<br>characteristics<br>(table 1)   |
|---|------|---|------|---|------|--|---------|--|------|--|
| Could the<br>participant flow<br>have introduced<br>bias?<br>(Low/High/Unclear) | High | Based on<br>signalling<br>question<br>answers | High | Based on<br>signalling<br>question<br>answers   | High | Based on<br>signalling<br>question<br>answers  | Unclear | Based on<br>signalling<br>question<br>answers    | High | Based on<br>signalling question<br>answers |

# Table 48. PROBAST for prognostic model studies

|                 |   |                            | Grönberg 2015 (STHLM3)  |
|-----------------|---|----------------------------|---|
| TYPE of PREDICT | ION STUDY   | Answer                     | Notes   |
|                 | ation based on its aim (i.e. what is the type of prediction study)? (Development t and validation/Validation only)  | Development and validation | Includes a development and validation cohort  |
| PARTICIPANTS    |   | Answer                     | Notes   |
|                 | 1.1 Were appropriate data sources used, e.g. cohort, RCT or nested case-<br>control study data? (Y/PY/PN/N/NI)      | Υ                          | Data source was the STHLM3 study was prospective, population-based cohort study   |
| Risk of Bias    | 1.2 Were all inclusions and exclusions of participants appropriate?<br>(Y/PY/PN/N/NI)                               | Υ                          | Population study, age 50-69 included, men with previous prostate cancer diagnosis excluded  |
| Risk of Bias    | What is the risk of bias introduced by selection of participants (Low/High/Unclear)                                 | Low                        |   |
| Applicability   | What is the concern that the included participants and setting do not match the review question? (Low/High/Unclear) | Low                        | Men not recruited from primary care but still recruited by<br>postal address (so were not selected from a high-risk or<br>symptomatic population) |
| PREDICTORS      |   | Answer                     | Notes   |
|                 | 2.1 Were predictors defined and assessed in a similar way for all participants? (Y/PY/PN/N/NI)                      | Υ                          | Consistent methods  |
| Risk of Bias    | 2.2 Were predictor assessments made without knowledge of outcome data? (Y/PY/PN/N/NI)                               | Y                          | Tests conducted before biopsy (reference standard), as test<br>results determined whether biopsy would be performed or<br>which biopsy method     |

|               | 2.3 Are all predictors available at the time the model is intended to be used? (Y/PY/PN/N/NI)   | РҮ     | All pre-biopsy tests  |
|---------------|---|--------|---|
|               | What is the risk of bias introduced by predictors or their assessment? (Low/High/Unclear)   | Low    |   |
| Applicability | What is the concern that the definition, assessment or timing of predictors in the model do not match the review question? (Low/High/Unclear) | Low    |   |
| OUTCOME       |   | Answer | Notes   |
|               | 3.1 Was the outcome determined appropriately? (Y/PY/PN/N/NI)  | Yes    | According to a standardised biopsy protocol, 10 core biopsies<br>were taken if the prostate volume was less than 35 cm and 12<br>core biopsies were taken if the volume was greater or equal to<br>35 cm. A single pathologist assessed all biopsies to reduce<br>interobserver variance. |
|               | 3.2 Was a pre-specified or standard outcome definition used?<br>(Y/PY/PN/N/NI)  | Y      | High-risk prostate cancer (Gleason score ≥7)  |
|               | 3.3 Were predictors excluded from the outcome definition? (Y/PY/PN/N/NI)  | Y      | None of the predictors are included in the outcome definition   |
| Risk of Bias  | 3.4 Was the outcome defined and determined in a similar way for all participants? (Y/PY/PN/N/NI)  | Y      | Consistent methods; biopsy method depended on size but this is common practice  |
|               | 3.5 Was the outcome determined without knowledge of predictor information? (Y/PY/PN/N/NI)   | Υ      | Participating urologists and the pathologist were blinded to biomarker results and PSA concentration.   |
|               | 3.6 Was the time interval between predictor assessment and outcome determination appropriate? (Y/PY/PN/N/NI)                                  | РҮ     | Time interval not reported, however, time elapsing is unlikely<br>to affect whether prostate cancer is present or not, but if a<br>long time between index tests and biopsy, cancer may have<br>progressed  |
|               | What is the risk of bias introduced by the outcome or its determination? (Low/High/Unclear)   | Low    |   |
| Applicability | What is the concern that the outcome, its definition, timing or determination do not match the review question? (Low/High/Unclear)            | Low    |   |
| ANALYSIS      |   | Answer | Notes   |
|               | 4.1 Were there a reasonable number of participants with the outcome? (Y/PY/PN/N/NI)   | Υ      | 11,130 in training cohort<br>47,688 in validation cohort, >5000 received biopsy   |
| Risk of Bias  | 4.2 Were continuous and categorical predictors handled appropriately?<br>(Y/PY/PN/N/NI)   | РҮ     | All continuous predictors were included as linear effects and the others (family history, previous biopsy, <i>HOXB13</i> , and DRE) as indicator variables in a logistic regression model.  |
|               | 4.3 Were all enrolled participants included in the analysis? (Y/PY/PN/N/NI)   | PN     | ITS analysis not used; number excluded was reported but reasons provided: PSA and STHLM3 test technical difficulties,   |

|                          |  |                  | or because PSA>10 mg/mL, or "because of a-reductase inhibitors"   |
|--------------------------|--|------------------|---|
|                          | 4.4 Were participants with missing data handled appropriately?<br>(Y/PY/PN/N/NI)   | NI               | No information on handling of missing data  |
|                          | <b>Model development studies only</b><br>4.5 Was selection of predictors based on univariable analysis avoided?<br>(Y/PY/PN/N/NI)  | PY/NI            | Plasma protein biomarkers used in STHLM3 were selected<br>from a scientific literature search and two subsequent<br>validation studies. For the genetic markers, 254 SNPs shown<br>to be associated with prostate cancer in previous studies were<br>tested. These SNPs were combined in a genetic score using<br>odds ratios estimated from cohorts in these previous studies.<br>The SNPs were subsequently ranked according to their p value<br>and included SNPs in the genetic score in the order of the<br>ranked list. SNPs that could not be genotyped reliably were<br>excluded from the score, leaving 232 SNPs in the STHLM3<br>model. No other information on predictor selection reported. |
|                          | 4.6 Were complexities in the data (e.g. censoring, competing risks, sampling of controls) accounted for appropriately? (Y/PY/PN/N/NI)  | NI               | No information provided   |
|                          | 4.7 Were relevant model performance measures evaluated appropriately? (Y/PY/PN/N/NI)   | NI               | No information on development and calibration provided  |
|                          | Model development studies only<br>4.8 Were model overfitting and optimism in model performance accounted<br>for? (Y/PY/PN/N/NI)  | РҮ               | 5-fold cross-validation used  |
|                          | <b>Model development studies only</b><br>4.9 Do predictors and their assigned weights in the final model correspond<br>to the results from the reported multivariable analysis? (Y/PY/PN/N/NI) | NI               | No information e.g. intercepts provided   |
|                          | What is the risk of bias introduced by the analysis? (Low/High/Unclear)  | Unclear          | Limited information   |
| OVERALL ASSESSM          | ENT  | Answer           | Notes   |
| Overall risk of bias jud | lgement (Low risk of bias/High risk of bias/Unclear risk of bias)  | Low risk of bias |   |
|                          | dgement (Low concerns for applicability/High concerns for<br>oncerns for applicability)  | Low concerns     |   |

# Question 4

## Table 49. AMSTAR 2 for SLRs

|   | NG13   | 1 C – Radical RT  |        | 1 G – AS, RT and<br>ostatectomy   |        | Ng 2019   |        | Yin 2019   | Chin 2017   |  |  |
|---|--------|---|--------|---|--------|---|--------|--|-------------|--|--|
|   | Answer | Notes   | Answer | Notes   | Answer | Notes   | Answer | Notes  | Answer      | Notes  |  |
| Did the research questions<br>and inclusion criteria for the<br>review include the<br>components of PICO?<br>(Yes/No)   | Yes    |   | Yes    |   | Yes    |   | Yes    |  | No          | Cannot see any<br>information on<br>outcomes in the<br>Methods.<br>Population and<br>intervention<br>defined,<br>comparator and<br>outcomes not. |  |
| Did the report of the review<br>contain an explicit statement<br>that the review methods were<br>established prior to the<br>conduct of the review and did<br>the report justify any<br>significant deviations from the<br>protocol? (Yes/Partial Yes/No) | Yes    | Protocol and any<br>deviations<br>specifically<br>discussed   | Yes    | Protocol and any<br>deviations<br>specifically<br>discussed   | Yes    | Protocol described<br>and registered<br>with PROSPERO               | Yes    | Protocol<br>described and<br>registered with<br>PROSPERO               | Partial Yes | States that protocol<br>was 'prespecified'<br>but no evidence<br>that it was<br>registered with an<br>independent body                           |  |
| Did the review authors explain<br>their selection of the study<br>designs for inclusion in the<br>review? (Yes/No)  | No     | No explanation for<br>selected study<br>design provided,<br>however, the<br>restriction is<br>appropriate | No     | No explanation for<br>selected study<br>design provided,<br>however, the<br>restriction is<br>appropriate | No     | Does not seem to<br>be an explanation<br>for only including<br>SLRs | No     | Does not seem<br>to be an<br>explanation for<br>only including<br>SLRs | No          | Does not seem to<br>be an explanation<br>for only including<br>SLRs  |  |
| Did the review authors use a comprehensive literature search strategy? (Yes/Partial Yes/No)   | Yes    |   | Yes    |   | Yes    |   | No     | No justification<br>of language<br>restriction                         | No          | No justification of<br>language restriction  |  |
| Did the review authors<br>perform study selection in<br>duplicate? (Yes/No)   | Yes    | Explicitly stated   | Yes    | Explicitly stated   | Yes    | Explicitly stated   | Yes    | Explicitly stated  | Unclear     | "Literature search<br>results were<br>reviewed and<br>deemed<br>appropriate for full<br>text review by one                                       |  |

|  |         |   |                |  |                |   |         |   |                                   | ASCO staff<br>reviewer in<br>consultation with<br>the Panel Co-<br>Chairs"  |
|--|---------|---|----------------|--|----------------|---|---------|---|-----------------------------------|---|
| Did the review authors<br>perform data extraction in<br>duplicate? (Yes/No)  | Unclear | Not explicitly<br>stated but likely<br>given that study<br>selection was<br>performed in<br>duplicate | Unclear        | Not explicitly<br>stated by likely<br>given that study<br>selection was<br>performed in<br>duplicate | Unclear        | Not explicitly<br>stated but likely<br>given that study<br>selection was<br>performed in<br>duplicate | Unclear | Not explicitly<br>stated but likely<br>given that study<br>selection was<br>performed in<br>duplicate   | Yes                               | "Data were<br>extracted by one<br>staff reviewer and<br>subsequently<br>checked for<br>accuracy by<br>another ASCO staff<br>member" |
| Did the review authors<br>provide a list of excluded<br>studies and justify the<br>exclusions? (Yes/Partial<br>Yes/No)   | Yes     | Reasons for<br>exclusion given  | Yes            | Reasons for<br>exclusion given   | Yes            | Reasons for<br>exclusion given  | No      | No list of studies<br>excluded at full<br>text review<br>stage  | No                                | No list of studies<br>excluded at full text<br>review stage   |
| Did the review authors<br>describe the included studies<br>in adequate detail?<br>(Yes/Partial Yes/No)   | Yes     | Full details in evidence tables   | Partial<br>Yes | Only missing<br>doses of<br>treatments   | Partial<br>Yes | Describes all<br>elements of PICO,<br>but not in detail   | Yes     | Describes all<br>elements of<br>PICO, including<br>clinical stage of<br>patients and<br>treatment doses | Yes                               | Describes all<br>elements of PICO,<br>including clinical<br>stage of patients<br>and treatment<br>doses                             |
| Did the review authors use a<br>satisfactory technique for<br>assessing the risk of bias<br>(RoB) in individual studies<br>that were included in the<br>review? (Yes/Partial Yes/No) | Yes     | Cochrane risk of<br>bias tool   | Yes            | Cochrane risk of<br>bias tool  | Yes            | Cochrane risk of<br>bias tool   | No      | Methods state<br>that Cochrane<br>risk of bias tool<br>was used, but<br>results are not<br>reported     | Yes                               | Cochrane risk of<br>bias tool or similar<br>(tool not explicitly<br>named)  |
| Did the review authors report<br>on the sources of funding for<br>the studies included in the<br>review? (Yes/No)  | Yes     | Included in<br>evidence table   | Yes            | Included in<br>evidence table  | Yes            | In the<br>supplementary<br>information  | No      |   | No                                | Methods state that<br>funding was<br>considered, but not<br>reported in the<br>results  |
| If meta-analysis was<br>performed, did the review<br>authors use appropriate<br>methods for statistical<br>combination of results?<br>(Yes/No/No meta-analysis<br>conducted)         | Yes     | Detailed in<br>methods section  | Yes            | Detailed in<br>methods section   | Yes            | Detailed in<br>methods section  | Yes     | Detailed in<br>methods section  | No meta-<br>analysis<br>conducted |   |

| If meta-analysis was<br>performed, did the review<br>authors assess the potential<br>impact of RoB in individual<br>studies on the results of the<br>meta-analysis or other<br>evidence synthesis?<br>(Yes/No/No meta-analysis<br>conducted)                       | Yes |   | Yes |   | Yes | Included only low<br>risk of bias RCTs  | No  | Risk of bias not<br>considered   | No meta-<br>analysis<br>conducted |   |
|--|-----|---|-----|---|-----|---|-----|--|-----------------------------------|---|
| Did the review authors<br>account for RoB in individual<br>studies when<br>interpreting/discussing the<br>results of the review?<br>(Yes/No)   | Yes | Accounted for in<br>evidence<br>statements  | Yes | Accounted for in<br>evidence<br>statements  | Yes | Included only low<br>risk of bias RCTs  | No  | Risk of bias not<br>considered   | Yes                               | Included only low<br>risk of bias RCTs<br>(Morton study had<br>intermediate risk of<br>bias but did not<br>meet inclusion<br>criteria and was<br>not featured in the<br>included studies<br>tables) |
| Did the review authors<br>provide a satisfactory<br>explanation for, and<br>discussion of, any<br>heterogeneity observed in the<br>results of the review?<br>(Yes/No)  | Yes |   | Yes |   | Yes | Heterogeneity<br>reported in<br>Results and<br>explored in the<br>Discussion  | No  | Heterogeneity<br>reported in<br>Results but not<br>explored in the<br>Discussion | No                                | Heterogeneity not<br>discussed in any<br>detail (there is no<br>Discussion section)   |
| If they performed quantitative<br>synthesis did the review<br>authors carry out an adequate<br>investigation of publication<br>bias (small study bias) and<br>discuss its likely impact on the<br>results of the review?<br>(Yes/No/No meta-analysis<br>conducted) | No  | Mentioned<br>publication bias in<br>the protocol but<br>no graphical or<br>statistical test to<br>account for it,<br>however a<br>sensitivity analysis<br>excluding any<br>studies at high<br>risk of bias was<br>conducted | No  | Mentioned<br>publication bias in<br>the protocol but<br>no graphical or<br>statistical test to<br>account for it,<br>however a<br>sensitivity analysis<br>excluding any<br>studies at high<br>risk of bias was<br>conducted | Yes | Performed a<br>measure of<br>publication bias<br>(GRADE<br>assessment) and<br>reported the<br>outcome: no risk<br>of publication<br>bias. | No  | Publication bias<br>was not<br>assessed  | No meta-<br>analysis<br>conducted |   |
| Did the review authors report<br>any potential sources of<br>conflict of interest, including<br>any funding they received for<br>the review? (Yes/No)  | Yes | Declarations of<br>conflicts of<br>interest were<br>reported<br>according to<br>NICE's 2014 and   | Yes | Declarations of<br>conflicts of<br>interest were<br>reported<br>according to<br>NICE's 2014 and   | Yes | The authors<br>declared that<br>there was no<br>conflict of interest  | Yes | The authors<br>reported no<br>conflicts of<br>interest                           | Yes                               | Conflicts of interest considered in detail  |

| 2018 CoI policies | 2018 CoI policies |  |  |  |
|-------------------|-------------------|--|--|--|
|                   |                   |  |  |  |

### Table 50. Cochrane Risk of Bias Tool 2 for RCTs

|  |        | in 2016 (PMH<br>907)   |        | 016 (EORTC<br>22991)   | Lenn   | ernäs 2015  | Vo       | oog 2016   | Hack     | man 2019   | Sanford 2017 |  |
|--|--------|--|--------|--|--------|---|----------|--|----------|--|--------------|--|
| RANDOMISATIO<br>N PROCESS  | Answer | Notes  | Answer | Notes  | Answer | Notes   | Answer   | Notes  | Answer   | Notes  | Answer       | Notes  |
| 1.1 Was the<br>allocation<br>sequence<br>random?   | РҮ     | Details on<br>allocation<br>sequence not<br>explicitly<br>reported, but<br>judged likely | Y      | Minimisation<br>technique<br>used  | Y      | Randomisation<br>performed<br>centrally by<br>telephone   | Y        | Permuted-<br>block<br>randomisatio<br>n            | РҮ       | Randomised<br>but specific<br>method not<br>reported | РҮ           | Reported as<br>a prospective<br>randomised<br>trial but no<br>information<br>on method<br>reported |
| 1.2 Was the<br>allocation<br>sequence<br>concealed until<br>participants were<br>enrolled and<br>assigned to<br>interventions?         | NI     |  | NI     | No<br>information<br>on allocation<br>concealment  | Y      | Telephone-<br>based<br>randomisation  | NI       | No details<br>given                                | NI       | No details<br>given                                  | NI           | No details<br>given  |
| 1.3 Did the<br>baseline<br>differences<br>between<br>intervention<br>groups suggest a<br>problem with the<br>randomization<br>process? | N      | No apparent<br>imbalances  | PN     | P values not<br>given, but<br>described as<br>"well<br>balanced<br>between the<br>two groups"<br>in the results<br>section | N      | "There were no<br>statistically<br>significant<br>differences<br>between the<br>two<br>randomization<br>groups" | N        | Appear<br>roughly<br>balanced<br>between<br>groups | N        | Appear<br>roughly<br>balanced<br>between<br>groups   | N            | Appear<br>roughly<br>balanced<br>between<br>groups   |
| Risk of bias<br>judgement (low,<br>high, some<br>concerns)   | Low    | According to<br>algorithm on<br>crib sheet   | Low    | According to<br>algorithm on<br>crib sheet   | Low    | According to<br>algorithm on<br>crib sheet  | Low risk | Algorithm  | Low risk | Algorithm  | Low risk     | Algorithm  |
| EFFECT OF<br>ASSIGNMENT TO<br>INTERVENTION   |        |  |        |  |        |   |          |  |          |  |              |  |

| 2.1 Were<br>participants<br>aware of their<br>assigned<br>intervention<br>during the trial?  | NI | Likely that<br>they were<br>aware  | Y  | There was no<br>blinding in<br>the study  | Y  | Impossible to<br>conceal<br>difference<br>between<br>prostatectomy<br>and<br>radiotherapy  | РҮ | No details on<br>blinding<br>given, so<br>knowledge of<br>intervention<br>likely | Y  | Open-label   | РҮ | No details on<br>blinding<br>given, so<br>knowledge of<br>intervention<br>likely |
|--|----|--|----|---|----|--|----|--|----|--|----|--|
| 2.2 Were carers<br>and people<br>delivering the<br>interventions<br>aware of<br>participants'<br>assigned<br>intervention<br>during the trial?   | PY |  | Y  | There was no<br>blinding in<br>the study  | Y  | Impossible to<br>conceal<br>difference<br>between<br>prostatectomy<br>and<br>radiotherapy  | РҮ | No details on<br>blinding<br>given, so<br>knowledge of<br>intervention<br>likely | Y  | Open-label   | РҮ | No details on<br>blinding<br>given, so<br>knowledge of<br>intervention<br>likely |
| 2.3 If Y/PY/NI to<br>2.1 or 2.2: Were<br>there deviations<br>from the<br>intended<br>intervention that<br>arose because of<br>the trial context? | Y  | "Because of<br>patient<br>choice, 6<br>patients who<br>were<br>randomised to<br>receive<br>bicalutamide<br>received RT<br>alone" | NI | There were<br>deviations<br>from the trial<br>protocol in<br>each arm but<br>no reasons<br>for these are<br>given | NI | "One of the<br>patients<br>randomized to<br>RT underwent<br>EBRT only and<br>got 70 Gy, and<br>thus no<br>brachytherapy"<br>. No reason for<br>this is given | PN | All patients<br>initiated<br>intervention<br>to which<br>randomised              | Y  | Patients<br>decline<br>randomised<br>treatment or<br>chose the<br>other<br>treatment | NI | No<br>information<br>provided  |
| 2.4 If Y/PY to<br>2.3: Were these<br>deviations likely<br>to have affected<br>the outcome?   | PN | Small number<br>of protocol<br>violations  | NI | Unclear   | PN | Only one<br>protocol<br>violation  | NA | -  | PN | Small<br>percentage<br>(4% RT and<br>1.6%<br>observation)                            | NA | -  |
| 2.5 If Y/PY/NI to<br>2.4: Were these<br>deviations from<br>intended<br>intervention<br>balanced<br>between groups?                               | NA |  | Y  | N=20 in one<br>arm, n=19 in<br>the other  | NA |  | NA | -  | NA | -  | NA | -  |
| 2.6 Was an<br>appropriate<br>analysis used to<br>estimate the<br>effect of<br>assignment to  | Y  | ІПТ  | Y  | ІПТ   | Y  | "All patients<br>were evaluated<br>according to<br>the intention-<br>to-treat  | РҮ | Appears to be<br>mITT –<br>patients with<br>missing<br>outcome data<br>were      | Y  | ш  | Y  | ІТТ  |

| intervention?  |                  |   |                  |  |                      | principle."  |          | excluded  |                      |   |                      |  |
|--|------------------|---|------------------|--|----------------------|--|----------|---|----------------------|---|----------------------|--|
| 2.7 If N/PN/NI to<br>2.6: Was there<br>potential for a<br>substantial<br>impact (on the<br>result) of the<br>failure to analyse<br>participants in<br>the group to<br>which they were<br>randomized? | NA               |   | NA               |  | NA                   |  | NA       | -   | NA                   | -                                       | NA                   | -  |
| Risk of bias<br>judgement (low,<br>high, some<br>concerns)   | Some<br>concerns | According to<br>algorithm on<br>crib sheet  | Some<br>concerns | According to<br>algorithm on<br>crib sheet   | Some<br>concern<br>s | According to<br>algorithm on<br>crib sheet   | Low risk | Algorithm   | Some<br>concern<br>s | Algorithm                               | Some<br>concern<br>s | Algorithm  |
| MISSING<br>OUTCOME DATA  |                  |   |                  |  |                      |  |          |   |                      |   |                      |  |
| 3.1 Were data for<br>this outcome<br>available for all,<br>or nearly all,<br>participants<br>randomized?   | Y                | 95.6%<br>participants<br>with outcome<br>data =<br>"nearly all"<br>and large<br>number of<br>events<br>compared to<br>missing | Y                | 94.99% with<br>outcome<br>data =<br>"nearly all"<br>and a large<br>number of<br>events<br>compared to<br>missing | Ν                    | "A total of 59<br>patients (66%)<br>completed the<br>questionnaires<br>on all three<br>assessment<br>occasions." | NI       | Patients with<br>missing data<br>were<br>excluded, but<br>numbers are<br>not reported | Y                    | All patients<br>included in<br>analyses | Y                    | All patients<br>included in<br>analyses in<br>Sanford. As<br>Royce 2017<br>is a<br>subgroup<br>analysis, not<br>all<br>randomised<br>patients<br>were<br>included but<br>those who<br>met the<br>subgroup<br>criteria were<br>all included<br>in the<br>analyses |
| 3.2 If N/PN/NI to<br>3.1: Is there<br>evidence that the<br>result was not<br>biased by missing   | NA               |   | NA               |  | N                    | No analysis<br>methods that<br>correct for bias<br>or sensitivity<br>analyses were                               | N        | No analyses<br>were done to<br>correct for<br>potential bias                          | NA                   | -                                       | NA                   | -  |

| outcome data?  |          |   |          |  |           | used   |           |   |          |   |          |  |
|--|----------|---|----------|--|-----------|--|-----------|---|----------|---|----------|--|
| 3.3 If N/PN to<br>3.2: Could<br>missingness in<br>the outcome<br>depend on its<br>true value?                  | NA       |   | NA       |  | NI        | No information<br>given on why<br>patients did not<br>complete<br>questionnaires | NI        | No<br>information<br>on missing<br>data reported  | NA       | -   | NA       | -  |
| 3.4 If Y/PY/NI to<br>3.3: Is it likely<br>that missingness<br>in the outcome<br>depended on its<br>true value? | NA       |   | NA       |  | NI        | No information<br>given on why<br>patients did not<br>complete<br>questionnaires | NI        | No<br>information<br>on missing<br>data reported  | NA       | -   | NA       | -  |
| Risk of bias<br>judgement (low,<br>high, some<br>concerns)   | Low risk | According to<br>algorithm on<br>crib sheet                | Low risk | According to<br>algorithm on<br>crib sheet | High risk | According to<br>algorithm on<br>crib sheet                                       | High risk | Algorithm   | Low risk | Algorithm   | Low risk | Algorithm  |
| MEASUREMENT<br>OF OUTCOME  |          |   |          |  |           |  |           |   |          |   |          |  |
| 4.1 Was the<br>method of<br>measuring the<br>outcome<br>inappropriate?   | N        | Widely-used<br>methods for<br>measuring<br>outcomes       | N        | Widely-used<br>methods                     | N         | Widely-used<br>methods   | N         | Investigator<br>defined and<br>reported on<br>follow-up<br>case report<br>forms by<br>each<br>institution,<br>cardiovascula<br>r death<br>defined,<br>death due to<br>PCa not<br>defined<br>however | PN       | All outcomes<br>defined apart<br>from<br>mortality, PCa<br>survival | N        | Patients'<br>oncologists<br>determined<br>cause of<br>death, PC-<br>related death<br>defined |
| 4.2 Could<br>measurement or<br>ascertainment of<br>the outcome<br>have differed<br>between<br>intervention     | N        | Measurement<br>s and time<br>periods same<br>in both arms | N        |  | N         | Measurements<br>and time<br>periods same<br>in both arms                         | PN        | Measurement<br>of mortality<br>will not differ  | N        | Methods did<br>not differ   | N        | Measuremen<br>t of mortality<br>will not<br>differ,<br>analysis<br>methods<br>equal          |

| groups?   |          |  |          |   |                      |   |          |  |           |  |                      |  |
|---|----------|--|----------|---|----------------------|---|----------|--|-----------|--|----------------------|--|
| 4.3 If N/PN/NI to<br>4.1 and 4.2:<br>Were outcome<br>assessors aware<br>of the<br>intervention<br>received by study<br>participants?          | РҮ       | Unlikely<br>blinded  | Y        | No blinding<br>in the study                               | Y                    | Participant-<br>reported<br>outcomes<br>used, and<br>participants<br>were not<br>blinded to<br>intervention   | PY       | No blinding<br>reported                | Y         | Open-label   | PY                   | No details on<br>blinding<br>given   |
| 4.4 If Y/PY/NI to<br>4.3: Could<br>assessment of<br>the outcome<br>have been<br>influenced by<br>knowledge of<br>intervention<br>received?    | PN       | Biochemical<br>failure defined<br>by specific<br>criteria and<br>questionnaire<br>s used for<br>QoL. Only one<br>that could be<br>is biopsy<br>analysis, so<br>have put PN | PN       | Likely only<br>clinical<br>relapse                        | Y                    | Participant-<br>reported<br>outcomes<br>included level<br>of pain   | N        | Outcome was<br>mortality               | РҮ        | Possible that<br>reporting of<br>adverse<br>events may<br>have been<br>influenced by<br>knowledge of<br>intervention<br>received,<br>questionnaire<br>s with<br>subjective<br>components | PN                   | Cause of<br>death was<br>unlikely to be<br>influenced by<br>knowledge of<br>intervention |
| 4.5 If Y/PY/NI to<br>4.4: Is it likely<br>that assessment<br>of the outcome<br>was influenced<br>by knowledge of<br>intervention<br>received? | NA       |  | NA       |   | PN                   | No evidence<br>that patients<br>had strong<br>beliefs about<br>the harms and<br>benefits of the<br>treatments | NA       | -                                      | РҮ        | Possible that<br>assessment of<br>adverse<br>events may<br>have been<br>influenced by<br>knowledge of<br>intervention<br>received  | NA                   | -  |
| Risk of bias<br>judgement (low,<br>high, some<br>concerns)  | Low risk | According to<br>algorithm on<br>crib sheet   | Low risk | According to<br>algorithm on<br>crib sheet                | Some<br>concern<br>s | According to<br>algorithm on<br>crib sheet  | Low risk | Algorithm                              | High risk |  | Some<br>concern<br>s | Algorithm  |
| SELECTION OF<br>THE REPORTED<br>RESULT  |          |  |          |   |                      |   |          |  |           |  |                      |  |
| 5.1 Were the<br>data that<br>produced this<br>result analysed in  | NI       | Analysis plan<br>not reported,<br>no protocol  | Y        | Pre-specified<br>analysis plan<br>reported in<br>protocol | NI                   | Analysis plan<br>not reported,<br>no protocol   | NI       | SAP not<br>reported, no<br>information | NI        | SAP not<br>reported  | N                    | No protocol<br>or SAP<br>available   |

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| accordance with<br>a pre-specified<br>analysis plan that<br>was finalized<br>before unblinded<br>outcome data<br>were available<br>for analysis?  |                      | identified  |                      | (downloaded<br>)   |                      | identified   |                      |   |                      |  |                      |  |
|---|----------------------|---|----------------------|--|----------------------|--|----------------------|---|----------------------|--|----------------------|--|
| 5.2. Is the<br>numerical result<br>being assessed<br>likely to have<br>been selected,<br>on the basis of<br>the results, from<br>multiple eligible<br>outcome<br>measurements<br>(e.g. scales,<br>definitions, time<br>points) within the<br>outcome<br>domain? | Ν                    | Outcomes<br>only<br>measured in<br>one way each   | Ν                    | Outcomes<br>only<br>measured in<br>one way<br>each   | Ν                    | Outcomes only<br>measured in<br>one way each                             | Ν                    | Objective<br>outcome of<br>mortality                                  | PN                   | Objective<br>outcomes at<br>the same<br>timepoint                          | N                    | Objective<br>outcomes<br>were<br>assessed at<br>the same<br>timepoints |
| 5.3 Is the<br>numerical result<br>being assessed<br>likely to have<br>been selected,<br>on the basis of<br>the results, from<br>multiple eligible<br>analyses of the<br>data?   | PN                   | No evidence<br>of outcomes<br>being<br>analysed in<br>multiple ways   | PN                   | No evidence<br>of outcomes<br>being<br>analysed in<br>multiple<br>ways   | PN                   | No evidence of<br>outcomes<br>being analysed<br>in multiple<br>ways      | PN                   | Death<br>reported for<br>'overall' and<br>estimated for<br>'10 years' | N                    | There does<br>not appear to<br>be multiple<br>analyses for<br>each outcome | PN                   | Results for<br>all analyses<br>appear to be<br>reported                |
| Risk of bias<br>judgement (low,<br>high, some<br>concerns)  | Some<br>concerns     | According to<br>algorithm on<br>crib sheet  | Low risk             | According to<br>algorithm on<br>crib sheet   | Some<br>concern<br>s | According to<br>algorithm on<br>crib sheet                               | Some<br>concern<br>s | Algorithm   | Some<br>concern<br>s | Algorithm  | Some<br>concern<br>s | Algorithm  |
| OVERALL RISK<br>OF BIAS (low,<br>high , some<br>concerns)   | Some<br>concern<br>s | The study is<br>judged to<br>raise concerns<br>in at least one<br>domain, but is<br>not at high<br>RoB in any<br>domain | Some<br>concern<br>s | The study is<br>judged to<br>raise<br>concerns in<br>at least one<br>domain, but<br>is not at high<br>RoB in any<br>domain | High<br>risk         | The study is<br>judged to be at<br>high RoB in at<br>least one<br>domain | Some<br>concern<br>s | Algorithm   | Some<br>concern<br>s | Algorithm  | Some<br>concern<br>s |  |

# Appendix 6 – UK NSC reporting checklist for evidence summaries

All items on the UK NSC Reporting Checklist for Evidence Summaries have been addressed in this report. A summary of the checklist, along with the page or pages where each item can be found in this report, is presented in Table 51.

|     | Section                   | Item  | Page no.      |  |  |  |  |  |  |
|-----|---------------------------|---|---------------|--|--|--|--|--|--|
| 1.  | TITLE AND SUMMARIES       |   |               |  |  |  |  |  |  |
| 1.1 | Title sheet               | Identify the review as a UK NSC evidence summary.   | Title page: 1 |  |  |  |  |  |  |
| 1.2 | Plain English<br>summary  | Plain English description of the executive summary.   | 5             |  |  |  |  |  |  |
| 1.3 | Executive<br>summary      | Structured overview of the whole report. To include: the<br>purpose/aim of the review; background; previous<br>recommendations; findings and gaps in the evidence;<br>recommendations on the screening that can or cannot be made<br>on the basis of the review.                                    | 6             |  |  |  |  |  |  |
| 2.  | INTRODUCTION A            | AND APPROACH  |               |  |  |  |  |  |  |
| 2.1 | Background and objectives | Background – Current policy context and rationale for the<br>current review – for example, reference to details of previous<br>reviews, basis for current recommendation, recommendations<br>made, gaps identified, drivers for new reviews   | 13            |  |  |  |  |  |  |
|     |                           | Objectives – What are the questions the current evidence<br>summary intends to answer? – statement of the key questions<br>for the current evidence summary, criteria they address, and<br>number of studies included per question, description of the<br>overall results of the literature search. | 24            |  |  |  |  |  |  |

#### Table 51. UK NSC reporting checklist for evidence summaries

|     | Section  | Item   | Page no.   |
|-----|--|--|--|
|     |  | Method – briefly outline the rapid review methods used.  | 27   |
| 2.2 | Eligibility for<br>inclusion in the<br>review        | State all criteria for inclusion and exclusion of studies to the review clearly (PICO, dates, language, study type, publication type, publication status etc.) To be decided <i>a priori</i> . | 28–30  |
| 2.3 | Appraisal for<br>quality/risk of bias<br>tool        | Details of tool/checklist used to assess quality, e.g. QUADAS 2, CASP, SIGN, AMSTAR.   | 32   |
| 3.  | SEARCH STRATE  | GY AND STUDY SELECTION (FOR EACH KEY QUESTION)   |  |
| 3.1 | Databases/<br>sources searched                       | Give details of all databases searched (including platform/interface and coverage dates) and date of final search.   | 32   |
| 3.2 | Search strategy<br>and results                       | Present the full search strategy for at least one database<br>(usually a version of Medline), including limits and search filters<br>if used.  | 120–130  |
|     |  | Provide details of the total number of (results from each database searched), number of duplicates removed, and the final number of unique records to consider for inclusion.                  |  |
| 3.3 | Study selection                                      | State the process for selecting studies – inclusion and exclusion criteria, number of studies screened by title/abstract and full text, number of reviewers, any cross checking carried out.   | 27, 132, 133   |
| 4.  | STUDY LEVEL RE                                       | PORTING OF RESULTS (FOR EACH KEY QUESTION)   |  |
| 4.1 | Study level  | For each study, produce a table that includes the full citation and  | Study level reporting: 174–307                                       |
|     | reporting, results<br>and risk of bias<br>assessment | a summary of the data relevant to the question (for example, study size, PICO, follow-up period, outcomes reported, statistical analyses etc.).  | Summaries of key measures: 43, 47, 53, 56, 74, 94, 97, 101, 104, 107 |
|     |  | Provide a simple summary of key measures, effect estimates<br>and confidence intervals for each study where available.   | Quality assessment: 39, 66, 69,87, 90, 91, 331–350                   |
|     |  | For each study, present the results of any assessment of quality/risk of bias.   |  |
| 5.  | QUESTION LEVEL                                       |  |  |

|     | Section                             | Item   | Page no.      |
|-----|-------------------------------------|--|---------------|
| 5.1 | Description of the                  | For each question, give numbers of studies screened, assessed  | Q1 and Q2: 35 |
|     | evidence                            | for eligibility, and included in the review, with summary reasons for exclusion.   | Q3: 61        |
|     |                                     |  | Q4: 83        |
| 5.2 | Combining and                       | Provide a balanced discussion of the body of evidence which  | Q1 and Q2: 39 |
|     | presenting the<br>findings          | avoids over reliance on one study or set of studies.<br>Consideration of four components should inform the reviewer's    | Q3: 66        |
|     | 3                                   | judgement on whether the criterion is 'met', 'not met' or 'uncertain': quantity; quality; applicability and consistency. | Q4: 86        |
| 5.3 | Summary of                          | Provide a description of the evidence reviewed and included for  | Q1: 50        |
|     | findings                            | each question, with reference to their eligibility for inclusion.  | Q2: 58        |
|     |                                     | Summarise the main findings including the quality/risk of bias issues for each question.                                 | Q3: 80        |
|     |                                     | Have the criteria addressed been 'met', 'not met' or 'uncertain'?  | Q4: 113       |
| 6.  | REVIEW SUMMAR                       | RY   |               |
| 6.1 | Conclusions and<br>implications for | Do findings indicate whether screening should be<br>recommended?   | 115           |
|     | policy                              | Is further work warranted?   |               |
|     |                                     | Are there gaps in the evidence highlighted by the review?  |               |
| 6.2 | Limitations                         | Discuss limitations of the available evidence and of the review methodology if relevant.                                 | 118           |

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